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气候变化框架公约

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附属履行机构

第四十一届会议

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临时议程项目 18(b)

行政、财务和体制事项

2014-2015 两年期预算执行情况

截至 2014 年 6 月 30 日的 2014-2015 两年期预算执行情况

执行秘书的说明

概要

本文件介绍秘书处管理的各信托基金在 2012-2013 两年期头 6 个月的预算执行情况，旨在向缔约方通报截至 2014 年 6 月 30 日的收入、支出和成果。截至 2014 年 6 月 30 日，秘书处已收到 1260 万欧元，占两年期核心预算指示性缴款预期总额的 51.4%。参与《气候公约》进程信托基金收到自愿捐款 40 万美元，补充活动信托基金收到自愿捐款 650 万美元。2014-2015 年核心预算已支出约 47.1%。已支出 960 万美元用于补充活动信托基金下的各种项目，并从参与《气候公约》进程信托基金中支出了 240 万美元用于支付符合条件的缔约方参加届会的费用。本报告还载有关于清洁发展机制信托基金、国际交易日志信托基金、德国政府特别年度捐款信托基金和方案支持费用状况的信息。本文件还提供关于人力资源的资料，并详细报告方案执行情况。

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一. 导言

A. 任务

1. 《公约》缔约方会议和作为《京都议定书》缔约方会议的《公约》缔约方会议(《议定书》/《公约》缔约方会议)分别在第 27/CP.19 号决定和第 10/CMP.9 号决定中核可了 2014-2015 两年期方案预算。《公约》缔约方会议还请执行秘书在第二十届会议上向其报告收入和预算执行情况,提出可能需要对方案预算作出的任何调整。

B. 本说明的范围

2. 本文件报告秘书处管理的各信托基金截至 2014 年 6 月 30 日的收入和预算执行情况。本文件应与 FCCC/SBI/2013/6/Add.1 号文件和 FCCC/SBI/2014/INF.23 号文件一并阅读,前者载有秘书处 2014-2015 两年期工作方案,后者提供了截至 2014 年 11 月 15 日的缴款情况。本文件还提供了有关人力资源和方案执行情况的资料。

C. 附属履行机构可采取的行动

3. 附属履行机构(履行机构)不妨注意本文件提供的资料,并决定若干可能需要列入行政和财务事项决定草案的行动,作为建议供《公约》缔约方会议第二十届会议和《议定书》/《公约》缔约方会议第十届会议通过。

二. 收入和支出报告

A. 《气候公约》核心预算信托基金

4. 《公约》缔约方会议和《议定书》/《公约》缔约方会议分别通过上文第 1 段所述的决定核可 2014-2015 两年期预算总额为 5,460 万欧元(见表 1)。

表 1

核定的 2014-2015 两年期核心方案预算和收入

	2014 年 (欧元)	2015 年 (欧元)	总计(欧元)
方案支出	23 456 787	24 644 318	48 101 105
方案支持费用	3 049 387	3 203 761	6 253 148
周转准备金调整	182 852	111 379	294 231
核定核心方案预算总计	26 689 026	27 959 458	54 648 484
指示性缴款	24 522 088	25 792 520	50 314 608
以往财务周期的缴款结余(结转)	1 400 000	1 400 000	2 800 000
东道国政府的捐款	766 938	766 938	1 533 876
收入总计	26 689 026	27 959 458	54 648 484

5. 表 2 显示《气候公约》核心预算信托基金在 2014 年头 6 个月的实际收入，为 2,170 万欧元。这一收入主要包括收到缔约方 2014 年的指示性缴款 1,260 万欧元、以往财务周期的缴款结余(即 2012-2013 两年期的结转额) 800 万欧元，以及东道国政府的自愿捐款 766,938 欧元。

表 2

截至 2014 年 6 月 30 日的核心预算收入

	2014 年(欧元)
2012-2013 年的结转额 ^{a, b}	8 059 190
2014 年《公约》指示性缴款	8 999 655
2014 年《京都议定书》指示性缴款	3 611 264
东道国政府的自愿捐款	766 938
预收未来年份的缴款 ^a	113 190
杂项收入和上期结余 ^{a, c}	184 858
收入总计 ^d	21 735 095

^a 在适用情况下，所使用的汇率(1 美元=0.767 欧元)是 2013 年 6 月 30 日的联合国官方汇率。

^b 《公约》缔约方会议第 27/CP.19 号决定核准从以往财务周期的结余或缴款(结转)额中提取 2,800,000 欧元，作为 2014-2015 两年期预算的一部分。

^c 编写本文件时截至 2014 年 6 月 30 日所得利息尚未计入帐户。

^d 周转准备金为 2,200,012 欧元。

6. 截至 2014 年 6 月 30 日,《公约》196 个缔约方、《京都议定书》192 个缔约方中有 122 个缔约方尚未支付 2014 年缴款。

7. 表 3 按方案显示核定的 2014 两年期核心预算,以及 2014 年头 6 个月的方案支出情况。截至 2014 年 6 月 30 日,支出额为 1,104 万欧元,占 2014 年核定核心预算的 47.1%。

表 3

各方案核定的 2014 年核心预算及截至 2014 年 6 月 30 日的支出情况

	预算(欧元)	支出(欧元)	支出占预算的百分比
A. 方案			
行政领导和管理	2 255 945	1 160 455	51.4
减缓、数据和分析	6 723 151	2 864 621	42.6
财务、技术和能力建设	2 747 990	1 314 405	47.8
适应	2 478 449	949 495	38.3
可持续发展机制	552 174	269 002	48.7
法律事务	1 352 010	556 662	41.2
会议事务服务	1 711 631	1 052 024	61.5
通信和外联	1 611 090	755 680	46.9
信息技术服务	2 949 246	1 554 307	52.7
B. 全秘书处业务费用^a	1 753 803	567 959	32.4
合计(A + B)	24 135 489	11 044 610	45.8
减去: 特别效率红利	678 702		
总计^b	23 456 787	11 044 610	47.1

^a 全秘书处业务费用由行政事务方案管理。

^b 不包括方案支持费用和周转准备金调整数。

8. 表 4 按照支出用途显示 2014 年核定核心预算及截至 2014 年 6 月底的支出情况。“工作人员费用”包括工资和一般人事费、短期工作人员薪金、临时助理费用以及加班费。外部专家(包括个人和机构)列在“顾问”项下。工作人员公务差旅费与专家参加研讨会和非正式磋商的差旅费分开列出。对物品和服务供应商的付款以及电信费等日常费用合并列于“一般业务开支”项下。“赠款和捐款”包括支付驻德国波恩的联合国共同服务股(它向秘书处提供后勤和行政服务)的房舍管理费和对政府间气候变化专门委员会(气专委)的年度捐款。

表 4

按支出用途分列的 2014 年核定核心预算及截至 2014 年 6 月 30 日的支出情况

支出用途	预算 (欧元)	支出 (欧元)	支出占预算的百分比
工作人员费用	18 153 314	7 796 921	43.0
顾问	989 859	541 110	54.7
专家组	891 668	610 586	68.5
工作人员旅费	744 115	390 341	52.5
一般业务开支	2 502 645	1 210 855	48.4
赠款和捐款	853 888	494 797	57.9
合计	24 135 489	11 044 610	45.8
减去：特别效率红利	678 702		
总计 a	23 456 787	11 044 610	47.1

^a 不包括方案支持费用和周转准备金调整数。

9. 截至 2014 年 6 月 30 日，只有工作人员费用和一般业务开支仍处于 50%的理想执行率之下。工作人员费用支出预计在今后 6 个月中会增加，反映 2013-2014 学年教育津贴结算的情况。一般业务费用似乎未达到计划开支水平，原因在于 3%这一超乎寻常的效率红利。实际支出率达到 49.9%。顾问费用的执行率高于 50%，反映出本年度上半年签约的顾问和咨询机构数目超出了预期。专家小组支出较高，原因是在《公约》及其《京都议定书》之下所设机构举行了一些会议，还组织了培训研讨会和审查。工作人员旅费支出率较高，与报告期内在总部之外所举行的会议和研讨会的数目相称。对气专委全年的捐款均已付清。因此，“赠款和捐款”项上的支出率超过了 50%的理想支出率。

B. 参与《气候公约》进程信托基金

10. 参与《气候公约》进程信托基金利用自愿捐款支持符合条件的发展中国家缔约方和经济转型缔约方代表参加缔约方会议及其附属机构的会议。根据联合国贸易和发展会议公布的统计资料，2011 年人均国内生产总值未超过 14,000 美元的缔约方有资格获得资助。对于小岛屿发展中国家和派员参加主席团的国家，该上限提高至 18,000 美元。对作为欧盟成员国的缔约方，不论其人均 GDP 多少，一律不具备获得资助条件。

11. 表 5 显示参与《气候公约》进程信托基金截至 2014 年 6 月 30 日的收入和支出情况。秘书处在报告期内收到的自愿捐款为 40 万美元。迄今收到的捐款，再加上 2012-2013 年的结转额、利息、杂项收入和调整数，收入总计为 530 万美元。

12. 2014-2015 两年期头 6 个月的支出为 240 万美元，用以支付符合条件的缔约方代表参加 2 次届会的费用，收入超出支出的余额为 240 万美元，不包括 50 万美元的业务准备金。该余额，加上对信托基金的任何新的自愿捐款，将用于资助符合条件的缔约方代表参加第二十届《公约》缔约方会议和第十届《议定书》/《公约》缔约方会议。截至 2014 年 6 月 30 日，本年度收到的自愿捐款为 40 万美元。为资助符合条件的缔约方代表参加，还需要新的捐款。与 2014 年的捐款水平相比，需要有大幅度的增加，以便 2015 年保持目前和以往年度的参加水平。符合资助条件的缔约方，如果有能力的话，可以自愿退出参与《气候公约》进程信托基金的资助。这样就可以将现有资金解放出来，分配给最需要支持的缔约方。

表 5

参与《气候公约》进程信托基金截至 2014 年 6 月 30 日的状况
(美元)

收入	
2012-2013 年结转额	4 826 768
2014 年收到的自愿捐款	384 152
利息 ^a	0
杂项收入	62 554
收入总计	5 273 474
支出	
181 名与会者出席德班平台工作组 2 第 4 部分的旅费	762 770
207 名与会者出席 SBI 40、SBSTA 40 和德班平台工作组 2 第 5 部分的旅费	1 349 728
方案支持费用	274 624
支出总计	2 387 122
减去：业务准备金	520 887
余额	2 365 465

缩略语：德班平台工作组 = 德班加强行动平台问题特设工作组，SBI = 附属履行机构，SBSTA = 附属科学技术咨询机构

^a 在编写本文件之时截至 2014 年 6 月 30 日的所得利息尚未计入帐户。

C. 补充活动信托基金

13. 补充活动信托基金通过缔约方的自愿捐款，继续为许多规定的核心活动提供资金，使秘书处能够更有效地执行本两年期工作方案，包括支持德班加强行动平台问题特设工作组(德班平台工作组)的谈判。

14. 表 6 显示补充活动信托基金截至 2014 年 6 月 30 日的收入和支出情况。

15. 报告所述期间收到的自愿捐款为 650 万美元。补充活动信托基金的其他收入来源包括 2012-2013 两年期的结转资金余额、联合执行(JI)收费、对捐助方的退款、利息收入和杂项收入，截至 2014 年 6 月 30 日，总计 4860 万美元。

16. 截至 2014 年 6 月 30 日，补充活动信托基金的支出为 960 万美元。尚未支出的余额 3650 万美元，加上今后收到的自愿捐款，将用于资助正在开展的项目和活动，如图 17 所示，包括《公约》及其《京都议定书》之下众多不同工作领域下的各个项目，如适应、气候融资、报告、支持谈判和技术。不会将补充活动信托基金下现有全部收入都分配到各个项目中。应捐款缔约方的请求，有些收入暂存，留到今后再行分配。

表 6

补充活动信托基金截至 2014 年 6 月 30 日的状况
(美元)

收入	
2012-2013 年结转额	42 104 203
2014 年收到的自愿捐款	6 473 507
联合执行收费	35 000
利息 ^a	0
对捐助方的退款	(117 577)
杂项收入	73 953
收入总计	48 569 086
支出	
支出	8 478 003
方案支持费用	1 095 433
支出总计	9 573 436
减去：业务周转金	2 500 000
余额	36 495 650

^a 在编写本文件之时，截至 2014 年 6 月 30 日的所得利息尚未计入帐户。

D. 清洁发展机制信托基金

17. 表 7 说明清洁发展机制信托基金截至 2014 年 6 月 30 日的收入和支出情况。2014-2015 两年期的收入为 1.973 亿美元，主要包括前一个两年期结转的资金 1.939 亿美元和清洁发展机制收费 340 万美元。

18. 截至 2014 年 6 月 30 日的支出为 1500 万美元，未支出余额为 1.823 亿美元，如扣除 4,500 万美元业务准备金，则未支出余额为 1.373 亿美元。清洁发展机制执行理事会在密切监测这一状况，特别是有关机制今后可持续能力问题，并将向《议定书》/《公约》缔约方会议作出报告。

表 7

清洁发展机制信托基金截至 2014 年 6 月 30 日的状况

(美元)

收入	
2012-2013 年结转额	193 940 704
清洁发展机制收费	3 365 929
利息 ^a	0
收费退款	(299 398)
杂项收入	355 410
收入总计	197 362 645
支出	
支出	13 335 219
方案支持费用	1 732 755
支出总计	15 067 974
减去：业务准备金	45 000 000
余额	137 294 671

^a 在编写本文件之时，截至 2014 年 6 月 30 日所得利息尚未计入帐户。

E. 国际交易日志信托基金

19. 表 8 显示国际交易日志信托基金截至 2014 年 6 月 30 日的收入状况。2014 年的收入为 740 万欧元，主要包括上一个两年期结转的资金 540 万欧元和收费 190 万欧元，，2014 年收费的 69.9%。

表 8

国际交易日志信托基金截至 2014 年 6 月 30 日的收入状况
(欧元 os)

收入	
2012-2013 年的结转额 ^a	5 420 748
2014 年国际交易日志收费	1 916 709
杂项收入和前期结余 ^{a, b}	45 867
收入总计^c	7 383 324

^a 在适用情况下，所使用的汇率(1 美元=0.736 欧元)是 2014 年 6 月 30 日的联合国官方汇率。

^b 在编写本文件之时，截至 2014 年 6 月 30 日所得利息尚未计入帐户。

^c 业务准备金为 227,970 欧元。

20. 表 9 按支出用途显示国际交易日志信托基金 2014 年的核定预算及截至 2014 年 6 月 30 日的支出情况。关于国际交易日志(ITL)预算执行情况的详细信息，请参阅《京都议定书》之下国际交易日志管理人的年度报告。

表 9

按支出用途分列的国际交易日志信托基金 2014 年核定预算及截至 2014 年 6 月 30 日的支出状况

支出用途	预算 (欧元)	支出 (欧元)	支出占预算的百分比
工作人员费用	733 730	276 387	37.7
订约人和顾问	1 516 403	720 316	47.5
专家组	10 000	7 801	78.0
工作人员旅费	25 000	0	0
一般业务开支和共同服务			
缴款	145 500	10 626	7.3
总计^a	2 430 633	1 015 130	41.8

^a 不包括方案支持费用和周转准备金调整数。

F. 德国政府特别年度捐款信托基金(波恩基金)

21. 作为将秘书处设在波恩的条件之一，德国政府每年向秘书处支付 180 万欧元特别捐款。截至 2014 年 6 月 30 日，已全额收到 2014 年的捐款。德国政府特别年度捐款信托基金(波恩基金)收到的捐款用于支付报告所述期间在波恩举行会议的后勤安排费用。

22. 表 10 显示波恩基金截至 2014 年 6 月 30 日的收入和支出情况。在减去支出总额 170 万欧元和业务准备金 241,200 欧元后，基金的未支出余额为 84,833 欧元，将于 2014 年底前全部支出。

表 10
波恩基金截至 2014 年 6 月 30 日的状况
(欧元)

收入^e	
2012-2013 年结转额 ^a	242 070
捐款	1 789 522
前期节余 ^{a, b}	146
收入总计	2 031 738
支出	
会议支持	1 217 196
会议信息支持	276 623
共同服务费用分摊	15 654
方案支持费用	196 232
支出总计	1 705 705
减去：业务准备金	241 200
结余	84 833

^a 在适用情况下，所使用的汇率(1 美元=0.736 欧元)是 2014 年 6 月 30 日的联合国官方汇率。

^b 在编写本文件之时，截至 2014 年 6 月 30 日所得利息尚未计入帐户。

G. 方案支持费用

23. 按照联合国的财务程序，《气候公约》的所有信托基金需支付 13%的一般管理费用，以涵盖行政管理服务费用。这些服务大多由秘书处内部的“行政服务”(AS)方案提供。审计、薪资、投资、财政等中央服务以及与司法行政相关的服务由联合国有偿提供。

24. 表 11 显示方案支持费用的状况。截至 2014 年 6 月 30 日，收入为 2150 万美元，包括从上一个两年期结转的资金 1620 万美元、方案支持费用收入 530 万美元，以及前期节余 19082 美元。

25. 报告所述期间，将 595 万美元用于支付工作人员和非工作人员费用。这包括 2013 年下半年联合国日内瓦办事处所提供的主要费用。但截至 2014 年 6 月 30 日，2014 年前 6 个月的费用尚未支付。在减去业务准备金 110 万美元后，方案支持费用特别账户未支出余额为 1,450 万美元。应指出的是，信托基金余额

目前在下降。自 2014-2015 两年期开始以来已经减少了 70 万美元，减少的原因包括在 2014-2015 核准预算中有四个职位从核心预算转到该信托基金下面，还有一个原因就是实施了国际公共部门会计准则。

表 11

方案支持费用特别账户截至 2014 年 6 月 30 日的状况(美元)

收入	
2012-2013 年结转额	16 183 618
各信托基金支付的方案支持费用收入	5 339 178
前期节余	19 082
收入总计	21 541 878
支出	
秘书处工作人员费用	4 170 631
秘书处非工作人员费用	1 260 156
联合国提供的服务费用	522 001
支出总计	5 952 788
减去：业务准备金	1 073 608
结余^a	14 515 482

^a 在编写本文件之时，截至 2014 年 6 月 30 日所得利息尚未计入帐户。

三. 方案执行

26. 方案执行所依据的是 2014-2015 两年期工作方案以及在提出工作方案后给予秘书处的授权。因此，本章应与概述该工作方案的 FCCC/SBI/2013/6/Add.1 号文件一并阅读。

27. 以下章节概述各方案的责任，说明是否正在实现两年期工作方案的预期成果，并概述对秘书处工作成果作出贡献的活动。

A. 行政领导和管理

28. “行政领导和管理”方案(EDM)的总体目标是提供战略指导，确保秘书处工作的总体连贯性及保持与其他组织，包括联合国系统和气候变化进程中的关键利害关系方的战略合作和伙伴关系。

29. EDM 方案为《公约》缔约方会议和《议定书》/《公约》缔约方会议主席和主席团提供战略和组织支持，推动第二十届《公约》缔约方会议和第十届《议定

书》/《公约》缔约方会议取得圆满成功及筹备第二十一届《公约》缔约方会议和第十一届《议定书》/《公约》缔约方会议。在报告期内举行了三次主席团会议，并与下任和今后几任主席举办了一系列会议。方案的执行战略股协调秘书处为德班加强行动平台问题特设工作组(德班平台工作组)提供的支持，协调其在2014年3月和6月举行的两次届会，包括按照第十九届《公约》缔约方会议的要求，成功推出一系列技术专家会议及适应和减缓方面 城市和次国家主管当局经验和最佳做法论坛。¹

30. 为了使秘书处的业务及其对缔约方的支持更具影响和效率，执行秘书继续努力，将若干重要机构改革举措合并为以“人员”、“进程”和“影响”三大支柱为中心的、连贯的、注重结果的战略框架。

31. 在“影响”支柱下，秘书处确定了提高工作影响力的具体措施，包括支持落实商定的制度和机制及加强与民间社会和其他利害关系方的战略伙伴关系。各项活动包括：在科学、适应和气候变化对人类健康影响等领域加强与联合国环境规划署(环境署)、联合国全球契约以及联合国系统内其它实体的协作。在气候中立化领域，秘书处加强了联合国内部的对外联系与协作，主要是为减少排放举措提供支持，并提供机会，以便利用适应基金中核证减排量来抵消排放。EDM 还为联合国秘书长准备 2014 年气候峰会提供战略支持，与联合国秘书处密切协作，为负责起草 2015 年后发展议程可持续发展目标的不限成员名额工作组提供支持。

32. 在战略框架的“进程”支柱下，EDM 重点加强对若干旨在提高秘书处业务效率和效力的举措提供领导和支持，包括：

(a) 精简行政管理业务，包括高级管理决策进程、问责机制以及行政差旅和外联活动；

(b) 扩大秘书处协作平台，实施重要系统，包括官方文件电子处理系统，新的缔约方提交材料门户以及供外部利害关系方使用的虚拟协作空间；

(c) 完善信息通信技术(信通技术)服务的服务能力，包括加强治理和战略规划，并辅之以一次独立外部评估，2014 年底出结果；

(d) 与德国对口部门密切合作，巩固波恩作为会议中心的地位，包括设立关于使用波恩世界会议中心的框架协议，确保及时完成中心建设，供 2015 年 6 月届会使用，更好地整合联合国办公区的各种服务，供会议使用。

33. 在“人员”支柱下，EDM 采取措施，在直到 2015 年底预计工作尤其紧张的这个时期内，保证工作人员的积极性和各项福利。还努力确保更有效地向工作人员通报管理层的讨论和决定情况。作为上述努力的一部分，管理团队与全体工作人员协商，制订了新的目标和任务规定。在 EDM 内部重新分配了责任，以更加注重内部管理问题，包括工作人员与管理层的关系、内部沟通、改革管理和工作人员福利等。制订了新的能力框架，作为秘书处人力资源战略的基石，这是征

¹ FCCC/德班平台工作组/2013/3, 第 30(c)(i)和(d)段。

聘、工作人员发展、业绩管理和新老更替(职业)规划综合参考框架的一部分。在学习活动方面继续坚持原有重点,包括中高级管理人员管理发展、对工作人员和领导进行有效业绩评估和管理的培训。

B. 减缓、数据和分析

34. 减缓、数据和分析(MDA) 方案支持有关下述领域的政府间进程: 发达国家和发展中国家缔约方有关减缓的活动, REDD+活动²、土地利用、土地利用的变化和林业 (LULUCF)、农业、舱载燃油等部门性问题以及实施应对措施的影响。MDA 推动《公约》下的衡量、报告和核查 (MRV) 进程, 便利审议全体缔约方在国家信息通报、温室气体清单和其它相关提交材料中提供的信息和数据。MDA 还参与为非附件一缔约方编写国家信息通报和两年期更新报告提供技术援助。

35. MDA 积极支持德班平台工作组下与减缓问题有关的谈判, 重点是 2015 年协定中减缓方面的内容和加强减缓宏图的工作计划。在工作流程 1³ 下, MDA 领导关于减缓问题的实质性支持及审议和拟订按照第 1/CP.19 号决定拟作出的由本国确定的承诺的进程。MDA 还领导对发达国家和发展中国家在德班平台工作组及履行机构和附属科学技术咨询机构(科技咨询机构)相关工作方案之下有关的透明问题谈判提供实质支持。在工作流程 2 下⁴, MDA 领导对技术专家会议的实质性支持并编写技术分析。

36. 作为便利《公约》下 MRV 进程的一部分, MDA 于 2014 年 1 月 1 日启动了《公约》附件一所列缔约方(附件一缔约方)的国际评估和审查(IAR)进程(包括提交和审议其两年期报告), 并推进了启动非《公约》附件一所列缔约方(非附件一缔约方)国际协商和分析进程(ICA)(包括提交和审议其两年期更新报告)的准备工作。秘书处在开展 IAR 时, 协调对附件一缔约方提交的材料开展了 16 次国家内审评和 2 次集中审评(涵盖 10 个缔约方), 并计划在 2014 年底前完成剩余的审评。关于在附属机构第 41 届会议期间进行有史以来第一轮多边评估的规划工作也取得了进展。

37. MDA 继续协调附件一缔约方提交的温室气体清单和补充资料的年度审评进程, 并继续开展各种相关活动, 如为《京都议定书》之下履约委员会的工作提供支持, 为专家审评员举办培训活动包括一次进修研讨会, 并开发新的培训课程。为使各国能够依照最新的科学指南报告温室气体清单, MDA 与信息技术服务(ITS)方案协作, 于 2014 年 6 月 30 日发布新版通用报告格式(CRF) 报告软件。

² 与减少发展中国家毁林和森林退化所致排放量有关问题的政策方针和积极鼓励措施, 以及养护、可持续森林管理和加强发展中国家森林碳储备的作用。

³ 根据推进行动平台的第 2/CP.18 号决定, 德班平台工作组之下工作分两个工作流程进行: 一个是与第 1/CP.17 号决定第 2-6 段(议程项目 3(a))有关的事务, 另一个是与 1/CP.17 号决定第 7 和 8 段(议程项目 3(b))有关的事务。

⁴ 见上文脚注 3。

MDA 继续更新信息技术系统和进程, 如《气候公约》数据存储库、国际交易日志及汇编和核算数据库, 以用于《京都议定书》之下第二个承诺期。

38. 举办了两场非《公约》附件一缔约方国家信息通报咨询专家组(咨询专家组)会议, 咨询专家组开展了广泛的技术和能力建设活动, 包括拟订一份概念说明, 为培训方案开发培训材料, 以便被提名进入《气候公约》专家名单的技术专家在 ICA 进程下对非附件一缔约方的两年期更新报告开展技术分析。MDA 与信通技术服务方案协作, 于 2014 年 4 月 25 日发布非附件一缔约方温室气体清单软件升级版, 并在拉丁美洲和加勒比地区, 为来自非附件一缔约方的 71 名国内专家提供实地相关培训, 为 215 名专家提供远程培训。

39. 在报告期内, MDA 配置了适合本国的减缓行动(NAMA) 登记册强化版, 其中加入了改进 NAMAs 与行动支助配对的功能。此外, MDA 正在实施一项外联项目, 目的是提高平台的影响力和用户。截至 2014 年 6 月 30 日, 登记册已有 47 个 NAMA 条目, 10 个支助条目, 两项 NAMAs 与支助配对。

40. MDA 在广泛的方法和科学事务上为《公约》缔约方会议和《公约》/《议定书》缔约方会议及其附属机构的工作作出贡献。特别应当指出的有: 谈判附件一缔约方报告和审评修订指南(关于有关《京都议定书》第五、第七和第八条的问题以及《公约》下的国家信息通报问题)、有关 REDD+、LULUCF 和农业、国家航空和海运排放以及通用指标等众多问题。具体活动包括: 举办以非市场方式支持 REDD+活动的专家会议, 准备审评发展中国家缔约方提交的资料以支持落实 REDD+, 支持和加强缔约方和利害关系方通过《气候公约》REDD 网络平台分享有关 REDD+的信息。

41. MDA 支持缔约方在实施应对措施影响方面的工作。根据第 8/CP.17 号决定第 5 段的要求, 在两附属机构第四十届会议上就对实施应对措施影响论坛的工作形成审查结论, 并汇编缔约方关于这一问题的提交材料。

C. 财务、技术和能力建设

42. “财务、技术和能力建设”(FTC)方案支持缔约方, 特别是非附件一缔约方执行《公约》和《京都议定书》, 包括在履行机构、科技咨询机构、《公约》缔约方会议、《议定书》/《公约》缔约方会议和德班平台工作组就以下领域进行谈判: 财务、技术开发与转让、能力建设、教育、培训和宣传。方案还协调秘书处为科技咨询机构提供支持, 以确保其有效、高效地运转。

43. 报告期内, FTC 为财务常设委员会(财委会)举行的两次会议⁵ 和实施信通技术服务 2014-2015 年工作计划⁶ 提供了支持, 包括 2014 年 6 月与气候投资基

⁵ 见<<http://unfccc.int/6881.php>>。

⁶ FCCC/CP/2013/8, 附件八。

金 2014 年伙伴关系论坛同时举行的第二届财委会信息交流论坛,⁷ 开展首次气候资金流量两年期评估和总结,⁸ 并为资金机制第五次审查提供专家意见。FTC 还在 2014 年 4 月举行了一次网络研讨会, 2014 年 9 月举行首次长期资金问题会期研讨会⁹, 这是根据第 3/CP.19 号决定的要求举行的。¹⁰ 方案继续维持和更新《气候公约》财务门户网站,¹¹ 包括发达国家缔约方提交第六次国家信息通报和第一次两年期报告。此外, FTC 继续就若干问题与全球环境基金(环境基金)联络, 包括环境基金 6 充资进程和环境基金信托基金下的气候变化活动, 并与绿色气候基金(气候基金)保持定期互动。

44. FTC 为 1 次技术执行委员会(技执委)的会议、¹² 1 次适应技术研讨会¹³ 以及编写和实施技执委 2014-2015 年滚动工作计划提供支持。方案还为技执委设立的六个工作组落实各个主题领域的工作计划提供支助, 包括准备背景文件, 推动观察员组织代表参加技执委三个工作组的工作, 这些观察员组织包括环境类非政府组织、研究和独立型非政府组织、工商企业界非政府组织和政府间组织。为确保技术机制得到统一支持和开展工作, FTC 继续与气候技术中心和网络的东道方环境署进行密切协作。对技术信息交换所 TT: CLEAR, 方案进行更新、维持并添加新的功能, 包括关于技术需要评估、国家指定实体等新的网页, 还有一个新的技术门户网站, 提供 600 多份技术路线图和 100 项技术简介。

45. FTC 举办了德班能力建设论坛第 3 次会议¹⁴ 和《公约》第六条第 2 次对话,¹⁵ 开发和推出了基于网络的《气候公约》能力建设门户网站,¹⁶ 并通过下述努力推动落实关于《公约》第六条的多哈工作方案: 进一步发展和宣传《气候公约》气候变化信息网络交换所 CC: iNet;¹⁷ 协调联合国气候变化教育、培训和宣传联盟¹⁸ 及联合国儿童、青年和气候变化联合框架倡议;¹⁹ 推动联合国可

⁷ 见<<https://unfccc.int/8138.php>> and <<https://unfccc.int/7552.php>>.

⁸ 见<<http://unfccc.int/8034.php>>.

⁹ 见<<http://unfccc.int/6814.php>>.

¹⁰ 见<<http://unfccc.int/8168.php>>. More information on the work programme on long-term finance is available at <<http://unfccc.int/7421.php>>.

¹¹ 见<<http://unfccc.int/pls/apex/f?p=116:1:1273473751496967>>.

¹² 见<http://unfccc.int/ttclear/pages/ttclear/templates/render_cms_page?s=TEM_TEC_meetings>.

¹³ 见<http://unfccc.int/ttclear/pages/ttclear/templates/ttclear/templates/render_cms_page?s=events_workshops_adaptationtechs>.

¹⁴ 见<http://unfccc.int/cooperation_and_support/capacity_building/items/8121.php>.

¹⁵ 见<http://unfccc.int/cooperation_and_support/education_and_outreach/items/8210.php>.

¹⁶ 见<<http://unfccc.int/capacitybuilding/core/activities.html>>.

¹⁷ 见<http://unfccc.int/cc_inet/cc_inet/items/3514.php>.

¹⁸ 见<http://unfccc.int/cooperation_and_support/education_and_outreach/items/7403.php>.

持续教育十年机构间委员会²⁰和联合国青年发展机构间网络²¹的工作。为加强青年的参与，FTC 进一步开发了 CC: iNet 青年门户网站²²，并协调翻译和推广一份联合出版物。²³此外，FTC 每周都在《气候公约》的脸书和推特页面上展示缔约方和其它利害关系方有关能力建设、《公约》第六条以及性别和气候变化领域的活动。

D. 适应

46. “适应”方案支持缔约方，尤其是发展中国家缔约方评估、制定和执行旨在减少脆弱性和建设抗御能力的适应计划、政策与行动，改善国际气候政策和气候变化行动的科学基础，包括为审查全球长期目标的适当性提供便利，支持审议科学、研究和系统观测结果。方案支持政府间组织的工作和就这些事项及长期合作行动共同愿景开展的谈判。

47. 在报告期内，方案通过支持下述工作为执行《坎昆适应框架》提供便利：

(a) 为推动在《公约》下以一致方式实施加强的适应行动而成立的适应委员会²⁴的工作。适应委员会已极大地推进了工作计划的实施，包括在与区域组织和网络协作、国家适应规划、适应工作的监测和评估等领域；

(b) 解决特别容易受到气候变化不利影响的发展中国家与气候变化影响有关的损失和损害相关的工作，包括气候变化影响相关损失和损害华沙国际机制执行委员会的工作；²⁵

(c) 最不发达国家和其它感兴趣的发展中国家缔约方制订和实施国家适应计划的进程²⁶，包括汇编缔约方和相关组织在制订国家适应计划方面采用初步指南情况提供的材料以及与制订和实施国家适应计划有关的其它资料。²⁷并对 2014 年 2 月 24 日至 26 日举行的一次关于国家适应计划的技术会议提供了支持。

¹⁹ 活动和会议包括为谈判机构的主席和联合主席举行的高级别吹风会和与联合国秘书长举行的虚拟对话。

²⁰ 见<<http://bit.ly/19wJgEy>>.

²¹ 见<<http://undesadspd.org/Youth/UNInterAgencyNetworkonYouthDevelopment.aspx>>.

²² 见<http://unfccc.int/cc_inet/cc_inet/youth_portal/items/6578.php>.

²³ 见<http://unfccc.int/cc_inet/cc_inet/six_elements/public_awareness/items/3529.php?displayPool=1584>.

²⁴ 见<unfccc.int/6053>.

²⁵ 见<unfccc.int/6989> and <unfccc.int/7585>.

²⁶ 见<unfccc.int/6057>.

²⁷ FCCC/SBI/2014/MISC.1.

48. 还支持最不发达国家专家组 实施 信通技术服务 工作方案。²⁸ 特别是有效支持最不发达国家专家组于 2014 年 2 月 27 日至 28 日举行第 25 次会议。²⁹ 并进一步支持最不发达国家专家组与各个不同领域的广泛组织接触，以推动实施其工作方案。

49. 通过继续努力让广泛的利害关系方参与支持实施关于影响、脆弱性和气候变化适应的内罗毕工作方案(内罗毕工作方案)，从而增加了内罗毕工作方案的伙伴组织数目，其承诺的行动数目也有所增加。³⁰

50. 秘书处支助组在科技咨询机构主席的指导下，与缔约方、伙伴组织、适应工作者和内罗毕工作方案下的专家进行接触与协作，切实分享有关采取的相关适应行动及所得教益的信息和知识，包括与适应委员会举行联合会议，定期更新各类基于网络的内罗毕工作方案数据库。³¹ 此外，秘书处坚持出版通讯季刊“电子新情况”，³² 使全体利害关系方了解与内罗毕工作方案有关的活动，并编写半年进度报告，全面介绍在实施内罗毕工作方案下各项活动方面的进展情况。³³

51. 对于科学研究工作，方案支持科技咨询机构和履行机构就 2013-2015 年审查全球长期目标是否适当及在落实这一目标方面总体进展情况开展的谈判。³⁴ 方案举办了在科技咨询机构第 40 届会议期间举行的关于 2013-2015 年审查工作的分级专家对话第三次会议，使缔约方能够积极与气专委专家就气专委第五次评估报告(第五次评估报告)第二和第三工作组意见中与此次审查有关的主要结论交换意见。³⁵

52. 方案为关于研究活动和结论及研究需要的信息流动和沟通提供便利，以高碳库生态系统和极地区域为重点。³⁶ 方案在科技咨询机构第 40 届会议期间举行了研究对话第六次会议，参加者有来自区域和国际研究方案和组织、气专委和缔约方的代表。³⁷ 方案继续作为有关气专委活动的协调机构，为向缔约方介绍第五次评估报告第二和第三工作组意见中的主要结论提供便利。³⁸

²⁸ 见<unfccc.int/7984>.

²⁹ FCCC/SBI/2014/4.

³⁰ 内罗毕工作方案伙伴和行动承诺一览见第 FCCC/SBSTA/2014/INF.7 号文件。

³¹ 见<<http://unfccc.int/8036>>.

³² 见<<http://unfccc.int/7565>>.

³³ FCCC/SBSTA/2014/INF.7.

³⁴ 见<<http://unfccc.int/6998>>.

³⁵ 见<<http://unfccc.int/7521>>.

³⁶ FCCC/SBSTA/2014/INF.1 和 FCCC/SBSTA/2014/INF.5.

³⁷ 见<<http://unfccc.int/6793>>.

³⁸ 见<<http://unfccc.int/6990>>.

53. 方案支助德班平台工作组下开展的有关 2020 年后气候机制长期适应方案内容(工作流程 1)及 2020 年之前追求目标(工作流程 2)相关工作的谈判,包括支持在德班平台工作组 2 第 5 部分期间举行的一次技术专家会议。

54. 在 信通技术服务各个工作流程下,方案与多个多边、国际、区域和国家组织进行协作,包括环境基金、气专委、世界气象组织、全球气候观测系统、地球观测组、若干区域和国际性研究方案和组织以及联合国国际减灾战略。

E. 可持续发展机制

55. 报告期内,“可持续发展机制”方案(SDM)的主要作用仍然是向清洁发展机制执行理事会和联合执行监督委员会(监委会)提供实质性支持,这两个机构是为监督《京都议定书》之下基于项目机制的实施工作而组建的。此外,SDM 继续支持关于市场方针和非市场方针所有问题的谈判,包括履行机构、科技咨询机构和德班平台工作组开展的所有相关工作。SDM 方案大部分活动的资金来自于清洁发展机制和联合执行的收益分成。只有非清洁发展机制/联合执行活动的费用由秘书处方案预算支付。

56. SDM 安排清洁发展机制执行理事会举行三次会议及其专题小组和工作组举行六次会议。方案还举办和支持监委会举行一次会议及其有关联合执行认证进程的工作。两个监督机构对第十届《议定书》/《公约》缔约方会议的年度报告将重点介绍这些会议上讨论的问题范围。

57. 报告期内,有关项目和实体评估的工作减少了,而在制订标准化基线及改进方法学领域的工作量增加了。³⁹

58. 为了回应《议定书》/《公约》缔约方会议向清洁发展机制中代表性不足区域的项目开发者提供直接支持的请求,继续开展清洁发展机制区域协作中心(区域协作中心)网络的工作。有四个中心已经在运作(两个位于非洲,另外两个在拉丁美洲和加勒比)。区域协作中心的重点是:直接支持项目和活动方案,项目周期内有 88 项活动得到推进;确定 109 个可能的新项目,其中 38%正在推进;支持 59 个标准化基线,产生了 10 份提交材料;清洁发展机制的政策发展,以加强这一机制;支持基于结果的财务制度;区域/地方性能力建设。⁴⁰

59. 方案举行了 8 次利害关系方干预活动,包括在纳米比亚温得和克为指定国家主管部门举行一次区域研讨会,在非洲为指定国家主管部门和项目参加者单独或与区域协作中心联合举办六次研讨会,此外还举行区域协作中心全球论坛。另外还为指定经营实体举行了六次 WebEx 网络会议,开展协商和能力建设活动。

³⁹ 清洁发展机制 2014 管理计划实施情况(CDM-EB80-A01)。见<<http://cdm.unfccc.int/UserManagement/FileStorage/AT5QIPYH920NKBSZEUOWDJ81GRL64M>>。

⁴⁰ 清洁发展机制区域协作中心倡议现状(CDM-EB80-AA-A02)。见<<http://cdm.unfccc.int/UserManagement/FileStorage/I3UDLBCN7V9YF2SA01Z5JWPXK6RTQ4>>。

60. 报告期内就增加核证减排量(CERs)需求和使用清洁发展机制启动了新的工作,推动基于(a) 履约和 (b) 自愿目的接受 CERs。这项工作包括推动自愿注销 CERs,向三个潜在需求中心通报和推动使用清洁发展机制和 CERs: 公共部门、私营部门以及利用清洁发展机制来支持其它工具和制度。

61. 自上一次报告期以来,清洁发展机制执行理事会批准了一项标准,⁴¹ 明确可用于制订标准化基线⁴² 的数据的涵盖范围和寿命的要求,还确定了制订标准化基线的优先部门,清洁发展机制执行理事会也批准了通过自下而上和自上而下方式主动制订标准化基线的计划。通过对清洁发展机制重要规范文件的修订,增加了对项目活动使用标准化基线的要求,包括关于人员运输和货物运输的两项方法学工具,可用以制订标准化基线或估算一项清洁发展机制项目活动的基准排放量。

F. 法律事务

62. 在报告期内,法律事务方案(LA)就有关实施《公约》和《京都议定书》及“巴厘岛路线图和“多哈气候途径”下商定结果的事务以及秘书处和《气候公约》进程的业务提供法律支持和咨询意见。

63. 除了直接支持附属机构审议各自议程下的各个项目之外,LA 还为其工作中的程序和实质性内容提供法律咨询意见和支持。对德班平台工作组,LA 为 2015 年协定的谈判提供支持,包括提供法律、制度和程序方面的咨询意见和支持,也包括履约问题。

64. LA 为继续制订和实施《公约》下的监测、报告和核查框架以及“REDD+”华沙框架和华沙国际机制投入运作提供法律咨询意见和支持。还向《公约》下组成机构的工作提供法律支持和咨询意见,包括适应委员会、财委会和技执委。并协助气候基金独立秘书处为气候基金董事会提供支持。

65. LA 还就《京都议定书多哈修正案》的批准和生效、实施以及为第二个承诺期制订进一步规则提供法律咨询意见。方案支助执行清洁发展机制和联合执行、清洁发展机制执行理事会和联合执行监督委员会的机构进程及其支助架构。这种支持包括关于清洁发展机制登记和自愿注销的法律见解以及理事会规则和程序的法律咨询意见。方案还就有关《公约》下市场和非市场机制的谈判提供支持和咨询意见。

66. 为秘书处的运作提供法律咨询意见和支持,以确保遵守联合国的条例和规则以及《公约》缔约方会议和《议定书》/《公约》缔约方会议的相关决定,并

⁴¹ 确定标准化基线的数据的涵盖范围和有效性 (CDM-EB77-A05-STAN). 见
<<http://cdm.unfccc.int/UserManagement/FileStorage/Y6FJ23PVUE5TLRQA9407KG1OXCHDN>>.

⁴² 确立具体部门标准化基线准则 (CDM-EB65-Annex23). 见
<<http://cdm.unfccc.int/UserManagement/FileStorage/1Z2N5XPQIYJUFG0D874MCHSARLB9KE>>.

保护秘书处和《气候公约》进程的利益。具体说来，在 LA 的协助下，与秘鲁政府成功谈判和缔结了第二十届《公约》缔约方会议和第十届《议定书》/《公约》缔约方会议东道国协定。已经启动与法国政府关于第二十一届《公约》缔约方会议和第十一届《议定书》/《公约》缔约方会议的东道国协定谈判。LA 还支持谈判和缔结有关在秘书处总部之外举行的《气候公约》会议和研讨会的法律文书以及公共-私营伙伴关系安排的法律文书。继续就秘书处的机构和行政活动及其采购活动提供咨询意见。还为《气候公约》和《联合国防治荒漠化公约》合同问题地方联合委员会和联合地方财产普查委员会提供法律咨询意见和建议。

67. 作为核心职责的一部分，LA 为履约委员会提供技术、行政和后勤支持，并与委员会主席团协商，为其成员和候补成员举办一次入职会议，并在 2014 年 3 月举行分部门会议和全体会议。

G. 会议事务服务

68. 会议事务服务方案(CAS) 的总体目标是为《气候公约》各项会议提供一个有利和安全的环境。方案提供符合缔约方期望的全套会议相关设施和服务，包括高质量的正式文件，并与全体利害关系方特别是缔约方和观察员组织联络。

69. 在报告期内，CAS 作出后勤安排，便利缔约方和观察员组织参加德班平台工作组 2 第 4 和第 5 部分会议、履行机构和科技咨询机构第 40 届会议。此外，在报告期内，四个谈判组举行了 9 天的届会前会议，相关机构主席与 13 个谈判组举行了 53 场双边会议。此外，CAS 为组成机构举行 51 次会议提供支持，包括作出后勤安排，为缔约方和观察员组织参会提供便利。报告期内，关于德班平台工作组、《公约》缔约方会议、《议定书》/《公约》缔约方会议、履行机构和科技咨询机构 2014 年下半年届会的准备工作正在有条不紊地进行。

70. CAS 及时处理届会所必须的《气候公约》文件。此外，CAS 与信通技术服务协作，实施了电子文件系统，提高了编写和发布文件的效率。

71. CAS 审查了符合资格的缔约方代表要求资金支持的共 408 份请求，以方便他们参加《公约》及其《京都议定书》之下设立机构的届会。

72. 履行机构 2010-2012 年有关观察员参与政府间进程结论⁴³ 的落实工作正在继续。此外，CAS 在报告期内实施了一种创新的观察员参与模式，即德班平台工作组 虚拟会场。⁴⁴ 2014 年 3 月，虚拟会场访问数量达到 37,000 次，占《气候公约》网址全部访问量的 13%。CAS 为观察员参加 8 场 届会间研讨会提供便利，处理了 57 份观察员提交材料，促成 78 次发言机会，其中有 65 次发言是在

⁴³ FCCC/SBI/2010/27, 第 139-152 段, FCCC/SBI/2011/7, 第 168-179 段, 和 FCCC/SBI/2012/15, 第 239-242 段。

⁴⁴ 这是一个在线上传系统，缔约方和观察员可用来展示与德班平台工作组技术专家会议相关问题的举措和项目。

2014 年 3 月举行的德班平台工作组 2 第 4 部分会议和 2014 年 6 月举行的履行机构和科技咨询机构第 40 届会议及德班平台工作组 2 第 5 部分会议。在 6 月份的届会期间，有 45 个缔约方和观察员参加了 41 场展览(附属机构第三十八届会议的展览只有 30 场)，有 140 个缔约方和观察员参加了 94 场会外会(以前是 79 场)。会外会参加人员总数达到 4,949 人次，2014 年届会期间的参会人员总数约为 2,800 人。

73. 除了 2013 年所报告的增效措施外⁴⁵，CAS 实施了新的增效措施，准备在第二十届《公约》缔约方会议/第十届《议定书》/《公约》缔约方会议和 第二十一届《公约》缔约方会议/第十一届《议定书》/《公约》缔约方会议期间实施。这些措施包括：

(a) 启动网上申请系统，通过一个在线界面，简化新组织手动提交观察员身份申请文件；

(b) 更新在线报名系统，实现各种增效功能；例如，使两个不同组织的与会人员可以分开报名。

74. 计划在 2014 年下半年对在线报名系统做进一步更新，这将使秘书处能够更有效地与观察员交流。

75. 针对联合国内部事务监督厅(内部监督厅)对秘书处会议管理部门提出的就所提供的会议服务质量启动正式客户反馈机制的建议，CAS 要求报名参加 2014 年 6 月届会的缔约方和观察员组织参加关于届会服务质量的电子用户调查。

76. 目前正在分析所收到的来自 56 个缔约方和 42 个观察员组织的有效答复。综合所收到的答复，在全体作出答复的缔约方和观察员组织中，将提供会议服务的总体质量评为良(66%)或优(30%)的约占 96%。

77. 此外，针对内部监督厅的建议，CAS 正在编写一份会议管理手册，这将有助于东道国政府举办《气候公约》的会议。手册将为未来的东道国提供重要参考工具，就全套组织和管理问题提供广泛和详细的信息，并介绍许多经验和最佳做法。

78. 在报告期内，CAS 继续实施努力减少《气候公约》会议碳足迹的措施，如工作人员托运到会议举办地的行李减少了约 41%。

79. CAS 继续努力减少官方文件用纸量。《气候公约》大会和届会在会议期间只通过电子版为与会人员提供《今日日程》，并采取有限的应要求印刷的做法，这已经成为惯例，总用纸量减少了 84%。为进一步改进届会期间获取信息渠道，CAS 正在对《今日日程》进行全面审查。

80. CAS 还努力改善《气候公约》届会期间获取谈判案文草稿电子版的渠道。除了通过文件台获取纸质文件外，目前在会期还使用专门网页刊登这些案文。

⁴⁵ FCCC/SBI/2013/14, 第 75 段。

H. 通信和外联

81. “通信和外联”方案(CO)的总体目标是管理外部通信、在线公共信息、媒体关系及服务,包括内部知识管理等服务,以支持《公约》及其《京都议定书》进程,并执行《公约》缔约方会议的决定,以便通过促进应对气候变化的积极行动与政策,尽可能施加最大影响。

82. 报告期内,方案启动重新推出《气候公约》网站和创建一个消息室作为网站新的首页的工作。⁴⁶ 新的首页旨在推出气候变化新闻以及各国政府、公司、城市、联合国和全世界民间社会在气候变化行动方面涌现的大量故事。

83. 《气候公约》网址得到了进一步加强,引入了德班平台工作组“合作倡议门户”及其图形化的“《公约》下机构、机制和安排一览”、新的“驱动变革”网页⁴⁷和纪念20年来《公约》各项努力和成就的《气候公约》时间表。在报告期内,《气候公约》网站页面浏览量为2,380,569,下载PDF文件1960万份。

《气候公约》的苹果智能手机/平板电脑应用程序Negotiator被下载了约10,000次。秘书处YouTube频道浏览次数为245,000,比报告期之初的20,000次有所增加。该频道目前有20个视频。秘书处Flickr帐户有1,500张会议和执行秘书外联活动照片,总浏览量达到470,000次。秘书处的Google+页面目前有5,000名关注者。

84. 方案以英文和西班牙文发布两份秘书处电子通讯。⁴⁸ 英文版约有15,000名订阅者,西文版约有6,000订阅者。

85. 报告期内,秘书处在推特上共发布1,222条微博,在《气候公约》脸书网页上发布825条信息。许多信息在利害关系方中得到传播。在报告期内,秘书处的推特和脸书帐户都越过了100,000名关注者/粉丝大关。

86. 方案为几个机构和小组的会议和研讨会⁴⁹提供网播服务,从而促进政府间进程的透明度。报告期内,有超过《气候公约》访问者观看了网播节目。

87. 方案继续与媒体密切合作,以促进对气候变化和政府间进程的理解和准确报道。CO共满足了190项有关提供资料的请求,处理了媒体对执行秘书的60次采访和约稿请求,编写了24份新闻稿,组织了12次媒体通报/吹风会、21次演讲/视频发言和2次新闻发布会,介绍谈判或执行活动的状况。CO继续编写每日要闻,概述全球对联合国气候变化进程及气候变化相关活动重要报道的情况。

⁴⁶ 见<<http://unfccc.int>>.

⁴⁷ 见<http://unfccc.int/secretariat/momentum_for_change/items/6214.php>.

⁴⁸ 见<http://unfccc.int/press/news_room/newsletter/items/3642.php>.

⁴⁹ 履行机构、科技咨询机构、德班平台工作组、清洁发展机制执行理事会、联合执行监委会、履约委员会、技执委、适应委员会和融资问题常设委员会。

88. 在工作人员搬到秘书处在波恩的新址 Altes Abgeordnetenhaus 的过程中，CO 继续为将档案移交档案中心提供指导。已经将约 171 延长米的无效档案由各方案转移到档案中心，还有约 183 延长米已经销毁，满足了 31 份借档请求。

89. CO 继续领导通过局域网为秘书处提供内部通信服务，以便通过秘书处的内部通讯分享信息/新闻并为工作人员提供最新消息，在整个秘书处内促进一种合作和参与的氛围。报告期内，通讯浏览量接近 3,900 次。

90. 2014 年终止了传统的实体图书馆服务，更加侧重自选式电子数据库服务，以便工作人员自行开展研究。报告期内订阅的数据库有三十二个。

I. 信息技术服务

91. 信通技术服务提供信息技术基础设施和专门化信息系统，以支持秘书处完成其任务授权。信通技术服务通过实施和运行可以依赖的有保障的信通技术支助基础设施和专门化应用程序，确保缔约方规定的工作得以完成。方案还运营支持性基础设施，以确保《气候公约》网址持续运作，缔约方可以方便获得官方文件、信息、数据、纪要和活动详情。

92. 除了有关运行、更新和保养的正常工作外，在报告期内内，信通技术服务完成了商业数据中心逐步退出 Windows 7 操作系统和逐步配置和采用新系统的工作。此外，转入新的电子邮件系统的工作也已经开始并正在有条不紊地进行。

93. 新的框架方案协定中规定了各方案的工作，这是信通技术服务与各个方案共同达成的。协定为服务和系统的管理提供了更加详细的组合办法，确保在提供服务方面更加有效，并定期向方案客户报告服务提供情况。此外，已经建立了新的信通技术治理机制，以确保改进高级管理层在整个系统内对信通技术业务的监管。

94. 方案维护一个可以依赖和有保障的信息技术网络基础设施和服务，使秘书处能够满足政府间进程的要求。所提供服务的对象包括：《公约》及其《京都议定书》所设机构的届会、33 场研讨会和小型会议，依照规定建立的系统如《气候公约》温室气体清单数据库、汇编和核算数据库、温室气体数据界面、清洁发展机制和联合执行信息系统及清洁发展机制登记册，《气候公约》网站内容管理系统和档案管理系统等全秘书处的系统。此外，国际交易日志的技术监督和合同管理也得以有效执行。在这方面取得的主要成就包括测试和将欧洲联盟登记册并入国际交易日志。

95. 2014 年上半年，信通技术服务开发人员参与了 40 多项不同的信息技术新的强化项目，为秘书处及其利害关系方增加或更新了重要能力。同期信通技术服务的成果还包括：新的报告温室气体清单通用报告格式系统(经过近三年的开发工作之后，按商定期限并在核定预算内交付)；新的《气候公约》材料提交门户；秘书处新的正式文件电子系统；新的 FTC 能力建设门户；新的 CAS 在线报名系统；新的两年期报告虚拟团队空间；新的驱动变革系统。

96. 此外，秘书处(基于微软 SharePoint)的协作平台得到极大加强并得到更加广泛的采用，既作为一个共享平台(团队地址、项目地址等)，又作为关键任务应用软件基准，如双年期报告、适合本国的减缓行动登记册、可持续发展工具、《气候公约》提交材料平台等等。

J. 行政服务

97. “行政服务”方案(AS)的总体职能是在秘书处的人力和财务资源管理方面提供中央支持服务。在这方面，AS 负责拟定财务、人力和行政管理政策与指南，以及提供采购、房舍管理和旅行安排服务。

98. AS 为气候基金处秘书处 2014 年 3 月财务和预算安排提供行政支持，为 2014 年 6 月旅行安排提供行政支持。在报告期内，AS 为联合国审计委员会审计到 2013 年 12 月 31 日结束的双年财务报告提供便利。委员会指出，审计结论中有 56%得到全面落实，有 11%的建议因会议而推迟，未执行率为 33%。2012-2013 年审计只提出三项新的审计建议。

99. 截至 2014 年 6 月 30 日，秘书处工作人员中，专业职级以上人员中女性占到 40.5%，与 2013 年相比，女性工作人员比例下降了 1.9%。在报告期内，专业职级以上人员中来自非附件一缔约方的人员比例略有下降，从 49.3%降到 49%。

100. 以《人力资源战略》为指导，开展了一些战略性人力资源行动，以帮助创建健康的工作环境，这些行动包括：试行新的业绩管理系统；实施道德和性别平等战略；继续学习和发展努力；制订内部审查程序，提高工作人员投诉处理效率。

101. 在报告期内，AS 为获得提名的人员参加附属机构和德班平台工作组的届会、组成机构及其专门委员会的会议、研讨会、国内审查以及《气候公约》和气候基金其它正式活动共作出 1345 项旅行安排。这些旅行安排中实际与会率为 89%。在所有被提名人员实际未到会的情况中，他们也都及时收到了秘书处的旅行文件，但称他们另有工作或个人安排与会议冲突、健康问题、签证问题或错过航班或转机等。

102. AS 以竞争和节省成本的方式开展采购工作，确保按照联合国规则和条例及《气候公约》的政策，实现公平、透明和物有所值。在报告期内，AS 进行了共 352 项采购，总价值 8,238,223 美元。在这些采购中，有 83%是在已有长期协议下进行的，占总价值的 58%。在其它 60 项采购中都进行了招标，最后挑选的出价、应标和报价平均比收到的最低报价低 55%。平均每起招标收到 10 份报价。接近 99%的采购都是在规定时限内完成的(在总共 352 起中占到 348 起)。

103. AS 协调秘书处参与设计新的配楼，东道国政府目前计划在 2018 年以前建成，目前秘书处中仍在联合国办公区之外的部门以后将入住。AS 推动秘书处和在波恩的联合国部门参与设计即将完工的联合国办公区附属扩展会议中心设施并谈判将来的使用条件。此外，AS 还领导秘书处在工作中实现气候中立性的努

力，报告其温室气体排放量、推出减排措施，特别是在旅行领域，并准备通过购买和注销适应基金核证减排量来抵销剩余的排放量。

四. 补充信息

104. 有关秘书处人力资源的信息载于附件一。附件二载有补充活动信托基金所资助活动的收入和开支情况。附件三载有秘书处各个方案每项目标的业绩数据。

Annex I

[English only]

Human resources

A. Staff

1. Table 12 shows the number of approved posts and filled posts by grade and source of funding. As at 30 June 2014, of the 543 approved posts, 461 had been filled. Two of these posts were 50 per cent posts (half-time posts) and two full-time posts had been filled with part-time staff working only 50 per cent of the time, thus increasing the actual number of staff to 463. In addition, 13 Professional and 25 General Service level staff members had been hired under temporary assistance contracts, bringing the total number of staff at the secretariat to 501.

Table 12

Approved established posts and filled posts by source of funding as at 30 June 2014

	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Subtotal	GS	Total
<i>Trust Fund for the Core Budget</i>											
Approved	1	3	7	14	34	37	17		113	50.5	163.5
Filled ^a	1	3	7	14	33	33	12		103	47.5	150.5
<i>Trust Fund for Supplementary Activities</i>											
Approved				3	5	19	23		50	23	73
Filled				2	3	10	14		29	16	45
<i>Trust Fund for the Clean Development Mechanism</i>											
Approved			2	4	20	50	61		137	58	195
Filled			1	4	19	41.5	54		119.5	45	164.5
<i>Trust Fund for the International Transaction Log</i>											
Approved					2	3	1		6	2	8
Filled					2	2	1		5	1.5	6.5
<i>Trust Fund for the Special Annual Contribution from the Government of Germany (Bonn Fund)</i>											
Approved							1		1	7	8
Filled							1		1	6	7
<i>Special account for conferences and other recoverable costs^b</i>											
Approved							2		2	4	6
Filled							1		1	4	5
<i>Programme support (overhead)</i>											
Approved			1	2	3	13	9		28	61.5	89.5
Filled			1	2	3	13	8		27	55.5	82.5
Total											
Approved	1	3	10	23	64	122	114		337	206	543
Filled	1	3	9	22	60	99.5	91		285.5	175.5	461

Abbreviations: ASG = Assistant Secretary-General, D = Director, GS = General Service, P = Professional.

^a Filled posts are occupied by staff members who have been awarded a fixed-term contract of one year or more and are appointed against established posts after going through the complete recruitment process, including review by the Review Board.

^b These posts are in support of operating the split office premises and are funded by the Government of Germany.

2. Table 13 provides information on the geographical distribution of the staff appointed at the Professional level and above. As at 30 June 2014, Western European and other States accounted for the highest percentage (40.6 per cent) of staff appointed at the Professional level and above, whereas Eastern European and African States accounted for the lowest (both 8.7 per cent).

3. The secretariat has continued its efforts in relation to achieving a good geographical distribution and gender balance among staff at the Professional level and above. Vacancy announcements are placed in many regional and global media, covering as many Parties not included in Annex I to the Convention (non-Annex I Parties) as possible.

Table 13

Geographical distribution of staff members appointed at the Professional level and above as at 30 June 2014^a

<i>Grade</i>	<i>African States</i>	<i>Asia-Pacific States</i>	<i>Latin American and Caribbean States</i>	<i>Eastern European States</i>	<i>Western European and other States</i>	<i>Total</i>
ASG			1			1
D-2	1				2	3
D-1	3	1	1		4	9
P-5	4	7	1	3	7	22
P-4	4	13	7	7	29	60
P-3	8	35	10	6	41	100
P-2	5	28	16	9	33	91
Total	25	84	36	25	116	286
Percentage of total	8.7	29.4	12.6	8.7	40.6	100.0

Abbreviations: ASG = Assistant Secretary-General, D = Director, P = Professional.

^a Does not include data on staff recruited under temporary assistance contracts.

4. Table 14 highlights the distribution of staff members appointed at the Professional level and above between Parties included in Annex I to the Convention (Annex I Parties) and non-Annex I Parties. As at 30 June 2014, the percentage of staff from non-Annex I Parties at the Professional and higher levels was 49.0 per cent, compared with 51.0 per cent for Annex I Parties.

Table 14

Distribution of staff members at the Professional level and above between Annex I and non-Annex I Parties^a

<i>Grade</i>	<i>Annex I Parties</i>	<i>Non-Annex I Parties</i>
ASG		1
D-2	2	1
D-1	4	5
P-5	10	12
P-4	36	24
P-3	50	50
P-2	44	47
Total	146	140
Percentage of total	51.0	49.0

Abbreviations: ASG = Assistant Secretary-General, D = Director, P = Professional.

^a Does not include data on staff recruited under temporary assistance contracts.

5. Table 15 highlights the distribution of staff members by gender. As at 30 June 2014, the percentage of female staff at the Professional and higher levels was 40.6 per cent, down by 1.8 per cent from June 2013. The percentage of female staff at all levels was 53.1 per cent at 30 June 2014.

Table 15

Distribution of staff members by gender^a

<i>Grade</i>	<i>Male</i>	<i>Female</i>
ASG		1
D-2	3	
D-1	7	2
P-5	17	5
P-4	36	24
P-3	55	45
P-2	52	39
Subtotal	170	116
GS	47	130
Total^b	217	246
Percentage of total	46.9	53.1

Abbreviations: ASG = Assistant Secretary-General, D = Director, GS = General Service, P = Professional.

^a Does not include data on staff recruited under temporary assistance contracts.

^b Of the 461 filled posts, four are filled with part-time staff, therefore increasing the actual number of staff members by two.

B. Individual consultants and individual contractors

6. Between 1 January 2014 and 30 June 2014, a total of 88 individual consultants and individual contractors were hired. They provided a combined total of 145.8 working months at a total cost of USD 1.2 million under all sources of funding. Table 16 provides information on the distribution of these services by programme.

Table 16

Services of individual consultants and individual contractors by programme from 1 January 2014 to 30 June 2014

<i>Programme</i>	<i>Person-months</i>	<i>Cost (USD)</i>
Executive Direction and Management		
Mitigation, Data and Analysis	34.4	271 690
Finance, Technology and Capacity-building	14.7	104 917
Adaptation	12.2	75 439
Sustainable Development Mechanisms	0.6	5 135
Legal Affairs		
Conference Affairs Services	35.4	268 635
Communications and Outreach	9.6	98 810
Information Technology Services	6.5	50 180
Administrative Services	32.4	310 705
Total	145.8	1 185 511

Annex II

[English only]

**Projects and events funded from the Trust Fund for Supplementary
Activities in the biennium 2014-2015**

Table 17

**Income and expenditure of projects and events funded from the Trust Fund for Supplementary Activities in the
biennium 2014-2015 as at 30 June 2014**

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
<i>Executive Direction and Management</i>			
Enhancing and strengthening cooperation with the United Nations through the Office of the Secretary-General	343 261	78 106	265 155
Supporting negotiations and new institutional arrangements aimed at enhancing the implementation of the Convention and its Kyoto Protocol	2 028 640	413 925	1 614 715
Providing support to Executive Management in the lead-up to the twentieth session of the Conference of the Parties (COP) and the tenth session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP)	408 163	-	408 163
<i>Mitigation, Data and Analysis</i>			
Supporting communication by and the consideration of information from developing countries on the implementation of the Convention	1 014 255	222 781	791 474
Maintaining the database system for the annual compilation and accounting of emission inventories and assigned amounts under the Kyoto Protocol	491 121	62 852	428 269
Supporting activities relating to land use, land-use change and forestry: reducing emissions from deforestation and forest degradation, enhancement of carbon sinks and the role of sinks in future mitigation actions	1 367 040	105 890	1 261 150
Providing training for expert review teams and organizing meetings of the lead reviewers	1 927 103	400 860	1 526 243
Supporting the implementation of national greenhouse gas (GHG) inventories and related activities by Parties not included in Annex I to the Convention, including national forest monitoring systems	910 862	576 989	333 873
Developing and maintaining the UNFCCC information systems for the receipt and processing of GHG data, including the UNFCCC submission portal, the virtual team room and the GHG data interface	280 474	20 145	260 329

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
Supporting activities relating to the scientific, technical and socio-economic aspects of mitigation of climate change	7 486	-	7 486
Facilitating the implementation of the work programme for the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories” and the use of the Intergovernmental Panel on Climate Change 2006 IPCC Guidelines for National Greenhouse Gas Inventories	273 581	-	273 581
Supporting the implementation of enhanced action on mitigation by developing country Parties	1 319 819	201 095	1 118 724
Supporting activities relating to the impact of the implementation of response measures	33 899	26 853	7 046
Supporting the work programme for the development of modalities and guidelines for enhanced reporting and review by developed country Parties, in accordance with decision 1/CP.16, paragraph 46, and implementing the relevant requirements of decision 2/CP.17	2 218 587	271 483	1 947 104
Redesigning and developing the software for the reporting of GHG emissions by all Parties to the Convention	1 427 647	702 796	724 851
Developing, deploying and operating a beta version of the nationally appropriate mitigation action registry	252 160	99 245	152 915
Technical dialogue on nationally determined contributions towards a 2015 agreement under the UNFCCC	250 000	220 167	29 833
Supporting the operationalization of an international consultation and analysis process for developing country Parties	33 630	-	33 630
<i>Finance, Technology and Capacity-building</i>			
UNFCCC fellowship programme	71 597	-	71 597
Supporting capacity-building in developing countries and countries with economies in transition	233 012	79 328	153 684
Supporting the implementation of a work programme on Article 6 of the Convention and Article 10(e) of the Kyoto Protocol: networking and exchange of information and good practices	300 637	58 426	242 211
Organizing workshops on the process and requirements of the accreditation of national implementing entities that can access resources from the Adaptation Fund directly	88 237	-	88 237
Supporting the full operation of the Technology Mechanism	587 218	138 327	448 891
Providing support to the functioning of the Standing Committee on Finance	345 735	332 507	13 228
Supporting the work programme on long-term finance	546 045	54 803	491 242

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
Strengthening gender mainstreaming in climate change action and the UNFCCC process through advocacy, outreach and capacity-building	109 439	-	109 439
<i>Adaptation</i>			
Supporting the least developed countries and the Least Developed Countries Expert Group	2 248 210	410 434	1 837 776
Supporting the implementation of the Nairobi work programme on impacts, vulnerability and adaptation to climate change	764 764	242 669	522 095
Supporting activities relating to climate change science, research and systematic observation	138 805	28 533	110 272
Supporting the implementation of the Cancun Adaptation Framework	1 493 574	296 301	1 197 273
Supporting the periodic review of the adequacy of the long-term global goal referred to in decision 1/CP.16, paragraph 4	243 245	27 293	215 952
<i>Sustainable Development Mechanisms</i>			
Supporting the operation of joint implementation	8 507 075	536 386	7 970 689
Elaborating modalities and procedures for the inclusion of carbon dioxide capture and storage in geological formations as project activities under the clean development mechanism	11 890	-	11 890
Implementing the two work programmes mandated under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention agenda item on various approaches relating to: (a) a framework for various approaches; and (b) the new market-based mechanism	192 702	-	192 702
Organizing a workshop on the review of the modalities and procedures of the clean development mechanism	35 433	-	35 433
<i>Legal Affairs</i>			
Handbook on the UNFCCC	48 842	-	48 842
Providing support to the Compliance Committee	186 069	-	186 069
<i>Conference Affairs Services</i>			
Supporting stakeholder engagement in the UNFCCC process	602 770	144 135	458 635
Servicing the informal additional session of the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP)	124 077	-	124 077
Developing a handbook and film documentary on conference management	327 469	153 012	174 457
Servicing the first part of the second session of the ADP	338 169	-	338 169

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
Servicing the fourth part of the second session of the ADP	1 502 729	1 268 832	233 897
Providing a temporary structure during the fortieth sessions of the subsidiary bodies (SB)	636 864	691 631	(54 767) ^b
Servicing COP 20 and CMP 10	594 540	-	594 540
Servicing the sixth part of the second session of the ADP	1 285 422	12 212	1 273 210
Developing the electronic Official Document System	95 759	-	95 759
<i>Communications and Outreach</i>			
Developing an online portal for UNFCCC information in Spanish	424 578	24 275	400 303
Developing country media training in the run-up to COP 20 and COP 21	10 746	-	10 746
Momentum for Change	921 960	295 860	626 100
Relaunching the UNFCCC website: phase II - from negotiation support to climate action	764 821	11 011	753 810
Momentum for Change: Women for Results	414 351	228 880	185 471
Momentum for Change: Information and Communications Technology Solutions	264 500	58 824	205 676
Communications and Outreach travel with and in support of the Executive Secretary	68 399	-	68 399
Establishing a photo library to enhance the quality and availability of photos from the Communications and Outreach programme	68 399	-	68 399
Establishing an electronic library and knowledge management	3 776	-	3 776
Maintaining the internal newsletter and developing the intranet	1 287	1 287	-
<i>Information Technology Services</i>			
Replacing the e-mail and instant messaging system	45 964	-	45 964
Undertaking phase I of developing sustainable support for the UNFCCC collaboration platform	250 888	34 189	216 699
Modernizing the UNFCCC data warehouse	336 054	-	336 054
Enhancing responsiveness, efficiency and accountability by strengthening secretariat relationship management and communications with Parties and observers	41 040	-	41 040
UNFCCC submission portal	23 541	-	23 541

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
<i>Other expenditure</i>			
Junior Professional Officers	943 574	126 164	817 410
<i>Green Climate Fund</i>			
Supporting the work of the Transitional Committee for the design of the Green Climate Fund	113 891	-	113 891
Supporting the secretariat of the Green Climate Fund ^c	1 208 867	914 930	293 940
Total	42 154 692	9 573 436	32 581 256

^a Note that not all income available under the Trust Fund for Supplementary Activities has been allocated to projects. Some of the income received is held unallocated at the request of contributing Parties for future allocations.

^b Note that an outstanding value added tax reimbursement is anticipated to eliminate the negative balance.

^c In response to a request by the secretariat of the Green Climate Fund, funding under this project continued to be used for various administrative activities, including travel to the meeting of the Board of the Green Climate Fund.

Annex III

[English only]

Programme performance data for the period from 1 January 2014 to 30 June 2014

Table 18

Objectives, expected results, ^a performance indicators and performance data for the Executive Direction and Management programme

Objective 1: to continue to enhance the secretariat's responsiveness to the needs of the Convention and Kyoto Protocol bodies and to enhance the coherence of its work in order to facilitate the efficient and effective implementation of the Convention

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The secretariat's response to the priorities of and requests by Parties is coherent and well coordinated. It effectively supports emerging issues	<p>The proportion of mandated outputs delivered and delivered on time. Actual results for the period January 2010 to June 2011: 98 per cent output delivery and 89 per cent delivered on time. Delays mostly related to the late submission of required input from sources outside of the secretariat.^a</p> <p>Target: to reduce the number of cases where delays were mainly caused by factors under the control of the secretariat</p> <p>The level of satisfaction of Parties with the work of the secretariat. Actual result for 2010: ^b 75 per cent of all respondents agreed or strongly agreed with the statement that their level of satisfaction with the work of the secretariat was consistently high. Target: to increase the level of satisfaction</p>	<p>A total of 314 mandates required the secretariat to deliver outputs during the reporting period, including some that required ongoing output delivery. In 99 per cent of cases, outputs were fully delivered on time or output delivery was ongoing and expected to be delivered on time</p> <p>Information on the level of satisfaction of Parties with the work of the secretariat will be made available in the budget performance report covering the period 1 January 2014 to 30 June 2015</p>

Objective 2: to ensure optimal secretariat support for the Conference of the Parties and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, thus enabling progress to be made in the intergovernmental process

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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Climate change intergovernmental meetings are well organized in all aspects including the logistical, procedural and substantive support provided to Parties. The Presidents of the Conference of the Parties (COP) and the COP Bureau are well informed and able to carry out their functions effectively	<p>The level of satisfaction of Parties with the secretariat's support of negotiation sessions. Actual results for 2010: 61 per cent of respondents either agreed or strongly agreed with the following statement: "Logistical arrangements provided by the secretariat during mandated conferences and similar events met our requirements." Altogether, 89 per cent of respondents either agreed or strongly agreed with the following statement: "Substantive input and procedural advice provided by the secretariat for mandated conferences, meetings and events met our requirements." Target: to increase the level of satisfaction</p> <p>The level of satisfaction of the COP President and the COP Bureau with the secretariat's support</p>	<p>Information on the level of satisfaction of Parties with the secretariat's support of negotiation sessions will be made available in the budget performance report covering the period 1 January 2014 to 30 June 2015</p> <p>Information on the level of satisfaction of the COP President and the COP Bureau with the secretariat's support will be made available in the budget performance report covering the period 1 January 2014 to 30 June 2015</p>
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Objective 3: to ensure effective communication and outreach regarding the intergovernmental process and close liaison with Parties, intergovernmental organizations and other stakeholders, which will enhance the contribution of all stakeholders to the implementation of the Convention

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Information on the UNFCCC process is effectively communicated by the secretariat	<p>The level of satisfaction of Parties with the information provided. Actual result for 2010: 81 per cent of the respondents either agreed or strongly agreed with the following statement: "The secretariat's overall communication with us was appropriate and effective." Target: to increase the level of satisfaction</p>	No monitoring tool is in place. Previously, data were provided by the United Nations Department of Public Information but it has discontinued this practice and within the secretariat it was not possible to carry out continuous analysis with existing communications resources
Support provided by the United Nations intergovernmental agencies and other stakeholders to Parties in the implementation of the Convention is enhanced, including through the development of new and innovative partnerships	The number of partnerships formalized with a memorandum of understanding	Two partnerships were concluded during the reporting period

Objective 4: to continue to develop the secretariat as a well-managed, top-performing institution with a healthy working culture

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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The secretariat's internal processes and operations are effective, efficient and environmentally sustainable	The net cost savings resulting from efficiency measures	During the reporting period, a report on efficiency gains resulting from measures undertaken in the period 2012-2013 was published. ^c Additional initiatives have been undertaken in the first six months of 2014. Benefits continue to be monitored, and will be included in the budget performance report covering the period 1 January 2014 to 30 June 2015
	The amount of greenhouse gas (GHG) emissions (measured in carbon dioxide equivalent) avoided per sessional meeting of the COP and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) and their subsidiary bodies as a result of measures undertaken by the secretariat	The major part of GHG emissions from sessions of the COP/CMP and their subsidiary bodies is generated by travel activities. Within this area, the secretariat can effectively undertake measures concerning the travel of its staff. In the reporting period, emissions from travel of staff to the fourth part of the second session of the Ad Hoc Working Group on the Durban Platform for Enhanced Action and the fortieth sessions of the subsidiary bodies could be fully avoided, as all sessions were held in Bonn. ^d Data on the amount of GHG emissions avoided will be included in the budget performance report covering the period 1 January 2014 to 30 June 2015
Competent and motivated staff representing a broad geographical spectrum and gender balance are recruited, trained and retained	The percentage of staff from Parties not included in Annex I to the Convention (non-Annex I Parties). Actual figures as at March 2013: 50 per cent of staff in the Professional category and above were from non-Annex I Parties. Target: to increase the percentage of staff from non-Annex-I Parties	A total of 49 per cent of staff in the Professional and higher levels were from non-Annex I Parties as at 30 June 2014
	The percentage of women in posts in the Professional category and above. Actual figures as at March 2013: 41 per cent of staff in the Professional category and above were women. Target: to increase this percentage to 50 per cent	A total of 40.9 per cent of staff in the Professional and higher levels were women as at 30 June 2014
	The level of staff satisfaction with their job, management and development opportunities. Actual figures as at June 2011: more than 70 per cent of staff participating in a secretariat-wide survey expressed a high level of satisfaction with their job and secretariat management. Altogether, 42 per cent of the respondents expressed the view that they had adequate opportunities to advance their career. Target: to increase the level of staff satisfaction	Information on the level of staff satisfaction with their job, management and development opportunities will be made available in the budget performance report covering the period 1 January 2014 to 30 June 2015

The secretariat has the required financial resources to implement mandates given to it by the COP and the CMP	The percentage of mandated outputs that cannot be delivered as a result of insufficient funding. Actual result for the period January 2010 to June 2011: less than 1 per cent. Target: to reduce this percentage to 0 per cent ^a	Sufficient funding was available for the delivery of all mandated outputs
	The contribution rate to the core budget. Actual result for the period January 2010 to June 2011: 79 per cent of the indicative contributions for the biennium 2010-2011 had been received by the secretariat by 30 June 2011. Target: to increase the contribution rate	EUR 12.6 million or 51.4 per cent of the total core budget indicative contributions expected for 2014 had been received as at 30 June 2014
	The proportion of actual expenditure compared with the approved budget. Actual result for the biennium 2010-2011: 98 per cent. Target: to maintain this percentage in the biennium 2014-2015 ^a	The implementation rate of the core budget had reached 47.1 per cent through to June 2014. While this is below the ideal rate of 50 per cent, it is expected that the target will be reached by the end of the biennium
Financial resources are managed in a sound manner and are utilized to maximize the benefit to the UNFCCC process	The implementation rate of audit recommendations relating to financial and administrative matters	Of the nine audit recommendations made for the biennium 2010-2011, five (56 per cent) were fully implemented, three (33 per cent) were under implementation and one (11 per cent) was overtaken by events. The United Nations Board of Auditors plans to issue three new recommendations as a result of the 2012-2013 audit

^a See document FCCC/SBI/2011/16, page 33.

^b A survey on the level of satisfaction of Parties with the work of the secretariat was conducted in 2010.

^c See document FCCC/SBI/2014/INF.4.

^d The secretariat, within the context of its travel policies, also seeks to reduce GHG emissions associated with staff travel to sessions of the COP and the CMP, as it has done for past sessions. GHG emissions related to sessions of the COP and the CMP and their subsidiary bodies also arise from the organization of the event in the host country. While these activities are not under the direct control of the secretariat, the secretariat works closely with host governments to encourage and support measures that help to reduce and offset GHG emissions. Reporting on this matter is subject to provisions made by the respective host government. Further information on the secretariat's efforts to reduce and offset GHG emissions is available at <http://unfccc.int/secretariat/environmental_responsibilities/items/3539.php>.

Table 19

Objectives, expected results, ^a performance indicators and performance data for the Mitigation, Data and Analysis programme

Objective 1: to support Parties included in Annex I to the Convention in the communication and consideration of information related to implementation (Articles 4 and 12 of the Convention, Articles 5, 7 and 8 of the Kyoto Protocol and decisions 10/CP.2, 31/CP.7, 17/CP.8, 3/CP.8, 2/CP.9, 8/CP.11, 1/CP.13, 10/CP.15, 1/CP.16, 2/CP.17, 15/CP.17, 1/CP.18, 2/CP.18, 19/CP.18, 20/CP.18, 22/CP.19; 13/CMP.1, 14/CMP.1, 15/CMP.1, 16/CMP.1, 20/CMP.1, 22/CMP.1, 27/CMP.1, 8/CMP.5, 2/CMP.7, 3/CMP.7, 4/CMP.7, 5/CMP.7, 1/CMP.8, 2/CMP.8, 7/CMP.8, 12/CMP.8 and 9/CMP.9)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for the fulfilment of reporting and review requirements in relation to information provided by Parties included in Annex I to the Convention (Annex I Parties) under the Convention and its Kyoto Protocol	All greenhouse gas (GHG) inventories from Annex I Parties as well as relevant supplementary information submitted on time during the biennium 2014-2015 are received and reviewed by international expert teams within the mandated time frames	All 44 2014 submissions of GHG inventories from Annex I Parties and the relevant supplementary information have been received and processed in accordance with the relevant guidelines. This includes timely preparation of the annual status reports for 2014 and of the 2014 version of part I of the synthesis and assessment report for Annex I Parties Preparations for the technical reviews of the 2014 GHG inventory submissions from Annex I Parties have been launched and are proceeding on schedule
	All national communications as well as relevant supplementary information and biennial reports from Annex I Parties due by 1 January 2014 are received and reviewed by international expert teams and relevant reports are published within the biennium 2014-2015	Starting from 1 January 2014, the due date for submissions, the Mitigation, Data and Analysis (MDA) programme received and processed all submissions of the sixth national communications and biennial reports from Annex I Parties As part of the international assessment and review process that was launched with the submission of the above-mentioned reports, the secretariat coordinated the technical reviews of the submissions of the sixth national communications and biennial reports from 26 Parties. The reviews for the submissions from the remaining Parties are scheduled for the second half of 2014
	All mandated initial reports submitted by Annex I Parties with emission reduction commitments in the second commitment period under the Kyoto Protocol by 15 April 2015 are received and processed by 30 June 2015 with all relevant information made available on the UNFCCC website	This activity is scheduled to start in 2015

The UNFCCC is recognized as an authoritative source of GHG data for Annex I Parties	All reports and other documentation prepared within the framework of the reporting and review processes for Annex I Parties are made available in accordance with agreed timelines	<p>Two compilation and synthesis reports of implementation by Annex I Parties under the Convention and the Kyoto Protocol are in preparation for consideration by the Conference of the Parties (COP) at its twentieth session and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its tenth session</p> <p>The secretariat made two full updates of its online GHG database (the GHG data interface; see <http://unfccc.int/ghg_data/items/3800.php>) during the reporting period, thus retaining the up-to-date nature of the information. Data for both Annex I Parties and Parties not included in Annex I to the Convention (non-Annex I Parties) have been updated</p> <p>Reports and other documentation required in the negotiations were prepared as required during the reporting period. Examples include reports on the meetings of the lead reviewers in March 2014 and a technical paper with the draft revised review guidelines for GHG inventories from Annex I Parties. MDA is also preparing similar documentation for supporting negotiations in the second half of 2014, such as papers to facilitate the work of the Subsidiary Body for Implementation (SBI) on the revision of the reporting guidelines for the national communications of developed country Parties to make them consistent with the guidelines for biennial reporting</p>
	The two key annual UNFCCC data reports (the GHG data reports under the Convention and the compilation and accounting reports under the Kyoto Protocol) are considered by the COP and the CMP	This activity is scheduled to start in the second part of 2014
	In total, 95 per cent or more of all data-related enquiries received by the secretariat are answered within one week	In the first half of the year, MDA received 43 enquiries that required action. Of these, 97 per cent were answered within one week, exceeding the success criteria by 2 per cent
The international assessment and review (IAR) of GHG emissions and removals relating to quantified economy-wide emission reduction targets for developed country Parties is facilitated	The proportion of mandated outputs with respect to the further development of IAR delivered and delivered on time	All relevant mandates have been fulfilled on time
	The first round of the IAR process under the SBI is completed within the biennium 2014-2015	The secretariat is advancing the preparation for the first multilateral assessment during SBI 41 in December 2014 under the guidance of the SBI Chair to cover submissions and relevant review reports for 14-16 Parties

Training courses are updated and a sufficient number of experts are trained and ready to participate in the reviews under the Convention and its Kyoto Protocol	<p>The number of experts participating in the training courses per year. Baseline and target: up to 60 experts per year</p> <p>The availability of timely updates of training materials. Target: up to five courses are updated and up to two new courses are developed by the end of the biennium 2014-2015</p>	<p>A refresher seminar was organized for the experienced reviewers. Twenty-three experts took the courses for review under the Kyoto Protocol</p> <p>Update of the training courses for reviews under the Convention (seven courses) to reflect the most recent methodologies and guidelines adopted in 2012 and 2013 is ongoing and planned to be completed by mid-2015</p>
Effective support is provided for the work of the subsidiary bodies and the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP) on matters related to mitigation and clarification of developed countries' emission reduction targets	<p>The proportion of mandated outputs delivered and delivered on time</p>	<p>All relevant mandates have been fulfilled on time</p> <p>On the intended nationally determined contributions, four workshops were organized: one during the ADP session in March 2014 and three regional workshops</p> <p>Four technical expert meetings were organized under the ADP on energy efficiency, renewable energy, urbanization and land use. A technical paper with policy options for enhancing ambition was prepared</p>

Objective 2: to support Parties not included in Annex I to the Convention in the preparation and submission of their national communications and biennial update reports, including greenhouse gas inventory information, and in the preparation and implementation of nationally appropriate mitigation actions under the Convention (Article 4, paragraphs 1, 3, 8 and 9, and Article 12, paragraphs 1(a-c) and 4, of the Convention and decisions 10/CP.2, 31/CP.7, 17/CP.8, 3/CP.8, 2/CP.9, 8/CP.11, 1/CP.13, 1/CP.16, 2/CP.17, 17/CP.18, 18/CP.18 and 24/CP.18)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided to non-Annex I Parties in the fulfilment of their reporting requirements under the Convention	<p>The number of experts from non-Annex I Parties trained</p> <p>The number of national communications from non-Annex I Parties submitted during the biennium 2014-2015</p>	<p>286 national experts from non-Annex I Parties have been trained on the use of the non-Annex I GHG inventory software, and 184 national experts from Africa and Asia received technical assistance on building sustainable national GHG inventory systems.</p> <p>Three national communications have been received and processed</p>
The nationally appropriate mitigation action (NAMA) registry is established effectively and updated regularly	<p>The number of users granted access to the registry</p> <p>The number of mitigation actions and the provision of support recorded in the registry</p>	<p>77 access rights were granted to developing countries for the submission of their NAMAs. 24 access rights were provided to support providers</p> <p>47 NAMAs were recorded, 10 sources of support were recorded and two matches of action with support were made</p>
The international consultation and analysis (ICA) process for biennial update reports from	The roster of experts is established by the end of 2014	Notification to update the roster has been sent to all Parties. The electronic application to support the operation of the roster is currently under development

A package of training materials for the training of experts is developed by the end of 2014	Work on the development of the draft training materials for the training of experts was under way, with a 50-60 per cent level completion, as at 30 June 2014
The number of experts trained to provide ICA support	Work on the roster of experts for ICA has been initiated
Templates for summary reports for the technical analysis and facilitative sharing of views are prepared	Activity is ongoing

Objective 3: to improve the methodological and scientific bases for enhancing the implementation of the Convention and its Kyoto Protocol (decisions 16/CMP.1, 10/CP.2, 31/CP.7, 17/CP.8, 3/CP.8, 2/CP.9, 8/CP.11, 6/CMP.3, 1/CP.13, 1/CP.16, 2/CMP.7, 2/CP.17, 12/CP.17, 1/CP.18, 9/CP.19, 10/CP.19, 11/CP.19, 12/CP.19, 13/CP.19, 14/CP.19 and 15/CP.19)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for the consideration of the scientific and methodological issues relating to the socio-economic aspects of mitigation	The proportion of mandated outputs delivered and delivered on time	All relevant mandates have been fulfilled on time. This includes supporting negotiations, the update of a technical paper on developed country targets, the organization of an in-session event on land use, land-use change and forestry (LULUCF) and the use of market mechanisms in the context of developed country targets
Work on methodological and scientific matters regarding LULUCF and agriculture as well as on reducing emissions from deforestation and forest degradation in developing countries (REDD-plus ^b) is facilitated	The proportion of mandated outputs delivered and delivered on time	All nine mandated outputs were delivered and delivered on time. This included the preparation of: (a) a technical paper on possible LULUCF activities and alternative approaches to addressing the risk of non-permanence under the clean development mechanism; (b) four compilations of views of Parties on issues relating to LULUCF, REDD-plus and agriculture; and (c) a report on the in-session workshop on the current state of scientific knowledge on how to enhance the adaptation of agriculture to climate change impacts. Also, an in-session expert meeting on non-market-based approaches for the implementation of REDD-plus was organized
	The number of representatives from Parties participating in the UNFCCC REDD discussion forum and web platform ^c	During the reporting period, the platform received two submissions. Since its launch in 2012 the REDD discussion forum has registered 238 users
Work on methodological and scientific matters is facilitated, including in the areas of common metrics, international aviation and maritime transport, and fluorinated gases	The proportion of mandated outputs delivered and delivered on time	Six outputs were mandated to be delivered during the reporting period, all of which were delivered on time ^d

Cooperation with intergovernmental organizations active in REDD-related activities as well as activities related to LULUCF, agriculture, international maritime and aviation transport, and fluorinated gases is maintained and further strengthened	The number of meetings of relevant intergovernmental organizations attended by secretariat staff to ensure that their activities related to REDD, LULUCF, agriculture, international maritime and aviation transport, and fluorinated gases actively contribute to the objective of the Convention within the area of their competencies	The secretariat attended 15 meetings of relevant intergovernmental organizations in the areas of mitigation, REDD-related activities and activities related to LULUCF, agriculture, international maritime and aviation transport, and fluorinated gases
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Objective 4: to support Parties, in particular developing country Parties, in meeting their specific needs and concerns arising from the impact of the implementation of response measures (Article 4, paragraphs 8-10, of the Convention, Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol and decisions 5/CP.7, 1/CP.10, 1/CP.13, 1/CP.16, 1/CMP.16 and 8/CP.17)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided to work relating to the impact of the implementation of response measures	The number of forums supported	Not applicable since the Parties could not conclude their work on impacts of the implementation of response measures at COP 19
	The number of documents prepared	A report on the in-forum workshop on cooperation on response strategies - area (b) of the work programme on the impact of the implementation of response measures was prepared. Preparation of a compilation of the information reported by Annex I Parties with respect to Article 3, paragraph 14, of the Convention is ongoing

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.

^b Policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries.

^c See <http://unfccc.int/methods_science/redd/items/4531.php>.

^d They included the support by MDA of the ongoing work of the Subsidiary Body for Scientific and Technological Advice on: (a) international aviation and maritime transport; (b) common metrics and the organization of a workshop on common metrics in April 2012; and (c) the implications of decisions 2/CMP.7 to 5/CMP.7 in relation to the second commitment period of the Kyoto Protocol on the previous decisions of the CMP on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8, as well as the preparation of a relevant technical paper and the organization of a workshop.

Table 20

Objectives, expected results, ^a performance indicators and performance data for the Finance, Technology and Capacity-building programme

Objective 1: to support the intergovernmental process with regard to the provision of financial resources to developing country Parties for adaptation and mitigation (Article 11 of the Convention and decisions 1/CP.13, 1/CP.16, 2/CP.17, 3/CP.17, 1/CP.18 and 1/CMP.3)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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Parties are effectively supported in intergovernmental negotiations on issues related to the functioning of the Financial Mechanism	The proportion of mandated outputs delivered and delivered on time	As mandated by decision 3/CP.19, an in-session workshop on long-term finance was held in June 2014. The mandated output has been delivered on time in accordance with the decisions of the Conference of the Parties (COP)
Effective support is provided to the Standing Committee on Finance (SCF)	The proportion of mandated outputs delivered and delivered on time	Two meetings of the SCF and one forum of the SCF were held and support continues to be provided to the COP mandated activities of the SCF, such as the biennial assessment and overview of financial flows and the development of expert inputs for the fifth review of the Financial Mechanism The finance portal modules have been regularly updated and new information pertaining to the sixth national communications and the first biennial reports is being included. The web pages on long-term finance and the SCF have been restructured and updated to include information on long-term finance in-session workshops and the work of the SCF on the biennial assessment and overview of financial flows, as well as updated information on the SCF second information exchange forum. All mandated outputs have been delivered on time, for the reporting period
	The number of visits to the section on the Financial Mechanism and the finance portal on the UNFCCC website	A total of 20,669 visits were registered
Effective support is provided to activities relating to the scaling up of financial resources and the enhanced provision of information on climate finance	The proportion of mandated outputs delivered and delivered on time	As mandated by decision 3/CP.19, logistical and substantive support was provided to the organization of an in-session workshop held in June 2014, including the organization of two preparatory webinars during April 2014. The mandated output has been delivered on time in accordance with COP decisions

Objective 2: to support and enhance cooperation among Parties and relevant organizations on research, development, demonstration, deployment, diffusion and transfer of technologies for adaptation and mitigation (decisions 4/CP.7, 3/CP.13, 4/CP.13, 1/CP.16, 2/CP.17, 4/CP.17, 1/CP.18, 13/CP.18 and 14/CP.18)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for intergovernmental work and negotiations on issues relating to technology development and transfer	The proportion of mandated outputs delivered and delivered on time	Two outputs were required to be delivered during the reporting period in accordance with the Technology Executive Committee (TEC) workplan; all were delivered on time The TEC two-year rolling workplan for 2014-2015 was successfully adopted on the first meeting of the TEC in March 2014 and support was provided to the work undertaken by the TEC in a timely manner

Effective support is provided to facilitate the effective engagement of relevant stakeholders under and outside of the Convention in the implementation of the Technology Mechanism, including through providing regularly updated information and analysis on the UNFCCC technology information clearing house TT: CLEAR	The number of partner agencies and organizations that participate in the work of the TEC The number of visits to TT: CLEAR	The TEC has established task forces to support the implementation of its workplan for 2014-2015. The task forces include the participation of representatives of 12 organizations TT: CLEAR received a total number of 357,908 page visits and more than 6,700 registered downloads in the period 1 January 2014 to 30 June 2014. The <i>Handbook for Conducting Technology Needs Assessment for Climate Change</i> was downloaded 2,424 times in this period
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Objective 3: to enhance the capacity of Parties, and education, training, public awareness, public participation and public access to information and international cooperation activities by Parties, in particular developing country Parties, to enable the full, effective and sustained implementation of the Convention and its Kyoto Protocol (Article 6 of the Convention, Article 10(e) of the Kyoto Protocol and decisions 2/CP.7, 3/CP.7, 11/CP.8, 2/CP.10, 3/CP.10, 7/CP.10, 4/CP.12, 9/CP.13, 6/CP.14, 1/CP.16, 7/CP.16, 2/CP.17, 13/CP.17, 1/CP.18, 15/CP.18, 29/CMP.1, 6/CMP.2, 6/CMP.4, 11/CMP.6, 15/CMP.7, 10/CMP.8 and 11/CMP.8)

<i>Expected result(s) under the Convention</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for intergovernmental negotiations on issues related to capacity-building and to Article 6 of the Convention	The proportion of mandated outputs delivered and delivered on time	Six reports were mandated and made available during the reporting period. Two reports were delayed owing to the timing of scheduled meetings of the bodies established under the Convention and its Kyoto Protocol. One report was delayed to take into account responses submitted by Parties to a survey conducted by the secretariat
The Durban Forum on Capacity-building and the dialogue on Article 6 of the Convention are facilitated	The level of satisfaction of participants of the Durban Forum and the dialogue on Article 6 of the Convention	Various regional groups, Parties and one observer constituency, in a plenary statement, expressed appreciation of the successful organization of the 3 rd meeting of the Durban Forum and the 2 nd Dialogue on Article 6 of the Convention
The implementation of the frameworks for capacity-building is facilitated	The number of partner agencies and organizations that contribute to the implementation of the frameworks for capacity-building	A total of 356 partner agencies and organizations contributed to the implementation of the capacity-building frameworks
The implementation of the Doha work programme on Article 6 of the Convention is facilitated	The number of partner agencies and organizations that contribute to the implementation of the Doha work programme on Article 6 of the Convention	A total of 56 partner agencies and organizations contributed to the implementation of the Doha work programme on Article 6 of the Convention
	The number of registered users of the UNFCCC information network clearing house CC: iNet	A total of 791 users from different world regions were registered
	The level of satisfaction with CC: iNet of the users surveyed	No CC: iNet user survey was conducted within the reporting period

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.

Table 21

Objectives, expected results, ^a performance indicators and performance data for the Adaptation programme

Objective 1: to support Parties, in particular developing country Parties, in assessing impacts, vulnerability and risks and in developing and implementing adaptation plans, policies and actions (Article 4, paragraphs 8 and 9, and Articles 5, 9, 10 and 12 of the Convention and decisions 5/CP.7, 28/CP.7, 1/CP.10, 2/CP.11, 4/CP.11, 1/CP.13, 8/CP.13, 1/CP.16, 6/CP.16, 5/CP.17, 6/CP.17, 7/CP.17, 3/CP.18, 11/CP.18 and 12/CP.18)

<i>Expected result(s) under the Convention</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The work of the Adaptation Committee is successfully facilitated	The proportion of mandated outputs delivered and delivered on time	100 per cent of the mandated activities were delivered on time, as follows: during the six-month reporting period, the secretariat organized one Adaptation Committee meeting, including the first meeting of the Adaptation Committee's task force on national adaptation plans. It also organized one workshop in collaboration with the Nairobi work programme on impacts, vulnerability and adaptation to climate change (NWP) and one jointly with the Technology Executive Committee (TEC), and collaborated with the Standing Committee on Finance (SCF) on a forum on adaptation finance. It further organized two in-session meetings during the fortieth sessions of the subsidiary bodies, and contributed to a range of other events and publications
	The proportion of working papers and information products delivered in support of the work programme of the Adaptation Committee and delivered on time	The Adaptation Committee produced a total of 16 mandated background papers for its 5 th meeting, held in March 2014. 100 per cent were delivered on time. The Adaptation Committee has further been contributing towards publications being prepared by the TEC (TEC briefs on adaptation), and it contributed to the background paper for the SCF adaptation finance forum
The process for the formulation and implementation of national adaptation plans (NAPs) is facilitated	The proportion of mandated outputs delivered and delivered on time	The seven submissions received from Parties and relevant organizations in response to decision 18/CP.19, paragraph 6, were compiled into a miscellaneous document and made available to the Subsidiary Body for Implementation (SBI) at its fortieth session in a timely manner. The submissions were also made available on the submission portal at < http://unfccc.int/5900 >, including three more that were received after the deadline

The implementation of the NWP is facilitated	The proportion of mandated outputs delivered and delivered on time	All four expected results mandated during the reporting period were delivered on time. The joint meeting with the Adaptation Committee on the available tools for the use of indigenous and traditional knowledge and practices for adaptation, the needs of local and indigenous communities, and the application of gender-sensitive approaches and tools for adaptation was successfully carried out, with the participation of relevant organizations, experts and practitioners, including preparation of the meeting report. In addition, the secretariat prepared a progress report and NWP eUpdate (quarterly newsletter)
	The number of action pledges by NWP partners	Three new action pledges were made by partners, bringing the total number of action pledges to 181 during the reporting period
Work on loss and damage is facilitated	The proportion of mandated outputs delivered and delivered on time	The mandated initial meeting of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts (Excom) was organized, and support to all intersessional work of the Excom was provided in a timely manner
The work of the Least Developed Countries Expert Group (LEG) is facilitated	The proportion of mandated outputs delivered and delivered on time	The LEG was effectively supported in holding its 25 th meeting in February 2014. Twelve background documents were prepared to support the meeting. The LEG was also supported in holding a technical meeting on NAPs that took place prior to its 25 th meeting, which was attended by 50 experts from different organizations and disciplines. The LEG was further supported on engaging a wide range of organizations across different disciplines to contribute to the implementation of its work programme. Four specific activities significantly benefited from the engagement of organizations, namely the technical meeting on NAPs, preparations for NAP Expo, the development of NAP Central and the review of methodologies for capturing and sharing best practices and lessons learned in addressing adaptation in the least developed countries (LDCs)
	The level of satisfaction of users of the knowledge products prepared by the LEG and the secretariat	SBI 40 expressed its appreciation to the LEG for its work on technical guidance and support to the NAP process for LDCs

Objective 2: to support the review of the adequacy of the long-term global goal and the consideration of various matters related to science, research and systematic observation (Articles 2, 4, paragraph 1(g) and (h), 5, 9 and 12, of the Convention and decisions 8/CP.3, 14/CP.4, 5/CP.5, 5/CP.10, 11/CP.9, 11/CP.13, 9/CP.15, 1/CP.16, 2/CP.17 and 16/CP.17)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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Work by the Convention bodies on matters related to science, research and systematic observation is facilitated	The proportion of mandated outputs delivered and delivered on time	Five mandates required output delivery during the reporting period. The 6 th meeting of the research dialogue was organized successfully at the fortieth session of the Subsidiary Body for Scientific and Technological Advice (SBSTA) and two documents were made available to Parties (the report on the workshop on ecosystems with high-carbon reservoirs and a report summarizing information on enhanced availability and visibility of scientific information). The views from Parties and information from research programmes and organizations for the 6 th meeting of the research dialogue were posted on the UNFCCC website and the secretariat continued to improve and enhance the visibility of scientific information on the website. All achieved outputs were delivered on time; one mandated outcome is an ongoing activity
	The number of international and regional programmes and organizations taking part in the research dialogue under the Convention. Baseline and target: eight programmes and/or organizations	A total of 12 presentations were made during the research dialogue held during SBSTA 40, with 11 presentations being made on behalf of 10 international research programmes and organizations and the Intergovernmental Panel on Climate Change (IPCC) In addition, given the recent releases of the contributions of Working Groups II and III to the Fifth Assessment Report (AR5) of the IPCC, the secretariat facilitated the organization of two SBSTA-IPCC special events during SBSTA 40 on the AR5
The periodic review of the adequacy of the long-term global goal is facilitated	The proportion of mandated outputs delivered and delivered on time	Six mandates required output delivery during the reporting period. These included support for the work of the structured expert dialogue (SED) on the 2013-2015 review and of its co-facilitators, the organization of the 3 rd meeting of the SED, the compilation of views from Parties on future work of the SED and on how the outcomes of the 2013-2015 review will inform the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP), and the gathering of information relevant for conducting the review SED 3 was held during the fortieth sessions of the subsidiary bodies to consider the adequacy of the long-term global goal based on the contributions of Working Groups II and III to the IPCC AR5. An information note by the co-facilitators of the SED on future meetings was made available to Parties and the views of Parties were compiled and posted on the UNFCCC website A total of 16 presentations were made during SED 3 by experts from the IPCC and the proceedings of the meeting were webcast All mandated outputs were delivered on time; one mandated outcome is ongoing

Supporting the consideration of a shared vision for long-term cooperative action	The proportion of mandated outputs delivered and delivered on time	All mandated outputs were delivered on time. ADP 2, part 4, was effectively supported on matters relating to long-term aspects and equity (March 2014). A non-paper was prepared for ADP 2, part 5, (June 2014), synthesizing Parties' views on elements for a draft negotiating text, including on these aspects
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Objective 3: to engage a wide range of stakeholders and to widely disseminate information by effective means, including through the UNFCCC website (decisions 2/CP.11, 1/CP.13, 1/CP.16 and 6/CP.16)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
A wide range of stakeholders is engaged in the implementation of all relevant mandates	The number of collaborative activities facilitated between organizations and between Parties and organizations	The programme continued the facilitation of collaborative activities between organizations and between Parties and organizations under all of its different workstreams. This included organizing nine workshops, expert meetings, informal meetings and research dialogue sessions, producing a wide range of documents and messages
Comprehensive user-friendly information is made available in a timely manner	<p>The information contained in the sections of the UNFCCC website dedicated to adaptation is regularly updated. Baseline and target: to update the information every two weeks</p> <p>The number of visits to the sections of the UNFCCC website dedicated to adaptation</p>	<p>Information contained in the sections of the UNFCCC website dedicated to adaptation^b was updated on a continuous basis</p> <p>Due to a glitch in the monitoring software programme, it was not possible to obtain reliable statistics on the number of times the main adaptation portal was accessed. Consequently, no reliable information was available on the number of visits to the sub-pages</p>

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.

^b See <<http://unfccc.int/4159>>.

Table 22

Objectives, expected results,^a performance indicators and performance data for the Sustainable Development Mechanisms programme

Objective 1: to realize the full potential of sustainable development mechanisms to enhance the implementation of the Convention (Article 4, paragraph 2(a), of the Convention, Articles 3, 6, 12 and 17 of the Kyoto Protocol and decisions 1/CP.13, 1/CP.16, 1/CMP.1, 1/CMP.6, 3/CMP.6, 4/CMP.6 and 7/CMP.6)

<i>Expected result(s) under the Kyoto Protocol</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Work programmes under the intergovernmental bodies concerning the further development of the project-based mechanisms are facilitated	The proportion of mandated outputs delivered and delivered on time	100 per cent (4 of 4) of the recurring mandates of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) are being delivered in accordance with the specified timelines One CMP mandate was delivered fully in accordance with the specified timelines
Parties are supported in their consideration and development of further market-based and other collaborative mechanisms	The proportion of mandated outputs delivered and delivered on time	Information on the status of the mandates will be made available in the budget performance report covering the period 1 January 2014 to 30 June 2015

Objective 2: to support and optimize the operation of the clean development mechanism (Article 12 of the Kyoto Protocol and decisions 2/CMP.1, 3/CMP.1, 4/CMP.1, 5/CMP.1, 6/CMP.1, 7/CMP.1, 8/CMP.1, 1/CMP.2, 2/CMP.3, 2/CMP.4, 2/CMP.5, 3/CMP.6 and 7/CMP.6)

Objective 3: to support and optimize the operation of joint implementation (Article 6 of the Kyoto Protocol and decisions 9/CMP.1, 10/CMP.1, 2/CMP.2, 3/CMP.2, 3/CMP.3, 5/CMP.4, 3/CMP.5 and 4/CMP.6)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Meetings of the Joint Implementation Supervisory Committee (JISC) and of its panels and working groups are efficiently organized and well supported	The level of satisfaction of the members of the JISC with the support provided	Information on the level of satisfaction of the members of the JISC with the support provided by the programme for their work will be made available in the budget performance report covering the period 1 January 2014 to 30 June 2015
	The proportion of meeting documents made available fully in accordance with the rules of procedure of the JISC	The secretariat organized and supported meetings of the JISC, such that 100 per cent (5 of 5) of all documents were made available fully in accordance with the rules and procedures
	The proportion of mandated outputs delivered and delivered on time	92 per cent (11 of 12) of JISC mandates are being delivered in accordance with the specified timelines 100 per cent (7 of 7) of the recurring CMP mandates relating to joint implementation (JI) are being delivered in accordance with the specified timelines
The operation of the JI Track 2 ^b procedure is facilitated	The proportion of determination cases processed within the	No determination cases were received during the reporting period

<i>Expected result(s)</i> efficiently	<i>Performance indicator(s)</i> specified timelines	<i>Performance data</i>
	The proportion of verification cases processed within the specified timelines	No verification cases were received during the reporting period
	The proportion of applications for accreditation processed within the specified timelines	No applications for accreditation were received during the reporting period

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.

^b The verification procedure under the JISC defined in decision 9/CMP.1, annex, paragraphs 30-45.

Table 23

Objectives, expected results, ^a performance indicators and performance data for the Legal Affairs programme

Objective 1: to provide legal advice and support so that the Convention and its Kyoto Protocol, as well as the outcomes from the negotiations under the Bali Road Map, are implemented and the associated intergovernmental process is conducted in accordance with legal, procedural and institutional requirements

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The Convention and its Kyoto Protocol, as well as any new international agreement(s) adopted thereunder, are interpreted and implemented in accordance with relevant legal, procedural and institutional requirements	<p>The absence of complaints by Parties and other stakeholders regarding the timeliness and soundness of legal advice and support provided by the secretariat. Baseline: complaints are resolved within six months. Target: no complaints</p> <p>The absence of complaints by Parties with respect to the legal support provided by the secretariat to the intergovernmental process. Baseline: complaints are resolved within six months. Target: no complaints</p>	<p>During the first six months of 2014, the Legal Affairs (LA) programme issued at least 43 legal opinions on different legal issues, four of them directly to Parties, and provided legal advice on at least 13 different legal issues. The secretariat did not receive any complaints from Parties or other stakeholders regarding the timeliness and soundness of such legal advice or the legal support provided by the secretariat during the reporting period</p> <p>The intergovernmental process was one of the main areas of the work of the LA programme during the reporting period. Thirteen of the 43 legal opinions issued from 1 January 2014 to 30 June 2014 dealt with the legal, procedural and institutional requirements of the intergovernmental process</p>
The bodies established under the Convention and its Kyoto Protocol, including constituted bodies as well as the intergovernmental process, function and operate in accordance with legal, procedural and institutional requirements	<p>The proportion of concerns, issues or disputes raised by public/private entities against constituted bodies under the Convention and its Kyoto Protocol or their members that are addressed and resolved expeditiously and amicably and do not result in legal action against individuals serving on these constituted bodies. Baseline and target: concerns, issues or disputes are resolved within six months and no issues lead to legal action against any individual serving on such bodies</p>	<p>The LA programme is providing legal advice with regard to a concern raised in the second quarter of 2014 on a decision taken by a constituted body. As at 30 June 2014, it was still unclear whether any further action would be taken in relation to such a concern</p>

The absence of complaints by Parties and members of constituted bodies with respect to the legal advice and support provided to bodies established under the Convention and its Kyoto Protocol. Baseline: complaints are resolved within six months. Target: no complaints

The secretariat did not receive any complaints from Parties or members of constituted bodies with respect to the legal advice and support provided to bodies established under the Convention and its Kyoto Protocol during the reporting period

Objective 2: to facilitate the operations of the secretariat and to ensure that they are conducted in accordance with legal, procedural and institutional requirements

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The operations of the secretariat and the UNFCCC process are conducted in accordance with applicable United Nations rules and regulations, the legislative authority of the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) and UNFCCC requirements	The proportion of contracts and other legal instruments between the secretariat and service providers, governments, other international organizations and other partners that are concluded expeditiously and in a legally sound manner, thereby facilitating the smooth operation of the secretariat and the UNFCCC process. Baseline and target: all contracts and other legal instruments are concluded in a timely and legally sound manner and any disputes arising therefrom are settled within 12 months	In the period from 1 January 2014 to 30 June 2014, the LA programme provided final clearance to 43 legal instruments that could be concluded on behalf of the secretariat. During the same period, the secretariat concluded 41 legal instruments with third parties after these instruments had been reviewed or drafted and cleared by LA. No disputes have arisen nor were issues raised in the implementation of these instruments
	The number of audit queries and queries raised by the United Nations Office of Legal Affairs on the adequacy and appropriateness of legal arrangements entered into by the secretariat. Baseline and target: no audit queries and queries raised by the United Nations Office of Legal Affairs	In the period from 1 January 2014 to 30 June 2014, no audit queries or queries from the United Nations Office of Legal Affairs were received in relation to the appropriateness of legal arrangements entered into by the secretariat

Objective 3: to facilitate the effective operation of the compliance mechanism in support of the environmental integrity of the Kyoto Protocol and the credibility of the market mechanisms

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The Compliance Committee takes decisions based on best available information	The level of satisfaction expressed by members and alternate members of the Compliance Committee regarding the secretariat's support. Baseline and target: a high level of satisfaction	Information on the level of satisfaction expressed by members of the Compliance Committee and alternate members with support provided by the secretariat will be made available in the budget performance report covering the period 1 January 2014 to 30 June 2015
The CMP is provided with adequate and effective	The absence of complaints raised by Parties with respect to	No session of the CMP was held during the reporting

advice and support in the development of policy guidance to the Compliance Committee	the legal advice and support provided by the secretariat in the development of policy guidance. Baseline and target: no complaints	period. The secretariat provides support in the development of policy guidance to the Compliance Committee towards the end of each year, when the annual report of the Compliance Committee to the CMP is made available
Information on the actions taken by the Compliance Committee is made available to the Party concerned and other relevant actors, including the public, in a clear and timely fashion	Information on the actions taken by the Compliance Committee is made available in a clear and timely fashion. Baseline: to ensure that 95 per cent of documents are issued within the required timelines. Target: to ensure that 100 per cent of documents are issued within the timelines set out in the relevant procedures	In the reporting period, all the Compliance Committee documents prepared by the secretariat were made available to the members and alternate members of the Compliance Committee and the public in accordance with the timelines set out in the annex to decision 27/CMP.1 and the annex to decision 4/CMP.2, as amended by decisions 4/CMP.4 and 8/CMP.9

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.

Table 24

Objectives, expected results, ^a performance indicators and performance data for the Conference Affairs Services programme

Objective 1: to advance the climate change intergovernmental process as a result of attendance at, active participation in and collaboration by representatives of Parties in UNFCCC conferences and events

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The intergovernmental process is facilitated through the provision of information, in-depth insight and analysis, problem-solving and the appropriate treatment of delegates according to protocol	The proportion of communications to which the Conference Affairs Services (CAS) programme responds. Baseline and target: to ensure that CAS responds to 100 per cent of communications	The programme responded to all communications in a timely manner
	The average response time	The average response time was 44 minutes
Representatives from eligible Parties, including those eligible for funding through the Trust Fund for Participation in the UNFCCC Process, can attend all relevant meetings and actively participate in this process	The proportion of eligible participants funded	A total of 408 nominations were received and reviewed for funding for the four sessions of the subsidiary bodies during the reporting period
		A total of 388 participants from Parties eligible for funding were effectively funded. Therefore, 95 per cent of eligible participants were actually funded. The remaining 5 per cent declined the offer for unknown reasons
	The average duration of the admission process (waiting and processing time). Baseline and target: less than 1.5 minutes	The average duration of the admission process was 1 minute

Objective 2: to assist Parties in advancing the climate change intergovernmental process as a result of observer engagement therein

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Eligible members of civil society and intergovernmental organizations are admitted by the Conference of the Parties (COP) and enabled to attend sessions within the capacity limit	The number of organizations for which the COP disagrees with the eligibility assessment made by the secretariat. Baseline and target: none	117 applications for admission were reviewed and the eligibility decisions were made during the reporting period
Input by observer organizations to the intergovernmental process is facilitated	The number of opportunities to make submissions to the negotiation process	19 calls for submissions were available; 57 observer submissions were processed
	The number of opportunities to intervene at plenary and contact group meetings as well as at in-session workshops	78 opportunities were facilitated; 65 of them were implemented
	The proportion of mandated outputs delivered and delivered on time	The proportion of mandated outputs delivered and delivered on time was 100 per cent

Objective 3: to facilitate the work of delegates and assist in the intergovernmental process by maintaining the quality, clarity and readability of documents and ensuring their timely availability

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Official documents are made available to stakeholders on time and in all six official languages of the United Nations where applicable	The percentage of documents, including versions in the six official languages of the United Nations, that have been submitted to CAS in accordance with United Nations deadlines and made available to Parties on time	A total of 44 documents were mandated to be made available during the reporting period. All documents were made available and 63 per cent (5 of 8) were made available on time. Delays in the availability of the remaining 37 per cent of the documents (3 of 8) were mainly due to the additional negotiating sessions and the requirements of Parties

Objective 4: to provide suitable surroundings and comprehensive, state-of-the-art logistical and technical support so that meetings proceed smoothly and productively

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Comprehensive and functional conference facilities for participants in the climate change negotiation process are planned and provided for	The number of complaints received with regard to conference facilities	A total of 56 meetings were held, including pre-session meetings of the four regional groups and no complaints with regard to conference facilities were received
	The number of reports of technical failures with regard to sound projectors, electricity, etc., relative to the size, duration and frequency of workshops and meetings	A total of 56 meetings were held, including pre-session meetings of the four regional groups and no reports of technical failures with regard to sound projectors, electricity, etc., were received

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.

Table 25

Objectives, expected results, ^a performance indicators and performance data for the Communications and Outreach programme

Objective 1: to make available to Parties, observer organizations, the public and other stakeholders authoritative and up-to-date information regarding the UNFCCC process in a user-friendly and cost-efficient manner (Articles 6(b) and 8, paragraph 2, of the Convention and Articles 10(d) and 14, paragraph 2, of the Kyoto Protocol)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The UNFCCC website and its applications are recognized as the central information source for authoritative, up-to-date, comprehensive and user-friendly information on the UNFCCC process	<p>The volume of data downloaded per website visit</p> <p>The number of interactive webcast sessions via social media (e.g. Facebook and Twitter)</p>	<p>A total of 2.4 million pages were viewed and 19.6 million documents were downloaded during the reporting period</p> <p>The level of interaction has increased significantly, in particular via social media channels (see data on Facebook and Twitter below). In total, five interactive webcast sessions were held at sessions of the subsidiary bodies and the Ad Hoc Working Group on the Durban Platform for Enhanced Action and Finance meetings</p> <p>During the reporting period, the secretariat posted a total of 1,222 tweets on Twitter and 825 items on the UNFCCC Facebook page. Many of these messages were spread within the stakeholder community. Within the reporting period, the secretariat's Twitter and Facebook accounts both exceeded the 100,000 followers/fan milestone</p>
The UNFCCC publications and information material meet the needs of Parties and stakeholders	The level of user satisfaction with the information and data made available on the UNFCCC website through the secretariat's social media channels and other information material	The programme received positive feedback from Parties and observers on new web materials such as the 20 th anniversary timeline and on infographics displayed during the sessions of the subsidiary bodies and the ADP in June 2014 through e-mail and social media
Global and specialist media and non-governmental organizations are informed about the progress and decisions of the intergovernmental process and its objectives		

Objective 2: to facilitate the search and retrieval of UNFCCC documents and information and to improve knowledge-sharing and collaboration

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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Internal communication, collaboration and knowledge-sharing is improved through the use of the appropriate tools and systems	The level of staff satisfaction with the intranet	Five internal newsletter publications were published, with over 3,800 page views. The intranet is now scheduled to be relaunched in 2014. Information on the level of satisfaction of staff will be provided in the 2015 budget performance report
Parties, observers, secretariat staff and the general public have ready access to well-organized and comprehensive official documentation on the climate change negotiation process	The amount of official documents downloaded	A total of 19,600,000 official documents, including language versions, were downloaded in a timely manner
Records are managed in accordance with the secretariat's policy and guidelines		

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.

Table 26

Objectives, expected results, ^a performance indicators and performance data for the Information Technology Services programme

Objective 1: to maintain and strengthen the secretariat's information technology infrastructure

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Support services meet user requirements	The proportion of information technology (IT) service requests responded to and completed within 90 days. Baseline: 70 per cent of requests. Target: 90 per cent of requests	<p>During the reporting period, 9,999 work orders were created by the in-house service desk (excluding the externally contracted international transaction log (ITL) service desk). A total of 99.94 per cent were answered within the timelines specified in the Service Level Agreement (SLA) and 84.06 per cent were resolved within the timelines specified in the SLA</p> <p>During the reporting period, a total of 2,746 incoming calls, 8,893 e-mails to the service desk e-mail address and 1,100 e-mails to the service desk info mailbox were received</p> <p>The performance metrics set for the ITL service desk are: 90 per cent of phone calls answered within 10 seconds, no more than 5 per cent of calls abandoned (user hanging up before being answered) within 20 seconds and 85 per cent of e-mails answered within 30 minutes. These three targets were met and exceeded for the six-month period ending in June 2014: 95.42 per cent of phone calls were answered within 10 seconds, 0.00 per cent of phone calls were abandoned within 20 seconds and 96.98 per cent of e-mails were answered within 30 minutes</p>

Conferences and workshops are supported by effective and efficient IT services	The proportion of conferences and workshops serviced at the agreed levels. Baseline and target : to ensure that 100 per cent are serviced at the agreed levels	<p>During the reporting period, two conferences, the fourth part of the second session of the Ad Hoc Working Group on the Durban Platform for Enhanced Action (ADP) and the fortieth sessions of the subsidiary bodies, were successfully delivered with no major complaints from the clients. Problems initially identified during ADP 1 were resolved through a redesign of the Wi-Fi service for ADP 2, part 4. All conference and mobile conference equipment received security updates, including firmware updates and security patches, to ensure readiness for the upcoming conferences. A mobile air-conditioning unit has been used in the last two conferences to reduce the cost of temporary data centre deployments while keeping an environmentally friendly standard of conference equipment.</p> <p>During the reporting period, 33 workshops were serviced, of which 18 involved the provision of virtual presence facilities, such as WebEx or Skype, which enabled remote delegates to actively participate in the workshops without the need to travel.</p> <p>100 per cent of conferences and workshops were serviced at the agreed level.</p>
The communications data centre and related infrastructure services are supplied in accordance with agreed service levels	Infrastructure services availability during service hours. Baseline: 95 per cent availability. Target: 98 per cent availability	<p>During the performance period, the migration from the analogue telephone and fax system to an Internet Protocol based system was completed, removing dependence on the ailing Bosch PBX system.</p> <p>The Wi-Fi enhancement project kicked off to provide better connectivity in the Haus Carstanjen and Altes Abgeordnetenhaus (AHH) blocks of the secretariat offices. The project will be completed later in the year. In addition, Cisco Ironport was introduced to improve Internet access and Internet security for staff.</p> <p>All network systems at headquarters received security updates, including the latest firmware and security patches to enhance security.</p> <p>Other maintenance work has been undertaken on various systems to resolve some minor issues, including various service interruptions, amounting to a total of 90 minutes downtime on the network in AHH and service interruption on the Lotus Notes Traveler system. No (irretrievable) data loss was experienced during this performance period. Service on the network has reached an availability of 98 per cent.</p>

Objective 2: to maintain and enhance the UNFCCC information systems

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Mandated systems meet the specified requirements	Mandated systems are operated and supported in accordance with defined service levels	<p>In the first six months of 2014, the Information Technology Services (ITS) programme delivered the following new mandated systems: new common reporting format (CRF) Reporter system for reporting greenhouse gas (GHG) inventories (delivered after almost three years in development, within agreed timelines and approved budget); GHG inventory software for Parties not included in Annex I to the Convention; IT system for multilateral assessment; capacity-building portal; Momentum for Change 2014; central portal for national adaptation plans; online admission system for observers; and UNFCCC submission portal</p> <p>ITS has delivered updates and/or enhancements to the following mandated systems: biennial reporting - common tabular format; biennial reporting - virtual team room; clean development mechanism information system; compilation and accounting database; GHG data interface; GHG data report; GHG inventory virtual team room; nationally appropriate mitigation action registry; roster of experts; and standard electronic format submission tool</p> <p>All of the above-mentioned systems and other mandated systems which did not receive updates in 2014 are being operated and supported via the ITS 1st, 2nd and 3rd line support operation</p>
Business-enabling systems, including collaboration and web services are operated and maintained at agreed service levels	The average availability of business-enabling systems. Baseline: 95 per cent availability. Target: 98 per cent availability	<p>ITS has delivered the following new or enhanced business-enabling systems in the first six months of 2014: budget tool; electronic Official Document System; in-session daily updates; single sign-on for the Learning Management System (LMS); staff assignment list planning database; time accounting system; and travel database</p> <p>During the reporting period, out of 17 monitored systems, all reached their availability target of 98 per cent, including planned maintenance time</p> <p>This includes the secretariat's outsourced collaboration platform, which reached its contracted service levels of 99 per cent availability during each of the six months of the reporting period</p>

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.

Table 27

Objectives, expected results, ^a performance indicators and performance data for the Administrative Services programme

Objective 1: to facilitate the mobilization, allocation and utilization of resources (Article 8, paragraph 2(f), of the Convention, Article 14, paragraph 2, of the Kyoto Protocol and decision 15/CP.1)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Parties are kept fully informed with regard to the receipt and utilization of financial resources	Financial statements are made available to Parties in a timely manner	The audited financial statements for 2012-2013 were completed on time and will be presented to the Subsidiary Body for Implementation in December 2014
Coherence and transparency in fundraising and the use of funds	The proportion of donor reports submitted on time	A total of 50 donor reports were due during the reporting period, of which 33 (or 77 per cent) were issued on time
Optimal budget implementation rate	Expenditure levels compared with the approved budget. Target: 99 per cent	The 2014-2015 core budget for the secretariat had a budget implementation rate of 47.1 per cent through to June 2014, which is below the ideal implementation rate of 50 per cent. It is expected that the 99 per cent target will be achieved by the end of the biennium
Compliance with United Nations financial regulations and rules and UNFCCC financial procedures	The implementation rate of audit recommendations relating to financial and administrative matters. Baseline: 70 per cent implementation rate. Target: 90 per cent implementation rate	The implementation rate is 83 per cent; two recommendations have been implemented and the remaining recommendation concerning information technology equipment is under active implementation and 50 per cent implemented

Objective 2: to facilitate the timely recruitment and retention of staff who meet the highest standards of efficiency, competence and integrity in order to support mandated activities to the largest extent possible (Article 8, paragraph 2(f), of the Convention and Article 14, paragraph 2, of the Kyoto Protocol)

<i>Expected result(s)</i>	<i>Performance indicators(s)</i>	<i>Performance data</i>
Competent and motivated staff reflecting a broad geographical spectrum and gender balance are recruited and retained	The percentage of staff from Parties not included in Annex I to the Convention (non-Annex I Parties). Actual figures as at March 2013: 50 per cent of staff in the Professional category and above were from non-Annex I Parties. Target: to increase this percentage The percentage of women in posts in the Professional and higher categories. Actual figures as at March 2013: 41 per cent of staff in the Professional category and above were women. Target: to increase this percentage to 50 per cent	As at 30 June 2014, a share of 51 per cent of staff in the Professional and higher levels were from non-Annex I Parties As at 30 June 2014, 40 per cent of staff in the Professional and higher levels were women

	The percentage of recruitment completed within the required time frame. Baseline: four months per Professional post from advertising to approval by the Executive Secretary. Target: to reduce this time frame to three months	The targeted time frame for recruiting UNFCCC staff (from the posting of the vacancy announcement on the UNFCCC website to the notification of the selected candidate) is four months. Of the 68 posts advertised in the first six months of 2014, a total of 22 (33 per cent) recruitment cases were completed during the reporting period in accordance with that time frame
Staff are provided with adequate training and development opportunities enabling them to achieve their full potential at work	The percentage of training costs versus total staff costs	A total of 1.14 per cent of the total staff costs were costs of staff training as at 30 June 2014

Objective 3: to provide travel, procurement and general services that respond adequately to the needs of Parties and the secretariat (Article 8, paragraph 2(f), of the Convention and Article 14, paragraph 2, of the Kyoto Protocol)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The travel of delegates and staff to official meetings is arranged effectively and efficiently	The proportion of participants attending meetings versus nominations	89 per cent of nominated participants attended meetings (1,196 of 1,345)
The procurement of goods and services is carried out in a cost-effective and efficient manner in accordance with United Nations regulations and rules and with UNFCCC policies	The percentage of procurement cases processed within established time frames	Almost 99 per cent of procurement cases were processed within the established time frames (348 of 352)

^a Unless otherwise stated, expected results relate to work both under the Convention and under the Kyoto Protocol.