



Ad Hoc Working Group on the Paris Agreement

Third part of the first session

Bonn, 8–18 May 2017

Agenda items 3–8¹

Items 3–8 of the agenda

Draft conclusions proposed by the Co-Chairs

Option 1

1. The Ad Hoc Working Group on the Paris Agreement (APA) noted that the Conference of the Parties (COP), at its twenty-second session:

(a) Requested the Subsidiary Body for Implementation (SBI), the Subsidiary Body for Scientific and Technological Advice (SBSTA), the APA and the constituted bodies under the Convention to accelerate their work on the work programme described in decision 1/CMA.1, paragraphs 5–7, and to forward the outcomes to COP 24 (December 2018) at the latest;²

(b) Decided to conclude the work programme under the Paris Agreement as soon as possible and to forward the outcomes, at the latest, to the third part of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), to be convened in conjunction with COP 24, for its consideration and adoption.³

2. The APA agreed to accelerate its work accordingly and reaffirmed its commitment to working diligently and expeditiously to fulfil its mandate as soon as possible but no later than COP 24.

3. The APA took note of:

(a) The intersessional workshop on agenda item 5, “Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement”, and the report thereon;⁴

(b) The pre-sessional round table on agenda item 3, “Further guidance in relation to the mitigation section of decision 1/CP.21”;

¹ See document FCCC/APA/2017/1 for the titles of the agenda items.

² Decision 1/CP.22, paragraph 10.

³ Decision 1/CP.22, paragraph 12.

⁴ FCCC/APA/2017/INF.2 and Add.1.

(c) The pre-session workshop on agenda item 4, “Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement”;

(d) The documents prepared for this session.⁵

4. The APA welcomed the more than 100 submissions received from Parties⁶ and non-Party stakeholders⁷ for this session.

5. The APA agreed that the intersessional and pre-session events and documents referred to in paragraphs 3 and 4 above helped in facilitating a better understanding of, and clarifying Parties’ views on, the respective agenda items and provided useful inputs to the negotiations under the APA. The APA noted that it is important to ensure effective participation of Parties in such events, and that the organization of events should enable such participation. The APA requested its Co-Chairs to release an informal note reflecting the views expressed at the pre-session workshop referred to in paragraph 3(c) above.

6. The APA noted that substantive progress has been achieved at this session in making a transition from conceptual discussions to focused technical work, including, as appropriate, on textual elements. The APA emphasized the need to make progress on all items in a coherent and balanced manner and to ensure a coordinated and coherent approach regarding the related matters considered under the SBI and the SBSTA. The organization of the APA contact group on linkages with constituted bodies and of the informal joint plenary of the SBI, the SBSTA and the APA during this session was appreciated, and the APA recommended that holding such events during future sessions be considered.

7. The APA reiterated its commitment to continuing to work in an inclusive, transparent, Party-driven and efficient manner. To that end, it agreed to continue to apply at APA 1.4 (November 2017) the modalities for the organization of its work that were adopted at APA 1.1.⁸

8. The APA noted the progress made on substantive items on its agenda as reflected in the informal notes prepared, under their own responsibility, by the co-facilitators of the informal consultations on those items.⁹ It agreed that those notes¹⁰ may be helpful for the future work of the APA.

Further work required on individual items

9. With respect to the invitations to Parties for focused submissions of their views on APA agenda items, as detailed in paragraphs 11(a), 12(a), 13(a), 14(a) and 16(a) below, the APA noted that the suggested focus areas for submissions use different terminology across items, reflecting the individual dynamics of the negotiations in each informal group. The APA agreed that, as the negotiations proceed, more consistent terminology will be applied

⁵ FCCC/APA/2017/INF.1, FCCC/APA/2017/INF.2 and Add.1 and FCCC/APA/2017/INF.3.

⁶ Available at <http://unfccc.int/5900>.

⁷ Available at <http://unfccc.int/7478>.

⁸ FCCC/APA/2016/2, paragraph 21.

⁹ The notes prepared by the co-facilitators attempt to informally capture the views expressed by some Parties to date on the respective agenda items and they do not necessarily reflect the views of all Parties. The notes are not intended to prejudge further work that Parties may wish to undertake, or to prevent Parties from expressing other views they may have in the future. The views presented in the notes are not in any order of importance and they do not signify any consensus among Parties or imply the basis for any future negotiations.

¹⁰ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

to further facilitate the transition to developing textual proposals and elements of draft texts for all items on the APA agenda.

10. With respect to the round tables referred to in paragraphs 11(c), 12(d), 13(b), 14(b) and 16(b) below, the APA agreed that:

(a) The round tables will be open to Parties and observer States only;

(b) If Parties agree, the co-facilitators designated to each relevant agenda item at APA 1.4 will prepare, under their own responsibility and the guidance of the APA Co-Chairs, an informal note reflecting the views expressed at the relevant round table and make that note available to Parties as soon as possible thereafter.

11. With respect to agenda **item 3**, the APA:

(a) Taking into consideration the content of the informal note prepared by the co-facilitators on this item,¹¹ invited Parties to make, by 15 September 2017, focused submissions,¹² including on the elements and issues outlined in that note and on how they would be reflected in the guidance, without prejudice to further work under this agenda item;

(b) Requested the persons who facilitated discussions under this agenda item at APA 1.3, with the support of the secretariat, to prepare, by 15 October 2017, a non-paper capturing convergence, divergence and options, where appropriate, based on Parties' views expressed in the submissions referred to in paragraph 11(a) above, without omitting, reinterpreting or prejudging Parties' views;

(c) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table, to be held on Monday, 6 November 2017.¹³ The round table should be facilitated by the persons who will be designated to facilitate discussions at APA 1.4. The round table should also provide an open and constructive environment to further advance the work under the three sub-items under this agenda item and take into consideration the non-paper referred to in paragraph 11(b) above.

12. With respect to agenda **item 4**, the APA:

(a) Invited Parties to make, by 15 September 2017, focused submissions on the proposals for elements and 'skeleton' outlines as contained in the informal note on this agenda item;¹⁴

(b) Requested the secretariat to prepare, by 1 October 2017, a technical paper synthesizing adaptation-related information included in nationally determined contributions, national adaptation plans and recent national communications;

(c) Requested the persons who facilitated discussions under this agenda item at APA 1.3, under the guidance of the APA Co-Chairs and with the support of the secretariat, to synthesize into a non-paper the submissions referred to in paragraph 12(a) above by 15 October 2017;

(d) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, to be held on Saturday, 4 November 2017, to facilitate

¹¹ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/informal_note_apa_3_for_publication_final.pdf.

¹² Parties should submit their views via the submission portal at <http://www.unfccc.int/5900>.

¹³ The timing of the round table will take account of the opening day activities of the session of the COP and the need to minimize clashes.

¹⁴ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_4_informal_note_final.pdf.

work under this agenda item, taking into consideration the informal note referred to in paragraph 12(a) above.

13. With respect to agenda **item 5**, the APA:

(a) Invited Parties to make, by 30 September 2017, focused submissions taking into account the possible “headings and subheadings” contained in the annex to the informal note prepared by the co-facilitators on this item.¹⁵ In submitting their views, Parties are also invited to elaborate, as appropriate, the specific operational details under the possible “headings and subheadings”;

(b) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, to be held on Saturday, 4 November, and Sunday, 5 November 2017, focusing on issues covered in Parties’ submissions referred to in paragraph 13(a) above, and also including technical discussions on how cross-cutting issues listed in Article 13 of the Paris Agreement, including, inter alia, its paragraphs 2–4, were considered in Parties’ submissions. The round table will address the following:

(i) On 4 November: transparency of support provided and received; technical expert review, including in relation to transparency of support; and facilitative, multilateral consideration of progress, including in relation to transparency of support;

(ii) On 5 November: transparency of action in relation to mitigation and transparency of action in relation to adaptation.

14. With respect to agenda **item 6**, the APA:

(a) Invited Parties to make, by 30 September 2017, focused submissions on the possible elements of textual outline for the identification of the sources of input to and development of the modalities for the global stocktake, noting, as appropriate, the views of Parties reflected in the informal note on this agenda item;¹⁶

(b) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, to be held on Sunday, 5 November 2017, to facilitate work under this agenda item, taking into consideration the informal note referred to in paragraph 14(a) above.

15. With respect to agenda **item 7**, the APA:

(a) Invited Parties to make, by 15 September 2017, focused submissions as proposed in the annex to the informal note on this agenda item;¹⁷

(b) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table, to be held on Monday, 6 November 2017,¹⁸ to facilitate work under this agenda item, taking into consideration the informal note referred to in paragraph 15(a) above.

¹⁵ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa2017_i5_informal_note_by_the_co-facilitators_.pdf.

¹⁶ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/final_informal_noteapa1_3_18052017@1800.pdf.

¹⁷ Available at http://unfccc.int/files/meetings/bonn_may_2017/application/pdf/apa_item7_informalnote_provisional_17may2017@1100_final.pdf.

¹⁸ The timing of the round table will take account of the opening day activities of the session of the COP and the need to minimize clashes.

16. With respect to agenda **item 8**, the APA:
- (a) Welcomed the progress made at this session, in exchanging views and information on the Adaptation Fund, which is reflected in the informal note on this agenda item;¹⁹
 - (b) Requested the secretariat to compile and make available on the UNFCCC website, by 15 September 2017, a list of all previous decisions that have been taken on the Adaptation Fund that touch on governance and institutional arrangements, safeguards and operating modalities;
 - (c) Took note of the exchange of views by Parties with regard to the procedural steps for the draft decisions for consideration and adoption at CMA 1 on the following matters:²⁰
 - (i) Progress and procedural steps to enable the forum on the implementation of response measures to serve the Paris Agreement further to decision 1/CP.21, paragraphs 33 and 34;
 - (ii) Procedural steps for the modalities for the recognition of adaptation efforts of developing country Parties under Article 7, paragraph 3, of the Paris Agreement and decision 1/CP.21, paragraph 41.
17. The APA invited Parties to raise the matter referred to in paragraph 16(c)(i) above during negotiations by the SBSTA and the SBI on the related issue. Parties expressed their understanding that the draft decision being prepared by these subsidiary bodies regarding paragraph 34 of decision 1/CP.21 is to include a provision to complete the procedural step of CMA 1 deciding that the forum on the implementation of response measures shall serve the Paris Agreement, consistent with Article 19 of the Paris Agreement. In light of this, the APA agreed that for this matter no further consideration would be needed by the APA under agenda item 8.
18. On the matter referred to in paragraph 16(c)(ii) above, the APA recommended that COP 23 (November 2017) address the need for procedural clarity with regard to the draft decision for consideration and adoption at CMA 1. The APA agreed that for this matter, should COP 23 provide such procedural clarity, no further consideration would be needed by the APA under agenda item 8.
19. The APA agreed to continue its consideration of the remaining possible additional matters,²¹ as contained in the informal note issued by the Co-Chairs,²² at APA 1.4.

¹⁹ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.

²⁰ See the informal note of the co-facilitators on this item, available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.

²¹ The matters are: modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5, of the Paris Agreement; initial guidance by the CMA to the operating entities of the Financial Mechanism (the Green Climate Fund and the Global Environment Facility) under Article 9, paragraph 8, of the Paris Agreement and decision 1/CP.21, paragraphs 58 and 61–63; initial guidance by the CMA to the Least Developed Countries Fund and the Special Climate Change Fund in accordance with decision 1/CP.21, paragraph 58; guidance by the CMA on adjustment of existing nationally determined contributions under Article 4, paragraph 11, of the Paris Agreement; and setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.

20. The APA invited Parties to submit, by 15 October 2017, their views on how to progress work in the period after APA 1.4 in a coherent, balanced and coordinated manner, bearing in mind the related matters being considered by the SBI and the SBSTA.
21. For all items on the APA agenda where there is a call for the submission of views from Parties taking into consideration guiding questions or suggested focus areas, the APA emphasized that the questions and focus areas in no way restrict Parties from making submissions on any aspect of the issues on the APA agenda.
22. The APA reiterated its earlier invitation²³ to Parties and observers to provide information, views and proposals on any work of the APA before each of its sessions.
23. The APA noted the intention of the APA Co-Chairs to release a reflections note to provide an overview of the outcomes of this session and to suggest options for the way forward based on the views and ideas that Parties put forward at, and expressed through their submissions for, this session. The note will aim to advance the work of the APA, without prejudice to any options or proposals that Parties may put forward in the future.
24. The APA took note of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraphs 12(d), 13(b), and 14(b) above.
25. The APA requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

²² Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.

²³ FCCC/APA/2016/2, paragraph 23.

Option 2

1. The APA welcomed the more than 100 submissions received from Parties²⁴ and non-Party stakeholders²⁵ for this session.
2. The APA noted that progress has been achieved at this session in making a transition from conceptual discussions to focused technical work, including, as appropriate, on textual elements. The APA emphasized the need to make progress on all items in a coherent and balanced manner and to ensure a coordinated and coherent approach regarding the related matters considered under the SBI and the SBSTA. The organization of the APA contact group on linkages with constituted bodies and of the informal joint plenary of the SBI, the SBSTA and the APA during this session was appreciated, and the APA recommended that holding such events during future sessions be considered.
3. The APA reiterated its commitment to continuing to work in an inclusive, transparent, Party-driven and efficient manner. To that end, it agreed to continue to apply at APA 1.4 (November 2017) the modalities for the organization of its work that were adopted at APA 1.1.²⁶
4. The APA noted the progress made on substantive items on its agenda as reflected in the informal notes prepared, under their own responsibility, by the co-facilitators of the informal consultations on those items.²⁷ It agreed that those notes²⁸ may be helpful for the future work of the APA.

Further work required on individual items

5. With respect to the invitations to Parties for focused submissions of their views on APA agenda items, as detailed in paragraphs 6, 7, 8, 9 and 10 below, the APA noted that the suggested focus areas for submissions use different terminology across items, reflecting the individual dynamics of the negotiations in each informal group. The APA agreed that, as the negotiations proceed, more consistent terminology will be applied to further facilitate the transition to developing textual proposals and elements of draft texts for all items on the APA agenda.
6. With respect to agenda **item 3**, the APA, taking into consideration the content of the informal note prepared by the co-facilitators on this item,²⁹ invited Parties to make, by 15 September 2017, focused submissions,³⁰ including on the elements and issues outlined in that note and on how they would be reflected in the guidance, without prejudice to further work under this agenda item.

²⁴ Available at <http://unfccc.int/5900>.

²⁵ Available at <http://unfccc.int/7478>.

²⁶ FCCC/APA/2016/2, paragraph 21.

²⁷ The notes prepared by the co-facilitators attempt to informally capture the views expressed by some Parties to date on the respective agenda items and they do not necessarily reflect the views of all Parties. The notes are not intended to prejudge further work that Parties may wish to undertake or to prevent Parties from expressing other views they may have in the future. The views presented in the notes are not in any order of importance and they do not signify any consensus among Parties or imply the basis for any future negotiations.

²⁸ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

²⁹ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/informal_note_apa_3_for_publication_final.pdf.

³⁰ Parties should submit their views via the submission portal at <http://www.unfccc.int/5900>.

7. With respect to agenda **item 4**, the APA invited Parties to make, by 15 September 2017, focused submissions on the proposals for elements and ‘skeleton’ outlines as contained in the informal note on this agenda item.³¹
8. With respect to agenda **item 5**, the APA invited Parties to make, by 30 September 2017, focused submissions taking into account the possible “headings and subheadings” contained in the annex to the informal note prepared by the co-facilitators on this item.³² In submitting their views, Parties are also invited to elaborate, as appropriate, the specific operational details under the possible “headings and subheadings”.
9. With respect to agenda **item 6**, the APA invited Parties to make, by 30 September 2017, focused submissions on the possible elements of textual outline for the identification of the sources of input to and development of the modalities for the global stocktake, noting, as appropriate, the views of Parties reflected in the informal note on this agenda item.³³
10. With respect to agenda **item 7**, the APA invited Parties to make, by 15 September 2017, focused submissions as proposed in the annex to the informal note on this agenda item.³⁴
11. With respect to agenda **item 8**, the APA:
- (a) Welcomed the progress made at this session, in exchanging views and information on the Adaptation Fund, which is reflected in the informal note on this agenda item;³⁵
 - (b) Requested the secretariat to compile and make available on the UNFCCC website, by 15 September 2017, a list of all previous decisions that have been taken on the Adaptation Fund that touch on governance and institutional arrangements, safeguards and operating modalities;
 - (c) Took note of the exchange of views by Parties with regard to the procedural steps for the draft decisions for consideration and adoption at CMA 1 on the following matters:³⁶
 - (i) Progress and procedural steps to enable the forum on the implementation of response measures to serve the Paris Agreement further to decision 1/CP.21, paragraphs 33 and 34;
 - (ii) Procedural steps for the modalities for the recognition of adaptation efforts of developing country Parties under Article 7, paragraph 3, of the Paris Agreement and decision 1/CP.21, paragraph 41.

³¹ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_4_informal_note_final.pdf.

³² Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa2017_i5_informal_note_by_the_co-facilitators.pdf.

³³ http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/final_informal_noteapa1_3_18052017@1800.pdf.

³⁴ Available at http://unfccc.int/files/meetings/bonn_may_2017/application/pdf/apa_item7_informalnote_provisional_17may2017@1100_final.pdf.

³⁵ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.

³⁶ See the informal note of the co-facilitators on this item, available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.

12. The APA invited Parties to raise the matter referred to in paragraph 11(c)(i) above during negotiations by the SBSTA and the SBI on the related issue. Parties expressed their understanding that the draft decision being prepared by these subsidiary bodies regarding paragraph 34 of decision 1/CP.21 is to include a provision to complete the procedural step of CMA 1 deciding that the forum on the implementation of response measures shall serve the Paris Agreement, consistent with Article 19 of the Paris Agreement. In light of this, the APA agreed that for this matter no further consideration would be needed by the APA under agenda item 8.

13. On the matter referred to in paragraph 11(c)(ii) above, the APA recommended that COP 23 (November 2017) address the need for procedural clarity with regard to the draft decision for consideration and adoption at CMA 1. The APA agreed that for this matter, should COP 23 provide such procedural clarity, no further consideration would be needed by the APA under agenda item 8.

14. The APA agreed to continue its consideration of the remaining possible additional matters,³⁷ as contained in the informal note issued by the Co-Chairs,³⁸ at APA 1.4.

15. The APA invited Parties to submit, by 15 October 2017, their views on how to progress work in the period after APA 1.4 in a coherent, balanced and coordinated manner, bearing in mind the related matters being considered by the SBI and the SBSTA.

16. For all items on the APA agenda where there is a call for the submission of views from Parties taking into consideration guiding questions or suggested focus areas, the APA emphasized that the questions and focus areas in no way restrict Parties from making submissions on any aspect of the issues on the APA agenda.

17. The APA reiterated its earlier invitation³⁹ to Parties and observers to provide information, views and proposals on any work of the APA before each of its sessions.

18. The APA noted the intention of the APA Co-Chairs to release a reflections note to provide an overview of the outcomes of this session and to suggest options for the way forward based on the views and ideas that Parties put forward at, and expressed through their submissions for, this session. The note will aim to advance the work of the APA, without prejudice to any options or proposals that Parties may put forward in the future.

Option 3

1. The APA agreed to continue its work at APA 1.4 (November 2017).

³⁷ The matters are: modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5, of the Paris Agreement; initial guidance by the CMA to the operating entities of the Financial Mechanism (the Green Climate Fund and the Global Environment Facility) under Article 9, paragraph 8, of the Paris Agreement and decision 1/CP.21, paragraphs 58 and 61–63; initial guidance by the CMA to the Least Developed Countries Fund and the Special Climate Change Fund in accordance with decision 1/CP.21, paragraph 58; guidance by the CMA on adjustment of existing nationally determined contributions under Article 4, paragraph 11, of the Paris Agreement; and setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.

³⁸ Available at http://unfccc.int/files/meetings/bonn_may_2017/in-session/application/pdf/apa_informal_note_by_the_co-chairs_item_8ab_final_version_2017.05.17.pdf.

³⁹ FCCC/APA/2016/2, paragraph 23.