



---

## Ad Hoc Working Group on the Paris Agreement

### Second part of the first session

Marrakech, 7–14 November 2016

Item 2(b) of the agenda

#### Organizational matters

#### Adoption of the agenda

## Agenda and annotations

### Note by the Executive Secretary

#### I. Agenda

1. Opening of the session.
2. Organizational matters:
  - (a) Election of officers;
  - (b) Adoption of the agenda;
  - (c) Organization of the work of the session.
3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:
  - (a) Features of nationally determined contributions, as specified in paragraph 26;
  - (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28;
  - (c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31.
4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.
5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement.
6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:



- (a) Identification of the sources of input for the global stocktake;
  - (b) Development of the modalities of the global stocktake.
7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement.
8. Further matters related to implementation of the Paris Agreement:
  - (a) Preparing for the entry into force of the Paris Agreement;
  - (b) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement;
  - (c) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations.
9. Other matters.
10. Closure of and report on the session.

## II. Annotations to the agenda

### 1. Opening of the session

1. The Ad Hoc Working Group on the Paris Agreement (APA) on an exceptional basis suspended its first session, held in Bonn, Germany, from 16 to 26 May 2016, in conjunction with the forty-fourth sessions of the subsidiary bodies. The second part of the first session (APA 1-2) of the APA will take place in conjunction with the forty-fifth sessions of the subsidiary bodies in Marrakech, Morocco, and will be opened by the APA Co-Chairs, Ms. Sarah Baashan (non-Annex I Parties) and Ms. Jo Tyndall (Annex I Parties), on Monday, 7 November 2016.

### 2. Organizational matters

#### (a) Election of officers

2. At the first part of its first session, the APA elected Ms. Baashan and Ms. Tyndall as its Co-Chairs and Ms. Anna Serzysko (Annex I Parties) as its Rapporteur.

#### (b) Adoption of the agenda

3. On 20 May 2016, the APA adopted its agenda for the first session.<sup>1</sup>

#### (c) Organization of the work of the session

4. The APA, at the first part of its first session, agreed on the following modalities for the organization of its work at its resumed first session:<sup>2</sup>

(a) The APA will continue working in a single contact group on agenda items 3–8;

(b) The contact group will meet at least three times as follows: at an opening meeting to set the direction of work; at a mid-session meeting to assess progress and adjust guidance, if needed; and at a closing meeting to assess the results of the session and adopt conclusions;

(c) The mid-session meeting of the contact group will take an overarching view of all items on the APA agenda, including cross-cutting issues, and the direction of technical work will be adjusted as needed;

(d) The contact group will conduct technical work for each of the six substantive agenda items through informal consultations, each to be facilitated by two co-facilitators. The APA Co-Chairs will announce the team of facilitators for agenda items 3–7 in a communication to Parties well in advance of the resumed first session. The APA Co-Chairs will facilitate the informal consultations on agenda item 8;

(e) Efforts will be made to ensure that no more than two informal consultations referred to in paragraph 4(d) above take place at the same time; conducting consultations at the same time on two substantively linked items should be avoided;

---

<sup>1</sup> FCCC/APA/2016/2, paragraph 9.

<sup>2</sup> FCCC/APA/2016/2, paragraph 21.

(f) The APA Co-Chairs will provide, through the contact group meeting, a clear mandate and guidance to the co-facilitators on the direction of the work and the expected outcomes. As the work evolves, the guidance will be reassessed and, if needed, adjusted through the mid-session meeting of the contact group. This mode of work will allow conclusions and other outcomes, as relevant, to be developed for each substantive agenda item;

(g) At the closing contact group meeting, the APA will consider the organization of work for its next session and may change the organizational approach, if necessary.

5. Detailed information on the work of the session will be posted on the APA 1-2 web page.<sup>3</sup> Delegates are invited to refer to the overview schedule and the in-session Daily Programme<sup>4</sup> and to regularly consult the closed-circuit television screens for an up-to-date schedule of the work of the APA. The session will close on Monday, 14 November, in order to ensure that work is completed before the arrival of Heads of State and Government and ministers for the high-level segment and the start of the many events planned during that segment. In order to maximize negotiation time and ensure timely closure of the session, the APA Co-Chairs will propose, in consultation with Parties and in a transparent manner, time-saving approaches to the organization and scheduling of meetings during the session, taking into account previous relevant conclusions of the Subsidiary Body for Implementation (SBI).<sup>5</sup> In this context, the presiding officers of the APA, the SBI and the Subsidiary Body for Scientific and Technological Advice (SBSTA) will propose cut-off times for group work and deadlines for submission of conclusions to ensure that they are available in all six official languages of the United Nations for their respective closing plenaries.

### **3. Further guidance in relation to the mitigation section of decision 1/CP.21 on:**

- (a) Features of nationally determined contributions, as specified in paragraph 26
- (b) Information to facilitate clarity, transparency and understanding of nationally determined contributions, as specified in paragraph 28
- (c) Accounting for Parties' nationally determined contributions, as specified in paragraph 31

6. *Background:* Article 4, paragraph 2, of the Paris Agreement requires each Party to prepare, communicate and maintain successive nationally determined contributions (NDCs) that it intends to achieve, as well as pursue domestic mitigation measures with the aim of achieving the objectives of such contributions.

7. The Conference of the Parties (COP) requested the APA:<sup>6</sup>

- (a) To develop further guidance on features of the NDCs;
- (b) To develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs;

---

<sup>3</sup> <[www.unfccc.int/9680](http://www.unfccc.int/9680)>.

<sup>4</sup> <[www.unfccc.int/xxxx](http://www.unfccc.int/xxxx)>.

<sup>5</sup> FCCC/SBI/2014/8, paragraphs 218–221.

<sup>6</sup> Decision 1/CP.21, paragraphs 26, 28 and 31. See also Article 4, paragraph 13, of the Paris Agreement.

(c) To elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance for accounting for Parties' NDCs.<sup>7</sup>

8. The COP requested the APA to develop the guidance referred to in paragraph 7 above for consideration and adoption at the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA).<sup>8</sup>

9. At the first part of its first session, the APA initiated the work on this agenda item and invited Parties to submit their views on the item by 30 September 2016. It requested the secretariat to compile these submissions into an information document and make it available by 7 October 2016.

10. *Action:* The APA will be invited to continue its consideration of this agenda item, including of Parties' views referred to in paragraph 9 above.

*FCCC/APA/2016/INF.1 Parties' views regarding further guidance in relation to the mitigation section of decision 1/CP.21. Information document by the secretariat*

#### **4. Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement**

11. *Background:* Article 7, paragraph 10, of the Paris Agreement states that each Party should, as appropriate, submit and update periodically an adaptation communication, which may include its priorities, implementation and support needs, plans and actions, without creating any additional burden for developing country Parties. Article 7, paragraph 11, of the Paris Agreement states that the adaptation communication shall be, as appropriate, submitted and updated periodically, as a component of or in conjunction with other communications or documents, including a national adaptation plan, a nationally determined contribution<sup>9</sup> and/or a national communication.

12. At the first part of its first session, the APA agreed to consider this matter on its agenda and initiated that consideration. The APA invited Parties to submit their views on the item by 30 September 2016. It requested the secretariat to compile these submissions into an information document by 7 October 2016.

13. *Action:* The APA will be invited to continue its consideration of this agenda item, including of Parties' views referred to in paragraph 12 above.

*FCCC/APA/2016/INF.2 Parties' views regarding further guidance in relation to the adaptation communication referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement. Information document by the secretariat*

<sup>7</sup> Article 4, paragraph 13, of the Paris Agreement.

<sup>8</sup> Decision 1/CP.21, paragraphs 26, 28 and 31.

<sup>9</sup> Article 4, paragraph 2, of the Paris Agreement.

## 5. Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement

14. *Background:* Article 13 of the Paris Agreement established the transparency framework for action and support. The COP established a Capacity-building Initiative for Transparency<sup>10</sup> and provided guidance for taking forward the transparency of action and support under the Paris Agreement.<sup>11</sup> Building on experience from the arrangements related to transparency under the Convention, and elaborating on the provisions of Article 13 of the Paris Agreement, CMA 1 is to adopt common modalities, procedures and guidelines, as appropriate, for the transparency of action and support.<sup>12</sup>

15. The COP requested the APA to develop recommendations for modalities, procedures and guidelines in accordance with Article 13, paragraph 13, of the Paris Agreement, and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration at COP 24, with a view to forwarding them to CMA 1 for consideration and adoption.<sup>13</sup> The COP also requested the APA to report on the progress of work on these modalities, procedures and guidelines to future sessions of the COP, and that this work be concluded no later than 2018.<sup>14</sup>

16. At the first part of its first session, the APA initiated the work on this agenda item and invited Parties to submit their views on the item by 30 September 2016. It requested the secretariat to compile these submissions into an information document and make it available by 7 October 2016.

17. *Action:* The APA will be invited to continue its consideration of this agenda item, including of Parties' views referred to in paragraph 16 above.

*FCCC/APA/2016/INF.3 Parties' views regarding modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement. Information document by the secretariat*

## 6. Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement

- (a) Identification of the sources of input for the global stocktake
- (b) Development of the modalities of the global stocktake

18. *Background:* Article 14, paragraph 1, of the Paris Agreement requires the CMA to periodically take stock of the implementation of the Paris Agreement to assess the collective progress towards achieving its purpose and its long-term goals (the "global stocktake"), in a comprehensive and facilitative manner, considering mitigation, adaptation and the means of implementation and support, and in the light of equity and the best

<sup>10</sup> Decision 1/CP.21, paragraphs 84–88.

<sup>11</sup> Decision 1/CP.21, paragraphs 89–98.

<sup>12</sup> Article 13, paragraph 13, of the Paris Agreement.

<sup>13</sup> Decision 1/CP.21, paragraph 91.

<sup>14</sup> Decision 1/CP.21, paragraph 96.

available science. The CMA is to undertake the first global stocktake in 2023 and every five years thereafter unless otherwise decided by the CMA.<sup>15</sup>

19. The COP requested the APA to identify the sources of input for the global stocktake and to develop its modalities, and to report to the COP, with a view to the COP making a recommendation to CMA 1 for consideration and adoption.<sup>16</sup>

20. The COP also requested the SBSTA to provide advice on how the assessments of the Intergovernmental Panel on Climate Change (IPCC) can inform the global stocktake of the implementation of the Paris Agreement pursuant to its Article 14 and to report on this matter to the APA at its second session.<sup>17</sup> As part of the deliberations of the SBSTA on the matter, the SBSTA and the IPCC conducted a special event during SBSTA 44.<sup>18</sup>

21. At the first part of its first session, the APA initiated the work on this agenda item and invited Parties to submit their views on the item by 30 September 2016. It requested the secretariat to compile these submissions into an information document and make it available by 7 October 2016.

22. *Action:* The APA will be invited to continue its consideration of this agenda item, including of Parties' views referred to in paragraph 21 above and any report from the SBSTA, as per the mandate mentioned in paragraph 20 above.

*FCCC/APA/2016/INF.4 Parties' views regarding matters relating to the global stocktake referred to in Article 14 of the Paris Agreement. Information document by the secretariat*

## **7. Modalities and procedures for the effective operation of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement**

23. *Background:* Article 15, paragraph 1, of the Paris Agreement establishes a mechanism to facilitate implementation of and promote compliance with the provisions of the Paris Agreement. Paragraph 2 of the same Article provides that the mechanism will consist of a committee, that, in accordance with paragraph 3 of the same Article, will operate under the modalities and procedures adopted at CMA 1.

24. The COP requested the APA<sup>19</sup> to develop the modalities and procedures for the effective operation of the committee referred to in paragraph 23 above with a view to the APA completing its work on such modalities and procedures for consideration and adoption at CMA 1.

25. At the first part of its first session, the APA initiated the work on this agenda item. The APA requested the Co-Chairs to prepare, by 30 August 2016, a set of guiding questions to assist Parties in further developing their conceptual thinking on features and elements of the committee to facilitate implementation and promote compliance.

<sup>15</sup> Article 14, paragraph 2, of the Paris Agreement.

<sup>16</sup> Decision 1/CP.21, paragraphs 99 and 101.

<sup>17</sup> Decision 1/CP.21, paragraph 100.

<sup>18</sup> Information about the event is available at <<http://unfccc.int/9535.php>>.

<sup>19</sup> Decision 1/CP.21, paragraph 103.

26. *Action:* The APA will be invited to continue its consideration of this agenda item, informed by the guiding questions prepared by the Co-Chairs referred to in paragraph 25 above.<sup>20</sup>

## **8. Further matters related to implementation of the Paris Agreement**

- (a) Preparing for the entry into force of the Paris Agreement
- (b) Preparing for the convening of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
- (c) Taking stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21, in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations

27. *Background:* The COP decided that the APA shall prepare for the entry into force of the Paris Agreement and for the convening of CMA 1. The COP also decided to oversee the implementation of the work programme resulting from the relevant requests contained in decision 1/CP.21, to be carried out by the subsidiary and constituted bodies under the Convention.<sup>21</sup> At the first part of its first session, the APA agreed to add sub-item 8(c) to its agenda to take stock of progress made by the subsidiary and constituted bodies in relation to their mandated work under the Paris Agreement and section III of decision 1/CP.21 in order to promote and facilitate coordination and coherence in the implementation of the work programme, and, if appropriate, take action, which may include recommendations.

28. At the first part of its first session, the APA initiated the work on this agenda item.

29. *Action:* The APA will be invited to continue its consideration of this agenda item and to take any necessary action.

## **9. Other matters**

30. Any other matters arising during the session will be taken up under this agenda item.

## **10. Closure of and report on the session**

31. After the draft report on the session is presented to the APA for consideration and adoption at the closing APA plenary, the Co-Chairs will declare the resumed first session closed.

---

<sup>20</sup> On 9 August 2016, the guiding questions from the APA Co-Chairs were made available on the UNFCCC website at <<http://unfccc.int/9680>>.

<sup>21</sup> Decision 1/CP.21, paragraphs 8 and 9. See also document FCCC/CP/2016/1, paragraphs 41–43.