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**Conference of the Parties**  
**Twenty-second session**  
**Marrakech, 7–18 November 2016**

Item 10(c) of the provisional agenda

**Matters relating to finance**

**Report of the Green Climate Fund to the Conference of the Parties  
and guidance to the Green Climate Fund**

**Report of the Green Climate Fund to the Conference of the  
Parties**

**Revised note by the secretariat**

**Addendum**

An addendum to the fifth report of the Green Climate Fund (GCF) to the Conference of the Parties,<sup>1</sup> submitted in line with the GCF Board decision B.13/08, is contained in the annex. The text in the annex is reproduced as submitted, without formal editing.\*\*

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\* Reissued for technical reasons on 7 November 2016.

<sup>1</sup> FCCC/CP/2016/7/Rev.1.

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**Annex**



28 October 2016

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# Addendum to the fifth report of the Green Climate Fund to the Conference of the Parties to the United Nations Framework Convention on Climate Change

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## **Summary**

The summary below includes an update on the progress achieved by the GCF with respect to the guidance received from the Conference of the Parties to the United Nations Framework Convention on Climate Change following the fourteenth meeting of the Board of the GCF (B.14), which took place from 12 to 14 October 2016 in Songdo, Incheon, Republic of Korea.



## Executive summary

1. The summary below includes an update on the progress achieved by the GCF with respect to the guidance received from the Conference of the Parties to the United Nations Framework Convention on Climate Change following the fourteenth meeting of the Board of the GCF (B.14), which took place from 12 to 14 October 2016 in Songdo, Incheon, Republic of Korea.

2. As at 20 October 2016, the GCF had approved a total of USD 1,187 million in support to developing countries through funding proposals, the readiness and preparatory support programme, and the project preparation facility, and a total of USD 1,005 million in 2016 alone.

3. The GCF will hold an additional Board meeting this year from 13 to 15 December 2016 in Apia, Samoa, where its Board will further consider funding proposals and matters mandated to it by the Conference of the Parties to the United Nations Framework Convention on Climate Change.

4. The GCF Board approved 10 funding proposals at B.14 totaling USD 745 million. Taking into account co-financing, the 10 projects and programmes are expected to have a total value of USD 2.6 billion, and will help 27 countries across the globe to reduce their emissions and adapt to the impacts of climate change. This brings total GCF funding proposal approvals to date to USD 1,169.5 million, and USD 1,001 million in 2016. 21 funding proposals approved have been public sector, totalling USD 562.1 million. 6 funding proposals approved have come through the GCF's Private Sector Facility, totalling USD 607.5 million, and will leverage an estimated USD 9.4 billion in on-ground investment. Contributions to the following projects and programmes were approved at B.14, including the first funding proposal from the enhanced direct access pilot programme:

- (a) USD 37 million for Scaling-up of glacial lake outburst flood risk reduction in Northern Pakistan with the United Nations Development Programme (UNDP);
- (b) USD 41.2 million for Priming financial and land-use planning Instruments to reduce emissions from deforestation with UNDP;
- (c) USD 80 million for a Sustainable energy facility for the Eastern Caribbean with the Inter-American Development Bank (IDB);
- (d) EUR 15 million for the Senegal integrated urban flood management project with Agence Française de Développement (AFD);
- (e) USD 39.3 million for the Development of argan orchards in degraded environment with the Agency for Agricultural Development of Morocco (ADA Morocco);
- (f) USD 9.5 million for Climate resilient agriculture in three of the vulnerable extreme northern crop growing regions of Namibia with the Environmental Investment Fund (EIF);
- (g) USD 10 million for Empower to adapt: creating climate change resilient livelihoods through community-based natural resource management in Namibia with EIF (enhanced direct access pilot programme);
- (h) USD 378 million for Sustainable energy financing facilities with the European Bank for Reconstruction and Development (EBRD);
- (i) USD 53.5 million for Sustainable landscapes in Eastern Madagascar with the Conservation International Foundation (CI)/European Investment Bank (EIB); and
- (j) USD 80 million for the Universal green energy access programme with Deutsche Bank AG.

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Further information on these projects and programmes can be found on the GCF website (<https://www.greenclimate.fund/projects/browse-projects>).

5. At B.14, the GCF Board accredited eight additional entities, bringing the total number of entities accredited to the GCF to 41. Of the 41 accredited entities, 18 are direct access national and regional entities, including 2 which are private sector entities. The following entities were accredited by the Board at B.14:

- (k) Banque Ouest Africaine de Développement (West African Development Bank, BOAD), based in Togo;
- (l) The Caribbean Development Bank (CDB), based in Barbados;
- (m) Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH (GIZ), based in Germany;
- (n) The Food and Agriculture Organization of the United Nations (FAO), based in Italy;
- (o) The International Fund for Agricultural Development (IFAD), based in Italy;
- (p) Nederlandse Financierings-Maatschappij voor Ontwikkelingslanden N.V. (FMO), based in the Netherlands;
- (q) The South African National Biodiversity Institute (SANBI), based in South Africa; and
- (r) XacBank LLC (XacBank), based in Mongolia.

6. To date, the GCF has approved USD 16 million in readiness and preparatory support to 57 countries. Out of those 57 countries, 37 countries are small island developing States, the least developed countries and African States and 23 countries have their readiness support under implementation, with a total value of USD 3.8 million.

7. The Board also considered how to provide support for facilitating access to environmentally sound technologies, and collaborative research and development. Through decision B.14/02<sup>1</sup>, the Board acknowledged that current GCF modalities enable support for technology development and transfer, including for facilitating access to environmentally sound technologies and for collaborative research and development; decided to continue collaboration with the Technology Mechanism and requested the Secretariat to prepare a document identifying concrete options on how to support collaborative research and development in developing countries for consideration at its seventeenth meeting.

8. The Board recognized the need to support the development and implementation of national REDD-plus strategies or action plans and investment plans, including through the Readiness and Preparatory Support Programme, through decision B.14/03<sup>2</sup>. The Board further requested the Secretariat to develop a request for proposals for REDD-plus results-based payments for consideration by the Board at its sixteenth meeting.

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<sup>1</sup> Final number of decision is subject to publication of the compendium of decisions on the GCF website

<sup>2</sup> Final number of decision is subject to publication of the compendium of decision on the GCF website

**Table 1: Update on the outcomes of the fourteenth meeting of the Board**

Guidance from the Conference of the Parties	Action by the Green Climate Fund
<p><b>National adaptation plans</b></p> <p>Further requests the Green Climate Fund to expedite support for the least developed countries and other developing country Parties for the formulation of national adaptation plans, consistent with decisions 1/CP.16 and 5/CP.17, and for the subsequent implementation of policies, projects and programmes identified by them</p> <p><i>Decision 1/CP.21, paragraph 46</i></p>	<p>At B.13, the Board established a separate activity area under the Readiness and Preparatory Support Programme for the formulation of national adaptation plans and delegated authority to the Executive Director to approve up to USD 3 million per country for the formulation of national adaptation plans and other national planning processes.</p> <p>As at the end of September 2016, two draft proposals for the formulation of national adaptation plans have been submitted and are being processed currently by the Secretariat: (1) proposal from the United Nations Environment Programme (UNEP) to support the national adaptation plan of Nepal, and (2) a proposal from the United Nations Development Programme (UNDP) to support the national adaptation plan of Liberia, 12 countries are currently working with accredited entities and delivery partners to develop their NAPs readiness proposals</p>
<p><b>Simplified and efficient application and approval procedures, including readiness support</b></p> <p>Urges the institutions serving the Agreement to enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least developed countries and small island developing States, as appropriate</p> <p><i>Decision 1/CP.21, paragraph 64</i></p>	<p>The GCF has approved proposals for readiness and preparatory support in 57 countries, with a total value of USD 15.9 million. 37 of these 57 countries are small island developing States, the least developed countries and African States. Legal arrangements have been completed with 28 countries, including the signing of three framework agreements with UNDP, UNEP and Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH (GIZ). To date, 23 countries have their readiness support under implementation with a total value of USD 3.8million.</p> <p>The Ministry of Natural Resources of Rwanda received project preparation support worth USD 1.5 million for the preparation of the Rural Green Economy and Climate Resilient Development Programme, which is currently under the implementation stage.</p> <p>The GCF Board will consider a simplified proposal approval process at its fifteenth meeting (December 2016)</p>

<b>Approval process</b>	
<p>Welcomes the aspirations of the Board of the Green Climate Fund to approve proposals in 2016 to a value of USD 2.5 billion</p> <p><i>Decision 7/CP.21, paragraph 5</i></p>	<p>In 2016, the Board has approved funding proposals worth a total of USD 1001 million of GCF financing, with a total value of USD 3.2 billion. This brings GCF approved projects to a total USD 1.17 billion. The Board aims to further consider funding proposals at its last Board meeting of the year, to be held from 13 to 15 December 2016</p>
<b>REDD-plus</b>	
<p>Recognizes the importance of adequate and predictable financial resources, including for results-based payments, as appropriate, for the implementation of policy approaches and positive incentives for reducing emissions from deforestation and forest degradation, and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks; as well as alternative policy approaches, such as joint mitigation and adaptation approaches for the integral and sustainable management of forests; while reaffirming the importance of non-carbon benefits associated with such approaches; encouraging the coordination of support from, inter alia, public and private, bilateral and multilateral sources, such as the Green Climate Fund, and alternative sources in accordance with relevant decisions by the Conference of the Parties</p> <p><i>Decision 1/CP.21, paragraph 54</i></p> <p>Urges the Board of the Green Climate Fund to operationalize results-based payments for activities referred to in decision 1/CP.16, paragraph 70, consistent with decision 9/CP.19, and in accordance with Green Climate Fund Board decision B.08/08</p> <p><i>Decision 7/CP.21, paragraph 23</i></p>	<p>Through decision B.14/03, the Board recognized the need to complement other sources and types of finance, and that the GCF can support the development of national REDD-plus strategies or action plans and investment plans, including through the Readiness and Preparatory Support Programme, and also support the implementation of national REDD-plus strategies or action plans</p> <p>The Board is scheduled to consider at its sixteenth meeting, a request for proposals for REDD-plus results-based payments, including guidance consistent with the Warsaw Framework for REDD-plus and other REDD-plus decisions under the United Nations Framework Convention on Climate Change</p> <p>Furthermore, it will also consider guidance to support efforts by national designated authorities and focal points to engage with the GCF in the early phases of REDD-plus using existing GCF modalities, tools and programmes at its sixteenth meeting</p> <p>The Board has also invited representatives from the United Nations Programme on Reducing Emissions from Deforestation and Forest Degradation to present on matters related to support for REDD+ at the fourteenth meeting of the Board.</p>



<b>Fund replenishment</b>	
<p>Requests the Board of the Green Climate Fund to agree on the arrangements for the first formal replenishment process of the Green Climate Fund as soon as feasible</p> <p><i>Decision 7/CP.21, paragraph 10</i></p>	<p>The Co-Chairs of the Board have been undertaking consultations on the first formal replenishment process of the GCF and will present the outcomes of their consultations, including proposed policies for the formal replenishment process, at the fifteenth meeting of the Board</p>
<b>Accreditation</b>	
<p>Urges the Board of the Green Climate Fund to streamline the accreditation modalities and to seek a balance of diversity in accredited entities</p> <p><i>Decision 7/CP.21, paragraph 16</i></p>	<p>Through decisions B.14/10 and B.14/11, the Board has accredited a further eight entities to the GCF, bringing the total number of accredited entities to 41. Of the 41 accredited entities, 16 are direct access entities, 6 are private sector entities and 19 are international entities</p> <p>Furthermore, through decision B.14/08 the Board decided that future accreditation decisions by the Board should aim to bring forward accredited entities that fill the mandate on balance, diversity and coverage and advance the objectives of the GCF</p> <p>It also decided to prioritize in 2016 and 2017 the following entities:</p> <ul style="list-style-type: none"> <li>• National direct access entities;</li> <li>• Entities in the Asia-Pacific and Eastern European regions;</li> <li>• Private sector entities, in particular those in developing countries, seeking a balance of diversity of entities;</li> <li>• Entities responding to requests for proposals issued by the GCF;</li> <li>• Entities seeking the fulfilment of conditions for accreditation; and</li> <li>• Entities requesting upgrades</li> </ul> <p>In addition, the Board has also agreed to consider how to refine the method and criteria for prioritization for consideration and, in order to increase efficiency, to develop modalities for the use of third-party evidence for consideration at its sixteenth meeting.</p>

<b>Technology matters</b>	
<p>Also invites the Board of the Green Climate Fund, in line with paragraph 38 of the governing instrument of the Green Climate Fund, to consider ways to provide support, pursuant to the modalities of the Green Climate Fund, for facilitating access to environmentally sound technologies in developing country Parties, and for undertaking collaborative research and development for enabling developing country Parties to enhance their mitigation and adaptation action</p> <p><i>Decision 7/CP.21, paragraph 22</i></p> <p>Invites the Board of the Green Climate Fund, in line with paragraph 38 of the governing instrument of the Green Climate Fund, to consider ways to provide support, pursuant to the modalities of the Green Climate Fund, for facilitating access to environmentally sound technologies in developing country Parties, and for undertaking collaborative research and development for enabling developing country Parties to enhance their mitigation and adaptation action</p> <p><i>Decision 13/CP.21, paragraph 10</i></p>	<p>Through decision B.14/02, the Board acknowledged that current GCF modalities enable support for technology development and transfer, including for facilitating access to environmentally sound technologies and for collaborative research and development</p> <p>Furthermore, it decided to continue enhancing cooperation and coherence of engagement with the Technology Executive Committee and the Climate Technology Centre and Network, pursuant to decisions B.13/10 to B.13/12, including through requesting the Secretariat to provide recommendations on further steps to enhance cooperation and coherence for consideration by the seventeenth meeting of the Board</p> <p>The Board has also agreed to consider concrete options on how to support collaborative research and development in developing countries at its seventeenth meeting</p> <p>The Board has also invited the Chair of the Technology Executive Committee and the Chair of the Advisory Board of the Climate Technology Center and Network to present on matters related to the technology at the fourteenth meeting of the Board.</p>
<b>Resource allocation</b>	
<p>The GCF will indicate in its reports actions it has undertaken to balance the allocation of resources between adaptation and mitigation activities under the Fund</p> <p>Decision 5/CP.19, annex, paragraph 14</p>	<p>The 10 approved projects and programmes are balanced across the GCF thematic windows: 57% of the approved GCF funding target mitigation results and 43% target adaptation results</p> <p>For more information, see annex II</p>

<b>Privileges and Immunities</b>	
<p>Requests the Board of the Green Climate Fund to report biennially to the Conference of the Parties on the status of existing privileges and immunities with regard to its operational activities, starting at the twenty-first session of the Conference of the Parties</p> <p><i>Decision 7/CP.20, paragraph 22</i></p>	<p>The Secretariat is actively pursuing negotiation both with developed and developing countries and has sent draft agreements on the privileges and immunities of the GCF to well over 100 countries</p> <p>Four agreements have been signed with Antigua and Barbuda, Namibia, Papua New Guinea and Solomon Islands as at 17 October 2016, totalling eight agreements on privileges and immunities signed to date, and good progress is being made in the negotiations with a number of other countries</p>

**Annex I: List of approved funding proposals**

No.	Project name	Accredited entity acronym	Country/region	Theme	Public/private	GCF funding (in USD million) <i>a</i>
FP 001	Building the resilience of wetlands in the Province of Datem del Marañón in Peru	Profonanpe	Peru	Cross-cutting	Public	6.2
FP 002	Scaling up the use of modernized climate information and early warning systems in Malawi	UNDP	Malawi	Adaptation	Public	12.3
FP 003	Increasing the resilience of ecosystems and communities through the restoration of the productive bases of salinized lands in Senegal	CSE	Senegal	Adaptation	Public	7.6
FP 004	Climate resilient infrastructure mainstreaming in Bangladesh	KfW	Bangladesh	Adaptation	Public	40
FP 005	KawiSafi Ventures fund in Eastern Africa	Acumen	Multiple (Africa)	Cross-cutting	Private	25
FP 006	Energy efficiency green bond in Latin America and the Caribbean	IDB	Multiple (Latin America)	Mitigation	Private	22
FP 007	Supporting vulnerable communities in Maldives to manage climate change-induced water shortages	UNDP	Maldives	Adaptation	Public	23.6
FP 008	Urban water supply and wastewater management project in Fiji	ADB	Fiji	Cross-cutting	Public	31
FP 009	Energy savings insurance (ESI) for private energy efficiency investments by small- and medium-sized enterprises (SMEs)	IDB	El Salvador	Mitigation	Public	21.7
FP 010	De-risking and scaling-up investment in energy efficient building retrofits	UNDP	Armenia	Mitigation	Public	20
FP 011	Large-scale ecosystem-based adaptation in the Gambia: developing a climate-resilient, natural resource-based economy	UNEP	Gambia	Adaptation	Public	20.5
FP 012	Africa hydromet program – strengthening climate resilience in sub-Saharan Africa: Mali country project	WB	Mali	Adaptation	Public	22.8



No.	Project name	Accredited entity acronym	Country/region	Theme	Public/private	GCF funding (in USD million) <sup>a</sup>
FP 013	Improving the resilience of vulnerable coastal communities to climate change related impacts in Viet Nam	UNDP	Viet Nam	Cross-cutting	Public	29.5
FP 014	Project to support the World Bank's Climate Adaptation and Mitigation Program for the Aral Sea Basin (CAMP4ASB) in Tajikistan and Uzbekistan	WB	Tajikistan and Uzbekistan	Adaptation	Public	19
FP 015	Tuvalu coastal adaptation project (TCAP)	UNDP	Tuvalu	Adaptation	Public	36
FP 016	Strengthening the resilience of smallholder farmers in the dry zone to climate variability and extreme events in Sri Lanka	UNDP	Sri Lanka	Adaptation	Public	38.1
FP 017	Climate action and solar energy development programme in the Tarapacá Region in Chile	CAF	Chile	Cross-cutting	Private	49
FP 018	Scaling-up of glacial lake outburst flood (GLOF) risk reduction in Northern Pakistan	UNDP	Pakistan	Adaptation	Public	37
FP 019	Priming financial and land-use planning Instruments to reduce emissions from deforestation	UNDP	Ecuador	Mitigation	Public	41.2
FP 020	Sustainable energy facility for the Eastern Caribbean	IDB	Dominica, Grenada, Saint Kitts and Nevis, Saint Lucia, and Saint Vincent and the Grenadines	Mitigation	Public	80
FP 021	Senegal integrated urban flood management project <sup>b</sup>	AFD	Senegal	Adaptation	Public	16.7
FP 022	Development of argan orchards in degraded environment	ADA Morocco	Morocco	Cross-cutting	Public	39.3



No.	Project name	Accredited entity acronym	Country/region	Theme	Public/private	GCF funding (in USD million) <sup>a</sup>
FP 023	Climate resilient agriculture in three of the vulnerable extreme northern crop growing regions (CRAVE)	EIF	Namibia	Adaptation	Public	9.5
FP 024	Empower to adapt: creating climate change resilient livelihoods through community-based natural resource management in Namibia	EIF	Namibia	Adaptation	Public (enhanced direct access)	10
FP 025	Sustainable energy financing facilities (SEFF)	EBRD	Armenia, Egypt, Georgia, Jordan, Mongolia, Morocco, Republic of Moldova, Serbia, Tajikistan and Tunisia	Cross-cutting	Private	378
FP 026	Sustainable landscapes in Eastern Madagascar	CI/EIB	Madagascar	Cross-cutting	Private	53.5
FP 027	Universal green energy access programme (UGEAP)	Deutsche Bank AG	Benin, Kenya, Namibia, Nigeria and United Republic of Tanzania	Mitigation	Private	80
<b>Total GCF funding</b>						<b>1169.5</b>

<sup>a</sup>The amounts are rounded to the nearest tenth.

<sup>b</sup>The GCF requested amount is in euros and is converted into United States dollars at the United Nations operational rates of exchange as at 1 September 2016 (USD/EUR=0.897).

*Abbreviations:* see annex II for the full meanings of the accredited entity acronyms.

## Annex II: Entities accredited to the GCF (as at 14 October 2016)<sup>1</sup>

Legal entity name	Acronym	Country	Entity type
Acumen Fund, Inc.	Acumen	United States of America	Regional
Africa Finance Corporation	AFC	Nigeria	International
African Development Bank	AfDB	Côte d'Ivoire	International
Agence Française de Développement	AFD	France	International
Agency for Agricultural Development of Morocco	ADA Morocco	Morocco	National
Asian Development Bank	ADB	Philippines	International
Banque Ouest Africaine de Développement (West African Development Bank)	BOAD	Togo	Regional
Caribbean Community Climate Change Centre	CCCCC	Belize	Regional
Caribbean Development Bank	CDB	Barbados	Regional
Centre de Suivi Ecologique	CSE	Senegal	National
Conservation International Foundation	CI	United States of America	International
Corporación Andina de Fomento	CAF	Venezuela (Bolivarian Republic of)	Regional

<sup>1</sup> The list of accredited entities and more details relating to their accreditation is available on the GCF website at <http://www.greenclimate.fund/partners/accredited-entities/ae-directory>

<b>Legal entity name</b>	<b>Acronym</b>	<b>Country</b>	<b>Entity type</b>
Crédit Agricole Corporate and Investment Bank	Crédit Agricole CIB	France	International
Deutsche Bank AktienGesellschaft	Deutsche Bank AG	Germany	International
Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH	GIZ	Germany	International
Development Bank of Southern Africa	DBSA	South Africa	Regional
Environmental Investment Fund	EIF	Namibia	National
European Bank for Reconstruction and Development	EBRD	United Kingdom	International
European Investment Bank	EIB	Luxembourg	International
Food and Agriculture Organization of the United Nations	FAO	Italy	International
HSBC Holdings plc and its subsidiaries	HSBC	United Kingdom	International
Inter-American Development Bank	IDB	United States of America	International
International Bank for Reconstruction and Development and International Development Association	World Bank	United States of America	International
International Finance Corporation	IFC	United States of America	International
International Fund for Agricultural Development	IFAD	Italy	International
International Union for Conservation of Nature	IUCN	Switzerland	International
Kreditanstalt für Wiederaufbau	KfW	Germany	International



<b>Legal entity name</b>	<b>Acronym</b>	<b>Country</b>	<b>Entity type</b>
Ministry of Finance and Economic Cooperation of the Federal Democratic Republic of Ethiopia	MOFEC	Ethiopia	National
Ministry of Natural Resources of Rwanda	MINIRENA	Rwanda	National
National Bank for Agriculture and Rural Development	NABARD	India	National
National Environment Management Authority of Kenya	NEMA	Kenya	National
Nederlandse Financierings-Maatschappij voor Ontwikkelingslanden N.V.	FMO	Netherlands	International
Peruvian Trust Fund for National Parks and Protected Areas	Profonanpe	Peru	National
Secretariat of the Pacific Regional Environment Programme	SPREP	Samoa	Regional
South African National Biodiversity Institute	SANBI	South Africa	National
Unidad para el Cambio Rural (Unit for Rural Change) of Argentina	UCAR	Argentina	National
United Nations Development Programme	UNDP	United States of America	International
United Nations Environment Programme	UNEP	Kenya	International
World Food Programme	WFP	Italy	International
World Meteorological Organization	WMO	Switzerland	International
XacBank LLC	XacBank	Mongolia	National



## Annex III: Pledge Tracker

Status of Pledges for GCF's Initial Resource Mobilization (IRM) as of 17 October 2016

Calculated on basis of reference exchange rates established for GCF's High-Level Pledging Conference (GCF/BM-2015/Inf.01)

(\*In millions)

Governments	Pledges					Grant Equivalent <sup>1</sup>			
	Announced		Signed	Disbursed Cash and Deposited PNs	Announced Per Capita	of Pledged Amount	of Signed Amount	of Pledged Amount	
	In Currency*	USD eq. <sup>2</sup>	USD eq. <sup>2</sup>	In Currency*	USD eq. <sup>2</sup>	USD eq. <sup>2</sup>	USD eq. <sup>2</sup>	Current FX <sup>3</sup>	
<b>Amounts Announced, Signed, and Disbursed</b>									
Australia	AUD	200.2	187.1	187.1	130.7	7.92	187.1	187.1	153.3
Austria	EUR	26.0	34.8	34.8	14.0	4.09	34.8	34.8	29.2
Belgium	EUR	50.0	66.9	66.9	50.0	6.22	66.9	66.9	56.2
Belgium (Brussels Capital Regi)	EUR	1.1	1.5	1.5	1.1	1.30	1.5	1.5	1.2
Belgium (Flanders)	EUR	3.5	4.7	4.7	3.5	0.70	4.7	4.7	3.9
Belgium (Wallonia) (1)	USD	1.5	1.5	1.5	1.5		1.5	1.5	1.5
Belgium (Wallonia) (2)	EUR	7.0	9.4	9.4	7.0	3.00	9.4	9.4	7.9
Bulgaria	EUR	0.1	0.1	0.1	0.1	0.02	0.1	0.1	0.1
Canada (Grant)	CAD	168.0	155.1	155.1	168.0		155.1	155.1	128.0
Canada (Loan)	CAD	110.0	101.6	101.6	-	7.80	20.0	20.0	16.5
Canada (Cushion)	CAD	22.0	20.3	20.3	-		-	-	-
Chile	USD	0.3	0.3	0.3	0.3	0.02	0.3	0.3	0.3
Colombia	USD	0.3	0.3	0.3	0.3	0.12	0.3	0.3	0.3
Czech Republic	CZK	110.0	5.3	5.3	110.0	0.50	5.3	5.3	4.6
Denmark	DKK	400.0	71.8	71.8	200.0	12.82	71.8	71.8	60.4
Estonia	EUR	1.0	1.3	1.3	1.0	1.00	1.3	1.3	1.1
Finland	EUR	34.7	46.4	46.4	34.7	19.82	46.4	46.4	39.0
France (Grant)	EUR	432.0	577.9	577.9	167.8		577.9	577.9	485.3
France (Loan)	EUR	285.0	381.3	381.3	-	16.03	105.1	105.1	88.3
France (Cushion)	EUR	57.0	76.3	76.3	-		-	-	-
France (Paris)	EUR	1.0	1.3	1.3	0.3	0.10	1.3	1.3	1.1
Germany	EUR	750.0	1,003.3	1,003.3	187.5	12.13	1,003.3	1,003.3	842.6
Hungary	HUF	1,000.0	4.3	4.3	1,000.0	0.40	4.3	4.3	3.6
Iceland	USD	0.3	0.3	0.3	0.3	0.50	0.3	0.3	0.3
Indonesia	USD	0.3	0.3	0.3	0.2	0.00	0.3	0.3	0.3
Italy	EUR	50.0	66.9	66.9	50.0	5.47	66.9	66.9	56.2
Japan	JPY	154,028.7	1,500.0	1,500.0	38,507.2	11.81	1,500.0	1,500.0	1519.8
Latvia	EUR	0.4	0.5	0.5	0.4	0.23	0.5	0.5	0.4
Liechtenstein	CHF	0.1	0.1	0.1	0.1	1.50	0.1	0.1	0.1
Lithuania	EUR	0.1	0.1	0.1	0.1	0.04	0.1	0.1	0.1
Luxembourg	EUR	25.0	33.4	33.4	15.0	93.60	33.4	33.4	28.1
Malta	EUR	0.2	0.2	0.2	0.2	0.20	0.2	0.2	0.2
Mexico	USD	10.0	10.0	10.0	10.0	0.08	10.0	10.0	10.0
Monaco	EUR	0.3	0.3	0.3	0.3	8.80	0.3	0.3	0.3
Netherlands	EUR	100.0	133.8	133.8	17.4	7.96	133.8	133.8	112.3
New Zealand	NZD	3.0	2.6	2.6	3.0	0.56	2.6	2.6	2.2
Norway	NOK	1,600.0	257.9	257.9	800.0	50.56	257.9	257.9	200.4
Panama	USD	1.0	1.0	1.0	0.5	0.26	1.0	1.0	1.0
Poland	PLN	0.4	0.1	0.1	0.4	0.00	0.1	0.1	0.1
Portugal	EUR	2.0	2.7	2.7	2.0	0.30	2.7	2.7	2.2
Republic of Korea <sup>5</sup>	USD	100.0	100.0	100.0	35.7	2.02	100.0	100.0	100.0
Romania	EUR	0.1	0.1	0.1	0.1	0.00	0.1	0.1	0.1
Spain <sup>6</sup>	EUR	120.0	160.5	160.5	1.0	3.40	160.5	160.5	134.8
Sweden	SEK	4,000.0	581.2	581.2	4,000.0	60.54	581.2	581.2	466.5
Switzerland	USD	100.0	100.0	100.0	65.0	12.20	100.0	100.0	100.0
United Kingdom <sup>7</sup>	GBP	720.0	1,211.0	1,211.0	240.0	19.07	1,211.0	1,211.0	946.0
United States of America <sup>8</sup>	USD	3,000.0	3,000.0	3,000.0	500.0	9.30	3,000.0	3,000.0	3,000.0
<b>Total</b>			<b>9,915.5</b>	<b>9,915.5</b>			<b>9,461.3</b>	<b>9,461.3</b>	<b>8605.7</b>
<b>Amounts Announced but Not Yet Signed</b>									
Colombia	USD	5.7	5.7	-	-	0.12	5.7	-	5.7
Cyprus	EUR	0.4	0.5	-	-	0.40	0.5	-	0.4
Finland	EUR	45.3	60.6	-	-	19.82	60.6	-	50.9
Iceland <sup>9</sup>	USD	1.0	1.0	-	-	5.00	1.0	-	1.0
Ireland	EUR	2.0	2.7	-	-	0.59	2.7	-	2.2
Italy	EUR	200.0	267.5	-	-	5.47	267.5	-	224.7
Luxembourg <sup>10</sup>	EUR	10.0	13.4	-	-	93.60	13.4	-	11.2
Mongolia	MNT	90.0	0.0	-	-	0.02	0.0	-	0.0
Peru	USD	6.0	6.0	-	-	0.19	6.0	-	6.0
Vietnam <sup>12</sup>	USD	1.0	1.0	-	-	0.01	1.0	-	1.0
<b>Total</b>			<b>358.4</b>	<b>-</b>			<b>358.4</b>	<b>-</b>	<b>303.2</b>
<b>Grand Total</b>			<b>10,273.9</b>	<b>9,915.5</b>			<b>9,819.7</b>	<b>9,461.3</b>	<b>8,908.9</b>
<b>EU Member States (Total)</b>	USD		<b>4,823.1</b>	<b>4,478.4</b>			<b>4,470.7</b>	<b>4,126.1</b>	<b>3,662.5</b>

**Notes:**

- Grant equivalent is calculated based on the terms in Policies for Contributions.
- United States dollars equivalent (USD eq.), based on the reference exchange rates established for GCF's High-Level Pledging Conference (GCF/BM-2015/Inf.01).
- USD eq., based on the foreign exchange rate as of 30 September 2016. Depending on the rate at the time of conversion, the USD eq. amount will fluctuate accordingly.
- The original pledge from Austria was announced in USD (25 million) but signed in EUR (20 million). The amount shown as signed is calculated in accordance with 2.
- Signed amount includes contributions made prior to GCF's High-Level Pledging Conference.
- The total amount pledged is EUR 120 million, but EUR 78 million will be paid after the Initial Resource Mobilization period (2015-2018).
- Out of the United Kingdom's announced pledge of GBP 720 million, GBP 144 million is signed as a grant and GBP 576 million is signed as a capital contribution, as defined in its agreement.
- Subject to the availability of funds. USD 500 million provided to date.
- The total amount pledged is EUR 1 million, but EUR 0.4 million is planned to be paid after the IRM.
- The total amount pledged is EUR 1 million, but EUR 0.4 million is planned to be paid after the IRM.
- The EUR 10 million is planned to be paid after the IRM.
- The total amount pledged is USD 1 million, but USD 0.4 million is planned to be paid after the IRM.

## Annex IV: Readiness and preparatory support approved and under implementation as at 20 October 2016

### AI.1 Readiness support approved as at 20 October 2016

	Country	Proposal title	Readiness or PPF	Delivery partner	Access type	Amount (USD)	Duration (months)
1	Albania	NDA strengthening + country programme	Readiness	UNEP	International	300,000	24
2	Bangladesh	Country programme	Readiness	UNDP	International	150,000	12
3	Benin	NDA strengthening	Readiness	GIZ	International	150,000	12
4	Benin	NDA strengthening	Readiness	UNEP	International	150,000	24
4	Central African Republic	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12
5	Chad	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
6	Chile	NDA strengthening + country programme	Readiness	Chilean International Cooperation Agency (AGCI)	Direct	300,000	12
7	Comoros	NDA strengthening + country programme	Readiness	UNEP	International	300,000	24
8	Costa Rica	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12
9	Côte d'Ivoire	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
10	Djibouti	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	24
11	Dominican Republic	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12
12	El Salvador	NDA strengthening + country programme	Readiness	Deputy Ministry for Development Cooperation, Ministry of Foreign Affairs	Direct	300,000	18
13	Gabon	NDA strengthening + country programme	Readiness	CDC-Gabon	Direct	300,000	12
14	Guatemala	NDA strengthening + country programme	Readiness	Food and Agriculture Organization	International	300,000	12
15	Guinea	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
16	Honduras	NDA strengthening + country programme	Readiness	MiAmbiente	Direct	300,000	12
17	India	NDA strengthening + country programme	Readiness	UNDP	International	300,000	12

	Country	Proposal title	Readiness or PPF	Delivery partner	Access type	Amount (USD)	Duration (months)
18	Jamaica	NDA strengthening + country programme	Readiness	Ministry of Economic Growth and Job Creation	Direct	300,000	18
19	Kenya	NDA strengthening	Readiness	Kenya National Treasury	Direct	150,000	12
20	Kyrgyz Republic	NDA strengthening + country programme	Readiness	FAO	International	300,000	12
21	Liberia	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
22	Mauritius	NDA strengthening + country programme	Readiness	Ministry of Finance and Economic Development	Direct	300,000	12
23	Mongolia	NDA strengthening + country programme	Readiness	Xac Bank	Direct	300,000	12
24	Montenegro	NDA strengthening + country programme	Readiness	UNEP	International	300,000	12
25	Pakistan	NDA strengthening + country programme	Readiness	NRSP	Direct	300,000	12
26	Paraguay	NDA strengthening + country programme	Readiness	CAF	Direct	300,000	12
27	Peru	NDA strengthening + country programme	Readiness	GIZ	International	300,000	6
28	Philippines	NDA strengthening + country programme	Readiness	Climate Change Commission	Direct	300,000	12
29	Republic of Congo	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
30	Senegal	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	18
		Pipeline development	Readiness	IFC	International	600,000	12
31	Serbia	NDA strengthening + country programme	Readiness	UNEP	International	300,000	12
32	Swaziland	NDA strengthening + country programme	Readiness	UNDP	International	300,000	18
33	Thailand	NDA strengthening	Readiness	GIZ	International	102,000	6
34	Timor Leste	NDA strengthening + country programme	Readiness	UNDP	International	300,000	24
35							
36	Tonga	NDA strengthening + country programme	Readiness	Ministry of Finance and National Planning	Direct	300,000	12
37	Tunisia	NDA strengthening + country programme	Readiness	The Sahara and Sahel Observatory	Direct	300,000	24

	Country	Proposal title	Readiness or PPF	Delivery partner	Access type	Amount (USD)	Duration (months)
38	United Republic of Tanzania	NDA strengthening + country programme	Readiness	Ministry of Finance and Economic Planning	Direct	300,000	12
39	Vanuatu	NDA strengthening + country programme	Readiness	GIZ	International	300,000	24
40	Zimbabwe	NDA strengthening + country programme	Readiness	UNEP	International	300,000	24
<b>Total</b>						<b>11,802,000</b>	

## A1.2 Readiness and preparatory support under implementation as at 20 October 2016

	Country	Proposal title	Readiness or PPF	Delivery partner	Access type	Amount (USD)	Duration (months)
1	Antigua and Barbuda	NDA strengthening + country programme	Readiness	Ministry of Health and Environment	Direct	300,000	12
2	Benin	Entity support	Readiness	PwC	na	37,000	-
3	Bolivia (Plurinational State of)	NDA strengthening + country programme	Readiness	Ministry of Development Planning	Direct	300,000	24
4	Brazil	Entity support	Readiness	PwC	na	37,000	-
5	Cambodia	Entity support	Readiness	PwC	na	37,000	-
6	Colombia (2 entities)	Entity support	Readiness	PwC	na	74,000	-
7	Cook Islands	NDA strengthening	Readiness	Ministry of Finance and Economic Management	Direct	150,000	12
8	Democratic Republic of the Congo	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	24
9	Ethiopia	NDA strengthening + country programme	Readiness	Ministry of Finance and Economic Cooperation	Direct	300,000	24
10	Guyana	NDA strengthening + country programme	Readiness	Caribbean Community Climate Change Centre	Direct	300,000	12
11	Honduras (2 entities)	Entity support	Readiness	PwC	na	74,000	-
12	Kenya	Entity support	Readiness	PwC	na	37,000	-
13	Mali	NDA strengthening + country programme	Readiness	Sahel Eco	Direct	300,000	24
14	Mexico	Entity support	Readiness	PwC	na	37,000	-
15	Micronesia (Federated States of) (2 entities)	NDA strengthening + country programme	Readiness	Secretariat of the Pacific Community	Direct	300,000	24
		Entity support	Readiness	PwC	na	74,000	-
16	Niue	Entity support	Readiness	PwC	na	37,000	-
17	Pakistan	Entity support	Readiness	PwC	na	37,000	-
18	Palau	Entity support	Readiness	PwC	na	37,000	-

	Country	Proposal title	Readiness or PPF	Delivery partner	Access type	Amount (USD)	Duration (months)
19	Rwanda	NDA strengthening + country programme	Readiness	Environment and Climate Change Fund	Direct	300,000	12
20	Seychelles	Entity support	Readiness	PwC	na	37,000	-
21	Togo	NDA strengthening + country programme	Readiness	CSE	Direct	300,000	24
222	Vanuatu	Pipeline development	Readiness	Secretariat of the Pacific Regional Environment Programme	Direct	137,000	5
23	Zambia	NDA strengthening + country programme	Readiness	Ministry of Finance	Direct	300,000	24
<b>Total</b>						<b>3 842,000</b>	

**Abbreviations:** CSE = Le Centre de Suivi Ecologique, GIZ = Deutsche Gesellschaft für Internationale Zusammenarbeit GmbH, na = not applicable, NDA = national designated authority, PwC = PricewaterhouseCoopers, UNDP = United Nations Development Programme, UNEP = United Nations Environment Programme.