

**Nationally appropriate mitigation actions by developing country Parties**  
**AWG-LCA agenda item 3 (b) (ii)**

*August 2012*

COP 13 (Bali) 1/CP.13, para. 1 (b) (ii)	COP 16 (Cancun)		COP 17 (Durban)	SB 36	Further action
Enhanced national/international action on mitigation of climate change, including, inter alia, consideration of:	The COP, by decision 1/CP.16, agreed that developing country Parties will take nationally appropriate mitigation actions in the context of sustainable development, supported and enabled by technology, financing and capacity-building, aimed at achieving a deviation in emissions relative to 'business as usual' emissions in 2020 (para 48)		Decision 2/CP.17 Section II.B		
(ii) Nationally appropriate mitigation actions by developing country Parties in the context of sustainable development, supported and enabled by technology, financing and capacity-building, in a measurable, reportable and verifiable manner;	Understanding NAMAs	<p>The COP:</p> <ul style="list-style-type: none"> <li>▪ Took note of nationally appropriate mitigation actions to be implemented by Parties not included in Annex I to the Convention as communicated by them and contained in document FCCC/AWGLCA/2011/INF.1 (to be issued) (para.49);</li> <li>▪ Invited developing countries that wish to voluntarily inform the Conference of the Parties of their intention to implement nationally appropriate mitigation actions in association with this decision to submit information on those actions to the secretariat (para 50);</li> <li>▪ Requested the secretariat to organize workshops to understand the diversity of mitigation actions submitted, underlying assumptions and any support needed for the implementation of these actions, noting different national circumstances and the respective capabilities of developing country Parties (para 51);</li> </ul>	<p>The COP:</p> <ul style="list-style-type: none"> <li>▪ Encouraged developing country Parties that are yet to submit information on nationally appropriate mitigation actions pursuant to decision 1/CP.16, paragraph 50, to do so, noting the need to extend flexibility to small island developing States and the least developed country Parties (para 32)</li> <li>▪ Decided to continue, in 2012, workshops, in a structured manner, to further the understanding of the diversity of mitigation actions as communicated and contained in document FCCC/AWGLCA/2011/INF.1, underlying assumptions and any support needed for the implementation of these actions, noting different national circumstances and the respective capabilities of developing country Parties (para 33)</li> <li>▪ Invited developing country Parties to submit, subject to availability, more information relating to nationally appropriate mitigation actions, including underlying assumptions and methodologies, sectors and gases covered, global warming potential values used, support needs for the implementation of nationally appropriate mitigation actions and estimated mitigation outcomes (para 34)</li> </ul>		

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	<ul style="list-style-type: none"> <li>▪ Decides that, in accordance with Article 4, paragraph 3, of the Convention, developed country Parties shall provide enhanced financial, technological and capacity-building support for the preparation and implementation of nationally appropriate mitigation actions of developing country Parties and for enhanced reporting by these Parties (para 52)</li> </ul>			
	<p>Support</p> <p>Registry</p> <p>The COP:</p> <ul style="list-style-type: none"> <li>▪ Decided to set up a registry to record nationally appropriate mitigation actions seeking international support and to facilitate matching of finance, technology and capacity-building support for these actions (para 53)</li> <li>▪ Invited developing country Parties to submit to the secretariat information on nationally appropriate mitigation actions for which they are seeking support, along with estimated costs and emission reductions, and the anticipate time frame for implementation (para 54)</li> <li>▪ Invited developed country Parties to submit to the secretariat information on support available and provided for nationally appropriate mitigation actions (para 55)</li> </ul>	<p>The COP:</p> <ul style="list-style-type: none"> <li>▪ Decided that: <ul style="list-style-type: none"> <li>(a) The registry should be developed as a dynamic, web-based platform managed by a dedicated team in the secretariat;</li> <li>(b) Participation in the registry shall be voluntary and only information submitted expressly for inclusion in the registry should be recorded;</li> <li>(c) The registry should be structured in a flexible manner that clearly reflects the full range of the diversity of nationally appropriate mitigation actions, and a range of types of support (para 45)</li> </ul> </li> <li>▪ Invited developing country Parties to submit, as appropriate, to the secretariat the information on individual nationally appropriate mitigation actions seeking international support (para 46)</li> <li>▪ Also invited developing country Parties to submit to the secretariat information on other individual nationally appropriate mitigation actions, to be recorded in a separate section of the registry, for their recognition (para 47)</li> <li>▪ Further invited developed country Parties,</li> </ul>	<p>The SBI:</p> <ul style="list-style-type: none"> <li>▪ Took note of information provided by the secretariat on the design of the prototype of the registry.</li> <li>▪ The SBI also took note of the views expressed by Parties during the current session of the SBI on how to improve the design of the prototype of the registry.</li> <li>▪ The SBI invited Parties to submit to the secretariat, by 8 June 2012, further views on how to improve the design of the prototype of the registry and its functionalities.<sup>31</sup></li> <li>▪ The SBI requested the secretariat to improve the design of the</li> </ul>	<p>The prototype of the registry is currently being developed and will be made available to Parties by November 2012.</p> <p>The secretariat is developing the registry user manual which will also be made available to Parties in November 2012</p> <p>Secretariat to provide assistance to developing country Parties requesting information on the available sources of support in the registry.</p>

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	<ul style="list-style-type: none"> <li>▪ Requested the secretariat to record and regularly update in the registry the information provided by Parties on:               <ul style="list-style-type: none"> <li>(a) Nationally appropriate mitigation actions seeking international support;</li> <li>(b) Support available from developed country Parties for these actions;</li> <li>(c) Support provided for nationally appropriate mitigation actions (para 56)</li> </ul> </li> <li>▪ Agreed on develop modalities and guidelines for the facilitation of support through the registry, including any functional relationship with the financial mechanism (para 57 and 66)</li> <li>▪ Decided to recognize nationally appropriate mitigation actions of developing countries in a separate section of the registry (para 58)</li> </ul>	<p>the entity or entities entrusted with the operation of the financial mechanism, including the Global Environment Facility and the Green Climate Fund, multilateral, bilateral and other public donors, and private and nongovernmental organizations that are in position to do so, to submit to the secretariat, as appropriate, information on financial, technology and capacity-building support available and/or provided for the preparation and/or implementation of nationally appropriate mitigation actions (para 48)</p> <ul style="list-style-type: none"> <li>▪ Decided that the registry will facilitate the matching of actions seeking international support with support available by providing and directing information to Parties that submitted information on nationally appropriate mitigation actions seeking support, and Parties and entities that have submitted information on the support available (para 51)</li> <li>▪ Requested the secretariat to develop a prototype of the registry by the thirty-sixth session of the Subsidiary Body for Implementation in order to present the prototype to Parties for their consideration (para 54)</li> <li>▪ Also requested the secretariat, if applicable, to improve the design of the prototype based on the views expressed by Parties at the thirty-sixth session of the SBI, in order to enable Parties to start using the prototype of the registry as soon as possible and within two months thereafter, with a view to finalizing the registry through a decision at the eighteenth session of the COP, taking into account the lessons learned from the initial experience gained (para 55).</li> </ul>	<p>prototype in order to enable Parties to start using the prototype of the registry in accordance with the timeline specified by decision 2/CP.17, paragraph 55.</p> <ul style="list-style-type: none"> <li>▪ The SBI also requested the secretariat to prepare the following:               <ul style="list-style-type: none"> <li>(a) A note that explains how it has taken into account the views from Parties in improving the design of the prototype of the registry, and to make this note available on the UNFCCC website by 31 August 2012;</li> <li>(b) A user manual for the prototype of the registry.</li> </ul> </li> </ul>	

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	MRV	<p><u>Enhanced reporting, including biennial update reports</u></p> <p>The COP:</p> <p>Decided to enhance reporting in national communications, including inventories, from Parties not included in Annex I to the Convention on mitigation actions and their effects, and support received, with additional flexibility to be given to the least developed country Parties and small island developing States:</p> <p>(a) The content and frequency of national communications from Parties not included in Annex I to the Convention will not be more onerous than that for Parties included in Annex I to the Convention;</p> <p>(b) Parties not included in Annex I to the Convention should submit their national communications to the Conference of the Parties, in accordance with Article 12, paragraph 1, of the Convention, every four years or in accordance with any further decisions on frequency by the Conference of the Parties, taking into account a differentiated timetable and the prompt provision of financial resources to cover the agreed full costs incurred by Parties not included in Annex I to the Convention in preparing their national communications;</p> <p>(c) Developing countries, consistent with their capabilities and the level of</p>	<p>The COP:</p> <p>Adopted the guidelines, contained in annex III (decision 2/CP.17), for the preparation of biennial update reports by non-Annex I Parties (para 39)</p> <p>Decided that:</p> <ul style="list-style-type: none"> <li>▪ Non-Annex I Parties shall submit a biennial update report every two years, either as a summary of parts of their national communication in the year in which the national communication is submitted or as a stand-alone update report; the least developed country Parties and small island developing States may submit biennial update reports at their discretion (para 41 f)</li> <li>▪ The first biennial update report submitted by non-Annex I Parties shall cover, at a minimum, the inventory for the calendar year no more than four years prior to the date of the submission, or more recent years if information is available, and that subsequent biennial update reports shall cover a calendar year that does not precede the submission date by more than four years (Para 41g)</li> <li>▪ The Guidelines should be used as a basis to provide guidance to an operating entity of the financial mechanism for funding the preparation of biennial update reports from non-Annex I Parties and, in the case of the first biennial update report, to the Global Environment Facility (Para 41c)</li> <li>▪ Enhanced support for the preparation of biennial update reports should be ensured by developed country Parties and other developed Parties included in Annex II to the Convention by means of resources, in accordance with Article 4, paragraph 3, of the Convention, on the basis of agreed full-</li> </ul>	<p>The SBI:</p> <ul style="list-style-type: none"> <li>• Recommended that the COP, at its eighteenth session, request the GEF to make available support to non-Annex I Parties for preparing their subsequent BURs, fully taking into account decision 2/CP.17, paragraph 41(a) and (e).</li> <li>• Recommended that the COP, at its eighteenth session, request the GEF to provide funds for technical support for the preparation of BURs from non-Annex I Parties, similar to that provided by the National Communications Support Programme, recognizing that the costs of such technical support are not deducted from the funds provided to non-Annex I Parties for the preparation of their BURs.</li> </ul>	<p>First BURs to be submitted by December 2014 (Para 41(a), decision 2/CP.17)</p> <p>Guidelines should be reviewed and revised as appropriate, in accordance with decisions of the COP. (para 42, decision 2/CP.17) (The body to undertake this review has not been identified)</p> <p>Secretariat to facilitate assistance to Parties, on request, in the preparation of their BURs (Para 43, decision 2/CP.17)</p> <p>Global Environment Facility to make available support to Parties preparing their first BUR as early as possible in 2012 and on the basis of agreed full-cost funding (Para 22, decision 2/CP.17)</p>

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	support provided for reporting, should also submit biennial update reports containing updates of national greenhouse gas inventories, including a national inventory report and information on mitigation actions, needs and support received; (Para 60)	cost funding (para 41e)		
	<u>International MRV</u> The COP decided that internationally supported mitigation actions will be measured, reported and verified domestically and will be subject to international measurement, reporting and verification in accordance with guidelines to be developed under the Convention (para 61)	The COP recognized that the guidelines for international measurement, reporting and verification referred to in decision 1/CP.16, paragraph 61, correspond to the guidelines determined for the international consultation and analysis of nationally appropriate mitigation actions by developing country Parties (5 <sup>th</sup> preambular paragraph of Modalities and guidelines for international consultation and analysis).		
	<u>Domestic MRV</u> The COP decided that domestically supported mitigation actions will be measured, reported and verified domestically in accordance with general guidelines to be developed under the Convention (para 62)	The COP requested the SBSTA to develop general guidelines for domestic measurement, reporting and verification of domestically-supported nationally appropriate mitigation actions (para 37)	The SBSTA initiated the consideration of the development of the general guidelines for domestic MRV and agreed to continue the interactive exchange of views on the guidelines with the view to agree on next steps at its thirty-seventh session.	
	<u>International consultation and analysis</u> The COP: <ul style="list-style-type: none"> <li>▪ Decided to conduct international consultations and analysis of biennial reports under the Subsidiary Body for Implementation, in a manner that is non-intrusive, non-punitive and</li> </ul>	The COP: Adopted the modalities and guidelines for international consultation and analysis as contained in annex IV to decision 2/CP.17. <ul style="list-style-type: none"> <li>▪ Decided:               <ul style="list-style-type: none"> <li>(a) That the first rounds of international consultation and analysis will be conducted for developing country Parties,</li> </ul> </li> </ul>	In accordance with decision 2/CP.17, paragraph 62, the SBI initiated, but did not conclude, consideration on the composition, modalities and procedures of the team of technical experts. The SBI decided to continue	Decision on composition, modalities and procedures of the team of technical experts on ICA to be adopted at Doha.  First round of ICA will be conducted, commencing within six months of the submission of first round

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		<p>respectful of national sovereignty; the international consultations and analysis will aim to increase transparency of mitigation actions and their effects, through analysis by technical experts in consultation with the Party concerned and through a facilitative sharing of views, and will result in a summary report (para 63)</p> <ul style="list-style-type: none"> <li>▪ Also decided that information considered should include the national greenhouse gas inventory report, information on mitigation actions, including a description, analysis of the impacts and associated methodologies and assumptions, progress in implementation and information on domestic measurement, reporting and verification, and support received; discussion about the appropriateness of such domestic policies and measures is not part of the process; discussions should be intended to provide transparency of information related to unsupported actions (para 64)</li> </ul>	<p>commencing within six months of the submission of the first round of biennial update reports by developing country Parties;</p> <p>(b) That the frequency of participation in subsequent rounds of international consultation and analysis by developing country Parties, based on their respective capabilities and national circumstances, and special flexibility for small island developing States and the least developed country Parties, will be determined by the frequency of the submission of biennial update reports;</p> <p>(c) To revise the modalities and guidelines prescribed herein based on experiences gained in the first round of international consultation and analysis, no later than 2017;</p> <p>(d) That small island developing States and the least developed country Parties may undergo international consultation and analysis as a group of Parties at their discretion (para 58)</p> <ul style="list-style-type: none"> <li>▪ Aimed to facilitate the universal participation of developing country Parties in the ICA process (para 60)</li> <li>▪ Urged developed country Parties and other developed Parties included in Annex II to the Convention to provide new and additional financial resources at the agreed full cost in accordance with Article 4, paragraph 3, of the Convention with a view to supporting any reporting needed for international consultations and analysis (para 59).</li> </ul>	<p>consideration of this matter at its next session, on the basis of the draft text contained in document FCCC/SBI/2012/15/Add.2, taking into account decisions 1/CP.16 and 2/CP.17, and Article 4, paragraph 7, of the Convention, with a view to recommending a draft decision for adoption by the COP at its eighteenth session.</p> <p>The SBI also recognized the need to have an efficient, cost-effective and practical international consultations and analysis process, which does not impose an excessive burden on Parties and the secretariat, and requested the secretariat to prepare additional input to the discussion under this agenda item at its thirty-seventh session in this regard.</p>	<p>of BURs (para 58a).</p>
	LEDS	The COP encouraged developing countries to develop low-carbon development strategies or plans in the context of sustainable development (para 65)	The COP encouraged developing country Parties to develop low-emission development strategies, recognizing the need for financial and technical support by developed country Parties for the formulation of these strategies (para 38)		