

## Adaptation AWG-LCA agenda item 3 (c)

*August 2012*

COP 13 (Bali) 1/CP.13, para. 1 (c)	COP 16 (Cancun)		COP 17 (Durban)	SB 36	Further action
Enhanced action on adaptation, including, inter alia, consideration of:	The COP, by decision 1/CP.16, established the Cancun Adaptation Framework [...] with the objective of enhancing action on adaptation, including through international cooperation and coherent consideration of matters relating to adaptation under the Convention (para. 13) <sup>1</sup>				
	NAPs	Established a process to enable LDCs to formulate and implement <u>national adaptation plans</u> , building upon their experience in preparing and implementing NAPAs as a means of identifying medium- and long-term adaptation needs and developing and implementing strategies and programmes to address those needs. Invitation to other developing country Parties to employ the modalities formulated to support NAPs. [...](para.15-16)			
	AC	Established the <u>Adaptation Committee</u> to promote the implementation of enhanced action on adaptation in a coherent manner under the Convention (para.20)			
	L&D	Established the <u>work programme to consider approaches to address loss and damage</u> associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change (para.26)			
i) International cooperation to support urgent implementation of adaptation actions, <sup>2</sup>	NAPs	Para. 16: Invited other developing country Parties to employ the modalities formulated to support NAPs in the elaboration of their planning effort	Decision 5/CP.17, Para.1: Agreed that the objectives of the NAP process are: a) To reduce vulnerability to impacts of cc by building adaptive capacity and resilience;	FCCC/SBI/2012/L.2, Para. 4 and FCCC/SBI/2012/L.7, Para 8-9: the LEG work	NAP guidelines to be completed before COP 18.

<sup>1</sup> Para. 14: the COP invited all Parties to enhance action on adaptation under the CAF, by undertaking, i.a, the following:

- (a) Planning, prioritizing and implementing adaptation actions and actions identified in national and subnational adaptation plans and strategies, NAPAs of LDCs, national communications, technology needs assessments and other relevant national planning documents;
- (b) Impact, vulnerability and adaptation assessments;
- (c) Strengthening institutional capacities and enabling environments for adaptation;
- (d) Building resilience of socio-economic and ecological systems;
- (e) Enhancing climate change related disaster risk reduction strategies;
- (f) Measures to enhance understanding, coordination and cooperation with regard to climate change induced displacement, migration and planned relocation, where appropriate, at the national, regional and international levels;
- (g) Research, development, demonstration, diffusion, deployment and transfer of technologies, practices and processes, and capacity-building for adaptation;
- (h) Strengthening data, information and knowledge systems, education and public awareness;
- (i) Improving climate-related research and systematic observation for climate data collection, archiving, analysis and modelling.

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<p>including through: vulnerability assessments, prioritization of actions, financial needs assessments, capacity-building and response strategies, integration of adaptation actions into sectoral and national planning, specific projects and programmes, means to incentivize the implementation of adaptation actions, and other ways to enable climate-resilient development and reduce vulnerability of all Parties, taking into account the urgent and immediate needs of developing countries that are particularly vulnerable to the adverse effects of climate change, especially the LDCs and SIDS, and further taking into account the needs of countries in Africa affected by drought, desertification and floods</p>		<p>Para. 17: Requested SBI to develop NAP modalities and guidelines</p> <p>Para. 18: Requested developed country Parties to provide developing country Parties, [...] with long-term, scaled-up, predictable, new and additional finance, technology and capacity-building, consistent with relevant provisions, to implement urgent, short-, medium- and long-term adaptation actions, plans, programmes and projects at the local, national, subregional and regional levels, in and across different economic and social sectors and ecosystems.</p>	<p>b) To facilitate integration of cc adaptation, in a coherent manner, into relevant new and existing policies, programmes and activities, in particular development planning processes and strategies, within all relevant sectors and at different levels, as appropriate.</p> <p>Para. 6: Adopted initial GLs for NAPs formulation. To be reviewed at COP 19.</p> <p>Para. 7+8: Requested the secretariat to prepare synthesis of views on experience of application of GLs for consideration by SBI 38</p> <p>Para. 12: Adopted modalities for formulation and implementation of NAPs.</p> <p>Para. 13-14: Requested LEG to provide guidance and support; and to prioritize support for NAPs</p> <p>Para. 15: Requested LEG to prepare technical guidelines for NAPs process, based on the initial GLs. Tech GLs to be reviewed and support needs to be identified.</p> <p>Para. 20: Requested developed country Parties to continue to provide LDC Parties with finance, technology and capacity-building in accordance with decision 1/CP.16</p> <p>Para. 28+29: Invited non-LDC developing Parties to employ modalities for NAPs and to use NAP GLs.</p> <p>Para. 32-33: Invited Parties, incl. LDCs to report on measures undertaken and support provided or received.</p> <p>Para. 35: Invited UN and other organizations to report on support to NAPs process.</p> <p>Para. 36+37: Requested the secretariat to compile and synthesize information needed by the SBI to evaluate progress on NAPs process, and requested SBI 42 to</p>	<p>programme, including regional training workshops that consider medium-and long-term adaptation, and including the LEG preparing an analysis and compilation of support needs for the NAP process, was welcomed by the SBI.</p>	<p>Financial support for the NAP process, to be addressed at COP 18</p> <p>Support for non-LDC developing countries (mandated to the AC)</p>

<sup>2</sup> Additional provisions linking adaptation to means of implementation, as well as for financing for adaptation, are included in the relevant information papers on technology development and transfer and on finance.

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			<p>monitor and evaluate progress.</p> <p>Para. 20: Requested developed country Parties to provide LDCs with F,T &amp; CB.</p> <p>Para. 21: Urged developed country Parties to mobilize financial support for NAPs process for LDCs, including through the LDCF.</p> <p>Para. 22 Requested the GEF, through LDCF, to consider how to enable activities for LDC NAP preparation, while maintaining progress on NAPAs.</p> <p>Para. 23+24: Invited UN, other relevant organizations and agencies and Parties to support NAPs in LDCs and report thereon.</p> <p>Para. 25: Invited the GEF to report on how they can enable NAP activities in LDCs.</p> <p>Para. 26: Requested the secretariat to prepare synthesis report on the above for SBI 36.</p>		
	AC	<p>Para. 20: Decided to establish the AC to promote the implementation on enhanced action on adaptation in a coherent manner under the convention, i.a through:</p> <p>(a) Providing technical support and guidance to the Parties, respecting the country-driven approach, with a view to facilitating the implementation of adaptation activities;</p> <p>(b) Strengthening, consolidating and enhancing the sharing of relevant information, knowledge, experience and good practices, at the local, national, regional international levels, taking into account, as appropriate, traditional knowledge and practices;</p> <p>(c) Promoting synergy and strengthening engagement with national, regional and international organizations, centres and networks, in order to enhance the implementation of adaptation actions, in particular in developing country Parties;</p> <p>(d) Providing information and recommendations, drawing on adaptation good practices, for consideration by the Conference of the Parties when providing guidance on means to incentivize the implementation of</p>	<p>Decision 2/CP.17: Para 99-100: Requested the AC to engage and develop linkages [...] with all adaptation-related work programmes, bodies and institutions under the Convention, including the LEG, CGE, TEC, NWP, WP on L&amp;D and the operating entities of the financial mechanism of the Convention. Requests the AC to engage with, and draw on the expertise of, relevant institutions, organizations, frameworks, networks and centres outside of the Convention, including those at the intergovernmental, regional, national and, through them, subnational levels</p>		COP 18 to approve the three-year work plan of the AC

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		adaptation actions, including finance, technology and capacity-building and other ways to enable climate-resilient development and reduce vulnerability, including to the operating entities of the financial mechanism of the Convention, as appropriate; (e) Considering information communicated by Parties on their monitoring and review of adaptation actions, support provided and received, possible needs and gaps and other relevant information, including information communicated under the Convention, with a view to recommending what further actions may be required, as appropriate;			
	<b>L&amp;D</b>	Para. 25: Recognized the need to strengthen international cooperation [...]including impacts related to extreme weather events and slow onset events (footnote: Including sea level rise, increasing temperatures, ocean acidification, glacial retreat and related impacts, salinization, land and forest degradation, loss of biodiversity and desertification)	Decision 7/CP.17, Para.3: Invited Parties, relevant intergovernmental organizations, regional centres and networks, the private sector, civil society and other relevant stakeholders: (a) To take into account the 3 thematic areas when undertaking activities aimed at assisting Parties in enhancing their understanding of, and expertise that will help to address, L&D; (b) To share the outcomes of their activities and provide information thereon, [...] with the SBI		COP 18 to consider recommendations from SBI

(ii) Risk management and risk reduction strategies, including risk sharing and transfer mechanisms such as insurance	<b>NAPs</b>				
AND	<b>AC</b>		Decision 2/CP.17, Para. 99: AC requested to engage and develop linkages through the COP with [...], the work programme on loss and damage		
(iii) Disaster reduction strategies and means to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change	<b>L&amp;D</b>	Para. 26: [...]Decided to establish a work programme in order to consider, [...], approaches to loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change  Para. 28 Invited Parties and orgs to submit views [...]on	Decision 7/CP.17, Establishment of three thematic work areas:  Para. 6-7 Thematic area 1: Assessing the risk of loss and damage associated with the adverse effects of climate change and the current knowledge on the same	Para.8: Requested the SBI Chair to facilitate informal pre-sessional meeting of Parties to facilitate completion of work.	COP 18 to consider recommendations from SBI.

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		<p>elements to be included in the WP, incl. (a) possible development of a climate risk insurance facility to address impacts associated with severe weather events; (b) options for risk management and reduction, risk sharing and transfer mechanisms such as insurance, including options for micro-insurance, and resilience-building, including through economic diversification; (c) approaches for addressing rehabilitation measures associated with slow onset events. Secretariat requested to prepare Misc and synthesis report for SBI 36</p>	<p>Para.8 Thematic area 2: A range of approaches to address loss and damage associated with the adverse effects of climate change, including impacts related to extreme weather events and slow onset events, taking into consideration experience at all levels</p> <p>Para. 9-11 Thematic area 3: The role of the Convention in enhancing the implementation of approaches to address loss and damage associated with the adverse effects of climate change associated with the adverse effects of climate change</p> <p>Para.1: Requested the SBI to continue the implementation of the work programme on L&amp;D associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change and to make recommendations on L&amp;D to COP 18</p> <p>Para.7(b) Requested the secretariat to prepare a technical paper for expert meeting before SBI 36, summarizing current knowledge on relevant methodologies, and addressing data requirements as well as lessons learned and gaps identified at different levels</p> <p>Para.6: Requests the SBI to address issues related to [ thematic area 1 ] at the expert meeting [as requested by SBI 34, FCCC/SBI/2011/7, para 111], taking into account inputs from relevant organizations and other stakeholders, and drawing on expertise within and outside the Convention</p> <p>Para. 8: Requested the secretariat to organize 3 regional and one SIDS expert meetings on thematic area 2, before SBI 37. To prepare a technical paper on slow onset events To conduct a literature review of existing information and case studies</p>		

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(iv) Economic diversification to build resilience	CAF	Para. 14: Invited all Parties to enhance action on adaptation under the CAF,[...]by undertaking, inter alia, the following: [...] (d) Building resilience of socio-economic and ecological systems, including through economic diversification and sustainable management of natural resources			
	NAPs				
	L&D	Para. 28 (b): Invited Parties and orgs to submit views [...] on elements to be included in the WP, incl. Options for risk management and reduction, risk sharing and transfer mechanisms such as insurance, including options for micro-insurance, and resilience-building, including through economic diversification;			

(v) Ways to strengthen the catalytic role of the Convention in encouraging multilateral bodies, the public and private sectors and civil society, building on synergies among activities and processes, as a means to support adaptation in a coherent and integrated manner	CAF	<p>Para. 30: Invited Parties to strengthen and, where necessary, establish regional centres and networks, in particular in developing countries, with support from developed country Parties and relevant organizations, as appropriate, and to facilitate and enhance national and regional adaptation actions, in a manner that is country-driven, encourages cooperation and coordination between regional stakeholders and improves the flow of information between the Convention process and national and regional activities;</p> <p>Para. 31: Noted that an international centre to enhance adaptation research and coordination could also be established in a developing country;</p> <p>Para. 32: Invited all Parties to strengthen and, where necessary, establish and/or designate national-level institutional arrangements, with a view to enhancing work on the full range of adaptation actions, from planning to implementation;</p> <p>Para. 33: Decided that all Parties should use existing channels to provide information, as appropriate, on support provided and received for adaptation actions in developing countries and on activities undertaken, including, inter alia, progress made, experiences, lessons learned, and challenges and gaps in the delivery of</p>			
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		<p>support, with a view to ensuring transparency and accountability and encouraging best practices;</p> <p>Para. 34: Invited relevant multilateral, international, regional and national organizations, the public and private sectors, civil society and other relevant stakeholders to undertake and support enhanced action on adaptation at all levels, including under the CAF, as appropriate, in a coherent and integrated manner, building on synergies among activities and processes, and to make information available on the progress made</p>			
	<b>NAPs</b>		<p>Decision 5/CP.17, Para. 17: Requested the LEG to invite the AC and other relevant bodies under the Convention to contribute to its work in support of the NAP process; and to report, as appropriate</p> <p>Para. 18: Invited national and regional centres and networks to strengthen their programmes and engage in support of the national adaptation plan process in least developed country Parties, at the regional, national and subnational levels, as appropriate, in a manner that is country-driven and that encourages cooperation and coordination between regional stakeholders;</p> <p>Para. 19: Invited Parties to strengthen their engagement with regional centres and networks, where possible, in the process of formulation and implementation of the NAP process in LDC Parties</p>	<p>FCCC/SBI/2012/L.7, Para..10: SBI looked forward to compilation of case studies on NAP process to be prepared under NWP by SBSTA 37.</p> <p>Para. 11: Invited relevant UN organizations, specialized agencies and other relevant organizations to continue their support for the NAP process in the LDC Parties</p>	<p>NAPs Guidance on policies and programmes to enable F,T&amp;CB support for the NAP process for LDCs to be finalized</p>

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			<p>Para. 23: Invited UN organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to support the NAP process in LDC Parties and, where possible, to consider establishing support programmes for the national adaptation plan process within their mandates, as appropriate, which could facilitate financial and technical support to LDC Parties;</p> <p>Para. 30: Requested the AC to consider in its work plan modalities for supporting non-LDC developing countries to plan, prioritize and implement NAP measures, and report to the COP.</p>		
	AC	<p>Para. 20: Decided to establish the AC to promote the implementation of enhanced action on adaptation in a coherent manner under the Convention through the following functions: [...] (b) Strengthening, consolidating and enhancing the sharing of relevant information, knowledge, experience and good practices, at the local, national, regional international levels, taking into account, as appropriate, traditional knowledge and practices; (c) Promoting synergy and strengthening engagement with national, regional and international organizations, centres and networks, in order to enhance the implementation of adaptation actions, in particular in developing country Parties;</p> <p>Para. 21-24 Requested the secretariat to compile and synthesize submissions by Parties on modalities, procedures and linkages of the AC. Requested the LCA to elaborate composition, modalities and procedures and to define linkages of the AC with institutional arrangements inside and outside the Convention.</p>	<p>Decision 2/CP.17, Para. 94: Decided that the AC should make use of the following modalities in exercising its functions: [...] (e) Coordination and linkages with all relevant bodies, programmes, institutions and networks, within and outside the Convention;</p> <p>Para 97-98: Requested the AC to develop a 3-year work plan considering the indicative list of activities in annex V and requests AC to initiate some of these activities in its first year. The indicative list includes:[...] 2. Preparing an overview of the capacities of regional centres and networks working on aspects related to adaptation to the adverse effects of climate change, drawing on relevant information, and making recommendations to the Parties on ways to enhance the role of regional centres and networks in supporting adaptation at the regional and national levels; and 7. Exchanging information with relevant Convention bodies and others on means to incentivize the implementation of adaptation actions</p> <p>Para 100: Requested the AC to engage with, and draw on the expertise of, relevant institutions, organizations, frameworks, networks and centres outside of the Convention, including those at the intergovernmental, regional, national and, through them, subnational levels</p> <p>Para. 105: Agreed that the AC should seek input from intergovernmental, international, regional, national and</p>		AC Progress and performance of the AC to be reviewed at COP 22



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			subnational organizations, centres and networks, the private sector and civil society, in undertaking its work, and to invite advisers drawn from them to participate in its meetings as expert advisers on specific issues as they arise		
	L&D			FCCC/SBI/2012/L.12, Para.4: Agreed to engage a large and diverse representation of experts, in particular from developing countries, including the least developed countries and small island developing States, in undertaking the work under the [three thematic areas] (see 3 (c) (iii and iii))	COP 18 to consider recommendations from SBI