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## Subsidiary Body for Implementation

Forty-third session

Paris, 30 November to 11 December 2015<sup>1</sup>

Item 3(a) of the provisional agenda

**Reporting from and review of Parties included in Annex I to the Convention**

**Status of submission and review of sixth national communications and first**

**biennial reports from Parties included in Annex I to the Convention**

## Status of submission and review of sixth national communications and first biennial reports

### Note by the secretariat

1. This note provides information on the status of submission and review of sixth national communications (NC6s) and first biennial reports (BR1s) from Parties included in Annex I to the Convention (Annex I Parties) as at 28 September 2015.
2. The Conference of the Parties (COP), by decisions 9/CP.16, paragraph 5, and 2/CP.17, paragraph 13, requested Annex I Parties to submit their NC6s and BR1s, respectively, by 1 January 2014.
3. In addition, the COP, by decision 2/CP.17, paragraph 12, adopted the “UNFCCC biennial reporting guidelines for developed country Parties”, noting that developed country Parties shall use the reporting guidelines for the preparation of their biennial reports (BRs). By decision 19/CP.18, paragraph 1, it also adopted the common tabular format (CTF) for those guidelines and decided that developed country Parties shall use the format when preparing and submitting their BRs in accordance with decision 2/CP.17.
4. By decision 7/CMP.8, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) requested Annex I Parties that are also Parties to the Kyoto Protocol to include, in their NC6s, the necessary supplementary information required by the “Guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol” (contained in the annex to decision 15/CMP.1).

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<sup>1</sup> Exact dates within the sessional period are subject to confirmation.



5. At the time of the preparation of this note, the secretariat had received NC6s<sup>2</sup> from all 43 Annex I Parties and Kazakhstan.<sup>3</sup> A total of 26 Annex I Parties submitted their NC6s before the due date of submission specified in decision 9/CP.16, while 17 Annex I Parties and Kazakhstan submitted their NC6s after that date (of which 12 submitted within six weeks of that date). All national communications (NCs) received have been posted on the UNFCCC website.<sup>4</sup> The dates of submission are presented in the table below.

6. At the time of the preparation of this note, the secretariat had received 43 BR1s and 44 sets of CTF tables.<sup>5</sup> A total of 21 Annex I Parties submitted their BR1s and 19 Annex I Parties submitted their CTF tables before the due date of submission specified in decision 2/CP.17, while 21 Annex I Parties submitted their BR1s and 24 Annex I Parties submitted their CTF tables after that date (of which 14 submitted their BR1s and 13 their CTF tables within six weeks of that date). The textual part of the BR1 of Turkey, as well as Turkey's CTF tables, have not been submitted. All BR1s and CTF tables received have been posted on the UNFCCC website.<sup>6</sup> The dates of submission of the BR1s and the CTF tables are presented in the table below.

7. The COP, by decisions 23/CP.19 and 13/CP.20, adopted the "Guidelines for the technical review of information reported under the Convention related to greenhouse gas inventories, biennial reports and national communications by Parties included in Annex I to the Convention" and decided to use these guidelines for the review of BR1s and NC6s starting in 2014 and for subsequent reviews of BRs and NCs until any revisions are decided upon by the COP. The review guidelines state that, in the years in which NCs and BRs are submitted together, both will be subject to an in-country review. They also state that a BR shall be reviewed in conjunction with its NC in the years in which both the BR and the NC are submitted.

8. The "Guidelines for review under Article 8 of the Kyoto Protocol"<sup>7</sup> specify that each NC submitted by an Annex I Party that is also a Party to the Kyoto Protocol shall be subject to a scheduled in-country in-depth review. By decision 9/CMP.9, the CMP requested the secretariat to organize centralized reviews of NC6s for Parties with total greenhouse gas emissions of less than 50 million tonnes of carbon dioxide equivalent (excluding land use, land-use change and forestry) in accordance with their most recent greenhouse gas inventory submission, with the exception of Parties included in Annex II to the Convention, for which the secretariat will organize in-country in-depth reviews.

9. As at 28 September 2015, the secretariat had coordinated 34 in-country in-depth reviews of NCs, including a review of NC5 of Turkey and 33 in-country technical reviews of BR1s, for Australia, Austria, Belarus, Belgium, Bulgaria, Canada, the Czech Republic, Denmark, the European Union, Finland, France, Germany, Greece, Hungary, Iceland,

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<sup>2</sup> Turkey submitted its fifth national communication within the time frame within which other Annex I Parties submitted their sixth national communications.

<sup>3</sup> Kazakhstan is a Party included in Annex I to the Convention for the purposes of the Kyoto Protocol, while remaining a Party not included in Annex I to the Convention for purposes of the Convention. At its twelfth (FCCC/CP/2006/5) and fourteenth (FCCC/CP/2008/7) sessions, the COP requested Kazakhstan to submit its national communication and annual greenhouse gas inventories in accordance with Article 4, paragraph 2(b), and Article 12 of the Convention, using the UNFCCC guidelines relevant to Annex I Parties.

<sup>4</sup> <[http://unfccc.int/national\\_reports/annex\\_i\\_natcom/submitted\\_natcom/items/7742.php](http://unfccc.int/national_reports/annex_i_natcom/submitted_natcom/items/7742.php)>.

<sup>5</sup> The European Union (EU) submitted two sets of CTF tables, one for the EU-28 (the 28 member States of the EU) and one for the EU-15 (the 15 member States that formed the European Community at the time of ratification of the Kyoto Protocol).

<sup>6</sup> <[http://unfccc.int/national\\_reports/biennial\\_reports\\_and\\_iar/submitted\\_biennial\\_reports/items/7550.php](http://unfccc.int/national_reports/biennial_reports_and_iar/submitted_biennial_reports/items/7550.php)>.

<sup>7</sup> These guidelines are contained in the annex to decision 22/CMP.1.

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Ireland, Italy, Japan, Kazakhstan, Luxembourg, the Netherlands, New Zealand, Norway, Poland, Portugal, Romania, the Russian Federation, Spain, Sweden, Switzerland, Ukraine, the United Kingdom of Great Britain and Northern Ireland and the United States of America. In accordance with the provision of decision 9/CMP.9, mentioned in paragraph 8 above, centralized reviews of NC6s and BR1s were coordinated by the secretariat for 10 Parties: Croatia, Cyprus, Estonia, Latvia, Liechtenstein, Lithuania, Malta, Monaco, Slovakia and Slovenia. The dates of the reviews are presented in the table below.

10. As at 28 September 2015, 44 reports on the in-depth review of relevant NCs, and 43 reports on the technical review of BR1s had been published<sup>8</sup> (see the table below). A total of 41 Annex I Parties were multilaterally assessed in working group sessions under the Subsidiary Body for Implementation (SBI) at SBI 41 and 42, and summary reports of the multilateral assessment of these Parties have been published. Two remaining Parties, Belarus and Kazakhstan, will be multilaterally assessed in a working group session at SBI 43.<sup>9</sup>

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<sup>8</sup> <[http://unfccc.int/national\\_reports/annex\\_i\\_natcom/submitted\\_natcom/items/8445.php](http://unfccc.int/national_reports/annex_i_natcom/submitted_natcom/items/8445.php)> and <[http://unfccc.int/national\\_reports/biennial\\_reports\\_and\\_iar/technical\\_reviews/items/8446.php](http://unfccc.int/national_reports/biennial_reports_and_iar/technical_reviews/items/8446.php)>.

<sup>9</sup> Turkey has not yet submitted its biennial report and will not be multilaterally assessed at SBI 43.

**Dates of submission of sixth national communications and first biennial reports and status of review reports as at 28 September 2015**

<i>Annex I Party</i>	<i>National communication received (date)</i>	<i>Biennial report received (date)</i>	<i>Common tabular format tables received (date)</i>	<i>Language of submissions</i>	<i>Dates of review week</i>	<i>Status of review reports</i>	<i>Document symbol</i>
Australia	5 Aug. 2013	5 Aug. 2013	16 Jan. 2014	English	7–12 Oct. 2014	Published	FCCC/IDR.6/AUS FCCC/TRR.1/AUS
Austria	10 Feb. 2014	27 Feb. 2014	27 Feb. 2014	English	31 Mar. to 5 Apr. 2014	Published	FCCC/IDR.6/AUT FCCC/TRR.1/AUT
Belarus	31 Dec. 2013	14 Jan. 2014	30 Dec. 2013	Russian	20–25 Apr. 2015	Published	FCCC/IDR.6/BLR FCCC/TRR.1/BLR
Belgium	20 Dec. 2013 25 Apr. 2014	12 Feb. 2014	12 Feb. 2014	English and French	3–8 Nov. 2014	Published	FCCC/IDR.6/BEL FCCC/TRR.1/BEL
Bulgaria	31 Dec. 2013	30 Dec. 2013	30 Dec. 2013	English	20–25 Oct. 2014	Published	FCCC/IDR.6/BGR FCCC/TRR.1/BGR
Canada	20 Dec. 2013 2 Apr. 2014	20 Dec. 2013 2 Apr. 2014	31 Dec. 2013	English and French	6–11 Oct. 2014	Published	FCCC/IDR.6/CAN FCCC/TRR.1/CAN
Croatia	12 Feb. 2014	12 Feb. 2014	12 Feb. 2014	English	5–10 May 2014	Published	FCCC/IDR.6/HRV FCCC/TRR.1/HRV
Cyprus	31 Dec. 2013	31 Dec. 2013	31 Dec. 2013	English	5–10 May 2014	Published	FCCC/IDR.6/CYP FCCC/TRR.1/CYP
Czech Republic	31 Jan. 2014	31 Jan. 2014	31 Jan. 2014	English	7–12 Apr. 2014	Published	FCCC/IDR.6/CZE FCCC/TRR.1/CZE
Denmark	3 Jan. 2014	3 Jan. 2014	7 Jan. 2014	English	17–22 Mar. 2014	Published	FCCC/IDR.6/DNK FCCC/TRR.1/DNK
Estonia	31 Dec. 2013	31 Dec. 2013	31 Dec. 2013	English	5–10 May 2014	Published	FCCC/IDR.6/EST FCCC/TRR.1/EST
European Union (28) <sup>a</sup>	13 Jan. 2014	13 Jan. 2014	13 Jan. 2014	English	24–29 Mar. 2014	Published	FCCC/IDR.6/EU FCCC/TRR.1/EU
European Union (15) <sup>a</sup>	–	–	15 Jan. 2014	–	24–29 Mar. 2014	–	–

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Finland	30 Dec. 2013	30 Dec. 2013	30 Dec. 2013	English	7–12 Apr. 2014	Published	FCCC/IDR.6/FIN FCCC/TRR.1/FIN and Corr.1
France	25 Oct. 2013	31 Dec. 2013	27 Dec. 2013	French, with English abstract	7–12 Apr. 2014	Published	FCCC/IDR.6/FRA FCCC/TRR.1/FRA
Germany	20 Dec. 2013	20 Dec. 2013	20 Dec. 2013	English	6–11 Oct. 2014	Published	FCCC/IDR.6/DEU FCCC/TRR.1/DEU
Greece	31 Dec. 2013	31 Dec. 2013	31 Dec. 2013	English	29 Sept. to 4 Oct. 2014	Published	FCCC/IDR.6/GRC FCCC/TRR.1/GRC
Hungary	8 Jan. 2014	8 Jan. 2014	2 Jan. 2014	English	23–28 June 2014	Published	FCCC/IDR.6/HUN FCCC/TRR.1/HUN
Iceland	7 Mar. 2014	7 Mar. 2014	7 Mar. 2014	English	25–30 Aug. 2014	Published	FCCC/IDR.6/ISL FCCC/TRR.1/ISL
Ireland	7 Mar. 2014	7 Mar. 2014	20 Feb. 2014	English	19–24 May 2014	Published	FCCC/IDR.6/IRL FCCC/TRR.1/IRL
Italy	12 Feb. 2014	12 Feb. 2014	10 Feb. 2014	English	7–12 Apr. 2014	Published	FCCC/IDR.6/ITA FCCC/TRR.1/ITA
Japan	27 Dec. 2013	27 Dec. 2013	27 Dec. 2013	English	6–11 Oct. 2014	Published	FCCC/IDR.6/JPN FCCC/TRR.1/JPN
Kazakhstan (NC3, 4, 5 and 6)	24 Dec. 2013	26 Dec. 2014	26 Feb. 2014	English and Russian	20–25 April 2015	Published	FCCC/IDR.6/KAZ FCCC/TRR.1/KAZ
Latvia	29 Dec. 2013	29 Dec. 2013	29 Dec. 2013	English	5–10 May 2014	Published	FCCC/IDR.6/LVA FCCC/TRR.1/LVA
Liechtenstein	23 Dec. 2013	23 Dec. 2013	23 Dec. 2013	English	5–10 May 2014	Published	FCCC/IDR.6/LIE FCCC/TRR.1/LIE
Lithuania	10 Jan. 2014	10 Jan. 2014	10 Jan. 2014	English	5–10 May 2014	Published	FCCC/IDR.6/LTU FCCC/TRR.1/LTU

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Luxembourg	21 Jan. 2014	21 Jan. 2014	22 Feb. 2014	English	24 Feb. to 1 Mar. 2014	Published	FCCC/IDR.6/LUX FCCC/TRR.1/LUX
Malta	9 Apr. 2014	9 Apr. 2014	9 Apr. 2014	English	5–10 May 2014	Published	FCCC/IDR.6/MLT FCCC/TRR.1/MLT
Monaco	4 Apr. 2014	14 Apr. 2014	7 Apr. 2014	French	5–10 May 2014	Published	FCCC/IDR.6/MCO/Rev.1 FCCC/TRR.1/MCO
Netherlands	30 Dec. 2013	31 Dec. 2013	31 Dec. 2013	English	24 Feb. to 1 Mar. 2014	Published	FCCC/IDR.6/NLD FCCC/TRR.1/NLD
New Zealand	12 Dec. 2013	13 Dec. 2013	13 Dec. 2013	English	24 Feb. to 1 Mar. 2014	Published	FCCC/IDR.6/NZL FCCC/TRR.1/NZL
Norway	10 Mar. 2014	10 Mar. 2014	10 Mar. 2014	English	6–11 Oct. 2014	Published	FCCC/IDR.6/NOR FCCC/TRR.1/NOR
Poland	7 Feb. 2014	7 Feb. 2014	7 Feb. 2014	English	8–13 Sept. 2014	Published	FCCC/IDR.6/POL FCCC/TRR.1/POL
Portugal	31 Dec. 2013	31 Dec. 2013	6 Mar. 2014	English	7–12 Apr. 2014	Published	FCCC/IDR.6/PRT FCCC/TRR.1/PRT
Romania	19 Dec. 2013	19 Dec. 2013	19 Dec. 2013	English	23–28 June 2014	Published	FCCC/IDR.6/ROU FCCC/TRR.1/ROU
Russian Federation	30 Dec. 2013	11 Feb. 2014	17 Feb. 2014	Russian	9–11 Oct. 2014	Published	FCCC/IDR.6/RUS FCCC/TRR.1/RUS
Slovakia	2 Jan. 2014	2 Jan. 2014	22 Jan. 2014	English	5–10 May 2014	Published	FCCC/IDR.6/SVK FCCC/TRR.1/SVK
Slovenia	17 Apr. 2014	17 Apr. 2014	28 Mar. 2014	English	5–10 May 2014	Published	FCCC/IDR.6/SVN FCCC/TRR.1/SVN
Spain	20 Dec. 2013	19 Dec. 2013	19 Dec. 2013	Spanish	5–10 May 2014	Published	FCCC/IDR.6/ESP FCCC/TRR.1/ESP
Sweden	20 Dec. 2013	20 Dec. 2013	20 Dec. 2013	English	7–12 Apr. 2014	Published	FCCC/IDR.6/SWE FCCC/TRR.1/SWE

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Switzerland	3 Feb. 2014	3 Feb. 2014	3 Feb. 2014	English	7–12 Apr. 2014	Published	FCCC/IDR.6/CHE FCCC/TRR.1/CHE
Turkey (NC5)	17 Dec. 2013	Not yet received	Not yet received	English	20–25 April 2015	Published	FCCC/IDR.5/TUR
Ukraine	30 Dec. 2013	30 Dec. 2013	30 Dec. 2013	Russian	27 Oct. to 1 Nov. 2014	Published	FCCC/IDR.6/UKR FCCC/TRR.1/UKR
United Kingdom	20 Dec. 2013	20 Dec. 2013	12 Feb. 2014	English	15–20 Sept. 2014	Published	FCCC/IDR.6/GBR/Rev.1 FCCC/TRR.1/GBR
United States	1 Jan. 2014	1 Jan. 2014	1 Jan. 2014	English	24 Feb. to 1 Mar. 2014	Published	FCCC/IDR.6/USA FCCC/TRR.1/USA

*Abbreviation:* NC = national communication.

<sup>a</sup> European Union (28) refers to the 28 member States of the European Union; European Union (15) refers to the 15 member States that formed the European Community at the time of ratification of the Kyoto Protocol (Austria, Belgium, Denmark, Finland, France, Germany, Greece, Ireland, Italy, Luxembourg, Netherlands, Portugal, Spain, Sweden and United Kingdom of Great Britain and Northern Ireland).