

TEC inputs to the SCF on draft guidance to the operating entities of the Financial Mechanism

4 September 2023

Guidelines in providing the inputs:

- “Sub-elements”: Describe the specific area of the proposed input (e.g. Policies relating to access, gender, environment & social safeguards, etc.);
- “Proposed inputs”: Propose the inputs in draft decision text format;
- “To be considered by”: Choose whether the proposed inputs need to be considered: (1) Commonly by the COP and the CMA or; (2) specifically by the CMA;
- “Rationale for the inputs”: Annotate the reason for proposing the inputs, so that the rationale can be clearly understood;
- “Source of information / reference”: Provide reference to the annual reports of the operating entities or decision(s) of the GEF Council / GCF Board.

Annotated inputs for the draft guidance to the Green Climate Fund (GCF)

<i>Elements</i>	<i>Sub-elements</i>	<i>Proposed inputs</i>	<i>To be considered: (1) Commonly by the COP and the CMA or; (2) Specifically by the CMA.</i>	<i>Rationale for the inputs</i>	<i>Source of information / reference</i>
Programme priorities	Linkages between the Technology Mechanism and the Financial Mechanism	Encourages the Green Climate Fund to consult with the Technology Executive Committee and the Climate Technology Centre and Network regarding potential synergies between the joint Technology Mechanism work programme and the Strategic Plan for the GCF 2024–2027 of the Green Climate Fund.	COP and CMA	There is a strong potential for synergy regarding topics contained in the new Strategic Plan and the Technology Mechanism Joint Work Programme, including the CTCN programme of work and the TEC rolling workplan. For example, the TEC decided early warning systems as the topic for innovative adaptation technologies, and the GCF in turn decided that it will support 50 to 60 countries with new or improved early warning systems. Language is based on a similar paragraph the SCF included last year for the GEF ¹ based on the TEC inputs.	<ul style="list-style-type: none"> - GCF 2023 report to COP paras 4, 17-22 - Green Climate Fund Strategic Plan 2024-2027 - Decision B.36/13 - Decision B.14/02 - Joint Work Programme of the UNFCCC Technology Mechanism - Rolling workplan of the Technology Executive Committee - CTCN Programme of Work Decision 18/CP.27 - Decision 19/CMA.4 - Decision 9/CP.26, paragraph 3.

¹ [Report of the Standing Committee on Finance. Addendum. Draft guidance to the operating entities of the Financial Mechanism](#), para 7 draft guidance to the GEF.

Elements	Sub-elements	Proposed inputs	To be considered: (1) Commonly by the COP and the CMA or; (2) Specifically by the CMA.	Rationale for the inputs	Source of information / reference
Policies	Linkages between the Technology Mechanism and the Financial Mechanism	<p>Welcomes the proposals of the GCF for enhancing collaboration between GCF and CTCN, including collaboration on technology incubators and accelerators, assessing the CTCN readiness portfolio, assessment of TNAs, including identification of TNA elements for potential bankable projects and of complementarity and coherence for TNA support provision, fostering collaboration between NDEs under the Technology Mechanism and NDAs and exploring multi-country readiness approaches, including sectoral-focused approaches, and encourages the GCF in collaboration with the CTCN to implement these proposals and report back in 2024.”</p>	COP and CMA	<p>Based on reports by both the CTCN and the GCF, there seems to be a significant risk to the linkage between CTCN and GCF that allowed developing countries to finance CTCN technical assistance with the readiness programme. Only one new request on the last reporting period according to the GCF report. This undermines synergy and complementarity under the UNFCCC and COP guidance regarding collaboration between these entities. At the joint session of the TEC and CTCN in March 2023, the CTCN Director reported that the main issue was administrative cost for UN entities; this, of course, includes UNEP as the host of the CTCN.</p>	<ul style="list-style-type: none"> - Decision 14/CP.25, paragraph 16 - Decision 12/CP.25, paragraph 20. - Decision 14/CP.22 - Decision 13/CP.21 - GCF 2023 report to COP paras 14, 73 and 74 - GCF Secretariat proposals made in at the 21st meeting of the CTCN Advisory Board in March 2023 (see slides 13 & 14): https://www.ctc-n.org/sites/www.ctc-n.org/files/2023-04/16.3%20UNFCCC%20Financial%20Mechanism-GCF.pdf - Decision B.36/09 - Revised Strategy of the Readiness and Preparatory Support Programme 16/CP.27, para 10 - Inputs from GCF to the new programme of work of CTCN. - GCF 2022 report to COP paras 41 to 43. - GCF 2022 report to COP, Annex III table 1. - JAR (Joint Annual Report) 2021 para 131 - Decision B.14/02 and B.18/03 - Decision 2/CP.17 para 139 - Decision 14/CP.18 - Decision 13/CP.21

Elements	Sub-elements	Proposed inputs	To be considered: (1) Commonly by the COP and the CMA or; (2) Specifically by the CMA.	Rationale for the inputs	Source of information / reference
					Decision 14/CP.22 Decision 12/CP.25, paragraph 20 Decision 14/CP.25, paragraph 16
Policies	Linkages between the Technology Mechanism and the Financial Mechanism	Welcomes the collaboration of the GCF with the TEC and CTCN and encourages: <ol style="list-style-type: none"> a) Knowledge sharing on gender policies and outcomes; b) Strengthened strategic coordination between NDEs and NDAs; c) Strengthen integration of technology action plans (TAPs), developed through TNA processes, into the readiness programming. 	COP and CMA	<p>The different elements contained in the proposed para have in common that all are related to the relationship between the GCF and the Technology Mechanism and are based mostly on the following sources:</p> <ul style="list-style-type: none"> • A consolidation of elements contained in the “Joint input by TEC and CTCN advisory board to the update of the GCF strategy” that are directly related to the work between GCF and Technology Mechanism. • The streamlining element is part of the key messages and the recommendations contained in the 2022 JAR. COP and CMA decided to invite relevant stakeholders to consider these recommendations and encouraged the TEC to maximise the uptake of its recommendations. As the operating entities are explicitly referenced in some recommendations, the TEC brings those specific elements to be considered as part of the draft guidance. Also, the gender element is highlighted due to different gender mandates coming from the last COP. <p>Letter d mirrors the COP 27 and CMA 4 decision on technology development and transfer, so the mandated efforts to TEC and CTCN regarding the linkage are also supported from the GCF side.</p>	GCF report to COP paras 14, 71-74, 123, 124, 137, 192, 193 Inputs of the TEC and CTCN to GCF Strategic Plan (2024-2027) Decision 16/CP.27, paras 10,11 and 18 Decision 18/CP.27, 19/CMA.4 Decision 24/CP.27 JAR 2022 GCF Governing Instrument, para 70 Decision 4/CP.17 Decision 16/CP.20 Decision B.14/02 Decision B.18/03 Decision 13/CP.21 Decision 14/CP.22 Decision 12/CP.25, paragraph 20 Decision 14/CP.25, paragraph 16

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Policies	Linkages between the Technology Mechanism and the Financial Mechanism	<p>Invites the GCF to consider the following recommendations of the TEC:</p> <ul style="list-style-type: none"> a) Encouraging project implementers to pursue approaches that involve engagement of stakeholders in key steps of project design and implementation and help to strengthen national and local ownership of technology interventions; b) Assessing the role of transformative technologies in priority sectors and to engage strategically with TEC and CTCN on these, and measurement of their adoption to support the long-term goals of the Paris Agreement; c) Updating project design and reporting protocols in line with gender-transformative development, continue sharing best practices and building awareness among delivery partners of the positive contributions of gender mainstreaming and stakeholder engagement to accelerating technology development and transfer projects; d) Sharing experience on gender budgeting; e) Raising awareness of the financial and technical support available for gender integration in climate technology policies, plans, strategies and action, as appropriate, including good practices for facilitating access to climate finance for grass-roots women’s organisations and indigenous peoples and local communities for technology projects. 	COP and CMA	<p>The different elements contained in the proposed para have in common that they are recommendations from the TEC directed to financial entities, including some specifically addressed to the operating entities.</p> <p>This includes the TEC recommendations contained in documents published since the last input to the SCF directly addressed to the operating entities or financial institutions and not contained in previous inputs to SCF or other rows in this document.</p>	<ul style="list-style-type: none"> - Inputs of the TEC and CTCN to UPS - Decision 16/CP.27 para 18 - Decision 18/CP.27, 19/CMA.4 - Decision 24/CP.27 - JAR 2022 - Decision 4/CP.17 - Decision 16/CP.20 - Decision 13/CP.21 - Decision 14/CP.22 - Decision 12/CP.25, paragraph 20 - Decision 14/CP.25, paragraph 16

Annotated inputs for the draft guidance to the Global Environment Facility (GEF)

<i>Elements</i>	<i>Sub-elements</i>	<i>Proposed inputs</i>	<i>To be considered: (1) Commonly by the COP and the CMA or; (1) Specifically by the CMA.</i>	<i>Rationale for the inputs</i>	<i>Source of information / reference</i>
Programme priorities	Linkages between the Technology Mechanism and the Financial Mechanism	Encourages the Global Environment Facility to consult with the Technology Executive Committee and the Climate Technology Centre and Network regarding potential synergies between the new joint Technology Mechanism work programme and the implementation of the programming direction under the eighth replenishment of the Global Environment Facility, and invites the Global Environment Facility to consider both bodies in the consultations for the Programming directions under the ninth replenishment of the Global Environment Facility.	COP and CMA	As parties noted in the GEF CMA decision for the last COP27, Innovation and technology development are an important part of the new programming and there is a strong potential for synergy regarding topics contained in the new Strategic Plan and the Technology Mechanism Joint Work Programme, including the CTCN programme of work and the TEC rolling workplan. It is also added the request to consider TEC and CTCN when developing the next programming direction for increased complementary and synergy from the inception phase. Language is based on a similar paragraph the SCF included last year for the GEF based on the TEC inputs.	<ul style="list-style-type: none"> - Programming directions under the eighth replenishment of the Global Environment Facility - GEF 2023 report to COP paras 9, and 17. - Decision 17/CMA.4, para 2. - Joint Work Programme of the UNFCCC Technology Mechanism - Rolling workplan of the Technology Executive Committee - CTCN Programme of Work - Decision 13/CP.21 - Decision 14/CP.22 - Decision 12/CP.25, paragraph 20 - Decision 14/CP.25, paragraph 16 - Decision 9/CP.26, paragraph 3

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Policies	Linkages between the Technology Mechanism and the Financial Mechanism	Recalling decisions 2/CP.17 para 139 and 14/CP.18, invites GEF to engage with TEC and CTCN to find and act on new opportunities for supporting and collaborating with the Climate Technology Centre and Network.	COP and CMA	Based on reports by both the CTCN and the GEF it seems that GEF is not financing new projects being implemented by CTCN and that CTCN is supporting the implementation of GEF Phase IV of the Global TNA project with in-kind resources of up to \$910,000. According to decision 2/CP.17 para 139, it is expected for the GEF to be a source of the resources for the costs associated with the CTC and the mobilisation of the services of the Network. GEF reported that it continues to <i>explore</i> opportunities for further collaboration, but given the existing mandates there is encouragement for GEF to find and act on those opportunities, in collaboration with CTCN.	<ul style="list-style-type: none"> - GEF 2023 report to COP paras 9, 263 - 267. - 16/CP.27, para 10 - Decision 2/CP.17 para 139 - Decision 14/CP.18 - Decision 13/CP.21 - Decision 14/CP.22 - Decision 12/CP.25, paragraph 20 - Decision 14/CP.25, paragraph 16
Policies	Climate Technology Activities: Policies related to youth inclusion and capacity building	Encourages the GEF to empower women, youth and indigenous peoples to participate in the GEF Assembly, GEF national dialogues and in the programming of GEF resources for technology development and transfer, including for technology needs assessments.	COP and CMA	Youth engagement serves as a catalyst for building and nurturing local organizations that are both robust and effective. These organizations play a pivotal role in climate adaptation efforts by mobilizing community resources, driving innovation, and fostering a deep sense of ownership and stewardship over climate-related initiatives. The Key Outputs and Lessons Learned from Promotion and Development of Local Wind Technologies in Mexico document successful collaboration with educational institutions and youth inclusion. For GEF Draft 2023 Annual Report Annex 4, pages 154-157, Projects Completed in the Reporting Period - Promotion and Development of Local Wind Technologies in Mexico Key Output (38) states “The main project outcome is creation of business and personnel capabilities”, Key Output (39) “The project's success is also attributed to their management and coordination skills, leveraging the capacities of the three research institutions involved in the project, which plays a fundamental role in developing capabilities directly and through higher education institutions.”, and Key Output (41) “The project demonstrated the potential to develop skilled labour, with a significant	<ul style="list-style-type: none"> GEF 2023 Annual Report para 33 GEF 2023 Annual Report: Response to Decision 13/CP.27: Long-term climate finance, Paragraph 11 GEF 2023 Annual Report Annex 4, pages 154-157, Key Output items 38, 39, 41; Lessons Learned item 50. JAR 2022, para 54 a and c

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				proportion of women”. Reported Lessons Learned (50) “It was proven that the incorporation of students into the project to carry out support activities is possible.” It is worthwhile to recognize that the impact and sustainability of projects of this nature extend beyond their immediate outcomes. Strengthening support for youth, especially those hailing from less developed and developing countries, holds immense significance.	
Programme priorities	Policies related to youth inclusion and capacity building	Invites the GEF to encourage its project implementers to pursue approaches that ensure the engagement of youth and indigenous peoples in key steps of project design and implementation as well as to share good practices of how such engagements have accelerated technology development and transfer.	COP and CMA	In Decision 13/CP.27: Long-term climate finance, Paragraph 11 “Reiterates that the secretariat, in collaboration with the operating entities of the Financial Mechanism, United Nations agencies and bilateral, regional and other multilateral channels, will continue to explore ways and means to assist developing country Parties in assessing their needs and priorities in a country-driven manner, including their technological and capacity-building needs, and in translating climate finance needs into action.” The GEF response, “The GEF continues to provide support to developing country Parties in assessing their needs and priorities in a country-driven manner, including technology and capacity-building needs, and in translating climate finance needs into action. Among other efforts, the GEF continues to provide resources for transparency-related capacity-building through the Capacity Building Initiative for Transparency (CBIT), for technology needs assessments (TNAs), and for other initiatives such as expanded constituency workshops (ECWs), in an effort to enhance the abilities of developing countries to assess their needs and priorities and to translate climate finance needs into action.”	GEF 2023 Annual Report para 33 GEF 2023 Annual Report. Response to Decision 13/CP.27: Long-term climate finance, Paragraph 11 GEF 2023 Annual Report Annex 4, pages 154-157, Key Output items 38, 39, 41; Lessons Learned item 50. JAR 2022, para 54 a and c

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