

Decision -/CMA.1

Matters relating to Article 14 of the Paris Agreement and paragraphs 99–101 of decision 1/CP.21

The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement,

Recalling Articles 2 and 14 of the Paris Agreement, decision 1/CP.21, paragraphs 99–101, and other relevant Articles of the Paris Agreement and paragraphs of decision 1/CP.21,

Recognizing that the global stocktake referred to in Article 14 of the Paris Agreement is crucial for enhancing the collective ambition of action and support towards achieving the purpose and long-term goals of the Paris Agreement,

I. Modalities

Overarching elements

1. *Recalls*, as provided in Article 14, paragraph 1, of the Paris Agreement, that the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement shall periodically take stock of the implementation of the Paris Agreement to assess the collective progress towards achieving the purpose of the Agreement and its long-term goals, and that it shall do so in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best available science;
2. *Decides* that equity and the best available science will be considered in a Party-driven and cross-cutting manner, throughout the global stocktake;
3. *Also decides* that the global stocktake will consist of the following components:
 - (a) Information collection and preparation, focusing on gathering, compiling and synthesizing information and preparing for conducting the technical assessment referred to in paragraph 3(b) below;
 - (b) Technical assessment, focusing on taking stock of the implementation of the Paris Agreement to assess the collective progress towards achieving the purpose and long-term goals of the Paris Agreement, as well as opportunities for enhanced action and support to achieve its purpose and goals;
 - (c) Consideration of outputs, focusing on discussing the implications of the findings of the technical assessment with a view to achieving the outcome of the global stocktake of informing Parties in updating and enhancing, in a nationally determined manner, their actions and support, in accordance with relevant provisions of the Paris Agreement, as well as in enhancing international cooperation for climate action;
4. *Further decides* that the global stocktake will be conducted with the assistance of the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice, which will establish a joint contact group on this matter;
5. *Resolves* to engage in a technical dialogue that aims to support the work of the joint contact group referred to in paragraph 4 above through expert consideration of inputs, as

identified in the sources of input referred to in paragraphs 36 and 37 below for the global stocktake;

6. *Decides* to establish the technical dialogue referred to in paragraph 5 above, which will:

(a) Undertake its work through a focused exchange of views, information and ideas in in-session round tables, workshops or other activities;

(b) Organize its work in line with taking stock of the implementation of the Paris Agreement to assess the collective progress towards achieving its purpose and long-term goals, including under Article 2, paragraph 1(a–c), in the thematic areas of mitigation, adaptation and means of implementation and support, noting, in this context, that the global stocktake may take into account, as appropriate, efforts related to its work that:

(i) Address the social and economic consequences and impacts of response measures;

(ii) Avert, minimize and address loss and damage associated with the adverse effects of climate change;

(c) Be facilitated by two co-facilitators¹ who will be responsible for conducting the dialogue and for preparing a factual synthesis report and other outputs of the technical assessment, with the assistance of the secretariat;

7. *Requests* the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to develop guiding questions for all components of the global stocktake, including specific thematic and cross-cutting questions, one session of the subsidiary bodies prior to the relevant activities under the global stocktake being carried out;

8. *Decides* that the information collection and preparation component of the global stocktake will commence one session before the start of the technical assessment, which will take place during the two (or depending on the timing of the publication of the Intergovernmental Panel on Climate Change reports, three) successive sessions of the subsidiary bodies preceding the sixth session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (November 2023) during which the consideration of outputs will take place, with the cycle repeating every five years thereafter;

9. *Also decides* that the global stocktake will be conducted in a comprehensive, facilitative, effective and efficient manner, avoiding duplication of work and taking into account the results of relevant work conducted under the Paris Agreement, the Convention and the Kyoto Protocol;

10. *Further decides* that the global stocktake will be a Party-driven process conducted in a transparent manner and with the participation of non-Party stakeholders and that, to support such effective and equitable participation, all inputs will be fully accessible by Parties, including online, as referred to in paragraph 21 below;

11. *Decides* that the participation of Parties in the global stocktake should be ensured through the provision of adequate funding for the participation and representation of developing country Parties in all activities under the global stocktake, including the technical dialogue, workshops, round tables and sessions of the subsidiary bodies and the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement that feature global stocktake activities, in accordance with existing practices;

¹ One from a developing country Party and one from a developed country Party selected by Parties.

12. *Invites* developed country Parties to mobilize support for capacity-building so that the least developed countries, small island developing States and other developing countries can effectively participate in the global stocktake and take up relevant global stocktake information;
13. *Decides* that the outputs of the components of the global stocktake referred to in paragraph 3 above should summarize opportunities and challenges for enhancing action and support in the light of equity and the best available science, as well as lessons learned and good practices, with a view to achieving the outcome identified in Article 14, paragraph 3, of the Paris Agreement;
14. *Emphasizes* that the outputs of the global stocktake should focus on taking stock of the implementation of the Paris Agreement to assess collective progress, have no individual Party focus, and include non-policy prescriptive consideration of collective progress that Parties can use to inform the updating and enhancing, in a nationally determined manner, of their actions and support in accordance with relevant provisions of the Paris Agreement as well as in enhancing international cooperation for climate action;
15. *Decides* to consider refining the procedural and logistical elements of the overall global stocktake process on the basis of experience gained after the first and subsequent global stocktakes, as appropriate;
16. *Requests* the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to organize the global stocktake in a flexible and appropriate manner, to work on identifying opportunities for learning-by-doing, including for assessing the collective progress, and to take the necessary steps for the consideration of inputs as they become available;
17. *Invites* Parties to present their nationally determined contributions, informed by the outcome of the global stocktake, at a special event under the auspices of the Secretary-General of the United Nations;
18. *Recognizes* that other related events within and outside the UNFCCC can contribute to the global stocktake and the implementation of its outcome;

Information collection and preparation

19. *Requests* the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to issue a call for the inputs referred to in paragraphs 36 and 37 below, taking into account that such inputs should be submitted at least three months before their consideration in the technical assessment;
20. *Decides* that the information collection and preparation component of the global stocktake will end no later than six months before the consideration of outputs to ensure timely consideration of inputs, unless critical information that requires consideration emerges after the cut-off date;
21. *Requests* the secretariat to facilitate online availability of all inputs to the global stocktake from Parties, by thematic area, and to organize a webinar to clarify the methodologies and assumptions used to aggregate the inputs, to be held after the deadline for submission of inputs referred to in paragraph 19 above and prior to the commencement of the technical assessment;
22. *Invites* the secretariat to start compiling for the technical assessment the most up-to-date inputs from the sources identified in paragraph 37 below two sessions of the subsidiary bodies prior to this assessment;
23. *Requests* the secretariat, under the guidance of the co-facilitators referred to in paragraph 6(c) above, to prepare for the technical assessment:

- (a) A synthesis report on the information identified in paragraph 36(a) below, taking into account previous experience in preparing such reports;
- (b) A synthesis report on the state of adaptation efforts, experience and priorities summarizing the most recent information identified in paragraph 36(c) below;
- (c) A synthesis report on the overall effect of nationally determined contributions communicated by Parties summarizing the most recent information identified in paragraph 36(b) below;
- (d) A synthesis report on the information identified in paragraph 36(d) below;

24. *Invites* the relevant constituted bodies and forums and other institutional arrangements under or serving the Paris Agreement and/or the Convention² to prepare for the technical assessment, with the assistance of the secretariat, synthesis reports on the information identified in paragraph 36 below in their areas of expertise;

25. *Requests* the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation to identify potential information gaps in relation to the global stocktake and, where necessary and feasible, make requests for additional input, taking into account the cut-off date for the information collection and preparation component of the global stocktake and the need to consider critical information, and taking into account the relevant gaps identified in the reports of the Intergovernmental Panel on Climate Change and their impacts in relation to the purpose and long-term goals of the Paris Agreement;

Technical assessment

26. *Notes* that, to make effective use of time, the technical assessment could overlap with the information collection and preparation component of the global stocktake;

27. *Confirms* that all the inputs and topics, in particular the linkage among various issues, should be discussed in a balanced, holistic and comprehensive manner with a balanced allocation of time between thematic areas, taking into account equity considerations and the best available science;

28. *Recognizes*, taking into consideration the advice provided by the Subsidiary Body for Scientific and Technological Advice³ pursuant to decision 1/CP.21, paragraph 100, that the assessments of the Intergovernmental Panel on Climate Change should be considered in an effective and balanced manner, taking into account lessons learned from past experience;

29. *Also recognizes* that a dialogue between Intergovernmental Panel on Climate Change experts and Parties through Subsidiary Body for Scientific and Technological Advice–Intergovernmental Panel on Climate Change special events should be used to enable a focused scientific and technical exchange of information on the findings in Intergovernmental Panel on Climate Change products in an open and transparent manner and that the Subsidiary Body for Scientific and Technological Advice–Intergovernmental Panel on Climate Change Joint Working Group should continue to be used to enhance communication and coordination between the Subsidiary Body for Scientific and Technological Advice and the Intergovernmental Panel on Climate Change in the context of the global stocktake;

² Currently, the constituted bodies and forums are the Adaptation Committee, the Least Developed Country Expert Group, the Technology Executive Committee, the Standing Committee on Finance, the Paris Committee on Capacity-building, the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts, the Consultative Group of Experts, the forum on the impact of the implementation of response measures, and the Local Communities and Indigenous Peoples Platform Facilitative Working Group.

³ FCCC/SBSTA/2016/4, paragraph 56.

30. *Decides* that the technical dialogue referred to in paragraph 6 above will be open, inclusive, transparent and facilitative, and will allow Parties to engage and discuss with the constituted bodies and forums and other institutional arrangements under or serving the Paris Agreement and/or Convention and experts and consider inputs and assess the collective efforts;

31. *Also decides* that the co-facilitators of the technical dialogue will summarize its outputs in summary reports, taking into account equity and the best available science, for each thematic area referred to in paragraph 6(b) above and an overarching factual synthesis of these reports in a cross-cutting manner;

32. *Further decides* that the forum on the impact of the implementation of response measures will summarize its outcome in accordance with the relevant elements of the modalities, work programme and functions, pursuant to decision 1/CP.21, paragraph 34;

Consideration of outputs

33. *Decides* that the consideration of outputs will consist of high-level events where the findings of the technical assessment will be presented and their implications discussed and considered by Parties, and that the events will be chaired by a high-level committee consisting of the Presidencies of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement and the Chairs of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation;

34. *Also decides* that the outputs of this component of the global stocktake should:

(a) Identify opportunities for and challenges in enhancing action and support in collective progress in relation to the thematic areas of the global stocktake referred to in paragraph 6(b) above, as well as possible measures and good practices and international cooperation and related good practices;

(b) Summarize key political messages, including recommendations from the events referred to in paragraph 33 above for strengthening action and enhancing support;

(c) Be referenced in a decision for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement and/or a declaration;

II. Sources of input

35. *Decides* that the sources of input for the global stocktake should inform the thematic areas referred to in paragraph 6(b) above;

36. *Also decides* that the sources of input for the global stocktake will consider information at a collective level on:

(a) The state of greenhouse gas emissions by sources and removals by sinks and mitigation efforts undertaken by Parties, including the information referred to in Article 13, paragraph 7(a), and Article 4, paragraphs 7, 15 and 19, of the Paris Agreement;

(b) The overall effect of their nationally determined contributions and overall progress made by Parties towards the implementation of their nationally determined contributions, including the information referred to in Article 13, paragraph 7(b), of the Paris Agreement;

(c) The state of adaptation efforts, support, experience and priorities, including the information referred to in Article 7, paragraphs 2, 10, 11 and 14, of the Paris Agreement, and the reports referred to in Article 13, paragraph 8, of the Paris Agreement;

(d) The finance flows, including the information referred to in Article 2, paragraph 1(c), and means of implementation and support and mobilization and provision of support, including the information referred to in Article 9, paragraphs 4 and 6, Article 10, paragraph 6, Article 11, paragraph 3, and Article 13, in particular paragraphs 9 and 10, of the Paris Agreement. This should include information from the latest biennial assessment and overview of climate finance flows of the Standing Committee on Finance;

(e) Efforts to enhance understanding, action and support, on a cooperative and facilitative basis, related to averting, minimizing and addressing loss and damage associated with the adverse effects of climate change;

(f) Barriers and challenges, including finance, technology⁴ and capacity-building gaps faced by developing countries;

(g) Good practices, experience and potential opportunities to enhance international cooperation on mitigation and adaptation and to increase support under Article 13, paragraph 5, of the Paris Agreement;

(h) Fairness considerations, including equity, as communicated by Parties in their nationally determined contributions;

37. *Decides* that the sources of input for the global stocktake include:

(a) Reports and communications from Parties, in particular those submitted under the Paris Agreement and the Convention;

(b) The latest reports of the Intergovernmental Panel on Climate Change, pursuant to decision 1/CP.21, paragraph 99;

(c) Reports of the subsidiary bodies, pursuant to decision 1/CP.21, paragraph 99;

(d) Reports from relevant constituted bodies and forums and other institutional arrangements under or serving the Paris Agreement and/or the Convention;

(e) The synthesis reports by the secretariat referred to in paragraph 23 above;

(f) Relevant reports from United Nations agencies and other international organizations, that should be supportive of the UNFCCC process;

(g) Voluntary submissions from Parties, including on inputs to inform equity consideration under the global stocktake;

(h) Relevant reports from regional groups and institutions;

(i) Submissions from non-Party stakeholders and UNFCCC observer organizations;

38. *Invites* the Subsidiary Body for Scientific and Technological Advice to complement the non-exhaustive lists in paragraphs 36 and 37 above at its session held prior to the information collection and preparation component of the global stocktake, as appropriate, taking into account the thematic areas of the global stocktake and the importance of leveraging national-level reporting.

⁴ Including outputs of the periodic assessment of the Technology Mechanism as referred to in decision -/CMA.1, proposed under agenda item 4 of the Conference of the Parties at its twenty-fourth session.