



Subsidiary Body for Implementation

**Report of the Subsidiary Body for Implementation on its
forty-fourth session, held in Bonn from 16 to 26 May 2016**

Addendum

**Draft decisions forwarded for consideration and adoption by the
Conference of the Parties and the Conference of the Parties
serving as the meeting of the Parties to the Kyoto Protocol**

Contents

	<i>Page</i>
Draft decision -/CP.22. Outcome of the first round of the international assessment and review process (2014–2015)	2
Draft decision -/CP.22. Paris Committee on Capacity-building.....	3
Draft decision -/CP.22. Improving the effectiveness of the Doha work programme on Article 6 of the Convention	6
Draft decision -/CP.22. Financial and budgetary matters.....	9
Draft decision -/CMP.12. Financial and budgetary matters	10
Draft decision -/CMP.12. Review of the joint implementation guidelines.....	11
Draft Decision -/CMP.12. Third review of the Adaptation Fund.....	12



Draft decision -/CP.22

Outcome of the first round of the international assessment and review process (2014–2015)

The Conference of the Parties,

Noting that the Subsidiary Body for Implementation has concluded its consideration of the outcome of the first round of the international assessment and review process referred to in decision 2/CP.17, annex II, paragraph 12,

Recalling decision 2/CP.17, paragraph 26,

1. *Welcomes* the implementation of the first round of the international assessment and review process, as outlined in decision 2/CP.17, annex II, paragraph 3;
2. *Invites* Parties¹ to submit by 1 March 2017 their views on the revision of the modalities and procedures for international assessment and review on the basis of the experience gained in the first round of international assessment and review;
3. *Requests* the Subsidiary Body for Implementation to revise the modalities and procedures for international assessment and review on the basis of the experience gained in the first round of international assessment and review, taking into account any submissions received from Parties, with a view to recommending revised modalities and procedures for consideration and adoption at the twenty-third session of the Conference of the Parties (November 2017).

¹ Parties should submit their views via the submissions portal at <<http://www.unfccc.int/5900>>.

Draft decision -/CP.22

Paris Committee on Capacity-building

The Conference of the Parties,

Recalling decision 1/CP.21, paragraph 76, requesting the Subsidiary Body for Implementation to develop the terms of reference for the Paris Committee on Capacity-building in the context of the third comprehensive review of the implementation of the framework for capacity-building in developing countries, with a view to recommending a draft decision on this matter for consideration and adoption by the Conference of the Parties at its twenty-second session,

1. *Adopts* the terms of reference for the Paris Committee on Capacity-building established under decision 1/CP.21, paragraph 71, as contained in the annex;
2. *Reaffirms* that the objective of the Paris Committee on Capacity-building is to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhance capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention;
3. *Recalls* that the Conference of the Parties, at its twenty-fifth session (November 2019), will review the progress, need for extension, the effectiveness and enhancement of the Paris Committee on Capacity-building;
4. *Also recalls* that the Paris Committee on Capacity-building will manage and oversee the workplan for the period 2016–2020 outlined in decision 1/CP.21, paragraph 73;
5. *Requests* the Paris Committee on Capacity-building to further develop and adopt its working modalities and procedures at its first meeting;
6. *Also requests* the Subsidiary Body for Implementation to organize the first meeting of the Paris Committee on Capacity-building in conjunction with the forty-sixth sessions of the subsidiary bodies (May 2017);
7. *Takes note* of the budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in the annex;
8. *Requests* that the actions of the secretariat called for in the annex be undertaken subject to the availability of financial resources.

Annex

Terms of reference for the Paris Committee on Capacity-building

1. In accordance with decision 1/CP.21, paragraph 71, the objective of the Paris Committee on Capacity-building (hereinafter referred to as the Committee) is to address gaps and needs, both current and emerging, in implementing capacity-building in developing country Parties and further enhancing capacity-building efforts, including with regard to coherence and coordination in capacity-building activities under the Convention.
2. The Committee shall be composed of the following twelve members nominated by Parties, who shall serve in their personal capacity:
 - (a) Two members from each of the five United Nations regional groups;
 - (b) One member from the least developed countries;
 - (c) One member from the small island developing States.
3. Six representatives from bodies established under the Convention and from the operating entities of the Financial Mechanism will be invited to participate in all the meetings of the Committee in line with the annual theme of the Committee.
4. Members identified in paragraph 2 above shall be nominated by their respective groups or constituencies and elected by the Conference of the Parties. Groups or constituencies are encouraged to nominate members identified in paragraph 2 above to the Committee with a view to achieving an appropriate balance of experts relevant to the aims of the Committee, taking into account the goal to achieve gender balance in accordance with decisions 36/CP.7 and 23/CP.18.
5. Members identified in paragraph 2 above shall serve a term of two years and shall be eligible to serve a maximum of two consecutive terms in office. The following rules shall apply:
 - (a) Half of the members shall be elected initially for a term of three years, and half shall be elected for a term of two years;
 - (b) Thereafter, the Conference of the Parties shall elect half of the members every year for a term of two years.
6. Representatives identified in paragraph 3 above shall be invited for a term of one year.
7. If a member of the Committee identified in paragraph 2 resigns or is otherwise unable to complete the assigned term of office or to perform the functions of that office, the Committee may decide, bearing in mind the proximity of the next session of the Conference of the Parties, to appoint another member from the same group or constituency to replace said member for the remainder of that member's mandate, in which case the appointment shall count as one term.
8. The Committee shall elect annually two co-chairs from among its members identified in paragraph 2 above to serve for a term of one year each.
9. If a co-chair is temporarily unable to fulfil the obligations of the office, any other member identified in paragraph 2 above designated by the Committee shall serve as co-chair.

10. If a co-chair is unable to complete the term of office, the Committee shall elect a replacement from among the members identified in paragraph 2 above to complete that term of office.
11. The Committee will meet during annual in-session meetings to be organized by the Subsidiary Body for Implementation.
12. The Committee shall decide on its annual focus area or theme, recalling decision 1/CP.21, paragraph 74, related to enhanced technical exchange on capacity-building, with the purpose of maintaining up-to-date knowledge on the successes and challenges in building capacity effectively in a particular area, and will report on this work in its annual technical progress report.
13. The Committee shall further develop and adopt its working modalities and procedures at its first meeting, and revise them as necessary.
14. The Committee may invite other bodies established under the Convention and the operating entities of the Financial Mechanism of the Convention to identify representatives to collaborate, as appropriate, on specific activities related to its work.
15. The Committee may engage with and draw upon the expertise from relevant institutions, organizations, frameworks, networks and centres outside the Convention, including at the intergovernmental, regional, national and subnational levels, where appropriate.
16. The meetings will be open to attendance by Parties and admitted observer organizations, except where otherwise decided by the Committee, with a view to encouraging a balanced regional representation of observers.
17. The Committee will prepare annual technical progress reports on its work for submission to the Conference of the Parties through the Subsidiary Body of Implementation, and will make these reports available at the sessions of the Subsidiary Body for Implementation coinciding with the sessions of the Conference of the Parties.
18. The proceedings and outputs of the Committee shall be made publicly available on the UNFCCC website.
19. The secretariat will support and facilitate the work of the Committee subject to the availability of resources.
20. The working language of the Committee will be English.
21. Members of the Committee shall reach their decisions by consensus.

Draft decision -/CP.22

Improving the effectiveness of the Doha work programme on Article 6 of the Convention

The Conference of the Parties,

Recalling Articles 4 and 6 of the Convention,

Also recalling decisions 15/CP.18, 19/CP.20 and 15/CP.21,

Further recalling Article 12 of the Paris Agreement, which states that Parties shall cooperate in taking measures, as appropriate, to enhance climate change education, training, public awareness, public participation and public access to information, recognizing the importance of these steps with respect to enhancing climate change adaptation and mitigation actions under the Agreement,

Recognizing that ensuring the availability of sufficient financial and technical resources continues to be a challenge for the adequate implementation of Article 6 of the Convention for all Parties, particularly developing country Parties,

Reaffirming the key role that youth, women and civil society organizations play in the implementation of Article 6 of the Convention,

Acknowledging the valuable support provided by international organizations, including the members of the United Nations Alliance on Climate Change Education, Training and Public Awareness, in strengthening international cooperation to scale up all elements of Article 6 of the Convention, including through the implementation of regional, national and local projects,

Having completed the intermediate review of the Doha work programme on Article 6 of the Convention,

1. *Recognizes* that progress has been made by Parties and other relevant stakeholders in planning, coordinating and implementing climate change education, training, public awareness, public participation and public access to information, as well as international cooperation on these matters;
2. *Encourages* Parties to continue to promote the systematic integration of gender-sensitive and participatory education, training, public awareness, public participation and public access to information into all mitigation and adaptation activities implemented under the Convention, as well as under the Paris Agreement, including into the implementation of their nationally determined contributions and the formulation of long-term low greenhouse gas emission development strategies;
3. *Also encourages* Parties to foster the participation of relevant stakeholders in all mitigation and adaptation activities implemented under the Convention;
4. *Urges* Parties, intergovernmental organizations, non-governmental organizations, academia, research institutions, the private sector, state and local governments and youth to continue to implement policies and activities pursuant to Article 6 of the Convention;
5. *Invites* Parties to enhance cross-sectoral coordination among all ministries dealing with climate change and ministries with responsibilities for education, training, public awareness and international cooperation;
6. *Encourages* Parties to submit information as part of their national communications, and where possible in other reporting under the Convention, on their actions taken to

implement the Doha work programme on Article 6 of the Convention and to share their experiences and best practices for the purpose of reviewing the Doha work programme in 2020, noting that the six elements of Article 6 of the Convention provide a useful guide for such reporting;

7. *Also encourages* Parties that have not already done so to designate a national focal point for Article 6 of the Convention and to inform the secretariat accordingly;

8. *Welcomes* the initiative of the Government of Morocco, as the host of the twenty-second session of the Conference of the Parties and the twelfth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, to organize an education day during those sessions;

9. *Encourages* the presidencies of subsequent sessions to hold similar thematic events related to Article 6 of the Convention to that referred to in paragraph 8 above;

10. *Invites* multilateral and bilateral institutions and organizations, including operating entities of the Financial Mechanism, to provide, or to continue to provide, financial resources to support activities related to the implementation of Article 6 of the Convention;

11. *Requests* the Global Environment Facility to continue to provide financial resources to Parties not included in Annex I to the Convention, in particular African countries, the least developed countries and small island developing States, in order to support activities related to the implementation of Article 6 of the Convention;

12. *Invites* relevant international organizations, including United Nations organizations, such as the members of the United Nations Alliance on Climate Change Education, Training and Public Awareness, and the United Nations regional commissions, to continue supporting Parties and stakeholders in the implementation of the Doha work programme by:

(a) Organizing regional and subregional multi-stakeholder workshops on Article 6 of the Convention to facilitate a regular exchange of views, good practices and lessons learned;

(b) Providing technical and financial support for enhancing the implementation of the Doha work programme;

(c) Supporting the development of national strategies on Article 6 of the Convention;

(d) Disseminating information and resource materials, as well as good practices, on the six elements of Article 6 of the Convention;

13. *Requests* the secretariat:

(a) To continue facilitating a regular exchange of views, good practices and lessons learned among the national focal points for Article 6 of the Convention;

(b) To organize workshops, videoconferences and activities at the international and regional levels in order to build and strengthen the existing skills and capacity of the national focal points for Article 6 of the Convention, taking into account opportunities to integrate such efforts into workshops held by relevant bodies under the Convention;

(c) To explore ways to develop linkages, synergies and alignment with technical assistance activities being organized in the context of the work of relevant bodies under the Convention, including the in-session Dialogues on Action for Climate Empowerment;

(d) To continue collaborating with admitted observer organizations, other stakeholders and international organizations, such as the members of the United Nations

Alliance on Climate Change Education, Training and Public Awareness, with a view to catalysing further action in fulfilment of Article 6 of the Convention;

(e) To continue its work on the United Nations Joint Framework Initiative on Children, Youth and Climate Change in order to enhance the involvement and participation of youth in activities related to Article 6 of the Convention;

(f) To cease the maintenance and development of the climate change information network clearing house CC:iNet and to integrate its featured content into other UNFCCC web-based resources and communication activities, including the UNFCCC website, the UNFCCC Newsroom and multiple UNFCCC social media outlets, as a way to enhance the implementation of Article 6 of the Convention;

(g) To continue to collect the contact details of the nominated national focal points for Article 6 of the Convention and to update the designated UNFCCC web page;

(h) To organize, in collaboration with the Government of Morocco, a multi-stakeholder education day to showcase and galvanize good practices in implementing the Doha work programme;

14. *Decides* that efforts related to the implementation of Article 6 of the Convention shall be referred to as Action for Climate Empowerment;

15. *Takes note* of the estimated budgetary implications of the activities to be undertaken by the secretariat referred to in paragraph 13 above;

16. *Requests* that the actions of the secretariat called for in this decision be undertaken subject to the availability of financial resources.

Draft decision -/CP.22**Financial and budgetary matters**

The Conference of the Parties,

Recalling decision 12/CP.15, table 2, in relation to the possible upgrading of the position of Assistant Secretary-General to Under-Secretary-General and the possible upgrading of one position from D-2 to Assistant Secretary-General level,

Also recalling decision 22/CP.21 on the programme budget for the biennium 2016–2017,

Taking note of the Secretary-General's proposal to the Bureau of the Conference of the Parties made in February 2016 to upgrade the position of Executive Secretary from Assistant Secretary-General to Under-Secretary-General,

Noting that the Bureau welcomed and endorsed the proposal of the Secretary-General,

Taking note of the Secretary-General's decision to upgrade one of the D-2 positions included in the approved staffing table to the level of Assistant Secretary-General to serve as Deputy Executive Secretary and to implement this decision once the new Executive Secretary assumes office,

1. *Approves* that the upgrade of the current Assistant Secretary-General position to the level of Under-Secretary-General be reflected in the approved staffing table for the biennium 2016–2017;
2. *Decides* that any additional costs resulting from the approval in paragraph 1 above and the upgrading of a D-2 position will be absorbed from existing resources available under the approved programme budget for the biennium 2016–2017;
3. *Also decides* that one of the three D-2 positions contained in the approved staffing table for the biennium 2016–2017 shall be abolished as soon as the Deputy Executive Secretary assumes office at the level of Assistant Secretary-General.

Draft decision -/CMP.12

Financial and budgetary matters

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Taking note of decision -/CP.22,

Having considered the proposed revised staffing table for the biennium 2016–2017,

Endorses decision -/CP.22 on the revised staffing table for the biennium 2016–2017 within the programme budget approved for this biennium.

Draft decision -/CMP.12**Review of the joint implementation guidelines**

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling decision 9/CMP.1, which sets out the guidelines for the implementation of Article 6 of the Kyoto Protocol (hereinafter referred to as the joint implementation guidelines), and decisions 4/CMP.6, 11/CMP.7 and 6/CMP.8 in relation to the review of the joint implementation guidelines,

Recognizing that the level of activity in relation to joint implementation has significantly decreased,

1. *Commends* the work done by the Subsidiary Body for Implementation and the Joint Implementation Supervisory Committee over the past years in responding to the requests of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol pursuant to decision 6/CMP.8, paragraphs 14 and 15, and subsequent decisions, in relation to the review of the joint implementation guidelines;
2. *Decides* to conclude its review of the joint implementation guidelines without adopting any revisions to them;
3. *Notes* that the draft conclusions of the Subsidiary Body for Implementation contained in document FCCC/SBI/2016/L.8 represent experience gained and lessons learned from joint implementation in relation to the review of the joint implementation guidelines.

Draft decision -/CMP.12

Third review of the Adaptation Fund

The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,

Recalling decisions 1/CMP.3 and 6/CMP.6 on the three-year review cycle for the Adaptation Fund,

Also recalling decisions 2/CMP.9 and 2/CMP.10,

Further recalling decision 1/CP.21,

1. *Decides* that the third review of the Adaptation Fund will be undertaken in accordance with the terms of reference contained in the annex;
2. *Requests* the Adaptation Fund Board to make available in its report to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its thirteenth session (November 2017) information on the financial status of the Adaptation Fund with a view to the third review of the Adaptation Fund being finalized at the same session;
3. *Invites* Parties and observer organizations, as well as other interested international organizations, stakeholders and non-governmental organizations involved in the activities of the Adaptation Fund and implementing entities accredited by the Adaptation Fund Board, to submit by 30 April 2017 their views on the third review of the Adaptation Fund based on the terms of reference contained in the annex, for consideration by the Subsidiary Body for Implementation at its forty-sixth session (May 2017);¹
4. *Requests* the secretariat, in collaboration with the Adaptation Fund Board secretariat, to prepare a technical paper on the third review of the Adaptation Fund, in accordance with the terms of reference contained in the annex, taking into account the deliberations and conclusions of the Subsidiary Body for Implementation at its forty-sixth session and the views referred to in paragraph 3 above, for consideration by the Subsidiary Body for Implementation at its forty-seventh session (November 2017);
5. *Also requests* the Subsidiary Body for Implementation to complete its work on the third review of the Adaptation Fund at its forty-seventh session with a view to recommending a draft decision on the matter for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its thirteenth session.

¹ Parties should submit their views via the submissions portal at <<http://www.unfccc.int/5900>>. Observer organizations should e-mail their submissions to <secretariat@unfccc.int>.

Annex

Terms of reference for the third review of the Adaptation Fund

I. Objective

1. The objective of the third review of the Adaptation Fund is to ensure the effectiveness, sustainability and adequacy of the fund and its operations with a view to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) adopting a decision on the matter at CMP 13 (November 2017).

II. Scope

2. The scope of the review will cover the progress made to date and lessons learned in the operationalization and implementation of the fund and will focus on, inter alia:

(a) The provision of sustainable, predictable and adequate financial resources and the mobilization of financial resources to fund concrete adaptation projects and programmes that are country-driven and based on the needs, views and priorities of eligible developing country Parties;

(b) Lessons learned from:

(i) The application of the access modalities of the Adaptation Fund, including its operational policies and guidelines, including its Streamlined Accreditation Process;

(ii) The project approval procedures of the Adaptation Fund;

(iii) The results and impacts of approved adaptation projects and programmes;

(iv) The readiness programme for direct access to climate finance, including the component aimed at increasing South–South cooperation between accredited national implementing entities and those seeking accreditation;

(v) The pilot programme for regional projects;

(c) Programming and project coherence and complementarity between the Adaptation Fund and other institutions funding adaptation projects and programmes, in particular institutions under the Convention and the operating entities of the Financial Mechanism and its specialized funds;

(d) The institutional arrangements for the Adaptation Fund, in particular the arrangements with the interim secretariat and the interim trustee.

III. Sources of information

3. The review shall draw upon, inter alia, the following sources of information:

(a) Submissions from Parties to the Kyoto Protocol, observer organizations, other interested international organizations, stakeholders and non-governmental organizations involved in the activities of the Adaptation Fund and implementing entities accredited by the Adaptation Fund Board on their experiences regarding the Adaptation Fund;

- (b) The annual reports of the Global Environment Facility (GEF) to the Conference of the Parties (COP) on its activities as an operating entity of the Financial Mechanism, including the information on the Least Developed Countries Fund and the Special Climate Change Fund, and other relevant GEF policy, information and evaluation documents;
- (c) The annual reports of the Green Climate Fund (GCF) to the COP on its activities as an operating entity of the Financial Mechanism and other relevant GCF policy and information documents;
- (d) The report of the Adaptation Fund Board to the CMP, the Adaptation Fund annual performance report for the most recent fiscal year and the outcomes of the initial and second reviews of the Adaptation Fund;
- (e) The outcomes and reports emanating from United Nations processes, relevant bilateral and multilateral funding institutions and other intergovernmental and non-governmental organizations dealing with climate change financing;
- (f) The reports of the Standing Committee on Finance;
- (g) The reports on the work programme on long-term finance;¹
- (h) The reports of the Least Developed Countries Expert Group, the Adaptation Committee and the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;
- (i) The technical paper and summary for policymakers arising from the technical examination process on adaptation in 2016;
- (j) The report on the independent evaluation of the Adaptation Fund (stage 1).²

¹ FCCC/CP/2012/3 and FCCC/CP/2013/7.

² Available at <https://www.adaptation-fund.org/wp-content/uploads/2015/09/AFB.EFC_.17.3-Evaluation-of-the-Fund-stage-I.pdf>.