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Subsidiary Body for Implementation

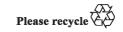
Report of the Subsidiary Body for Implementation on its thirty-ninth session, held in Warsaw from 11 to 18 November 2013

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Decision 1/CP.16, paragraphs 15–18.
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I. Opening of the session

(Agenda item 1)

- 1. The thirty-ninth session of the Subsidiary Body for Implementation (SBI) was held at the National Stadium in Warsaw, Poland, from 11 to 18 November 2013.
- 2. The Chair of the SBI, Mr. Tomasz Chruszczow (Poland), opened the session on Monday, 11 November, and welcomed all Parties and observers. He also welcomed Mr. Robert F. Van Lierop (Saint Kitts and Nevis) as Vice-Chair of the SBI and Ms. Mabafokeng F. Mahahabisa (Lesotho) as Rapporteur.

II. Organizational matters

(Agenda item 2)

A. Adoption of the agenda

(Agenda item 2(a))

- 3. At its 1st meeting, on 11 November, the SBI considered a note by the Executive Secretary containing the provisional agenda and annotations (FCCC/SBI/2013/11).
- 4. At the same meeting, the agenda was adopted as follows, with sub-item 4(b) held in abeyance:
 - 1. Opening of the session.
 - 2. Organizational matters:
 - (a) Adoption of the agenda;
 - (b) Organization of the work of the session;
 - (c) Election of officers other than the Chair;
 - (d) Election of replacement officers.
 - National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention:
 - (a) Sixth national communications from Parties included in Annex I to the Convention;
 - (b) Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2011;
 - (c) Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2013.
 - 4. National communications from Parties not included in Annex I to the Convention:
 - (a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;

- (b) Information contained in national communications from Parties not included in Annex I to the Convention (agenda item held in abeyance);³
- (c) Provision of financial and technical support.
- 5. Nationally appropriate mitigation actions by developing country Parties:
 - (a) Composition, modalities and procedures of the team of technical experts under international consultations and analysis;
 - (b) Work programme to further the understanding of the diversity of nationally appropriate mitigation actions.
- Coordination of support for the implementation of activities in relation to mitigation actions in the forest sector by developing countries, including institutional arrangements.
- 7. Matters relating to the mechanisms under the Kyoto Protocol:
 - (a) Review of the modalities and procedures of the clean development mechanism;
 - (b) Review of the joint implementation guidelines;
 - (c) Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units;
 - (d) Modalities for expediting the establishment of eligibility for Parties included in Annex I to the Convention with commitments for the second commitment period whose eligibility has not yet been established;
 - (e) Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism;
 - (f) Report of the administrator of the international transaction log under the Kyoto Protocol.
- 8. Report of the Adaptation Committee.
- 9. Matters relating to the least developed countries.
- 10. National adaptation plans.⁴
- 11. Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity.⁵
- 12. Matters relating to finance:
 - (a) Adaptation Fund under the Kyoto Protocol;
 - (b) Other matters.
- 13. Development and transfer of technologies and implementation of the Technology Mechanism:

³ On a proposal by the Chair, the SBI agreed to include this item on the provisional agenda for its fortieth session.

⁴ Decision 1/CP.16, paragraphs 15–18.

⁵ Decision 1/CP.16, paragraphs 26–29.

- (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network;
- (b) Report on modalities and procedures of the Climate Technology Centre and Network and its Advisory Board;
- (c) Poznan strategic programme on technology transfer.
- 14. Capacity-building:
 - (a) Capacity-building under the Convention;
 - (b) Capacity-building under the Kyoto Protocol.
- 15. Impact of the implementation of response measures:
 - (a) Forum and work programme;
 - (b) Matters relating to Article 3, paragraph 14, of the Kyoto Protocol;
 - (c) Progress on the implementation of decision 1/CP.10.
- 16. The 2013–2015 review.
- 17. Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties.
- 18. Administrative, financial and institutional matters:
 - (a) Budget performance for the biennium 2012–2013;
 - (b) Programme budget for the biennium 2014–2015;
 - (c) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol.
- 19. Other matters.
- 20. Report on the session.
- 5. At the 1st meeting, statements were made by representatives of 13 Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the Umbrella Group, one on behalf of the Alliance of Small Island States (AOSIS), one on behalf of the African States, one on behalf of the Environmental Integrity Group (EIG), one on behalf of the European Union and its 28 member States, one on behalf of the least developed countries (LDCs), one on behalf of the Coalition for Rainforest Nations, one on behalf of the Central American Integration System, one on behalf of the Bolivarian Alliance for the Peoples of Our America Peoples' Trade Treaty, and one on behalf of the Group of Mountainous Landlocked Developing Countries. Statements were also made by representatives of environmental non-governmental organizations.
- 6. At the 2nd meeting, held on Tuesday, 12 November, to allow for full interpretation of the statement made at the previous meeting, the representative of a Party delivered her statement again on behalf of the Bolivarian Alliance for the Peoples of Our America Peoples' Trade Treaty.

B. Organization of the work of the session

(Agenda item 2(b))

7. The SBI considered this agenda sub-item at its 1st meeting, at which the Chair drew attention to the proposed programme of work posted on the UNFCCC website. On a proposal by the Chair, the SBI agreed to proceed on the basis of that programme of work.

C. Election of officers other than the Chair

(Agenda item 2(c))

- 8. The SBI considered this agenda sub-item at its 1st meeting and at its 3rd meeting, held on 16 November.⁶ At the 1st meeting, the Chair recalled rule 27 of the draft rules of procedure being applied, whereby the SBI is expected to elect its Vice-Chair and Rapporteur.
- 9. At the 3rd meeting, the SBI elected Mr. Ilhomjon Rajabov (Tajikistan) as Vice-Chair and Ms. Mabafokeng F. Mahahabisa (Lesotho) as Rapporteur.⁷

D. Election of replacement officers

(Agenda item 2(d))

10. No election of replacement officers was required.

III. National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention

(Agenda item 3)

A. Sixth national communications from Parties included in Annex I to the Convention

(Agenda item 3(a))

1. Proceedings

- 11. The SBI considered this agenda sub-item at its 1st and 3rd meetings. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations cofacilitated by Ms. Fatuma Hussein (Kenya) and Mr. Kiyoto Tanabe (Japan).
- 12. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁸

- 13. The SBI considered matters related to the sixth national communications from Parties included in Annex I to the Convention (Annex I Parties).
- 14. The SBI recommended a draft decision⁹ on the sixth national communications from Annex I Parties for consideration and adoption by the Conference of the Parties (COP) at its nineteenth session¹⁰ and a draft decision¹¹ on the sixth national communications from Annex I Parties that are also Parties to the Kyoto Protocol for consideration and adoption

⁶ The 3rd meeting was suspended and resumed three times between 16 and 18 November. For ease of reference, the meeting and two resumed meetings that took place on the night of 16 to 17 November are referred to as the 3rd meeting. The third resumed meeting of the 3rd meeting that took place on Monday, 18 November is referred to as the 4th meeting.

⁷ Information on elections can be found at <unfccc.int/6558>.

⁸ Adopted as document FCCC/SBI/2013/L.7.

⁹ For the text of the decision, see document FCCC/SBI/2013/L.7/Add.1.

¹⁰ For the text as adopted, see decision 22/CP.19.

For the text of the decision, see FCCC/SBI/2013/L.7/Add.2.

by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its ninth session. ¹²

B. Report on national greenhouse gas inventory data from Parties included in Annex I to the Convention for the period 1990–2011

(Agenda item 3(b))

Proceedings

15. The SBI considered this agenda sub-item at its 1st meeting and took note of the information contained in document FCCC/SBI/2013/19.

C. Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2013

(Agenda item 3(c))

Proceedings

- 16. The SBI considered this agenda sub-item at its 1^{st} and 3^{rd} meetings. It had before it document FCCC/KP/CMP/2013/6 and Add.1.
- 17. At its 3^{rd} meeting, the SBI recommended draft conclusions 13 on this matter for adoption at CMP $9.^{14}$

IV. National communications from Parties not included in Annex I to the Convention

(Agenda item 4)

A. Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

(Agenda item 4(a))

1. Proceedings

- 18. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBI/2013/7, FCCC/SBI/2013/INF.13, FCCC/SBI/2013/17 and FCCC/SBI/2013/18. Statements were made by representatives of two Parties, including one on behalf of the EIG.
- 19. At the 1st meeting, the Chair invited Mr. Kamel Djemouai (Algeria), Chair of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE), to report on the progress of the work of the CGE.
- 20. At the same meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Ms. Hussein and Mr. Tanabe.
- 21. At its 3rd meeting, the SBI considered and adopted the conclusions below. 15

¹² For the text as adopted, see decision 9/CMP.9.

¹³ Adopted as document FCCC/SBI/2013/L.3.

¹⁴ For the text of the conclusions as adopted, see document FCCC/KP/CMP/2013/9.

- 22. The SBI noted the progress reports¹⁶ of the CGE and the work programme of the CGE for 2013.¹⁷ The SBI commended the group for developing and implementing its work programme for 2013. The SBI noted the recommendation that a long-term work programme to cover the activities of the CGE should be developed, in order to efficiently respond to the needs for technical assistance of Parties not included in Annex I to the Convention (non-Annex I Parties) for meeting their reporting requirements.¹⁸
- 23. The SBI noted with appreciation the progress made by the CGE in implementing its activities, including conducting workshops on the preparation of biennial update reports (BURs). It welcomed the two workshops.¹⁹
- 24. The SBI also noted with appreciation the training materials developed by the CGE, which are publicly available on the UNFCCC website. Recognizing that the training materials may facilitate the preparation of national communications and BURs, the SBI encouraged non-Annex I Parties to make use of them, to the extent possible. The SBI noted that the training materials should be updated and enriched on a periodic basis, taking into account the current and future needs of non-Annex I Parties, the provisions under the Convention and the relevant decisions of the COP. This includes the addition of practical and relevant examples, case studies and best practices reflecting different regional and national circumstances and needs, to enhance the usefulness of the training materials. 21
- 25. The SBI took note of the recommendations, ²² including on a long-term perspective, the enhancement of collaboration and participation, and the enhancement of the provision of capacity-building, contained in the progress reports referred to in paragraph 22 above, which could be useful in further enhancing the effectiveness of the provision of technical assistance to non-Annex I Parties in meeting their reporting requirements. The SBI encouraged the CGE to take into consideration these recommendations, as appropriate, in developing its work programme and undertaking activities, which will enable the CGE to provide technical assistance to non-Annex I Parties.
- 26. The SBI thanked the Annex I Parties²³ and multilateral agencies and programmes²⁴ that have made financial contributions to the implementation of the work of the CGE.
- 27. In accordance with decision 18/CP.18, paragraph 5, the SBI completed its consideration of the term and mandate of the CGE, and the need for the continuation of the group, and recommended a draft decision²⁵ on this matter for consideration and adoption at COP 19.²⁶

Adopted as document FCCC/SBI/2013/L.24.

¹⁶ FCCC/SBI/2013/7 and FCCC/SBI/2013/17.

¹⁷ FCCC/SBI/2013/7.

¹⁸ FCCC/SBI/2013/17, paragraph 52.

¹⁹ FCCC/SBI/2013/INF.13 and FCCC/SBI/2013/18.

The supplementary CGE training materials on BURs are available at http://unfccc.int/7915.php.

²¹ FCCC/SBI/2013/17, paragraph 60.

²² FCCC/SBI/2013/17, paragraphs 50–60.

²³ Belgium, Canada, European Union, Finland, Japan, Norway, Romania, Switzerland, United Kingdom of Great Britain and Northern Ireland and United States of America.

The National Communications Support Programme, the Intergovernmental Panel on Climate Change Technical Support Unit for the Task Force on National Greenhouse Gas Inventories and the United Nations Environment Programme.

 $^{^{25}\,}$ For the text of the draft decision, see document FCCC/SBI/2013/L.24/Add.1.

²⁶ For the text as adopted, see decision 19/CP.19.

28. The SBI also recommended draft conclusions²⁷ on this matter for consideration and adoption at COP 19.²⁸

B. Information contained in national communications from Parties not included in Annex I to the Convention

(Agenda item 4(b) held in abeyance)

C. Provision of financial and technical support

(Agenda item 4(c))

1. Proceedings

- 29. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBI/2013/INF.7, FCCC/SBI/2013/INF.8 and FCCC/CP/2013/3/Add.2. A representative of one Party made a statement.
- 30. At the 1st meeting, the Chair of the SBI invited the representative of the secretariat of the Global Environment Facility (GEF) to make a statement. At the same meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Ms. Hussein and Mr. Tanabe.
- 31. At its 3rd meeting, the SBI considered and adopted the conclusions below.²⁹

2. Conclusions

- 32. The SBI took note of the information provided by the secretariat of the GEF on the financial support provided by the GEF for the preparation of national communications and BURs by non-Annex I Parties.³⁰
- 33. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of national communications by non-Annex I Parties, including information on the dates of the approval of funding and the disbursement of funds. It also invited the GEF to continue providing information on the approximate date of completion of the draft national communications, and an approximate date of submission to the secretariat of the national communications, for consideration at SBI 41 (December 2014).
- 34. The SBI invited the GEF to continue providing detailed, accurate, timely and complete information on its activities relating to the preparation of BURs, including information on the dates of the request for funding, approval of funding, disbursement of funds as well as an approximate date of submission to the secretariat of BURs, for consideration at SBI 40 (June 2014).
- 35. The SBI noted that as at 11 November 2013 the GEF secretariat had received 30 requests for support to prepare BURs. Recalling decision 2/CP.17, paragraph 41(d), the SBI encouraged the non-Annex I Parties that have yet to submit, as applicable, their requests to the GEF for support for the preparation of their first BURs to do so in a timely manner.³¹ In addition, it encouraged the GEF implementing agencies to continue facilitating the preparation and submission of project proposals by non-Annex I Parties for the preparation of their BURs.

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²⁷ For the text of the draft conclusions, see document FCCC/SBI/2013/L.24/Add.2.

²⁸ For the text of the conclusions as adopted, see document FCCC/CP/2013/10.

²⁹ Adopted as document FCCC/SBI/2013/L.5.

³⁰ FCCC/SBI/2013/INF.7, FCCC/SBI/2013/INF.8 and FCCC/CP/2013/3/Add.2.

³¹ FCCC/SBI/2012/15, paragraph 53.

- 36. Recalling decision 9/CP.18, the SBI encouraged the GEF to make support available to non-Annex I Parties for preparing their subsequent BURs in a timely manner, taking fully into account decision 2/CP.17, paragraph 41(a) and (e). The SBI also recalled decision 2/CP.17, which decided that enhanced support for the preparation of BURs should be ensured by developed country Parties and other developed Parties included in Annex II to the Convention by means of resources, in accordance with Article 4, paragraph 3, of the Convention, on the basis of agreed full-cost funding.
- 37. The SBI noted the progress made by the GEF in the finalization of its Global Support Programme, a project that will be administered jointly by the United Nations Development Programme and the United Nations Environment Programme, with the objective of enhancing support to non-Annex I Parties in the preparation of their national communications and BURs. It noted that the aim of the project is to assist non-Annex I Parties in the timely preparation and submission of their national communications and BURs.
- 38. The SBI also welcomed the release by the secretariat, as scheduled,³² of an upgraded version of the national greenhouse gas (GHG) inventory software for non-Annex I Parties.³³ It encouraged non-Annex I Parties to start using the upgraded software for the development of their national GHG inventories. It further welcomed the efforts made by the secretariat to provide relevant training to national experts from non-Annex I Parties with a view to facilitating the efficient use of the software. It encouraged the secretariat to continue making every effort to ensure that the relevant training is made available to all non-Annex I Parties.
- 39. The SBI took note of the estimated budgetary implications of the activities to be undertaken by the secretariat as referred to in paragraph 38 above.
- 40. It requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.
- 41. The SBI noted with appreciation that, as at 11 November 2013, 145 initial, 94 second and four third national communications and one fourth and one fifth national communication from non-Annex I Parties had been submitted. It also noted that, by the end of 2013, one initial national communication, 22 second and three third national communications from non-Annex I Parties are expected to be submitted.

V. Nationally appropriate mitigation actions by developing country Parties

(Agenda item 5)

A. Composition, modalities and procedures of the team of technical experts under international consultations and analysis

(Agenda item 5(a))

Proceedings

42. The SBI considered this agenda sub-item at its 1st and 3rd meetings. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations cofacilitated by Ms. Ann Gan (Singapore) and Mr. Helmut Hojesky (Austria).

³² FCCC/SBI/2011/17, paragraph 43.

The upgraded greenhouse gas inventory software is available at http://unfccc.int/7627.php.

43. At its 3rd meeting, the SBI recommended a draft decision³⁴ for consideration and adoption at COP 19.³⁵

B. Work programme to further the understanding of the diversity of nationally appropriate mitigation actions

(Agenda item 5(b))

1. Proceedings

- 44. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/SBI/2013/INF.12/Rev.2.
- 45. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations co-facilitated by Ms. Gan and Mr. Hojesky.
- 46. At its 3rd meeting, the SBI considered and adopted the conclusions below.³⁶

- 47. The SBI took note of document FCCC/SBI/2013/INF.12/Rev.2.
- 48. The SBI also took note of the information provided by the experts at the in-session workshop to further the understanding of the diversity of nationally appropriate mitigation actions (NAMAs), as well as of the discussions that took place during the workshop, with a view to facilitating the preparation and implementation of NAMAs.
- 49. The SBI expressed its appreciation to the Parties that provided information at the workshop referred to in paragraph 48 above.
- 50. The SBI invited developed country Parties included in Annex II to the Convention and other developed country Parties in a position to do so to scale up financial, technology and capacity-building support for the preparation and implementation of NAMAs.
- 51. The SBI requested the secretariat to organize in 2014, as part of the work programme to further the understanding of the diversity of NAMAs, focused interactive technical discussions, including through one in-session workshop per session with input from experts,³⁷ on:
- (a) More information relating to NAMAs, subject to availability, as specified in decision 2/CP.17, paragraphs 33 and 34, including underlying assumptions and methodologies, sectors and gases covered, global warming potential values used and estimated mitigation outcomes;
- (b) Needs for financial, technology and capacity-building support for the preparation and implementation of specific measurable, reportable and verifiable NAMAs, as well as support available and provided, access modalities and related experience gained;
- (c) The extent of the matching of mitigation actions with financial, technology and capacity-building support under the registry.
- 52. The SBI requested the secretariat to:
- (a) Report to SBI 40 and SBI 41 on the extent of the matching of mitigation actions with financial, technical and capacity-building support under the registry pursuant to decision 1/CP.18, paragraph 19(c), for consideration by the Parties;

³⁴ For the text of the draft decision, see document FCCC/SBI/2013/L.23.

³⁵ For the text as adopted, see decision 20/CP.19.

³⁶ Adopted as document FCCC/SBI/2013/L.8.

³⁷ Pursuant to decision 1/CP.18, paragraph 20.

- (b) Provide an opportunity for Parties that wish to do so to share experiences with seeking support for NAMAs;
- (c) Make available, on the UNFCCC website, information from the regional workshops on NAMAs.

VI. Coordination of support for the implementation of activities in relation to mitigation actions in the forest sector by developing countries, including institutional arrangements

(Agenda item 6)

1. Proceedings

- 53. The SBI considered this agenda item at its 1st and 3rd meetings. It had before it documents FCCC/SB/2013/INF.6 and FCCC/SB/2013/MISC.3 and Add.1.
- 54. At its 1st meeting, the SBI agreed to consider this agenda item jointly with agenda item 6 of the Subsidiary Body for Scientific and Technological Advice (SBSTA) in a joint contact group co-chaired by Mr. Keith Anderson (Switzerland) and Ms. Madeleine Diouf Sarr (Senegal). As Ms. Diouf Sarr was unable to attend the initial part of the session, Ms. Lilian Portillo (Paraguay) was invited subsequently to co-facilitate these consultations.
- 55. At its 3rd meeting, the SBI considered and adopted the conclusions below. ³⁸

- 56. The SBSTA and the SBI took note of the views submitted by Parties³⁹ on the need to improve the coordination of support for the implementation of the activities referred to in decision 1/CP.16, paragraph 70, and to consider existing institutional arrangements or potential governance alternatives, including a body, a board or a committee. They also took note of the views submitted by admitted observer organizations.⁴⁰
- 57. The SBSTA and the SBI further took note of the report on the in-session workshop⁴¹ on the matter referred to in paragraph 56 above, which was held in Bonn, Germany, on 7 June 2013.⁴² They expressed their appreciation to the European Commission and Norway for providing financial support for the workshop.
- 58. The SBSTA and the SBI advanced their work on the matter referred to in paragraph 56 above and agreed to invite COP 19 to provide further guidance in order to resolve outstanding issues.⁴³

³⁸ Adopted as document FCCC/SB/2013/L.5.

³⁹ FCCC/SB/2013/MISC.3 and Add.1.

⁴⁰ Available at http://unfccc.int/7481.

⁴¹ FCCC/SB/2013/INF.6.

⁴² More information on the workshop is available at http://unfccc.int/7672.

For the text as adopted, see decision 10/CP.19. This COP decision supersedes the last paragraph of the SBI and SBSTA conclusions contained in FCCC/SB/2013/L.5, which has therefore not been included in this report.

VII. Matters relating to the mechanisms under the Kyoto Protocol

(Agenda item 7)

A. Review of the modalities and procedures of the clean development mechanism

(Agenda item 7(a))

1. Proceedings

- 59. The SBI considered this agenda sub-item at its 1^{st} and 3^{rd} meetings. It had before it documents FCCC/SBI/2013/MISC.1 and Add.1, FCCC/SBI/2013/INF.1 and FCCC/SBI/2013/INF.6.
- 60. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations co-facilitated by Mr. Giza Gaspar Martins (Angola) and Mr. Marko Berglund (Finland).
- 61. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁴⁴

2. Conclusions

- 62. In accordance with decision 5/CMP.8, the SBI initiated its consideration of possible changes to the modalities and procedures for the clean development mechanism (CDM).
- 63. The discussions of the SBI were informed by views submitted by Parties and admitted observer organizations,⁴⁵ the recommendations of the Executive Board of the CDM on the matter⁴⁶ and the outcome of the discussions that took place at the workshop on possible changes to the modalities and procedures for the CDM, which was held on 8 and 9 June 2013 in Bonn.⁴⁷
- 64. The SBI identified a consolidated but non-exhaustive list of suggested changes to the modalities and procedures for the CDM, which is contained in a note prepared by the co-chairs of this agenda sub-item under their own responsibility.⁴⁸
- 65. The SBI recommended the elements of a draft decision text⁴⁹ on the modalities and procedures for the CDM for consideration and adoption at CMP 9.⁵⁰
- 66. The SBI agreed on the need to continue its work on this matter at SBI 40, taking into account the consolidated list referred to in paragraph 64 above.

B. Review of the joint implementation guidelines

(Agenda item 7(b))

1. Proceedings

67. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBI/2013/MISC.3 and Add.1 and FCCC/SBI/2013/INF.3.

⁴⁴ Adopted as document FCCC/SBI/2013/L.9.

⁴⁵ FCCC/SBI/2013/MISC.1 and Add.1.

⁴⁶ FCCC/SBI/2013/INF.1.

The report on the workshop is contained in document FCCC/SBI/2013/INF.6.

⁴⁸ Available at http://unfccc.int/7871.php under agenda sub-item 7(a).

⁴⁹ Contained in the annex to document FCCC/SBI/2013/L.9.

For the text as adopted, see decision 4/CMP.9.

- 68. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations co-facilitated by Mr. Dimitar Nikov (France) and Mr. Yaw Osafo (Ghana).
- 69. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁵¹

2. Conclusions

- 70. The SBI initiated its consideration of the review of the "Guidelines for the implementation of Article 6 of the Kyoto Protocol" (hereinafter referred to as the joint implementation guidelines), in accordance with decision 6/CMP.8, paragraphs 14–16.
- 71. The SBI took note of the relevant views submitted by Parties and admitted observer organizations,⁵³ as well as document FCCC/SBI/2013/INF.3, on possible changes to the joint implementation guidelines, which was prepared by the secretariat drawing on the aforementioned submissions as well as recommendations made by the Joint Implementation Supervisory Committee. The SBI also took note of the work undertaken by Parties during SBI 39.
- 72. The SBI agreed to continue its consideration of this agenda sub-item at SBI 40, on the basis of the draft text proposed by the co-chairs of the relevant informal consultations,⁵⁴ with a view to recommending a draft decision on the matter for consideration and adoption at CMP 10 (December 2014).

C. Modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units

(Agenda item 7(c))

1. Proceedings

- 73. The SBI considered this agenda sub-item at its 1st and 3rd meetings. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations cofacilitated by Mr. Nikov and Mr. Osafo.
- 74. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁵⁵

- 75. The SBI initiated its consideration of modalities for expediting the continued issuance, transfer and acquisition of joint implementation emission reduction units, in accordance with decision 1/CMP.8, paragraph 16.
- 76. The SBI agreed to continue its consideration of this agenda sub-item at SBI 40, on the basis of the draft text proposed by the co-chairs of the relevant informal consultations, ⁵⁶ with a view to recommending a draft decision on the matter for consideration and adoption at CMP 10.

⁵¹ Adopted as document FCCC/SBI/2013/L.11.

⁵² Decision 9/CMP.1.

⁵³ FCCC/SBI/2013/MISC.3 and Add.1.

Available at http://unfccc.int/7871.php> under agenda sub-item 7(b).

⁵⁵ Adopted as document FCCC/SBI/2013/L.12.

⁵⁶ Available at http://unfccc.int/7871.php> under agenda sub-item 7(c).

D. Modalities for expediting the establishment of eligibility for Parties included in Annex I to the Convention with commitments for the second commitment period whose eligibility has not yet been established

(Agenda item 7(d))

1. Proceedings

- 77. The SBI considered this agenda sub-item at its $1^{\rm st}$ and $3^{\rm rd}$ meetings. At its $1^{\rm st}$ meeting, the SBI agreed to consider this agenda sub-item in informal consultations cofacilitated by Mr. Nikov and Mr. Osafo.
- 78. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁵⁷

2. Conclusions

- 79. The SBI initiated its consideration of modalities for expediting the establishment of eligibility for Annex I Parties with commitments for the second commitment period whose eligibility has not yet been established, in accordance with decision 1/CMP.8, paragraph 16.
- 80. The SBI recommended a draft decision 58 on this matter for consideration and adoption at CMP $9.^{59}$

E. Procedures, mechanisms and institutional arrangements for appeals against decisions of the Executive Board of the clean development mechanism

(Agenda item 7(e))

Proceedings

81. The SBI considered this agenda sub-item at its 1st meeting. On a proposal by the Chair, the consideration of this sub-item was deferred to SBI 40. A statement was made by a representative of one Party.

F. Report of the administrator of the international transaction log under the Kyoto Protocol

(Agenda item 7(f))

Proceedings

- 82. The SBI considered this agenda sub-item at its $1^{\rm st}$ meeting. It had before it document FCCC/SBI/2013/INF.16.
- 83. The SBI took note of the report and agreed to continue its consideration of the matters referred to in paragraph 58(b) and (c) of the 2012 report⁶⁰ at SBI 40. The SBI requested the international transaction log administrator and the security working group under the registry system administrators forum to further elaborate on options for, and a road map to, information security implementation in registry systems, for consideration at SBI 40.

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⁵⁷ Adopted as document FCCC/SBI/2013/L.14.

For the text of the draft decision, see document FCCC/SBI/2013/L.14/Add.1.

⁵⁹ For the text as adopted, see decision 7/CMP.9.

⁶⁰ FCCC/KP/CMP/2012/8.

VIII. Report of the Adaptation Committee

(Agenda item 8)

Proceedings

- 84. The SBI considered this agenda item at its 1st and 3rd meetings. It had before it document FCCC/SB/2013/2.
- 85. At its 1st meeting, the Chair of the SBI invited Ms. Christina Chan (United States of America), Vice-Chair of the Adaptation Committee, to report on the work of the committee. At the same meeting, the SBI agreed to consider this agenda item in informal consultations facilitated by Ms. Helen Plume (New Zealand).
- 86. At its $3^{\rm rd}$ meeting, the SBI recommended a draft decision 61 for consideration and adoption at COP 19. 62

IX. Matters relating to the least developed countries

(Agenda item 9)

1. Proceedings

- 87. The SBI considered this agenda item at its 1st and 3rd meetings. It had before it documents FCCC/SBI/2013/8, FCCC/SBI/2013/15 and FCCC/SBI/2013/16. A representative of one Party made a statement on behalf of the European Union and its 28 member States.
- 88. At its 1st meeting, the Chair of the SBI invited Mr. Batu Krishna Uprety (Nepal), Vice-Chair of the Least Developed Countries Expert Group (LEG), to report on the group's activities. At the same meeting, the SBI agreed to consider this agenda item in informal consultations facilitated by Mr. Collin Beck (Solomon Islands).
- 89. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁶³

- 90. The SBI took note of the oral report by the Vice-Chair of the LEG on the implementation of the LEG work programme for 2012–2013.⁶⁴
- 91. The SBI welcomed the report on the 23rd meeting of the LEG, held in Lomé, Togo, from 13 to 16 March 2013,⁶⁵ the report on the 24th meeting of the LEG, held in Siem Reap, Cambodia, from 26 to 29 August 2013,⁶⁶ and the synthesis report on the regional training workshops on adaptation for the LDCs for 2012–2013.⁶⁷
- 92. The SBI acknowledged the progress made by the LEG under its work programme for 2012–2013,⁶⁸ and welcomed the completion of the regional training workshops for 2012–2013. It also welcomed the technical guidelines for the national adaptation plan (NAP) process, the work on gender and other considerations regarding vulnerable communities within the LDCs, the work on promoting regional synergy and synergy among

⁶¹ For the text of the draft decision, see document FCCC/SB/2013/L.2.

⁶² For the text as adopted, see decision 16/CP.19.

Adopted as document FCCC/SBI/2013/L.2, as amended in plenary.

⁶⁴ FCCC/SBI/2012/7, annex I.

⁶⁵ FCCC/SBI/2013/8.

⁶⁶ FCCC/SBI/2013/15.

⁶⁷ FCCC/SBI/2013/16.

⁶⁸ FCCC/SBI/2012/7, annex I.

multilateral environmental agreements in addressing adaptation in the LDCs, and the mobilization of organizations, regional centres and networks, and experts.

- 93. The SBI expressed its gratitude to the following:
- (a) The Government of Togo, for hosting the 23rd meeting of the LEG, and the second regional training workshop on adaptation, for the francophone LDCs, from 18 to 22 March 2013;
- (b) The Government of Rwanda, for hosting the third regional training workshop on adaptation, for African anglophone LDCs, from 29 July to 2 August 2013;
- (c) The Government of Cambodia, for hosting the 24th meeting of the LEG, and the fourth regional training workshop on adaptation, for the Asian LDCs, from 20 to 24 August 2013;
- (d) The organizations, agencies and regional centres⁶⁹ that took part in the regional training workshops.
- 94. The SBI expressed its appreciation to the European Union and to the Governments of Australia, Belgium, Ireland, Norway and United Kingdom of Great Britain and Northern Ireland for providing financial resources in support of the work of the LEG.
- 95. The SBI noted with satisfaction the submission of national adaptation programmes of action (NAPAs) by all 50 LDC Parties that embarked on NAPA preparation,⁷⁰ and all support provided to the LDCs in preparing their NAPAs.
- 96. The SBI noted with appreciation the contributions made by some Parties to the Least Developed Countries Fund (LDCF) and encouraged other Parties to do the same.
- 97. The SBI welcomed the LEG work programme for 2013–2014,⁷¹ including outreach activities and the regional training workshops on NAPs. The SBI encouraged the LEG to maintain flexibility in the implementation of its work programme, taking into account the availability of resources.
- 98. The SBI welcomed the LEG's engagement with the Adaptation Committee and other relevant bodies under the Convention in support of its work on the NAP process, in particular it encouraged the LEG to further enhance its collaboration with the Technology Executive Committee (TEC) on the topic of adaptation technology.
- 99. The SBI took note of the estimated budgetary implications of the activities to be undertaken by the secretariat as referred to in paragraph 97 above.
- 100. The SBI requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.
- 101. The SBI invited Parties in a position to do so to continue to provide resources in support of the implementation of the LEG work programme.

⁶⁹ FCCC/SBI/2013/16, paragraphs 12, 15, 18 and 21.

⁷⁰ <unfccc.int/4585>.

⁷¹ FCCC/SBI/2013/8, annex II.

X. National adaptation plans⁷²

(Agenda item 10)

1. Proceedings

- 102. The SBI considered this agenda item at its 1st and 3rd meetings. It had before it documents FCCC/SBI/2013/8, FCCC/SBI/2013/9, FCCC/SBI/2013/15, FCCC/SBI/2013/MISC.2 and Add.1 and FCCC/CP/2013/3.
- 103. At its 1st meeting, the SBI agreed to consider this agenda item in informal consultations co-facilitated by Mr. Frank McGovern (Ireland) and Mr. Amjad Abdulla (Maldives).
- 104. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁷³

- 105. The SBI took note of the documents prepared for the session, including the following:
- (a) The synthesis report on experiences with the application of the guidelines for the NAP process for the LDCs;⁷⁴
 - (b) The report of the GEF to the COP.⁷⁵
- 106. The SBI welcomed the technical guidelines for the NAP process and expressed its appreciation to the LEG for its work on technical guidance and support of the NAP process for the LDCs, and to the Adaptation Committee on its work on consideration of modalities for supporting developing country Parties that are not LDCs.
- 107. The SBI requested the LEG to continue providing technical guidance and support to the LDCs on the NAP process in accordance with its work programme for 2013–2014.
- 108. The SBI welcomed the NAP Expo held on 9 June 2013 in Bonn and noted that it provided an effective forum to build understanding and exchange experiences between the LDC Parties, other Parties, relevant organizations and agencies on the NAP process, including on available and planned support.
- 109. The SBI welcomed progress made by the GEF in responding to guidance from the COP⁷⁶ on supporting the NAP process.⁷⁷ The SBI noted that LDC Parties can start to access resources from the LDCF in support of the NAP process through existing GEF modalities of medium-sized projects, full-sized projects and programmatic approaches, consistent with the objectives and principles of the LDCF, and within the scope defined by the initial guidelines for the formulation of NAPs.⁷⁸
- 110. The SBI further noted that developing country Parties can start to access resources from the Special Climate Change Fund in support of the NAP process through existing GEF modalities.

⁷² Decision 1/CP.16, paragraphs 15–18.

Adopted as document FCCC/SBI/2013/L.10.

⁷⁴ FCCC/SBI/2013/9.

⁷⁵ FCCC/CP/2013/3.

⁷⁶ Decision 12/CP.18, paragraphs 1 and 2.

⁷⁷ FCCC/CP/2013/3.

GEF document GEF/LDCF.SCCF.14/06, available at http://www.thegef.org/gef/council_document/operationalizing-supoprt-preparation-national-adaptation-plan-process-response-guid.

- 111. The SBI welcomed the establishment of the NAP Global Support Programme for the LDCs⁷⁹ for facilitating technical support to the LDC Parties, and invited developed country Parties, United Nations organizations, specialized agencies, and other relevant organizations, as well as bilateral and multilateral agencies to enhance support to the programme, and to other relevant programmes, to address the needs of all LDC Parties in initiating the NAP process.
- 112. The SBI invited the task force on NAPs under the Adaptation Committee to report on its work, through the Adaptation Committee, to the SBI and the SBSTA at their forty-first sessions.
- 113. The SBI recommended a draft decision⁸⁰ on this matter for consideration and adoption at COP 19.⁸¹

XI. Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change to enhance adaptive capacity⁸²

(Agenda item 11)

1. Proceedings

- 114. The SBI considered this agenda item at its 1st and 3rd meetings. It had before it documents FCCC/SBI/2013/INF.14, FCCC/TP/2013/2 and FCCC/TP/2013/12. Statements were made by representatives of five Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of AOSIS, one on behalf of the LDCs and one on behalf of the African States.
- 115. At its 1st meeting, the SBI agreed to consider this agenda item in a contact group cochaired by Ms. Anna Lindstedt (Sweden) and Mr. Van Lierop.
- 116. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁸³

- 117. The SBI welcomed the documents prepared for the session.⁸⁴
- 118. The SBI expressed its gratitude to the Government of Fiji for hosting the expert meeting referred to in decision 3/CP.18, paragraph 10(a),⁸⁵ and to the Governments of Australia, Japan and Norway and the European Union for providing financial support for the implementation of activities under the work programme on loss and damage.
- 119. The SBI initiated its consideration of the mandate for this session, in accordance with paragraph 9 of decision 3/CP.18, and agreed that this consideration be continued at COP 19.86

⁷⁹ Implemented by the United Nations Development Programme and the United Nations Environment Programme in partnership with other organizations and agencies.

http://www.undp-alm.org/projects/naps-ldcs.

⁸⁰ For the text of the draft decision, see document FCCC/SBI/2013/L.10/Add.1.

For the text as adopted, see decision 18/CP.19.

⁸² Decision 1/CP.16, paragraphs 26–29.

Adopted as document FCCC/SBI/2013/L.15.

⁸⁴ FCCC/TP/2013/2, FCCC/TP/2013/12 and FCCC/SBI/2013/INF.14.

⁸⁵ The meeting took place in Nadi, Fiji, from 12 to 14 September 2013.

⁸⁶ For the text as adopted, see decision 2/CP.19.

XII. Matters relating to finance

(Agenda item 12)

A. Adaptation Fund under the Kyoto Protocol

(Agenda item 12(a))

Proceedings

- 120. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/TP/2013/1. Statements were made by representatives of two Parties, including one speaking on behalf of the Group of 77 and China.
- 121. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations co-facilitated by Ms. Ana Fornells de Frutos (Spain) and Ms. Suzanty Sitorus (Indonesia).
- 122. At its $3^{\rm rd}$ meeting, the SBI recommended a draft decision 87 for consideration and adoption at CMP $9.^{88}$

B. Other matters

(Agenda item 12(b))

Proceedings

123. SBI took note of the work being carried out by the Standing Committee on Finance pertaining to the fifth review of the financial mechanism.⁸⁹

XIII. Development and transfer of technologies and implementation of the Technology Mechanism

(Agenda item 13)

A. Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network

(Agenda item 13(a))

Proceedings

- 124. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/SB/2013/1. At its 1st meeting, the SBI agreed to consider this item in informal consultations co-facilitated by Mr. Majid Al Suwaidi (United Arab Emirates) and Mr. Stig Svenningsen (Norway).
- 125. At the 3rd meeting, the Chair took note that the SBI was unable to conclude its consideration of the joint annual report of the TEC and the Climate Technology Centre and Network (CTCN). The SBI noted that the Chair would inform the President of the COP and the CMP accordingly.⁹⁰

For the text of the draft decision, see document FCCC/SBI/2013/L.6.

⁸⁸ For the text as adopted, see decision 2/CMP.9.

⁸⁹ As presented in document FCCC/CP/2013/8.

The COP requested the SBI and the SBSTA to continue consideration of the joint annual report at their fortieth sessions with a view to recommending a draft decision on this matter for consideration

B. Report on modalities and procedures of the Climate Technology Centre and Network and its Advisory Board

(Agenda item 13(b))

1. Proceedings

- 126. The SBI considered this agenda sub-item at its 1^{st} and 3^{rd} meetings. It had before it document FCCC/SB/2013/INF.7.
- 127. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations co-facilitated by Mr. Carlos Fuller (Belize) and Mr. Kunihiko Shimada (Japan).
- 128. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁹¹

2. Conclusions

- 129. The SBSTA and the SBI noted with appreciation the work of the Advisory Board of the CTCN in finalizing in a timely manner the modalities and procedures of the CTCN for consideration at COP 19.
- 130. The SBSTA and the SBI recommended a draft decision 92 on this matter for consideration and adoption at COP 19.93

C. Poznan strategic programme on technology transfer

(Agenda item 13(c))

1. Proceedings

- 131. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/CP/2013/3 and Add.1.
- 132. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations co-facilitated by Mr. Fuller and Mr. Shimada.
- 133. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁹⁴

- 134. The SBI noted the report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer.⁹⁵
- 135. The SBI acknowledged the support provided by the GEF to assist 36 non-Annex I Parties in conducting their technology needs assessments and welcomed the financial and technical support that the GEF will provide to 24 non-Annex I Parties for conducting or updating their technology needs assessments.
- 136. The SBI noted the report of the ${\rm GEF^{96}}$ on the findings of its consultations with the CTCN, through its Advisory Board, as requested at SBI 37. 97

and adoption at COP 20, in accordance with rules 10(c) and 16 of the draft rules of procedure being applied (see FCCC/CP/2013/10).

Adopted as document FCCC/SB/2013/L.3.

For the text of the draft decision, see document FCCC/SB/2013/L.3/Add.1.

⁹³ For the text as adopted, see decision 25/CP.19.

Adopted as document FCCC/SBI/2013/L.4.

⁹⁵ FCCC/CP/2013/3, annex, part I, section 1.d; part II, section 4; and annex 6 to the GEF report.

⁹⁶ FCCC/CP/2013/3/Add.1.

⁹⁷ FCCC/SBI/2012/33, paragraph 124.

- 137. The SBI invited the GEF to continue to consult with the CTCN, through its Advisory Board and the United Nations Environment Programme as the host of the Climate Technology Centre, on the support that the GEF will provide for the work of the CTCN and to report on the concrete results of the consultations at SBI 40.
- 138. The SBI reiterated the need to align⁹⁸ the further implementation of the element of the Poznan strategic programme on support for climate technology centres and a climate technology network with the operationalization and activities of the CTCN, taking into account decision 2/CP.17, paragraph 140.

XIV. Capacity-building

(Agenda item 14)

A. Capacity-building under the Convention

(Agenda item 14(a))

1. Proceedings

- 139. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it documents FCCC/SBI/2013/12, FCCC/SBI/2013/2 and Add.1, FCCC/SBI/2013/3, FCCC/SBI/2013/MISC.4 and FCCC/SBI/2012/20.
- 140. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Ms. Amanda Katili Niode (Indonesia).
- 141. At its 3rd meeting, the SBI considered and adopted the conclusions below.⁹⁹

2. Conclusions

- 142. The SBI continued, but did not conclude, its consideration of capacity-building for developing countries under the Convention. It agreed to continue its consideration of the matter at SBI 40, on the basis of the draft decision text contained in the annex to document FCCC/SBI/2013/L.19, with a view to recommending a draft decision for consideration and adoption at COP 20.
- 143. The SBI invited Parties to submit to the secretariat, by 26 February 2014, their views on specific thematic issues relating to capacity-building under the Convention in developing countries, to be considered at the 3rd meeting of the Durban Forum on capacity-building, to be held during SBI 40, as well as their views on the organization of that meeting.

B. Capacity-building under the Kyoto Protocol

(Agenda item 14(b))

1. Proceedings

- 144. The SBI considered this agenda sub-item at its 1st, 3rd and 4th meetings. At its 1st meeting, the SBI agreed to consider this agenda sub-item in informal consultations facilitated by Ms. Niode.
- 145. At its 4th meeting, the SBI considered and adopted the conclusions below. 100

⁹⁸ FCCC/SBI/2012/33, paragraph 123.

⁹⁹ Adopted as document FCCC/SBI/2013/L.19.

Adopted as document FCCC/SBI/2013/L.18/Rev.1.

2. Conclusions

146. The SBI initiated, but did not conclude, its consideration of capacity-building for developing countries under the Kyoto Protocol. It agreed to continue its consideration of the matter at SBI 40, on the basis of the draft decision text contained in the annex to document FCCC/SBI/2013/L.18/Rev.1, with a view to recommending a draft decision for consideration and adoption at CMP 10.

147. The SBI invited Parties to submit to the secretariat, by 26 February 2014, their views on specific thematic issues relating to capacity-building for developing countries under the Kyoto Protocol, to be considered at the 3rd meeting of the Durban Forum on capacity-building, to be held during SBI 40, as well as their views on the organization of that meeting.

XV. Impact of the implementation of response measures

(Agenda item 15)

A. Forum and work programme

(Agenda item 15(a))

1. Proceedings

148. The SBI considered this agenda sub-item at its 1^{st} and 3^{rd} meetings. It had before it documents FCCC/SB/2013/INF.8, FCCC/SB/2013/INF.9, FCCC/SB/2013/INF.10, FCCC/SB/2013/INF.11, FCCC/SB/2013/INF.2, FCCC/SB/2013/INF.3, FCCC/SB/2013/INF.4, FCCC/SB/2013/MISC.2 and FCCC/SB/2013/MISC.4.

149. At its 1st meeting, the SBI agreed to consider this agenda sub-item jointly with agenda sub-item 9(a) of the SBSTA in a joint SBI/SBSTA forum co-chaired by the Chair of the SBI, Mr. Chruszczow, and the Chair of the SBSTA, Mr. Richard Muyungi (United Republic of Tanzania). It also agreed to consider, at this session, this agenda item jointly with agenda sub-items 15(b) and (c).

150. At its 3rd meeting, the SBI considered and adopted the conclusions below. 101

2. Conclusions

151. The SBI and the SBSTA welcomed the documents¹⁰² prepared for the sessions and noted with appreciation the meeting of the forum on the impact of the implementation of response measures, and the presentations and exchanges made during the in-forum workshops on area (b),¹⁰³ area (c),¹⁰⁴ area (d)¹⁰⁵ and area (g),¹⁰⁶ and the in-forum expert

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Adopted as document FCCC/SB/2013/L.4.

FCCC/SB/2013/INF.2, FCCC/SB/2013/INF.3, FCCC/SB/2013/INF.4, FCCC/SB/2013/INF.8, FCCC/SB/2013/INF.9, FCCC/SB/2013/INF.10, FCCC/SB/2013/INF.11, FCCC/SB/2013/MISC.2 and FCCC/SB/2013/MISC.4.

Cooperation on response strategies. All information on the workshop, including the presentations and the workshop report, is available at http://unfccc.int/7799.php>.

Assessment and analysis of impacts of response measures. All information on the workshop, including the presentations and the workshop report, is available at http://unfccc.int/7586.php.

Exchanging experience and discussion of opportunities for economic diversification and transformation. All information on the workshop, including the presentations and the workshop report, is available at http://unfccc.int/items/7587.php>.

Just transition of the workforce, and the creation of decent work and quality jobs. All information on the workshop, including the presentations and the workshop report, is available at http://unfccc.int/7589.php.

meeting on area (e), ¹⁰⁷ of the work programme on the impact of the implementation of response measures (hereinafter referred to as the work programme). ¹⁰⁸

152. The SBI and the SBSTA requested their Chairs, with the support of the secretariat, to prepare the report on the in-forum workshop on area (b) of the work programme before their fortieth sessions.

153. SBI 39 and SBSTA 39 agreed to submit the proposals by Parties contained in the annex to document FCCC/SB/2013/L.4 for consideration at COP 19.¹⁰⁹

B. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

(Agenda item 15(b))

Proceedings

154. The SBI considered this agenda sub-item at its 1st and 3rd meetings. At its 1st meeting, on a proposal by the Chair, the SBI agreed to consider at this session this agenda item jointly with agenda sub-item 15(a) and agenda sub-item 9(b) of the SBSTA. At the same meeting, the SBI also agreed that the SBI Chair, Mr. Chruszczow, would undertake consultations with interested Parties on how to take up this sub-item at the next session.

155. At its 3rd meeting, the SBI agreed to continue, at SBI 40, consultations on how to take up this agenda sub-item.

C. Progress on the implementation of decision 1/CP.10

(Agenda item 15(c))

Proceedings

156. The SBI considered this agenda sub-item at its 1st and 4th meetings. At its 1st meeting, on a proposal by the Chair, the SBI agreed to consider at this session this agenda item jointly with agenda sub-item 15(a). At the same meeting, the SBI also agreed that the SBI Chair, Mr. Chruszczow, would undertake consultations with interested Parties on how to take up this sub-item at the next session.

157. At its 3rd meeting, the SBI agreed to continue, at SBI 40, consultations on how to take up this agenda sub-item.

XVI. The 2013–2015 review

(Agenda item 16)

1. Proceedings

158. The SBI considered this agenda item at its $1^{\rm st}$ and $3^{\rm rd}$ meetings. It had before it documents FCCC/SB/2013/INF.12.

Economic modelling and socioeconomic trends. All information on the expert meeting, including the presentations and the workshop report, is available at http://unfccc.int/7588.php>.

FCCC/SBSTA/2012/2, annex III, and FCCC/SBI/2012/15, annex I.

As no agreement could be reached by the COP, the COP requested the SBI and the SBSTA to continue consideration of this sub-item at their fortieth sessions, in accordance with rules 10(c) and 16 of the draft rules of procedure being applied.

- 159. At its 1st meeting, the SBI agreed to consider this agenda item jointly with agenda item 14 of the SBSTA in a contact group co-chaired by Ms. Gertraud Wollansky (Austria) and Mr. Leon Charles (Vanuatu).
- 160. At its 3rd meeting, the SBI considered and adopted the conclusions below. 110

- 161. The SBI and the SBSTA initiated in 2013 the steps necessary to start the consideration of inputs for the review, in accordance with decisions 2/CP.17 and 1/CP.18. Those steps included the gathering and compilation of information relevant to the review, the establishment of a joint contact group, and the establishment and operationalization of a structured expert dialogue (SED) to support the work of the joint contact group.
- 162. The SBI and the SBSTA welcomed the start of the review and the organization of the first SED on the 2013–2015 review, held on 5 June 2013 in Bonn. They took note of the summary report on the in-session workshop held at the first SED¹¹¹ and the report of the SED of the 2013–2015 review for 2013.¹¹²
- 163. The SBI and the SBSTA also welcomed the second SED, held on 12 and 13 November 2013 in Warsaw, Poland. They noted the value of the SED in enabling Parties to proactively contribute to the dialogue and engage with the scientific and other experts in the SED and looked forward to the report on the second in-session meeting of the SED.
- 164. The SBI and the SBSTA noted with appreciation the contributions made by the representatives of the Intergovernmental Panel on Climate Change, in particular through consideration of the report of Working Group I to the Fifth Assessment Report (AR5), the TEC, the CTCN, the Standing Committee on Finance and the GEF at the second SED, and encouraged them and other organizations to support the future work of the SED through continuing dialogue with Parties.
- 165. The SBI and the SBSTA recognized that the review consists of several phases¹¹³ and noted that the review is still in an early stage and that information gathering and consideration has started.
- 166. The SBI and the SBSTA reiterated the need to conduct the review in an effective and efficient manner in order to avoid duplication of ongoing work with a view to ensuring the scientific integrity of the review, in accordance with the relevant provisions of decisions 1/CP.16, 1/CP.17, 2/CP.17 and 1/CP.18.
- 167. The SBI and the SBSTA requested the co-facilitators of the SED, with support from the secretariat, to organize meetings of the SED in 2014 in conjunction with the fortieth and forty-first sessions of the subsidiary bodies. They also requested the co-facilitators to assess the need for additional meetings.
- 168. The SBI and the SBSTA agreed to continue to consider the AR5 reports as they become available, other inputs as listed in decision 2/CP.17, paragraph 161, questions put forward by Parties to ensure a balanced consideration of these inputs, and the views of Parties on both themes of the 2013–2015 review, in accordance with decision 1/CP.18, paragraph 88.
- 169. The SBI and the SBSTA invited Parties to submit to the secretariat, by 26 March, 2014, their views on the future work of the SED, including the further use of different

¹¹⁰ Adopted as document FCCC/SB/2013/L.1.

See .111 See <a href="http://un

¹¹² FCCC/SB/2013/INF.12.

¹¹³ Decision 2/CP.17, paragraph 164.

sources of information, and requested the secretariat to compile these submissions into a miscellaneous document.

- 170. The SBI and the SBSTA also invited Parties to submit to the secretariat, by 26 March, 2014, their views on how the outcomes of the review will inform the work of the Ad Hoc Working Group on the Durban Platform for Enhanced Action, as requested by decision 1/CP.17, paragraph 6, and requested the secretariat to compile these submissions into a miscellaneous document.
- 171. The SBI and the SBSTA took note of the estimated budgetary implications of the activities to be undertaken by the secretariat as referred to in paragraph 167 above.
- 172. The SBI and the SBSTA requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

XVII. Parties included in Annex I to the Convention whose special circumstances are recognized by the Conference of the Parties

(Agenda item 17)

1. Proceedings

- 173. The SBI considered this agenda item at its 1st and 3rd meetings. It had before it document FCCC/TP/2013/3. At its 1st meeting, the SBI agreed to consider this agenda item in informal consultations facilitated by Ms. Marzena Chodor (Poland). A representative of one Party made a statement.
- 174. At its 3rd meeting, the SBI considered and adopted the conclusions below. 114

2. Conclusions

175. In accordance with decision 1/CP.18, paragraph 96, the SBI initiated, but did not conclude, its consideration of document FCCC/TP/2013/3, identifying opportunities for Annex I Parties whose special circumstances are recognized by the COP to benefit, at least until 2020, from support from relevant bodies established under the Convention and other relevant bodies and institutions to enhance mitigation, adaptation, technology, capacity-building and access to finance.

176. The SBI agreed to continue its consideration of this matter at SBI 40, with a view to recommending a draft decision for consideration and adoption at COP 20.

XVIII. Administrative, financial and institutional matters

(Agenda item 18)

A. Budget performance for the biennium 2012–2013

(Agenda item 18(a))

1. Proceedings

177. The SBI considered this agenda sub-item at its 1st, 3rd and 4th meetings. It had before it documents FCCC/SBI/2013/14, FCCC/SBI/2013/INF.4 and FCCC/SBI/2013/INF.15. Statements were made by representatives of eight Parties, including two speaking on behalf

Adopted as document FCCC/SBI/2013/L.17.

of the Group of 77 and China, one on behalf of AOSIS, one on behalf of the African States and one on behalf of the LDCs.

178. On a proposal by the Chair, at its 4th meeting the SBI recommended a draft decision¹¹⁵ for consideration and adoption at COP 19.¹¹⁶

B. Programme budget for the biennium 2014–2015

(Agenda item 18(b))

1. Proceedings

179. The SBI considered this agenda sub-item at its 1st and 3rd meetings. It had before it document FCCC/SBI/2013/6 and Corr.1 and Add.1–3. Statements were made by representatives of 13 Parties, including one speaking on behalf of the European Union and its 28 member States, one on behalf of the Group of 77 and China, one on behalf of the LDCs and one on behalf of AOSIS. The Executive Secretary also made a statement.

180. At its 1st meeting, the SBI agreed to consider this agenda sub-item in a contact group chaired by Mr. Van Lierop. It also agreed that the contact group would establish a spin off group to be facilitated by Mr. Karsten Karschunke (Germany).

181. At its 3rd meeting, the SBI considered and adopted the conclusions below. 117

2. Conclusions

182. The SBI initiated, but did not conclude, its consideration on the programme budget for the biennium 2014–2015. In the course of its consideration of this matter, the SBI contact group on the programme budget for the biennium 2014–2015 considered many proposals. A proposal of the chair of the contact group is contained in the annex to document FCCC/SBI/2013/L.22.

183. The SBI agreed to invite COP 19 and CMP 9 to further consider this matter. 118

C. Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

(Agenda item 18(c))

Proceedings

184. The SBI considered this agenda sub-item at its 1st meeting. On a proposal by the Chair, the consideration of this sub-item was deferred to SBI 40.

XIX. Other matters

(Agenda item 19)

1. Proceedings

185. The SBI considered this agenda item at its 1st and 3rd meetings. It had before it document FCCC/SBI/2013/13. Statements were made by representatives of 10 Parties, including one speaking on behalf of the Group of 77 and China.

 $^{^{115}\,}$ For the text of the draft decision, see document FCCC/SBI/2013/L.20.

¹¹⁶ For the text as adopted, see decision 26/CP.19.

Adopted as document FCCC/SBI/2013/L.22.

The COP and the CMP adopted decisions 27/CP.19 and 10/CMP.9, respectively.

- 186. At its 1st meeting, after a Party has made an official request for consultations on the summary report on the Dialogue on Article 6 of the Convention, the SBI agreed that the Chair would draft conclusions, with the assistance of the secretariat and in consultation with interested Parties.
- 187. At its 1st meeting, the SBI acknowledged that the COP had referred agenda item 15, "Gender and climate change", to the SBI for consideration. The SBI agreed that Ms. Portillo and Mr. Georg Borsting (Norway) would undertake informal consultations on this matter.
- 188. At its 1st meeting, the SBI also acknowledged that the CMP had referred agenda item 9, "Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period", to the SBI for consideration. The SBI agreed that Ms. Thelma Krug (Brazil) and Mr. Gerhard Loibl (Austria) would undertake informal consultations on this matter.
- 189. At its 3rd meeting, the SBI considered and adopted the conclusions below.

2. Conclusions

Summary report on the Dialogue on Article 6 of the Convention¹¹⁹

- 190. The SBI welcomed the progress made in the implementation of Article 6 of the Convention through the adoption of the Doha work programme and the establishment of the Dialogue on Article 6 of the Convention. 120
- 191. The SBI took note with appreciation of the summary report on the first Dialogue on Article 6 of the Convention prepared by the secretariat. 121
- 192. The SBI acknowledged the success of the first Dialogue on Article 6 of the Convention and thanked Parties and other stakeholders for their active participation and the sharing of experiences and exchange of ideas, good practices and lessons learned regarding climate change education and training.
- 193. The SBI recalled decision 15/CP.18, which reaffirms the importance of taking into account gender aspects and the need to promote the effective engagement of children, youth, the elderly, women, persons with disabilities, indigenous peoples, local communities and non-governmental organizations in activities related to Article 6 of the Convention.
- 194. The SBI invited members of the United Nations Alliance on Climate Change Education, Training and Public Awareness and other intergovernmental and non-governmental organizations to enhance their efforts to support Parties and other stakeholders in implementing the Doha work programme on Article 6 of the Convention in their respective areas of competence.
- 195. The SBI recognized the importance of Article 6 of the Convention in engaging stakeholders in the development and implementation of policies relating to climate change.
- 196. The SBI concluded that the second Dialogue on Article 6 of the Convention will be convened during SBI 40.
- 197. The SBI encouraged the broad participation of stakeholders in the second Dialogue.
- 198. The SBI concluded that it will consider the issue of subsequent meetings of the Dialogue on Article 6 of the Convention at SBI 40 with a view to agreeing on this matter.

¹¹⁹ Conclusions adopted as document FCCC/SBI/2013/L.21.

¹²⁰ Decision 15/CP.18.

¹²¹ FCCC/SBI/2013/13.

- 199. The SBI recalled decision 15/CP.18, annex, paragraph 31, which requests all Parties to report on activities and policies implementing Article 6 in their national communications, where possible, and in other reports, on their accomplishments, lessons learned, experiences gained and remaining challenges and opportunities, noting that the six elements of Article 6 provide a useful guide for this reporting.
- 200. The SBI requested the secretariat to:
- (a) Make available summary reports of the Dialogue on Article 6 of the Convention;
- (b) Prepare a report on good practices of stakeholder participation in implementing Article 6 of the Convention, referred to in decision 15/CP.18, annex, paragraph 35(a), and make it available for consideration at SBI 40. The document will be further used as a background document for the second Dialogue on Article 6 of the Convention;
- (c) Continue facilitating the work of the United Nations Alliance on Climate Change Education, Training and Public Awareness and coordinating with other stakeholders to catalyse a programmatic response to the implementation of the Doha work programme on Article 6 of the Convention.
- 201. The SBI requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

Gender and climate change 122

- 202. The SBI, recalling decision 23/CP.18, noted with appreciation the submissions made by Parties and admitted observer organizations on options and ways to advance the gender balance goal¹²³ and welcomed the information provided at the in-session workshop on gender, climate change and the UNFCCC, held on 12 November 2013 in Warsaw, Poland.
- 203. The SBI welcomed the report¹²⁴ by the secretariat on gender composition and noted with concern that the majority of bodies under the Convention and its Kyoto Protocol have memberships where less than 30 per cent are women, with lows of 11–13 per cent in some cases. It also welcomed the continued work by the secretariat on gathering, maintaining and reporting this information on an annual basis.
- 204. The SBI noted with appreciation efforts by United Nations organizations and multilateral, intergovernmental and other international and regional organizations, including the women and gender constituency, in relation to gender balance in the UNFCCC process and gender-sensitive climate policy.
- 205. The SBI also noted with appreciation efforts by Parties and the secretariat to:
- (a) Ensure greater gender balance at workshops and training sessions by increasing the participation of women;
- (b) Promote the inclusion of women in decision-making and expert bodies under the Convention and its Kyoto Protocol;
 - (c) Ensure that more women are selected for leadership positions.
- 206. The SBI welcomed the United Nations System-wide Action Plan on Gender Equality and the Empowerment of Women, under the leadership of the United Nations Entity for Gender Equality and the Empowerment of Women, as an accountability

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¹²² Conclusions adopted as document FCCC/SBI/2013/L.16.

¹²³ FCCC/CP/2013/MISC.2 and Add.1.

¹²⁴ FCCC/CP/2013/4.

framework for the United Nations system in mainstreaming gender equality in their work and operations, which includes benchmarks relating to enhancing the gender expertise of staff of United Nations partner entities, including the UNFCCC secretariat.

- 207. The SBI agreed to consider ways that gender balance, gender-sensitive climate policy and the effective participation of women in the work of bodies under the Convention and its Kyoto Protocol could be strengthened.
- 208. The SBI also agreed to continue to work under this agenda item at SBI 41 to further facilitate the ongoing implementation of decision 23/CP.18, drawing on the inputs and discussions referred to in paragraph 202 above and the annex to document FCCC/SBI/2013/L.16.
- 209. The SBI invited other United Nations organizations and multilateral, intergovernmental and other international and regional organizations to complement the efforts of Parties under the Convention in implementing decision 23/CP.18 through innovative ways to finance and further encourage the participation of women delegates in the UNFCCC process, and in strengthening the gender considerations of any current work relating to the thematic areas of gender and climate adaptation, mitigation, finance, technology and capacity-building.
- 210. The SBI encouraged Parties that are eligible for funding from the Trust Fund for Participation in the UNFCCC Process to nominate delegates of both genders for such funding in order to promote gender balance in national delegations.
- 211. The SBI urged Parties to increase their efforts to implement decision 23/CP.18 with the aim of a gradual but significant increase in the participation of women.
- 212. The SBI encouraged United Nations organizations and multilateral, intergovernmental and other international and regional organizations to further develop additional tools, knowledge, research and strategies for implementing gender-sensitive and responsive climate policy at the international, regional and country-driven approach at the national level.
- 213. The SBI also encouraged the secretariat and other United Nations organizations and multilateral, intergovernmental and other international and regional organizations, when conducting capacity-building activities, to consider gender balance and encourage the participation of female delegates in the UNFCCC process.

Date of the completion of the expert review process under Article 8 of the Kyoto Protocol for the first commitment period ¹²⁵

214. The SBI agreed to continue its consideration of this item at SBI 40 with a view to preparing a draft decision for consideration and adoption at CMP 10, taking into account the draft text contained in the annex to document FCCC/SBI/2013/L.13.

XX. Report on the session

(Agenda item 20)

215. At its 4th meeting, on 18 November, the SBI considered the draft report on its thirty-ninth session (FCCC/SBI/2013/L.1). At the same meeting, on a proposal by the Chair, the SBI authorized the Rapporteur to complete the report on the session, with the assistance of the secretariat and under the guidance of the Chair.

¹²⁵ Conclusions adopted as document FCCC/SBI/2013/L.13.

XXI. Closure of the session

- 216. At the 4th meeting, a representative of the secretariat provided a preliminary estimate of the administrative and budgetary implications of conclusions adopted during the session. This follows decision 16/CP.9, paragraph 20, which requests the Executive Secretary to provide an indication of the administrative and budgetary implications of decisions¹²⁶ if these cannot be met from existing resources within the core budget.
- 217. A representative of the secretariat informed Parties that a number of activities coming out of the negotiations at this session called for more support by the secretariat and, therefore, required additional resources in the coming years over and above the core budget for 2014–2015 that was currently under consideration. These include the following:
- (a) Under agenda sub-item 4(c), "Provision of financial and technical support", the secretariat has been requested to organize two regional non-Annex I workshops on the upgraded version of the greenhouse gas inventory software. The estimated costs in 2014 amount to EUR 500,000;
- (b) Under agenda item 9, "Matters relating to the least developed countries", the secretariat has been requested to support this item with outreach activities and regional workshops. The cost of these activities is estimated at about EUR 530,000 in 2014 and EUR 450,000 in 2015;
- (c) Under agenda item 16, "The 2013–2015 review", the secretariat has been requested to support meetings of the SED in 2014. This support is estimated at EUR 150,000.
- 218. Overall, additional funds in the amount of EUR 1,630,000 will need to be provided to cover these activities in 2014–2015. All of these amounts are preliminary and are based on the information available at the time of the closing plenary of the SBI. Additional requests for outputs to be delivered by the secretariat in 2014, including those requiring further funding, are contained in decisions adopted at COP 19 and CMP 9 pursuant to recommendations made by the SBI.
- 219. The Chair noted that in the absence of adequate additional funding, the secretariat may not be in a position to undertake the requested activities.
- 220. At the 3rd meeting, closing statements were made by representatives of four Parties, including one speaking on behalf of the Group of 77 and China, one on behalf of the Umbrella Group, one on behalf of AOSIS and one on behalf of the LDCs.
- 221. At the 4th meeting, statements were made by representatives of four Parties, including one speaking on behalf of the Group of 77 and China and one on behalf of Australia, Canada, New Zealand, Japan and United States of America. Statements were also made by representatives of youth non-governmental organizations, indigenous peoples organizations, women and gender non-governmental organizations and environmental non-governmental organizations.
- 222. The Chair thanked Parties for their support during the two years of his term and closed the session.

While decision 16/CP.9 refers to "decisions", it also has implications for conclusions of the subsidiary bodies

This statement was concerning agenda sub-item 13(a) and it was requested that it be recorded in the SBI report. The statement is available at http://unfccc.int/7982.php.