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CONFERENCE OF THE PARTIES  
Fourth session  
Buenos Aires, 2-13 November 1998  
Agenda item 5 (b)

**MATTERS RELATED TO THE KYOTO PROTOCOL**

**MATTERS RELATED TO DECISION 1/CP.3, PARAGRAPH 6: PREPARATIONS FOR  
THE FIRST SESSION OF THE CONFERENCE OF THE PARTIES SERVING AS THE  
MEETING OF THE PARTIES TO THE PROTOCOL**

**Decision -/CP.4**

**Matters related to decision 1/CP.3, paragraph 6: preparations for the first session of the  
Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol**

*The Conference of the Parties,*

*Recalling* its decision 1/CP.3 on the adoption of the Kyoto Protocol, in particular paragraph 6 of that decision on the allocation of preparatory work for the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

*Further recalling* the functions and terms of reference of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation, as contained in Articles 9 and 10 of the United Nations Framework Convention on Climate Change and as elaborated by its decisions 6/CP.1 and 13/CP.3, and *noting* Article 15 of the Kyoto Protocol,

*Having considered* the proposal by the Chairmen of the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation on the allocation to those bodies of preparatory work for the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,<sup>1</sup>

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<sup>1</sup> FCCC/CP/1998/3.

*Recognizing* the need to maximize efficiency in the work of the subsidiary bodies and avoid duplication and overlap,

*Taking into account* decisions<sup>2</sup> taken at its fourth session related to issues addressed in annexes I and II to this decision,

1. *Decides:*

(a) That the preparatory work for the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol shall be allocated to the subsidiary bodies as shown in annex I to this decision;

(b) That this work shall be carried out according to the initial list of work set out in annex II to this decision;

(c) To invite the subsidiary bodies to report on these matters to the Conference of the Parties at its fifth session.

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<sup>2</sup> *Cross-reference to decisions under agenda items 3(c), 4(a)(i), 4(e), 5(a)(i) and 5(a)(ii)-(iv) of the Conference of the Parties at its fourth session.*

Annex I

**ALLOCATION OF PREPARATORY WORK FOR  
THE CONFERENCE OF THE PARTIES SERVING AS THE MEETING OF THE  
PARTIES TO THE KYOTO PROTOCOL AT ITS FIRST SESSION**

Task	Allocation
<b>Tasks assigned to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session</b>	
Actions relating to Article 3.14 <sup>1</sup>	See decision -/CP.4 (FCCC/CP/1998/L.-) (under agenda item 4(e))
Guidelines for national systems under Article 5.1 and methodologies for the application of adjustments under Article 5.2	Subsidiary Body for Scientific and Technological Advice
Guidelines for the preparation of information under Article 7, with respect to both annual inventories and national communications from Annex I Parties	Subsidiary Body for Scientific and Technological Advice, in cooperation with the Subsidiary Body for Implementation
Guidelines for the review of implementation by expert review teams under Article 8	Subsidiary Body for Implementation, in cooperation with the Subsidiary Body for Scientific and Technological Advice
Modalities and procedures relating to the clean development mechanism under Article 12	See decision -/CP.4 <sup>2</sup> (FCCC/CP/1998/L.-) (under agenda item 5(a)(iii))
Procedures and mechanisms relating to compliance	Joint working group on compliance under the Subsidiary for Implementation and the Subsidiary Body for Scientific and Technological Advice

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<sup>1</sup> Unless otherwise stated, references to articles and paragraphs in annexes I and II to this decision are to the Kyoto Protocol.

<sup>2</sup> This decision also covers other mechanisms under the Kyoto Protocol.

<b>Tasks assigned to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session or as soon as practicable thereafter</b>	
Consideration of ways to facilitate cooperation to enhance the individual and combined effectiveness of policies and measures under Article 2.1(b)	<i>Pending</i>
Modalities, rules and guidelines as to how, and which, additional human-induced activities related to changes in GHG emissions by sources and removals by sinks in the agricultural soils and the land-use change and forestry categories might be included under Article 3.4 <sup>3</sup>	Subsidiary Body for Scientific and Technological Advice
Possible further elaboration of guidelines for the implementation of Article 6	See decision -/CP.4 <sup>4</sup> (FCCC/CP/1998/L.-) (under agenda item 5(a)(ii))
<b>Tasks to be accomplished by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol prior to the first commitment period</b>	
Modalities for the accounting of assigned amounts under Article 7.4	Subsidiary Body for Scientific and Technological Advice
<b>Tasks to be accomplished by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol as soon as practicable</b>	
Consideration of the application to the Protocol and modification, as appropriate, of the multilateral consultative process referred to in Article 13 of the Convention.	To be considered upon the establishment of the multilateral consultative process referred to in Article 13 of the Convention.

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<sup>3</sup> See also decision -/CP.4 (FCCC/CP/1998/L.5), paragraph 3 on Article 3.3.

<sup>4</sup> This decision also covers other mechanisms under the Kyoto Protocol.

Annex II

**INITIAL LIST OF WORK FOR THE CONFERENCE OF THE PARTIES SERVING AS  
THE MEETING OF THE PARTIES TO THE  
KYOTO PROTOCOL AT ITS FIRST SESSION**

<b>Tasks assigned to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session</b>
<b>Actions relating to Article 3.14</b>
<ul style="list-style-type: none"> <li>• See decision -/CP.4 (FCCC/CP/1998/L.-) (under agenda item 4(e))</li> </ul>
<b>Guidelines for national systems under Article 5.1 and methodologies for the application of adjustments under Article 5.2</b>
<ul style="list-style-type: none"> <li>• Work programme on methodological issues related to Article 5, as set forth in FCCC/SBSTA/1998/CRP.10, paragraph 8, with a view to completion by the Conference of the Parties at its sixth session</li> </ul>
<b>Guidelines for the preparation of information under Article 7, with respect to both annual inventories and national communications from Annex I Parties</b>
<ul style="list-style-type: none"> <li>• Work programme on issues related to Article 7.1, as set forth in FCCC/SBSTA/1998/CRP.10, paragraph 8 and FCCC/SBI/1998/CRP.5, with a view to completion by the Conference of the Parties at its sixth session</li> <li>• Work programme on issues related to Article 7.2, as set forth in FCCC/SBSTA/1998/CRP.10, paragraph 8 and FCCC/SBI/1998/CRP.5, with a view to completion by the Conference of the Parties at its sixth session</li> </ul>
<b>Guidelines for the review of implementation by expert review teams under Article 8</b>
<ul style="list-style-type: none"> <li>• Work programme on issues related to Article 8, as set forth in FCCC/SBSTA/1998/CRP.10, paragraph 8 and FCCC/SBI/1998/CRP.5, with a view to completion by the Conference of the Parties at its sixth session</li> </ul>

**Modalities and procedures relating to the clean development mechanism under Article 12**

- See decision -/CP.4<sup>5</sup> (FCCC/CP/1998/L.-) (under agenda item 5(a)(iii))

**Procedures and mechanisms relating to compliance**

- Invitation to Parties to submit views to the secretariat on matters relating to compliance under the Protocol by 1 March 1999, to be made available by the secretariat in a miscellaneous document
- Request to the secretariat to facilitate a one-day consultation among Parties<sup>6</sup> on matters related to compliance under the Protocol immediately prior to the tenth session of the subsidiary bodies
- Establishment of a joint working group on compliance under the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice to:
  - Identify compliance-related elements in the Protocol
  - Follow the development of these elements in various groups including, for example, elements on substantive rules and consequences of non-compliance, and identify gaps in order that they are addressed in the suitable forum
  - Develop procedures by which compliance with obligations under the Protocol should be addressed, to the extent that they are not being considered by other groups
  - Ensure coherent approaches to developing a comprehensive compliance system
- Request to the joint working group on compliance, through the Subsidiary Body for Implementation and the Subsidiary Body for Scientific and Technological Advice, to report on progress to the Conference of the Parties at its fifth session
- Request to the Conference of the Parties at its fifth session to take further steps including, if necessary, the establishment of an ad hoc working group on compliance or other procedure, with a view to adopting a decision at the Conference of the Parties at its sixth session

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<sup>5</sup> This decision also covers other mechanisms under the Kyoto Protocol.

<sup>6</sup> Open to observers under rules 6 and 7 of the draft Rules of Procedure, as applied (see FCCC/CP/1996/2).

<b>Tasks assigned to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session or as soon as practicable thereafter</b>
<b>Consideration of ways to facilitate cooperation to enhance the individual and combined effectiveness of policies and measures under Article 2.1(b)</b>
<i>Pending</i>
<b>Modalities, rules and guidelines as to how, and which, additional human-induced activities related to changes in GHG emissions by sources and removals by sinks in the agricultural soils and the land-use change and forestry categories might be included under Article 3.4<sup>7</sup></b>
<ul style="list-style-type: none"> <li>• Work programme as set forth in decision -/CP.4 (FCCC/CP/1998/L.5), in accordance with the time-frames set out in that decision</li> </ul>
<b>Possible further elaboration of guidelines for the implementation of Article 6</b>
<ul style="list-style-type: none"> <li>• See decision -/CP.4<sup>8</sup> (FCCC/CP/1998/L.-) (under agenda item 5(a)(ii))</li> </ul>
<b>Tasks to be accomplished by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol prior to the first commitment period</b>
<b>Modalities for the accounting of assigned amounts under Article 7.4</b>
<ul style="list-style-type: none"> <li>• Work programme on methodological issues related to Article 7, as set forth in FCCC/SBSTA/1998/CRP.10, paragraph 8, with a view to completion by the Conference of the Parties at its sixth session, or as soon as practicable</li> </ul>

<sup>7</sup> See also decision -/CP.4, paragraph 3, on Article 3.3.

<sup>8</sup> This decision also covers other mechanisms under the Kyoto Protocol.

**Tasks to be accomplished by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol as soon as practicable**

**Consideration of the application to the Protocol, and modification as appropriate, of the multilateral consultative process referred to in Article 13 of the Convention**

To be considered upon the establishment of the multilateral consultative process referred to in Article 13 of the Convention

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