



Subsidiary Body for Implementation

Forty-fifth session

Marrakech, 7–14 November 2016

Item 2(a) of the provisional agenda

Organizational matters

Adoption of the agenda

Provisional agenda and annotations

Note by the Executive Secretary

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 6. Development of modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement.
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II. Annotations to the provisional agenda

1. Opening of the session

1. The forty-fifth session of the Subsidiary Body for Implementation (SBI) will be opened by the Chair, Mr. Tomasz Chruszczow (Poland), on Monday, 7 November 2016.

2. Organizational matters

(a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in agreement with the Chair, will be presented for adoption.

<i>FCCC/SBI/2016/9</i>	<i>Provisional agenda and annotations. Note by the</i>
	<i>Executive Secretary</i>
<i>Further information</i>	<i><www.unfccc.int/9678></i>

(b) Organization of the work of the session

3. Detailed information on the work of the session will be posted on the SBI 45 web page.¹ Delegates are invited to refer to the overview schedule and the in-session Daily

¹ <www.unfccc.int/9678>.

Programme² and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the SBI. The session will close on Monday, 14 November, in order to ensure that work is completed before the arrival of Heads of State and Government and ministers for the high-level segment and the start of the many events planned during this segment. In order to maximize negotiation time and ensure timely closure of the session, presiding officers will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session taking into account previous relevant SBI conclusions.³ In this context, the presiding officers of the SBI, the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Ad Hoc Working Group on the Paris Agreement (APA) will propose cut-off times for group work and deadlines for submission of conclusions to ensure that they are available in all six official languages of the United Nations for the closing plenary. The presiding officers will also work together to ensure consistency of information provided by the subsidiary bodies, including with regard to mandated events taking place during the sessions.

(c) Multilateral assessment working group session under the international assessment and review process

4. The multilateral assessment (MA) process is part of the international assessment and review (IAR) process established under the SBI for developed country Parties. The MA process takes the form of a working group session open to all Parties. During the MA working group sessions, developed country Parties' progress towards the achievement of emission reductions and removals related to their quantified economy-wide emission reduction targets is multilaterally assessed. The modalities and procedures for this process were adopted at the seventeenth session of the Conference of the Parties (COP).⁴

5. The first round of the IAR process was conducted in the period 2014–2015, during which 43 developed country Parties were multilaterally assessed on the basis of their first biennial reports and sixth national communications.⁵ The MA process for the second round of IAR, to be conducted in the period 2016–2017, will be launched at SBI 45, where 24 Parties will be multilaterally assessed.

<i>Further information</i>	<i><www.unfccc.int/9456></i>
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(d) Facilitative sharing of views under the international consultation and analysis process

6. COP 17 adopted the modalities and guidelines for the international consultation and analysis (ICA) process of biennial update reports (BURs) under the SBI.⁶ The ICA process aims to increase the transparency of mitigation actions by developing country Parties and their effects through analysis by technical experts in consultation with the Party concerned and through a facilitative sharing of views (FSV).

7. Following the modalities and guidelines referred to in paragraph 6 above, the first FSV was convened during SBI 44 for 13 developing country Parties. The second FSV will be convened at SBI 45 for the additional developing country Parties that have submitted a BUR and for which a summary report has been finalized by 30 September 2016.⁷ It will

² Available at <www.unfccc.int/9567>.

³ FCCC/SBI/2014/8, paragraphs 218–221.

⁴ Decision 2/CP.17, annex II.

⁵ See FCCC/SBI/2016/8, paragraph 19.

⁶ Decision 2/CP.17, annex IV.

⁷ Final summary reports are available at <http://unfccc.int/8722>.

take the form of a workshop open to all Parties. Interested Parties will be allowed to submit written questions in advance.

<i>Further information</i>	< www.unfccc.int/8621 >, < www.unfccc.int/8722 > and < www.unfccc.int/9382 >
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(e) Other mandated events

8. The Chair will inform the SBI of the organization of work of mandated events taking place during the session, as appropriate.

(f) Election of officers other than the Chair

9. *Background:* The SBI will elect its Vice-Chair and its Rapporteur. The current officers will remain in office until their successors are elected. Parties are invited to give active consideration to the nomination of women for elective posts.

10. When the SBI exercises its functions with regard to matters concerning the Kyoto Protocol, any member of its Bureau representing a Party to the Convention but, at that time, not a Party to the Kyoto Protocol shall be replaced by an additional member to be elected by and among the Parties to the Kyoto Protocol.

11. *Action:* The SBI will be invited to elect its officers at the earliest opportunity. If necessary, the SBI will be invited to elect additional officers to replace the Vice-Chair and/or Rapporteur representing a State that is a Party to the Convention but not to its Kyoto Protocol.

<i>Further information</i>	< www.unfccc.int/6558 >
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3. Reporting from and review of Parties included in Annex I to the Convention

(a) Status of submission and review of second biennial reports from Parties included in Annex I to the Convention

12. *Background:* COP 16 decided that Parties included in Annex I to the Convention (Annex I Parties) should enhance the reporting in national communications (NCs) and submit biennial reports that outline the progress made in achieving emission reductions and the provision of financial, technology and capacity-building support to Parties not included in Annex I to the Convention (non-Annex I Parties), building on existing reporting and review guidelines, processes and experiences.⁸

13. Developed country Parties were requested to submit their second biennial reports (BR2s) by 1 January 2016.⁹ As at 12 August 2016, the secretariat had received 43 BR2s and 43 corresponding BR2 common tabular format submissions.

14. *Action:* The SBI will be invited to take note of the status of submission and review of BR2s.

<i>FCCC/SBI/2016/INF.9</i>	<i>Status of submission and review of second biennial reports. Note by the secretariat</i>
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⁸ Decision 1/CP.16, paragraph 40.

⁹ Decision 2/CP.17, paragraph 13.

Further information <www.unfccc.int/7742> and <www.unfccc.int/7550>

(b) Compilation and synthesis of second biennial reports from Parties included in Annex I to the Convention

15. *Background:* The secretariat will prepare a compilation and synthesis report on the information reported by Annex I Parties in their BR2s for consideration at COP 22.¹⁰

16. *Action:* The SBI will be invited to consider the compilation and synthesis report and recommend a draft decision on this matter, as appropriate, for consideration and adoption at COP 22.

<i>FCCC/SBI/2016/INF.10</i>	<i>Compilation and synthesis of second biennial reports. Executive summary. Report by the secretariat</i>
<i>FCCC/SBI/2016/INF.10/Add.1</i>	<i>Compilation and synthesis of second biennial reports Addendum. Report by the secretariat</i>
<i>Further information</i>	< www.unfccc.int/7742 > and < www.unfccc.int/7550 >

(c) Revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications”

17. *Background:* SBI 44 continued the consideration, initiated at SBI 40, of the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part II: UNFCCC reporting guidelines on national communications” (hereinafter referred to as the UNFCCC reporting guidelines on NCs).

18. SBI 44 agreed on the text of the draft revised guidelines as contained in the report on the session,¹¹ except for the bracketed text in paragraph 71, and agreed that the consideration of the bracketed text would continue at SBI 45.¹²

19. *Action:* The SBI will be invited to consider the remaining issue in paragraph 71 of the draft revised guidelines and to recommend a draft decision on the revised guidelines for consideration and adoption at COP 22.

Further information <www.unfccc.int/1095>

(d) Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2014

20. *Background:* The secretariat prepares an annual report containing the latest information on greenhouse gas inventory data submitted by Annex I Parties for consideration by the COP and its subsidiary bodies.¹³ The 2016 report covers the period 1990–2014.

¹⁰ Decision 2/CP.17, paragraph 21.

¹¹ FCCC/SBI/2016/8, annex I.

¹² FCCC/SBI/2016/8, paragraphs 27 and 28.

¹³ See decision 13/CP.20.

21. *Action:* The SBI will be invited to take note of the information contained in the report.

<i>FCCC/SBI/2016/19</i>	<i>National greenhouse gas inventory data for the period 1990–2014. Report by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/9492></i>

(e) Compilation and accounting reports for Annex B Parties under the Kyoto Protocol

22. *Background:* The secretariat publishes annual compilation and accounting reports¹⁴ to be forwarded to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), the Compliance Committee and each Party concerned.

23. *Action:* The SBI will be invited to consider the 2016 reports and to recommend that CMP 12 take note of the information contained in them.

<i>FCCC/KP/CMP/2016/6</i>	<i>Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2016. Note by the secretariat</i>
<i>FCCC/KP/CMP/2016/6/Add.1</i>	<i>Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2016. Note by the secretariat. Addendum. Compilation and accounting information by Party</i>

4. Reporting from Parties not included in Annex I to the Convention

(a) Information contained in national communications from Parties not included in Annex I to the Convention

24. *Background:* At SBI 24, some Parties proposed that, in accordance with Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by non-Annex I Parties in all of their NCs.¹⁵ At SBI 44, this agenda sub-item was held in abeyance and the consultations held on how to move forward on this sub-item did not result in a consensus. On a proposal by the Chair, the SBI decided to include this sub-item on the provisional agenda for SBI 45.¹⁶

25. *Action:* The SBI will be invited to provide guidance on how to consider the information contained in NCs from non-Annex I Parties taking into account the relevant provisions of the Paris Agreement.

(b) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

26. *Background:* COP 19 decided to continue the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE)

¹⁴ See decision 13/CMP.1.

¹⁵ FCCC/SBI/2006/11, paragraph 32.

¹⁶ FCCC/SBI/2016/8, paragraph 7.

for a period of five years, from 2014 to 2018.¹⁷ As requested by the COP, the CGE will submit a progress report on its work for consideration at SBI 45.¹⁸

27. SBI 41 initiated the consideration of CGE membership and agreed that the discussion of this matter would continue at SBI 45,¹⁹ in conjunction with the review by the COP of the mandate and terms of reference of the CGE.²⁰

28. *Action:* The SBI will be invited to consider the progress reports contained in the documents prepared for the session and provide guidance, as appropriate, to the CGE. The SBI will also be invited to conclude its consideration of the membership of the CGE.

<i>FCCC/SBI/2016/16</i>	<i>Progress of work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Report by the secretariat</i>
<i>FCCC/SBI/2016/15</i>	<i>Regional training workshops on the preparation of biennial update reports from non-Annex I Parties. Report by the secretariat</i>
<i>FCCC/SBI/2016/17</i>	<i>Recommendations on elements to be considered in a future revision of the guidelines for the preparation of national communications from non-Annex I Parties. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/2608></i>

(c) Provision of financial and technical support

29. *Background:* The Global Environment Facility (GEF), as an operating entity of the Financial Mechanism of the Convention, provides financial support for the preparation of NCs and BURs of non-Annex I Parties.

30. The GEF will provide information on its activities relating to the preparation of NCs and BURs, including dates of requests for funding, approval of funding and disbursement of funds, and approximate dates for submission of NCs and BURs to the secretariat.²¹

31. The GEF will also provide in its report to COP 22 information on its progress in developing and implementing the Capacity-building Initiative for Transparency.²²

32. SBI 42 noted the requests from non-Annex I Parties for further technical support aimed at improving their domestic capacity for continuity in meeting reporting requirements and encouraged the secretariat, in coordination with the United Nations Environment Programme and the United Nations Development Programme, to make every effort to ensure that the relevant training is made available to all non-Annex I Parties.²³ As requested at SBI 44, the secretariat will report on progress made on this matter.²⁴

¹⁷ Decision 19/CP.19, paragraph 1.

¹⁸ Decision 19/CP.19, paragraph 7.

¹⁹ FCCC/SBI/2014/21, paragraph 138.

²⁰ Decision 19/CP.19, paragraph 8.

²¹ FCCC/SBI/2016/8, paragraph 32.

²² See decision 1/CP.21, paragraph 88, and document FCCC/SBI/2016/8, paragraph 39.

²³ FCCC/SBI/2015/10, paragraph 29.

²⁴ FCCC/SBI/2016/8, paragraph 41.

33. *Action:* The SBI will be invited to consider the information contained in the documents prepared for the session and to make recommendations, as appropriate, to the GEF.

<i>FCCC/SBI/2016/INF.18</i>	<i>Information provided by the Global Environment Facility on its activities relating to the preparation of national communications and biennial update reports. Note by the secretariat</i>
<i>FCCC/SBI/2016/INF.17</i>	<i>Regional training workshops on sustainable national greenhouse gas inventory management systems and the use of the “2006 IPCC Guidelines for National Greenhouse Gas Inventories”. Report by the secretariat</i>
<i>FCCC/CP/2016/6</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/6921></i>

(d) Summary reports on the technical analysis of biennial update reports of Parties not included in Annex I to the Convention

34. *Background:* COP 17 adopted the modalities and guidelines for ICA.²⁵ The ICA process includes a technical analysis of BURs by a team of experts and results in a summary report for each BUR submitted. The summary reports are made available on the UNFCCC website²⁶ and are presented to the SBI.²⁷

35. Five technical analysis rounds covering 27 BURs have been conducted by 31 July 2016. Three rounds were organized in 2015, covering 14 BURs. A fourth round was held from 29 February to 4 March 2016 for BURs submitted between 18 September and 18 December 2015. The fifth round was held from 13 to 17 June 2016 for BURs submitted between 19 December 2015 and 19 March 2016.

36. *Action:* The SBI will be invited to take note of the summary reports finalized in the period 1 March to 30 September 2016.

<i>Further information</i>	<i><www.unfccc.int/8722></i>
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5. Development of modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement

37. *Background:* As requested by COP 21, SBI 44 initiated the consideration of the development of the modalities and procedures for the operation and use of the public registry referred to in Article 4, paragraph 12, of the Paris Agreement, which will be forwarded for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA) at its first session.²⁸

²⁵ See paragraph 6 above.

²⁶ <www.unfccc.int/8722>.

²⁷ Decision 2/CP.17, annex IV, paragraphs 3(a), 4 and 5, and decision 20/CP.19, annex, paragraph 11.

²⁸ Decision 1/CP.21, paragraph 29.

38. *Action:* The SBI will be invited to continue its consideration of this matter.²⁹

6. Development of modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement

39. *Background:* In accordance with Article 7, paragraph 12, of the Paris Agreement, adaptation communications submitted by Parties shall be recorded in a public registry maintained by the secretariat. As agreed by Parties during SBI 44, this item was included on the SBI 44 agenda and considered at that session.³⁰

40. *Action:* The SBI will be invited to continue its consideration of this matter.³¹

7. Matters relating to the mechanisms under the Kyoto Protocol

(a) Review of the modalities and procedures for the clean development mechanism

41. *Background:* SBI 39 initiated the consideration of possible changes to the clean development mechanism (CDM) modalities and procedures.³² SBI 44 agreed that the consideration would continue at SBI 45 with a view to being concluded at that session. It requested the secretariat to prepare draft provisions containing definitions and/or requirements at a principle level on programmes of activities and roles of designated national authorities to supplement the current CDM modalities and procedures, based on the existing rules adopted by the Executive Board of the CDM.³³

42. *Action:* The SBI will be invited to conclude its work on this matter and to prepare a draft decision for consideration and adoption at CMP 12.³⁴

<i>FCCC/SBI/2016/INF.16</i>	<i>Draft provisions on programmes of activities and roles of designated national authorities to supplement the clean development mechanism modalities and procedures. Note by the secretariat</i>
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<i>Further information</i>	<i><www.unfccc.int/1673></i>
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(b) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism

43. *Background:* CMP 6 requested³⁵ the SBI to make recommendations for consideration and adoption at CMP 7 on procedures, mechanisms and institutional arrangements under the CMP to allow for appeals against decisions of the CDM Executive

²⁹ FCCC/SBI/2016/8, paragraph 47.

³⁰ FCCC/SBI/2016/8, paragraph 49.

³¹ FCCC/SBI/2016/8, paragraph 51.

³² In accordance with decision 5/CMP.8.

³³ FCCC/SBI/2016/8, paragraph 54.

³⁴ FCCC/SBI/2016/8, paragraph 56.

³⁵ Decision 3/CMP.6, paragraph 18.

Board, taking into account the recommendations of the Executive Board contained in its annual report.³⁶

44. SBI 44 agreed that the consideration of the matter, initiated at SBI 34, would continue at SBI 45 on the basis of, inter alia, the draft text contained in document FCCC/SBI/2012/33/Add.1.³⁷

45. *Action:* The SBI will be invited to conclude its consideration of this matter and to prepare a draft decision thereon for consideration and adoption at CMP 12.

(c) Report of the administrator of the international transaction log under the Kyoto Protocol

46. *Background:* CMP 1 requested the SBI to consider annual reports of the international transaction log (ITL) administrator with a view to the SBI requesting the CMP to provide guidance in relation to the operation of registry systems.³⁸

47. *Action:* The SBI will be invited to take note of the 2016 report of the ITL administrator.

<i>FCCC/SBI/2016/INF.20</i>	<i>Report of the administrator of the international transaction log under the Kyoto Protocol</i>
<i>Further information</i>	<i><www.unfccc.int/4065></i>

8. Matters relating to the least developed countries

48. *Background:* The Least Developed Countries Expert Group (LEG) is mandated to develop a two-year rolling programme of work for consideration by the SBI at its first sessional meeting of each year and to report on its work to the SBI at each of its sessions.³⁹ SBI 44 welcomed⁴⁰ the 2016–2017 work programme.⁴¹

49. The 30th meeting of the LEG is planned to take place from 7 to 10 September 2016 in Monrovia.

50. *Action:* The SBI will be invited to consider the information contained in the documents prepared for the session and take further action, as appropriate.

<i>FCCC/SBI/2016/18</i>	<i>The 30th meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/7504> and <www.unfccc.int/7568></i>

9. National adaptation plans

51. *Background:* COP 18 and 19 invited United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to support the process to formulate and implement national adaptation plans (NAPs) in the

³⁶ FCCC/KP/CMP/2010/10, annex II.

³⁷ FCCC/SBI/2016/8, paragraph 63.

³⁸ Decision 12/CMP.1, paragraph 11.

³⁹ Decision 6/CP.16, paragraph 3.

⁴⁰ FCCC/SBI/2016/8, paragraph 63.

⁴¹ FCCC/SBI/2016/7, annex I.

least developed countries (LDC) Parties⁴² and in developing country Parties that are not LDCs⁴³ and, where possible, to consider establishing or enhancing support programmes for the process to formulate and implement NAPs, which could facilitate financial and technical support to these LDC Parties and other developing country Parties, drawing upon the work of, and where appropriate in consultation with, the LEG, and to keep the SBI informed, through the secretariat, on how the Parties have responded to this invitation. The LEG has prepared an information document on progress in the process to formulate and implement NAPs.

52. COP 21 mandated the LEG and the Adaptation Committee (AC) to undertake work on accessing funding from the Green Climate Fund for the process to formulate and implement NAPs;⁴⁴ this work is reflected in their reports.

53. *Action:* The SBI will be invited to consider the information contained in the documents prepared for the session and to recommend, as appropriate, a draft decision on this matter for consideration and adoption at COP 22.

<i>FCCC/SBI/2016/18</i>	<i>The 30th meeting of the Least Developed Countries Expert Group. Report by the secretariat</i>
<i>FCCC/SB/2016/2</i>	<i>Report of the Adaptation Committee</i>
<i>FCCC/SBI/2016/INF.11</i>	<i>Progress in the process to formulate and implement national adaptation plans. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/7500> and <www.unfccc.int/7279></i>

10. Report of the Adaptation Committee

54. *Background:* COP 17 requested the AC to report annually to the COP through the subsidiary bodies.⁴⁵ COP 21 welcomed the workplan of the AC for the period 2016–2018.⁴⁶ The workplan was subsequently revised by the AC to reflect new mandates from decision 1/CP.21.⁴⁷ Two regular meetings of the AC were planned for 2016: the 9th meeting was convened from 1 to 3 March in Bonn, Germany, and the 10th meeting will be held from 13 to 16 September, also in Bonn.

55. *Action:* The SBI and the SBSTA will be invited to consider the report of the AC and recommend draft conclusions or a draft decision resulting from the implementation of its workplan for consideration and adoption at COP 22.

<i>FCCC/SB/2016/2</i>	<i>Report of the Adaptation Committee</i>
<i>Further information</i>	<i><www.unfccc.int/6053></i>

⁴² Decision 12/CP.18, paragraph 8.

⁴³ Decision 18/CP.19, paragraph 5.

⁴⁴ Decision 4/CP.21, paragraph 10.

⁴⁵ Decision 2/CP.17, paragraph 96.

⁴⁶ Decision 3/CP.21, paragraph 2.

⁴⁷ The revised, flexible workplan is available at <<http://unfccc.int/7517>>.

11. Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

56. *Background:* COP 20 approved the initial two-year workplan of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts.⁴⁸ It requested the Executive Committee to report annually to the COP through the subsidiary bodies and to make recommendations, as appropriate.⁴⁹ In 2016, the Executive Committee convened its 2nd and 3rd meetings in Bonn from 2 to 5 February and from 26 to 30 April, respectively, and will convene its 4th meeting from 19 to 23 September, also in Bonn, with a view to advancing the implementation of its workplan, including the development of its draft five-year rolling workplan for consideration at COP 22.

57. COP 21 requested the Executive Committee to establish a clearing house for risk transfer that serves as a repository for information on insurance and risk transfer,⁵⁰ and a task force to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change.⁵¹ It also requested the Executive Committee to report on progress thereon in its annual report.⁵²

58. *Action:* The SBI and the SBSTA will be invited to consider the report of the Executive Committee, including its recommendations to the COP arising from the implementation of its workplan as well as its draft five-year rolling workplan, and recommend draft conclusions or a draft decision for consideration and adoption at COP 22.

FCCC/SB/2016/3

Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

Further information

<www.unfccc.int/7545> and <www.unfccc.int/6056>

12. Development and transfer of technologies

(a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network

59. *Background:* COP 20 decided that the Technology Executive Committee (TEC) and the Climate Technology Centre and Network (CTCN) shall continue to prepare a joint annual report to the COP, through the subsidiary bodies, on their respective activities and the performance of their respective functions.⁵³ In 2016, the TEC convened its 12th meeting from 5 to 8 April and its 13th meeting from 6 to 9 September, both in Bonn. The Advisory Board of the CTCN held its 7th meeting from 11 to 13 April 2016 in Vienna and its 8th meeting from 23 to 25 August 2016 in Copenhagen.

⁴⁸ Decision 2/CP.20, paragraph 1.

⁴⁹ Decision 2/CP.20, paragraph 4.

⁵⁰ Decision 1/CP.21, paragraph 48.

⁵¹ Decision 1/CP.21, paragraph 49.

⁵² Decision 1/CP.21, paragraph 50.

⁵³ Decision 17/CP.20, paragraph 4.

60. *Action:* The SBI and SBSTA will be invited to consider the joint annual report of the TEC and the CTCN and recommend a draft decision for consideration and adoption at COP 22.

<i>FCCC/SB/2016/1</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2016</i>
<i>Further information</i>	<i><www.unfccc.int/ttclear></i>

(b) Scope and modalities for the periodic assessment of the Technology Mechanism in relation to supporting the implementation of the Paris Agreement

61. *Background:* COP 21 decided to undertake a periodic assessment of the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer.⁵⁴

62. SBI 44 initiated the elaboration of the scope and modalities for this periodic assessment, and invited Parties and observer organizations to submit their views⁵⁵ on this matter by 25 January 2017. It requested the secretariat to prepare a compilation and synthesis report of those views for consideration at SBI 46.

63. *Action:* The SBI will be invited to determine further actions on this matter, as appropriate.

(c) Poznan strategic programme on technology transfer

64. *Background:* SBI 34 invited the GEF to provide reports on the progress made in carrying out its activities under the Poznan strategic programme on technology transfer for consideration at SBI sessions for the duration of the programme.⁵⁶

65. SBI 43 welcomed the final report⁵⁷ of the TEC on the evaluation of the Poznan strategic programme on technology transfer undertaken with the aim of enhancing the effectiveness of the Technology Mechanism,⁵⁸ and encouraged the GEF and other relevant financial entities to consider the recommendations included in that report.⁵⁹

66. *Action:* The SBI will be invited to consider the relevant information in the report of the GEF with a view to determining further action, as appropriate.

<i>FCCC/CP/2016/6</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>Further information</i>	<i><http://www.thegef.org/gef/TT_poznan_strategic_program> and <www.unfccc.int/ttclear></i>

⁵⁴ Decision 1/CP.21, paragraph 69.

⁵⁵ Parties should submit their views via the submission portal at <http://www.unfccc.int/5900>.

Observer organizations should e-mail their submissions to <secretariat@unfccc.int>.

⁵⁶ FCCC/SBI/2011/7, paragraph 137.

⁵⁷ FCCC/SBI/2015/16.

⁵⁸ FCCC/SBI/2015/22, paragraph 75.

⁵⁹ FCCC/SBI/2015/22, paragraph 77.

13. Terms of reference for the review of the functions of the Standing Committee on Finance

67. *Background:* COP 21 decided that the review of the functions of the Standing Committee on Finance (SCF) would be initiated at COP 22. It also requested SBI 45 to prepare draft terms of reference for the review on the basis of the views on this matter submitted by members of the SCF, Parties and observer organizations by 21 September 2016, compiled by the secretariat into a miscellaneous document.⁶⁰

68. *Action:* The SBI will be invited to prepare the draft terms of reference for the review for consideration and adoption at COP 22.

<i>FCCC/CP/2016/MISC.1</i>	<i>Views on the terms of reference for the review of the functions of the Standing Committee on Finance</i>
<i>Further information</i>	<i><www.unfccc.int/6877></i>

14. Capacity-building in developing countries

(a) Third comprehensive review of the implementation of the framework for capacity-building under the Convention

69. *Background:* COP 21 adopted the terms of reference for the third comprehensive review of the implementation of the framework for capacity-building in developing countries established under decision 2/CP.7 (hereinafter referred to as the capacity-building framework) and requested SBI 44 to conduct the review.⁶¹ SBI 44 agreed to continue the consideration of this matter at SBI 45 on the basis of the draft decision text contained in the annex to document FCCC/SBI/2016/L.21.⁶²

70. The 5th meeting of the Durban Forum on capacity-building was held during SBI 44. The secretariat has prepared a summary report on the meeting.⁶³

71. *Action:* The SBI will be invited to conclude its consideration of the third comprehensive review of the implementation of the capacity-building framework and recommend a draft decision on this matter for consideration and adoption at COP 22.

<i>FCCC/SBI/2016/14</i>	<i>The 5th meeting of the Durban Forum on capacity-building. Summary report by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/1033> and <www.unfccc.int/7060></i>

(b) Third comprehensive review of the implementation of the framework for capacity-building under the Kyoto Protocol

72. *Background:* CMP 11 requested SBI 44 to conduct, on the basis of the terms of reference contained in the annex to decision 14/CP.21, the third comprehensive review of the implementation of the capacity-building framework, established under decision 2/CP.7

⁶⁰ Decision 6/CP.21, paragraphs 9–11.

⁶¹ Decision 14/CP.21, paragraphs 1 and 2.

⁶² FCCC/SBI/2016/8, paragraph 98.

⁶³ In accordance with decision 2/CP.17, paragraph 147.

and reaffirmed under decision 9/CMP.11, paragraph 1. SBI 44 agreed to continue the consideration of this matter at SBI 45.⁶⁴

73. *Action:* The SBI will be invited to conclude its consideration of the third comprehensive review of the capacity-building framework in developing countries and recommend a draft decision on this matter for consideration and adoption at CMP 12.

<i>FCCC/SBI/2016/14</i>	<i>The 5th meeting of the Durban Forum on capacity-building. Summary report by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/1033> and <www.unfccc.int/9439></i>

(c) Paris Committee on Capacity-building

74. *Background:* COP 21 established the Paris Committee on Capacity-building (PCCB), whose aim will be to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhancing capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention.⁶⁵ As requested at COP 21, SBI 44 developed the terms of reference for the PCCB and recommended a draft decision on this matter for consideration and adoption at COP 22.⁶⁶

75. As invited at SBI 44, Parties may submit⁶⁷ for consideration at SBI 45 their views on the annual focus area or theme for the PCCB for 2017 and on which representatives of the bodies established under the Convention and of the operating entities of the Financial Mechanism should be invited to the first meeting of the PCCB.⁶⁸

76. *Action:* The SBI will be invited to consider these views and make a recommendation on this matter for consideration and adoption at COP 22.

<i>Further information</i>	<i><www.unfccc.int/1033> and <www.unfccc.int/7060></i>
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15. Impact of the implementation of response measures

(a) Improved forum and work programme

77. *Background:* SBI 44 and SBSTA 44 convened the first meeting of the improved forum on the impact of the implementation of response measures in accordance with decision 11/CP.21 and adopted the three-year work programme on the impact of the implementation of response measures as annexed to the reports on those sessions,⁶⁹ under the guidance of the Chairs of the SBI and the SBSTA.

78. In accordance with the agreed work programme referred to in paragraph 77 above, Parties and observer organizations will submit their views and experiences on economic diversification and transformation and on a just transition of the workforce and the creation of decent work and quality jobs⁷⁰ and the secretariat will prepare:

⁶⁴ FCCC/SBI/2016/8, paragraph 99.

⁶⁵ Decision 1/CP.21, paragraph 71.

⁶⁶ Draft decision contained in document FCCC/SBI/2016/8/Add.1.

⁶⁷ Parties should submit their views via the submission portal at <<http://www.unfccc.int/5900>>.

⁶⁸ FCCC/SBI/2016/8, paragraph 103.

⁶⁹ FCCC/SBI/2016/8, annex II, and FCCC/SBSTA/2016/2, annex I.

⁷⁰ Parties should submit their views via the submission portal at <<http://www.unfccc.int/5900>>.

Observer organizations should e-mail their submissions to <secretariat@unfccc.int>.

(a) A technical paper on a just transition of the workforce and the creation of decent work and quality jobs;

(b) A report on the workshop on views and experiences on economic diversification and transformation and on a just transition of the workforce and the creation of decent work and quality jobs. The workshop will be held from 2 to 4 October in Doha.

79. *Action:* The Chairs of the SBI and the SBSTA will convene an in-forum discussion to consider the documents prepared for the session and the outcome of the workshop referred to in paragraph 78(b) above with a view to agreeing on priority areas, including constituting an ad hoc technical expert group, as deemed necessary.

<i>FCCC/SB/2016/INF.2</i>	<i>Workshop on views and experiences on economic diversification and transformation and on a just transition of the workforce and the creation of decent work and quality jobs. Report by the secretariat</i>
<i>FCCC/TP/2016/7</i>	<i>Just transition of the workforce and the creation of decent work and quality jobs. Technical paper by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/4908></i>

(b) Modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures

80. *Background:* COP 21 decided that the forum on the impact of the implementation of response measures under the subsidiary bodies shall continue and shall serve the Paris Agreement. It also decided that the SBSTA and the SBI shall recommend, for consideration and adoption at CMA 1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Paris Agreement.⁷¹

81. SBI 44 and SBSTA 44 invited Parties and observer organizations to submit their views on the modalities, work programme and functions under the Paris Agreement of the forum on the impact of the implementation of response measures,⁷² and agreed that consideration of this matter would continue at SBI 45 and SBSTA 45 taking into consideration these submissions.

82. *Action:* The SBI and the SBSTA will be invited to advance work on developing the modalities, work programme and functions of the forum, drawing on the submissions referred to in paragraph 81 above.

(c) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

(d) Progress on the implementation of decision 1/CP.10

83. *Background:* SBI 44 agreed to consider these agenda sub-items jointly with the SBI 44 and SBSTA 44 sub-item “Improved forum and work programme” in a joint SBI/SBSTA

⁷¹ Decision 1/CP.21, paragraph 33.

⁷² Parties should submit their views via the submission portal at <<http://www.unfccc.int/5900>>. Observer organizations should e-mail their submissions to <secretariat@unfccc.int>.

forum. SBI 44 also agreed that consultations on how to take up these matters would continue at SBI 45.⁷³

84. *Action:* The SBI will be invited to agree on how to conclude these matters.

16. Gender and climate change

85. *Background:* The SBI agreed to continue its consideration of this matter at SBI 45, with a view to preparing a draft decision for consideration and adoption at COP 22.⁷⁴

86. During SBI 44, as part of the Lima work programme on gender, the secretariat organized an in-session workshop on gender-responsive climate policy with a focus on adaptation, capacity-building and training for delegates on gender issues, and will prepare a report on this workshop for consideration at SBI 45.⁷⁵

87. Parties and observer organizations may submit their views on possible elements and guiding principles for continuing and enhancing the Lima work programme on gender, by 29 August 2016, taking into account recommendations and insights resulting from activities already completed under the work programme.⁷⁶ The secretariat will compile those submissions into a miscellaneous document.⁷⁷

88. *Action:* The SBI will be invited to continue its consideration of this item, including the documents prepared for the session, and to provide further guidance, as appropriate.

<i>FCCC/SBI/2016/10</i>	<i>In-session workshop on gender-responsive climate policy with a focus on adaptation, capacity-building and training for delegates on gender issues. Report by the secretariat</i>
<i>FCCC/SBI/2016/MISC.2</i>	<i>Possible elements and guiding principles for continuing and enhancing the Lima work programme on gender. Submissions from Parties and observer organizations</i>
<i>Further information</i>	<i><www.unfccc.int/7516></i>

17. Administrative, financial and institutional matters

(a) Budget performance for the biennium 2016–2017

89. *Background:* A report on budget performance and programme delivery for the first six months of the biennium and a report on the status of indicative contributions from Parties as at 21 October 2016 to the Trust Fund for the Core Budget of the UNFCCC and the Trust Fund for the International Transaction Log, and of voluntary contributions made to all of the trust funds of the UNFCCC, have been prepared for the session.

90. As requested at SBI 44, the Executive Secretary has prepared an information note on the revised indicative contributions for the biennium 2016–2017 pursuant to United Nations

⁷³ FCCC/SBI/2016/8, paragraphs 128 and 129.

⁷⁴ FCCC/SBI/2016/8, paragraph 142.

⁷⁵ In accordance with decision 18/CP.20, paragraph 12.

⁷⁶ Parties should submit their views via the submission portal at <<http://www.unfccc.int/5900>>.

Observer organizations should e-mail their submissions to <secretariat@unfccc.int>.

⁷⁷ FCCC/SBI/2016/8, paragraph 140.

General Assembly resolution A/RES/70/245 adopting the United Nations scale of assessments for the period 2016–2018 for consideration at SBI 45.⁷⁸

91. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session and any additional relevant oral information provided by the Executive Secretary, and to decide on actions that may need to be included in draft decisions on administrative and financial matters to be recommended for consideration and adoption at COP 22 and CMP 12.

<i>FCCC/SBI/2016/13</i>	<i>Budget performance for the biennium 2016–2017 as at 30 June 2016. Note by the Executive Secretary</i>
<i>FCCC/SBI/2016/INF.19</i>	<i>Status of contributions as at 21 October 2016. Note by the secretariat</i>
<i>FCCC/SBI/2016/INF.15</i>	<i>Revised indicative contributions for the biennium 2016–2017. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/1065></i>

(b) Audit report and financial statements for 2015

92. *Background:* The financial statements for the year 2015 have been audited by the United Nations Board of Auditors.

93. *Action:* The SBI will be invited to take note of the information contained in the documents prepared for the session.

<i>FCCC/SBI/2016/INF.12</i>	<i>Financial report and audited financial statements for the year 2015 and report of the United Nations Board of Auditors. Note by the Executive Secretary</i>
<i>FCCC/SBI/2016/INF.12/Add.1</i>	<i>Financial report and audited financial statements for the year 2015 and report of the United Nations Board of Auditors. Note by the Executive Secretary. Addendum</i>
<i>Further information</i>	<i><www.unfccc.int/1065></i>

(c) Other financial matters

94. *Background:* At SBI 44, the Chair informed the SBI that, in response to a request from several Parties, the secretariat will prepare an information note on the evolving functions and operations of the secretariat in the light of decision 1/CP.21. The Chair also informed the SBI that this note will be considered at SBI 45, with the expectation that consideration would continue at SBI 46.

95. As requested at SBI 44, the secretariat will prepare an information document to further elaborate on document FCCC/SBI/2016/INF.5, including on the range of options for improving the efficiency and transparency of the UNFCCC budget process included in paragraph 47 of that document.⁷⁹

96. *Action:* The SBI will be invited to consider the information contained in the documents prepared for the session and determine further action, as appropriate.

⁷⁸ FCCC/SBI/2016/8, paragraph 174.

⁷⁹ FCCC/SBI/2016/8, paragraph 175.

<i>FCCC/SBI/2016/INF.14</i>	<i>Elaboration of possible structures and bodies within the United Nations system that may inform Parties in making the budget process more efficient and transparent. Note by the Executive Secretary</i>
<i>FCCC/SBI/2016/INF.13</i>	<i>Evolving functions and operations of the secretariat in the light of decision 1/CP.21. Note by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/1065></i>

18. Reports on activities related to the implementation of Article 6 of the Convention

97. *Background:* COP 18 adopted the Doha work programme on Article 6 of the Convention and established an annual dialogue to enhance work in this area.⁸⁰ As noted at SBI 43, as of that session the activities performed to support the implementation of Article 6 of the Convention were to be referred to as “Action for Climate Empowerment”.⁸¹ The 4th Dialogue on Action for Climate Empowerment was held during SBI 44 with a focus on public participation, public awareness and public access to information and on international cooperation in these areas.

98. Under the Doha work programme, the COP also requested the secretariat to facilitate a regular exchange of views, good practices and lessons learned in a network of national focal points for Article 6 of the Convention through the organization of workshops.⁸² A workshop for these national focal points was held in Bonn on 27 May 2016.⁸³

99. *Action:* The SBI will be invited to take note of the reports on the events referred to in paragraphs 97 and 98 above.

<i>FCCC/SBI/2016/11</i>	<i>The 4th Dialogue on Action for Climate Empowerment. Report by the secretariat</i>
<i>FCCC/SBI/2016/12</i>	<i>Workshop to support the implementation of the Doha work programme on Article 6 of the Convention. Report by the secretariat</i>
<i>Further information</i>	<i><www.unfccc.int/8942> and <www.unfccc.int/9414></i>

19. Other matters

100. Any other matters arising during the session will be taken up under this agenda item.

20. Closure of and report on the session

101. After the draft report on the session is presented to the SBI for consideration and adoption, the Chair will declare the session closed.

⁸⁰ Decision 15/CP.18.

⁸¹ FCCC/SBI/2015/22, paragraph 106.

⁸² Decision 15/CP.18, annex, paragraph 35(d).

⁸³ See <<http://newsroom.unfccc.int/climate-action/action-for-climate-empowerment-workshop/>>.