

## **Tenth meeting of the Adaptation Committee Bonn, Germany, 13-16 September 2016**

### **Provisional agenda and annotations**

The Adaptation Committee (AC) looks forward to the active participation of observers in the meeting and kindly requests observers to come prepared with concrete proposals to support the work of the AC.

#### **I. Provisional agenda**

1. Opening and welcome.
2. Adoption of the agenda.
3. Organization of work.
4. Supporting the implementation of the Paris outcomes:
  - a) Review of adaptation-related institutional arrangements under the Convention in 2017;
  - b) Methodologies for assessing adaptation needs;
  - c) Modalities to recognize the adaptation efforts of developing country Parties;
  - d) Methodologies to facilitate the mobilization of support for adaptation in developing countries;
  - e) Methodologies for reviewing the adequacy and effectiveness of adaptation and support.
5. Workstream A: Technical support and guidance to the Parties on adaptation action:
  - a) Potential partnership platform with national, regional and international organizations, centres and networks;
  - b) Inventory of ongoing work on monitoring and evaluation of adaptation;
  - c) Livelihoods and economic diversification;
  - d) Adaptation Committee Task Force on National Adaptation Plans.
6. Workstream B: Technical support and guidance to the Parties on means of implementation:
  - a) Information paper on experiences of countries in accessing the readiness programme of the Green Climate Fund;
  - b) Engagement with national entities facilitating financial support for adaptation;
  - c) Input to the draft guidance to the operating entities of the financial mechanism under the Convention.
7. Workstream C: Awareness-raising, outreach and sharing of information:
  - a) Contribution to the fifth Asia Pacific Climate Change Adaptation Forum;

- b) Joint in-session event by the Adaptation Committee and the Least Developed Countries Expert Group at the twenty-second session of the Conference of the Parties (COP 22).
- 8. Workstream D: Technical Examination Process on Adaptation:
  - a) Work of the Adaptation Committee's working group on the Technical Examination Process on Adaptation;
  - b) Possible future topics.
- 9. Updating the workplan of the Adaptation Committee to reflect recent developments.
- 10. Report of the Adaptation Committee to COP 22.
- 11. Organization of intersessional work.
- 12. Dates for future meetings.
- 13. Any other matters.
- 14. Closure of the meeting.

## II. Annotations to the provisional agenda

### 1. Opening and welcome

1. The Co-Chairs of the Adaptation Committee (AC), Ms. Minpeng Chen and Mr. Donald Lemmen, will open the 10<sup>th</sup> meeting of the AC on 13 September 2016 at 09:30 hrs.

### 2. Adoption of the agenda

2. The provisional agenda for the 10<sup>th</sup> meeting of the AC will be presented for adoption.

AC/2016/11	Provisional agenda and annotations for the tenth meeting of the Adaptation Committee
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### 3. Organization of work

3. In order to best address the heavy workload, the Co-Chairs propose that the first day of the meeting will be dedicated to discussing the new mandates resulting from the outcomes of the 2016 Climate Change Conference in Paris. All subsequent items will initially be addressed in plenary, with items which the AC cannot conclude immediately will be considered in subsequent parallel break-out groups and concluded in plenary on the fourth day of the meeting.
4. Observers will be welcome throughout and are requested to come prepared with concrete proposals to support the work of the AC.

### 4. Supporting the implementation of the Paris outcomes

5. The Adaptation Committee, by decision 1/CP.21, has been mandated to carry out new mandates as detailed in sub-items 4 (a) to (e) below.

#### a) Review of adaptation-related institutional arrangements under the Convention in 2017

6. *Background:* The COP requested the AC, taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA 1), to review, in 2017, the work of adaptation-related institutional arrangements under the Convention, with a view to identifying ways to enhance the coherence of their work, as appropriate, in order to respond adequately to the needs of Parties.<sup>1</sup>
7. The AC requested the secretariat to conduct a desk review of relevant information and to prepare a background note on this matter. The background note is contained in document AC/2016/12.

<sup>1</sup> Decision 1/CP.21, paragraph 42 (a).

8. *Action*: The AC will be invited to consider the information contained in document AC/2016/12 and agree on terms of reference and milestones for the review.

AC/2016/12	Background note on the mandated review of the work of adaptation-related institutional arrangements under the Convention in 2017
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**b) Methodologies for assessing adaptation needs**

9. *Background*: The COP requested the AC, taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by CMA 1, to consider methodologies for assessing adaptation needs with a view to assisting developing countries, without placing an undue burden on them.<sup>2</sup>

10. The AC requested the secretariat to conduct a desk review of relevant information and to prepare a background note on this matter. The background note is contained in document AC/2016/13.

11. *Action*: The AC will be invited to consider the information contained in document AC/2016/13 and agree on next steps.

AC/2016/13	Background note on methodologies for assessing adaptation needs with a view to assisting developing countries, without placing an undue burden on them
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**c) Modalities to recognize the adaptation efforts of developing country Parties;**

**d) Methodologies to facilitate the mobilization of support for adaptation in developing countries; and**

**e) Methodologies for reviewing the adequacy and effectiveness of adaptation and support**

12. *Background*: The COP requested the AC and the LEG to jointly develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Article 7, paragraph 3, of the Agreement, and make recommendations for consideration and adoption by CMA 1.<sup>3</sup>

13. The COP further requested the AC and the LEG, in collaboration with the Standing Committee on Finance (SCF) and other relevant institutions, to develop methodologies, and make recommendations for consideration and adoption by CMA 1 on:

- a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement;<sup>4</sup>
- b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7, paragraph 14(c), of the Agreement.<sup>5</sup>

14. Following a joint informal meeting of members of the AC and the LEG on these matters,<sup>6</sup> the two bodies requested the secretariat to conduct a desk review of relevant information pertaining to the issues mentioned in paragraphs 12 to 13 above and to prepare a background note for their consideration.

15. The background note also contains relevant reflections from the AC's 2016 Adaptation Forum and is contained in document AC/2016/14.

16. A call for submissions on these matters, issued jointly by the AC and the LEG, is still open (deadline 30 September 2016).<sup>7</sup>

17. *Action*: The AC will be invited to consider the information contained in document AC/2016/14 and to propose next steps, to be agreed following a joint discussion with the LEG.

AC/2016/14	Background note on progress made by the Adaptation Committee and the
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<sup>2</sup> Decision 1/CP.21, paragraph 42 (b).

<sup>3</sup> Decision 1/CP.21, paragraph 41.

<sup>4</sup> Decision 1/CP.21, paragraph 45(a).

<sup>5</sup> Decision 1/CP.21, paragraph 45(b).

<sup>6</sup> The report on the meeting is available under "Progress" at <unfccc.int/9785.php>.

<sup>7</sup> For details on the call for submissions, including guiding questions, are available on <unfccc.int/9761>.

Least Developed Countries Expert Group to address decision 1/CP.21,  
paragraphs 41 and 45

## 5. Workstream A: Technical support and guidance to the Parties on adaptation action:

### a) Potential partnership platform with national, regional and international organizations, centres and networks

18. *Background:* The COP, by decision 1/CP.16, decided that the AC should provide technical support and guidance to the Parties and promote synergy and strengthening engagement with national, regional and international organizations, centres and networks.

19. In its consideration of this matter the AC agreed to utilize a range of modalities to identify gaps in the provision of technical support to the Parties, and modalities to address these gaps. As one of the latter, the AC proposed the possible establishment of a partnership platform with regional centres. As a follow-up, it included in its flexible workplan for 2016-2018<sup>8</sup> several activities relating to this partnership platform, including consultation, during a side event at SB 44, with national, regional and international organizations, centres and networks on collaboration to provide technical support.

20. The side event was held on 17 May 2016 and the report on the event is contained in document AC/2016/15.

21. *Action:* The Adaptation Committee will be invited to take note of information and feedback received by Parties, organizations and networks at the side event, contained in this report. The AC will also be invited to take note of information presented by representatives of the NWP and the CTCN on activities relating to this matter and to consider possible next steps.

AC/2016/15	Report on a side event held by the Adaptation Committee: Establishing a partnership platform with national, regional and international organizations, centres and networks to provide technical support to developing country Parties on adaptation
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### b) Inventory of ongoing work on monitoring and evaluation of adaptation

22. *Background:* The AC, in its workplan, agreed to prepare an inventory of ongoing monitoring and evaluation work, including work undertaken by the LEG, the Global Environment Facility and other initiatives outside of the Convention. It requested support from the Nairobi work programme on impacts, vulnerability and adaptation to climate change to compile and verify the inventory. On the basis of the analysis of the inventory, the AC will consider additional work on monitoring and evaluation systems and impact evaluations. The inventory and analysis are contained in document AC/2016/16.

23. As follow-up work, the AC also agreed to convene, in 2018, a meeting to exchange views on national adaptation goals and indicators and how they relate to goals and indicators for sustainable development and for disaster risk reduction in the context of the Sendai Framework for Disaster Risk Reduction 2015–2030. The AC requested that this meeting be carried out under the NWP.<sup>9</sup>

24. *Action:* The AC will be invited to consider the inventory and analysis contained in document AC/2016/16 and to agree on additional work on monitoring and evaluation systems and impact evaluations, as appropriate.

AC/2016/16	Inventory of ongoing work on monitoring and evaluation of adaptation prepared under the Nairobi work programme on impacts, vulnerability and adaptation to climate change
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### c) Livelihoods and economic diversification

25. *Background:* At its 9<sup>th</sup> meeting, the AC considered the draft report on its expert meeting on livelihoods and economic diversification, held on 7 and 8 September 2015.<sup>10</sup> It agreed that the issues for further consideration contained therein need further reflection. The Co-Chairs invited members to send further

<sup>8</sup> See <[http://unfccc.int/files/adaptation/cancun\\_adaptation\\_framework/adaptation\\_committee/application/pdf/20160308\\_wp\\_revised.pdf](http://unfccc.int/files/adaptation/cancun_adaptation_framework/adaptation_committee/application/pdf/20160308_wp_revised.pdf)>.

<sup>9</sup> FCCC/SBSTA/2016/INF.4, paragraph 33(d).

<sup>10</sup> AC/2016/6.

written comments to the secretariat before AC 10 with a view to adopting recommendations at that meeting and including them into the AC report to COP 22. The finalized report is contained in document AC/2016/17.

26. The AC requested the secretariat to proceed with the development of an information product as outlined in the concept note prepared for AC 9.<sup>11</sup> The draft information product is contained in document AC/2016/18.

27. *Action:* The AC will be invited to discuss the issues for further consideration contained in document AC/2016/17, with a view to agreeing on recommendations to be contained in its report to COP 22 (see item 10 below).

28. The AC will further be invited to approve the draft information contained in document AC/2016/18 and to request the secretariat to proceed with the finalization of the product.

AC/2016/17	Report on the expert meeting on livelihoods and economic diversification
AC/2016/18	Draft information product on livelihoods and economic diversification

#### d) Adaptation Committee Task Force on National Adaptation Plans

29. *Background:* The AC conducted a meeting of its Task Force on National Adaptation Plans (NAP TF) on 12 July 2016, on the margins of the 2016 NAP Expo. During this meeting members of the NAP TF discussed the working modalities within the group and with organizations outside the Convention, as well as its flexible workplan for the period 2016-2018, which was further refined in the inter-sessional period. A progress report on the work of the NAP TF, including its draft workplan, is contained in document AC/2016/19.

30. *Action:* The AC will be invited to consider the progress made by its NAP TF and approve its working modalities and flexible workplan.

AC/2016/19	Progress report on the work of the Adaptation Committee Task Force on National Adaptation Plans
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## 6. Workstream B: Technical support and guidance to the Parties on means of implementation:

### a) Information paper on experiences of countries in accessing the readiness programme of the Green Climate Fund

31. *Background:* In its workplan the AC agreed to engage continuously with the Green Climate Fund (GCF) to understand its policies and programmatic priorities as they relate to adaptation and as they evolve. In this context the AC agreed to develop an information paper, in collaboration with the LEG, the SCF and the GCF, on experiences of countries in accessing the GCF readiness programme in supporting adaptation, including for the process to formulate and implement NAPs.

32. The secretariat will be invited to provide an oral report on progress made in the preparation of this paper, and report on recent developments in engaging with the GCF secretariat.

33. *Action:* The AC will be invited to take note of the progress reported by the secretariat.

### b) Engagement with national entities facilitating financial support for adaptation.

34. *Background:* According to its workplan, the AC will identify and collect lessons learned and good practices from national implementing entities of funds, programmes/entities and initiatives, on the necessary enabling environments, institutional arrangements and governance for adaptation. In this context, it agreed to organize a workshop in 2017 for the engagement of relevant stakeholders, including experts on National Implementing Entities (NIEs) and National Designated Authorities, to advance understanding and overcome challenges relating to the establishment of NIEs and accessing the GCF readiness programme for adaptation.

<sup>11</sup> AC/2016/7.

35. *Action*: The AC will be invited to consider the concept note contained in document AC/2016/20 and agree on next steps in the organization of the workshop.

AC/2016/20	Concept note on a workshop to advance the understanding and overcome challenges relating to the establishment of NIEs and accessing the GCF readiness programme for adaptation
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**c) Input to the draft guidance to the operating entities of the financial mechanism under the Convention**

36. *Background*: In a letter dated 25 May 2016, the Co-Chairs of the SCF invited the AC to provide inputs to the SCF with regard to the draft guidance of the operating entities of the Financial Mechanism to be provided to COP 22. This input should be based on the annual reports of the operating entities. The SCF informed the AC that it aims to recommend draft guidance for consideration by COP 22 through its annual report to the COP on the basis of inputs, inter alia, received from the AC.

37. *Action*: Depending on the dates the reports from the operating entities are received, the Co-Chairs will invite the AC to prepare its input to the draft guidance to the operating entities of the Financial Mechanism during the intersessional period. At its 10<sup>th</sup> meeting, the AC will be invited to finalize its input. The AC may consider including this draft guidance as recommendations in its report to the COP, as appropriate.

**7. Workstream C: Awareness-raising, outreach and sharing of information**

**a) Contribution to the fifth Asia Pacific Climate Change Adaptation Forum**

38. *Background*: The AC, at its 9<sup>th</sup> meeting, agreed to organize a session during the 5<sup>th</sup> forum of the Global Adaptation Network,<sup>12</sup> scheduled to take place in Colombo, Sri Lanka, from 17 to 19 October 2016. The theme of the 2016 forum is "Adapting and Living below 2°C: Bridging the Gaps in Policy & Practice" and it will explore platforms and concrete pathways for even greater partnerships by governments, civil society and business.

39. During the intersessional period the AC agreed to focus its session on "Moving adaptation forward under the Paris Agreement", following an approach similar to the one taken for its 2016 Adaptation Forum.

40. *Action*: Due to the timing of the GAN forum the AC was invited to consider and agree on the concept for the session during intersessional period. At its 10<sup>th</sup> meeting the AC will be invited to consider any pending issues as needed.

**b) Joint in-session event by the Adaptation Committee and the Least Developed Countries Expert Group at the twenty-second session of the Conference of the Parties**

41. *Background*: At a joint informal meeting held on 27 May 2016,<sup>13</sup> members of the AC and the LEG agreed to hold an in-session event during COP 22. This event could be used to showcase interim results achieved by the two bodies in the implementation of their joint mandates from Paris. The meeting could further be used to receive further input from Parties and non-Party stakeholders.

42. The AC and the LEG requested the secretariat to prepare a concept note for their consideration on this matter. The concept note is contained in document AC/2016/21.

43. *Action*: The AC will be invited to consider the concept note contained in document AC/2016/21, and to request the secretariat to proceed with the organization of the event in collaboration with the LEG.

AC/2016/21	Concept note on a joint in-session event held by the Adaptation Committee and the Least Developed Countries Expert Group during COP 22
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**8. Workstream D: Technical Examination Process on Adaptation**

44. *Background*: The technical examination process on adaptation (TEP-A) was established by decision 1/CP.21 as part of the enhanced action prior to 2020. The TEP-A is taking place during 2016-2020 and its objective is to identify concrete opportunities for strengthening resilience, reducing vulnerabilities, and increasing the understanding and implementation of adaptation actions.

<sup>12</sup> See < <http://www.asiapacificadapt.net/adaptationforum/>>.

<sup>13</sup> The report on the meeting is available under "Progress" at <[unfccc.int/9785.php](http://unfccc.int/9785.php)>.

45. The COP decided that the TEP-A is to be organized by the subsidiary bodies, conducted by the AC and supported by the secretariat. The AC, in conducting the TEP-A, is requested to engage with and explore ways to take into account, synergize with and build on existing arrangements, related work programmes, bodies and institutions under the Convention so as to ensure coherence and maximum value.
46. The TEP-A includes annual technical expert meetings during the May/June Climate Change Conference, bringing together a broad group of stakeholders to discuss policies, actions and concrete opportunities and their implementation. Discussions held at these meetings, as well as other information relevant to the topic of the respective year, are reflected in an annual technical paper.
47. The technical paper serves as an input to a summary for policymakers (SPM), which is prepared under the responsibility of the High-Level Champions in a user-friendly online format. The SPM feeds into the annual high-level event that builds on the Lima-Paris Action Agenda and is held in conjunction with the COP until 2020.
48. An overview of progress made by the AC in 2016 on the TEP-A is contained in document AC/2016/22. The report covers information on items (a) and (b) below.

AC/2016/22

Progress report on the technical examination process on adaptation

#### **a) Work of the Adaptation Committee's working group on the Technical Examination Process on Adaptation**

49. *Background:* At its 9<sup>th</sup> meeting, the AC agreed to establish a core working group to plan the two technical expert meetings, held on 24 and 25 May 2016 under the umbrella topic "Reducing vulnerability and mainstreaming climate change adaptation, including through the process to formulate and implement national adaptation plans".<sup>14</sup>
50. The working group was also tasked to identify possible additional topics for the TEP-A in 2017-2020 and elaborate on its engagement with other entities. The working group was requested to provide a progress report to AC10.
51. *Action:* The AC will be invited to take note of the work undertaken so far by the working group as described in document AC/2016/22, including on the technical expert meetings, the technical paper, the summary for policy makers, referred to in paragraph 45 above. It will further be invited to consider and approve the terms of reference for its working group on the technical examination process.

#### **b) Possible future topics**

52. *Background:* As noted in paragraph 50 above, the AC requested its core working group on the TEP-A to prepare a list of possible topics for the technical examination process in 2017 and beyond. An indicative list of topics is contained in document AC/2016/22.
53. *Action:* The AC to will be invited to consider the list of possible topics for the technical examination process in 2017 and beyond contained in document AC/2016/22, and agree on agree on topics for 2017. The AC will further be invited to consider and revise the list of topics on an annual basis.

### **9. Updating the workplan of the Adaptation Committee to reflect recent developments**

54. *Background:* The AC, at its 9<sup>th</sup> meeting, agreed to keep its workplan flexible in order to accommodate the next steps and time lines being developed to implement the mandates from the Paris agreement, as well as to reflect other mandates received and tasks delegated to other institutional arrangements, such as the Nairobi work programme.
55. *Action:* The AC will be invited to approve its revised workplan, if appropriate.

### **10. Report of the Adaptation Committee to COP 22**

56. *Background:* The COP, by decision 2/CP.17, requested the AC to report annually to the COP, through the subsidiary bodies, including on its activities, the performance of its functions, guidance, recommendations and other relevant information arising from its work and, as appropriate, on further action that may be required under the Convention, for consideration by the COP.

<sup>14</sup> See <unfccc.int/9537.php>.

57. The Co-Chairs requested the secretariat to prepare a draft report, containing information on the work of the AC between November 2015 and October 2016.

58. *Action:* The AC will be invited to consider the outline and content of the draft report which will be circulated to members before the meeting. The AC will be invited to request the secretariat to revise the draft report, including inserting the relevant recommendations agreed under previous agenda items, and circulate it to AC members for approval intersessionally.

#### **11. Organization of intersessional work**

59. *Action:* The AC will be invited to agree on leads and members of its task groups established to guide the implementation of activities contained in the revised workplan.

#### **12. Dates for future meetings**

60. *Action:* The AC will be invited to endorse the dates and duration of its meetings in 2017.

#### **13. Any other matters**

61. *Action:* Any other matters arising during the meeting will be taken up under this item.

#### **14. Closure of the meeting.**

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