

## Background paper on the Doha outcomes

### I. Introduction

#### A. Background

1. Significant achievements that have resulted from the five years of work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) include decision 1/CP.16 (the Cancun Agreements), decision 2/CP.17 (Outcome of the AWG-LCA) and subsequent implementing decisions related to the institutional arrangements created, including the Technology Mechanism.

2. The COP, by decision 1/CP.16, decided to establish a Technology Mechanism, comprising a Technology Executive Committee (TEC) and a Climate Technology Centre and Network (CTCN), and decided that the TEC and the CTCN shall report, on an interim basis, to the COP, through the subsidiary bodies, on their respective activities and the performance of their respective functions.

3. The AWG-LCA at the second part of its fifteenth session, held in Doha, considered the outstanding issues on technology development and transfer, including:

- (a) The relationship between the TEC and the CTCN;
- (b) Consideration of additional functions for the TEC and the CTCN;
- (c) The potential links between the Technology Mechanism and the financial mechanism;
- (d) Technology related Intellectual Property Right issues.

4. The SBSTA and the SBI at their thirty seventh sessions in Doha considered the report on activities and performance of the TEC for 2012 and the report on the experience-sharing workshop on technology needs assessments. In addition, the SBI considered the report on the evaluation of proposals for hosting the CTC and the constitution of the advisory board.

#### B. Scope of the note

5. This note provides an overview of decisions by the COP at its eighteenth session of relevance to the TEC.

**C. Overview of decisions by the COP at its eighteenth session of relevance to the TEC**

**I. Overview of Doha outcomes related to the TEC**

<b>OUTCOMES OF COP 18 AND IMPLICATIONS FOR THE WORK OF THE TEC</b>		
<b>Decision 13/CP.18 Report of the TEC</b>	<b>Enabling environments for and barriers to technology development and transfer, technology road maps and technology needs assessments</b>	<p><b>Paragraph 3</b> <i>Notes</i> with appreciation the key messages of the TEC on enabling environments for and barriers to technology development and transfer, which are wide-ranging and multidimensional, and that further work on these issues is being undertaken by the TEC, as well as technology road maps and technology needs assessments, as contained in its report;</p> <p><b>Paragraph 9</b> <i>Notes</i> that the TEC, with the assistance of the secretariat, in addition to the activities already planned in its rolling workplan for 2012–2013 and consistent with its functions, will undertake specific follow-up activities in 2013 on enabling environments for and barriers to technology development and transfer, technology road maps and preparation of technical papers, as identified in its report;</p> <p><b>Paragraph 14</b> <i>Takes note</i> of the TEC’s planning for further follow-up activities on issues relating to enabling environments and barriers, including those issues referred to in document FCCC/SB/2012/2, paragraph 35.</p>
	<b>Consultations with relevant stakeholders</b>	<p><b>Paragraph 6</b> <i>Encourages</i> the TEC to continue its consultations with relevant stakeholders under and outside the Convention;</p>
	<b>Linkage modalities with other relevant institutional arrangements under the Convention</b>	<p><b>Paragraph 7</b> <i>Eencourages</i> the TEC to continue to consult relevant institutional arrangements under the Convention, including the Adaptation Committee, the Standing Committee and the Board of the Green Climate Fund, and to initiate consultations with the advisory board of the CTCN as soon as it is established, in order to seek their views on and coordinate the proposed modalities of the TEC on linkages with other relevant institutional arrangements under the Convention;</p> <p><b>Paragraph 8</b> <i>Requests</i> the TEC to report on the outcomes of its consultations with other relevant institutional arrangements in its report on activities and performance for 2013, in order to inform the consideration and approval by COP 19 of the modalities of the Committee on linkages with other relevant institutional arrangements under and outside the Convention;</p>
	<b>Technology needs assessments</b>	<p><b>Paragraph 10</b> <i>Recognizes</i> that technology needs assessments and their syntheses are a key information source for the work of the TEC in prioritizing its activities under the Technology Mechanism, and could be a rich source of information for governments, relevant bodies under the Convention and other stakeholders;</p>
<b>Decision 14/CP.18 Arrangements to make the</b>	<b>Composition of the Advisory Board of the CTCN</b>	<p><b>Paragraph 5</b> <i>Decides</i> that the Advisory Board of the CTCN is hereby established, with the constitution contained in <b>annex II</b> to this decision and with the functions contained in decision</p>

<b>OUTCOMES OF COP 18 AND IMPLICATIONS FOR THE WORK OF THE TEC</b>		
<b>Climate Technology Centre and Network fully operational</b>		2/CP.17, annex VII, paragraphs 8 and 9; <b>Annex II, paragraph 1(b)</b> 1. The Advisory Board of the CTCN, with the aim of achieving fair and balanced representation, shall constitute the following: b) The Chair and the Vice-Chair of the TEC in their official capacity as TEC representatives;
	<b>TEC – CTCN joint annual report</b>	<b>Paragraph 11</b> <i>Requests</i> the CTC to consult with the TEC on establishing procedures for preparing a joint annual report as requested by decision 2/CP.17, with a view to making their joint annual report available to the COP through SB 39;
<b>Decision 1/CP.18 Agreed outcome pursuant to the Bali Action Plan</b>	<b>TEC – CTCN relationship</b>	<b>Paragraph 59</b> <i>Agrees</i> to initiate, at its nineteenth session, the elaboration and consideration of the relationship between the TEC and the CTCN, in order to ensure coherence and synergy within the Technology Mechanism, taking into account the recommendations of the TEC on its linkage modalities, and the modalities and procedures of the CTCN, which will be presented for consideration and approval by the COP 19;
	<b>Enabling environments for and barriers to technology development and transfer</b>	<b>Paragraph 60</b> <i>Requests</i> the Technology Executive Committee, in elaborating its future workplan, to initiate the exploration of issues relating to enabling environments and barriers, including those issues referred to in document FCCC/SB/2012/2, paragraph 35
	<b>Linkages between the Technology Mechanism and the financial mechanism of the Convention</b>	<b>Paragraph 62</b> <i>Agrees</i> to further elaborate, at its twentieth session, the linkages between the Technology Mechanism and the financial mechanism of the Convention, taking into consideration the recommendations of the Board of the Green Climate Fund, developed in accordance with decision 3/CP.17, paragraph 17, and of the TEC, developed in accordance with decision 4/CP.17, paragraph 6;

## II. Overview of Doha outcomes from other bodies and processes under the Convention of relevance to the TEC

OTHER BODIES UNDER THE CONVENTION OUTCOMES OF COP 18 AND IMPLICATIONS FOR THE WORK OF THE TEC		
<b>ENHANCED ACTION ON ADAPTATION</b>		
<b>Decision 1/CP.18</b> Agreed outcome pursuant to the Bali Action Plan - III. Enhanced action on adaptation	<b>Continue work to enhance action on adaptation</b>	<b>Paragraph 55</b> <i>Decides</i> that the Conference of the Parties and its subsidiary bodies and <b>other bodies</b> under the Convention will continue their work to enhance action on adaptation under the Convention, as provided for in the Cancun Adaptation Framework and relevant decisions of the Conference of the Parties;
<b>STANDING COMMITTEE</b>		
<b>Decision 1/CP.18</b> Agreed outcome pursuant to the Bali Action Plan - Enhanced action on the provision of financial resources and investment to support action on mitigation and adaptation and technology cooperation	<b>Preparing first biennial assessment of climate finance flows take into account relevant work of other bodies</b>	<b>Paragraph 71</b> <i>Requests</i> the Standing Committee, in initiating the first biennial assessment and overview of climate finance flows, to take into account relevant work by <b>other bodies</b> and entities on the measurement, reporting and verification of support and the tracking of climate finance;
<b>WORK PROGRAMME ON LONG-TERM FINANCE</b>		
<b>Decision 4/CP.18</b> Work programme on long-term finance	<b>Collaboration with other relevant thematic bodies under the convention</b>	<b>Paragraph 5</b> Invites Parties and <b>the thematic and expert bodies</b> under the Convention to submit to the secretariat, by 21 March 2013, their views on long-term finance, taking into account the report on the workshops of the work programme on long-term finance.
<b>GREEN CLIMATE FUND</b>		
<b>Decision 6/CP.18</b> Report of the Green Climate Fund to the Conference of the Parties and guidance to the Green Climate Fund	<b>Collaboration with other relevant thematic bodies under the convention</b>	<b>Paragraph 7</b> <i>Requests</i> the Board of the Green Climate Fund, in its report to COP 19, to report on the implementation of decision 3/CP.17 in which inter alia requested the Board: f) to initiate a process to collaborate with the Adaptation Committee and the <b>TEC</b> , as well as other relevant thematic bodies under the Convention, to define linkages between the Fund and these bodies, as appropriate;

<b>OTHER BODIES UNDER THE CONVENTION OUTCOMES OF COP 18 AND IMPLICATIONS FOR THE WORK OF THE TEC</b>		
<b>NATIONAL ADAPTATION PLANS</b>		
<b>Decision 12/CP.18 National adaptation plans</b>	<b>Activities relevant to the national adaptation plan process</b>	<b>Paragraph 10</b> <i>Reiterates</i> the request to the Least Developed Countries Expert Group, the Adaptation Committee and <b><u>other relevant bodies</u></b> under the Convention to include information in their reports on how they have responded to the requests made in this decision and on their activities relevant to the national adaptation plan process, as per their respective mandates, and to make recommendations accordingly;
<b>CONSULTATIVE GROUP OF EXPERTS</b>		
<b>Decision 18/CP.18 Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention</b>	<b>Avoiding duplication of work in developing CGE workplan</b>	<b>Paragraph 3</b> The CGE shall, in defining and implementing its work programme, take into account other relevant work by expert groups under the Convention [ <i>and should also engage, upon request, with the Adaptation Committee, Climate Technology Centre and Network, <b><u>Technology Executive Committee</u></b>, and the Durban Forum for In-Depth Discussion on Capacity-Building</i> ] in order to avoid duplication of work.