



附属履行机构

第三十七届会议

2012 年 11 月 26 日至 12 月 1 日，多哈

临时议程项目 20(b)

行政、财务和体制事项

2012-2013 两年期预算执行情况

2012-2013 两年期截至 2012 年 6 月 30 日的预算执行情况

执行秘书的说明*

概要

本文件介绍秘书处管理的各信托基金在 2012-2013 两年期头 6 个月的预算执行情况，旨在向缔约方通报截至 2012 年 6 月 30 日的收入、支出和成果。截至 2012 年 6 月 30 日，秘书处已收到 1,490 万欧元，占 2012 年核心预算指示性缴款预计总额的 65.6%。参与《气候公约》进程信托基金收到自愿捐款 30 万美元，补充活动信托基金收到自愿捐款 370 万美元。2012 年的核心预算已支出约 46.8%。此外，秘书处支出了 690 万美元用于补充活动信托基金下的各种项目，从参与《气候公约》进程信托基金中支出了 170 万美元用于支付符合条件的缔约方参加届会的费用。本报告还载有清洁发展机制信托基金、国际交易日志信托基金、德国政府特别年度捐款信托基金和方案支助费用状况的信息。本文件还提供有关人力资源的信息，并载有一份关于方案执行情况的详细报告。

* 本文件迟交是因为需要进行内部磋商。

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一. 导言

A. 任务

1. 《公约》缔约方会议(缔约方会议)和作为《京都议定书》缔约方会议的《公约》缔约方会议(《议定书》/《公约》缔约方会议)分别在第 18/CP.17 号决定和第 17/CMP.7 决定中核可了 2012-2013 两年期方案预算。缔约方会议还请执行秘书向缔约方会议第十八届会议报告收入和预算执行情况，并提出可能需要对 2012-2013 两年期方案预算作出的任何调整。

B. 本说明的范围

2. 本文件报告秘书处管理的各信托基金截至 2012 年 6 月 30 日的收入和预算执行情况。本文件应与 FCCC/SBI/2011/2/Add.1 号文件和 FCCC/SBI/2012/INF.12 号文件一并阅读，前者载有秘书处 2012-2013 两年期工作方案，后者提供了截至 2012 年 11 月 15 日的缴款情况。本文件还提供了有关人力资源和方案执行情况的资料。

C. 附属履行机构可采取的行动

3. 附属履行机构(履行机构)不妨注意本文件提供的资料，并就可能需要列入行政和财务事项决定草案中的行动作出决定，以建议缔约方会议第十八届会议和《议定书》/《公约》缔约方会议第八届会议通过该决定草案。

二. 收入和支出报告

A. 《气候公约》核心预算信托基金

4. 缔约方会议第 18/CP.17 号决定和《议定书》/《公约》缔约方会议第 17/CMP.7 号决定核可的 2012-2013 两年期预算总额为 4,850 万欧元(见表 1)。

表1
核定的 2012-2013 两年期核心方案预算和收入来源

	2012 (EUR)	2013 (EUR)	Total (EUR)
Programme expenditures	21 507 201	21 258 557	42 765 758
Programme support costs	2 795 936	2 763 612	5 559 548
Adjustment to the working capital reserve	185 875	0	185 875
Total approved programme budget	24 489 012	24 022 169	48 511 181
Indicative contributions	22 722 074	22 255 231	44 977 305
Unspent balance or contributions from previous financial periods (carry-over)	1 000 000	1 000 000	2 000 000
Contribution from the Host Government	766 938	766 938	1 533 876
Total income	24 489 012	24 022 169	48 511 181

5. 表 2 显示《气候公约》核心预算信托基金在两年期头 6 个月的实际收入，为 2,570 万欧元。这一收入主要包括缔约方 2012 年的指示性缴款 1,490 万欧元、上一财务周期的资金余额(即 2010-2011 两年期的结转额)970 万欧元，以及东道国政府的自愿捐款 77 万欧元。

表2
截至 2012 年 6 月 30 日的核心预算收入

	2012 (EUR)
Carry-over from 2010–2011 ^a	9 677 400
Indicative contributions for 2012 to the Convention	9 465 543
Indicative contributions for 2012 to the Kyoto Protocol	5 438 921
Voluntary contribution from the Host Government	766 938
Contributions for future years received in advance ^a	14 266
Interest, miscellaneous income and prior period savings ^a	295 243
Total income^b	25 658 311

^a Where applicable, the exchange rate used (USD 1 = EUR 0.804) is the official United Nations exchange rate for 30 June 2012.

^b Working capital reserve amounts to EUR 2,017,160.

6. 截至 2012 年 6 月 30 日，《公约》195 个缔约方中有 114 个缔约方尚未支付 2012 年缴款，《京都议定书》192 个缔约方中有 120 个缔约方尚未支付 2012 年缴款。

7. 表 3 按方案显示核定的 2012 年核心预算，以及两年期头 6 个月的支出状况。截至 2012 年 6 月 30 日，支出额为 1,010 万欧元，占 2012 年核定预算数额的 46.8%。

表3
各方案核定的 2012 年核心预算及截至 2012 年 6 月 30 日的支出情况

	Budget (EUR)	Expenditure (EUR)	Expenditure as a percentage
<i>A. Programme</i>			
Executive Direction and Management	2 185 987	1 099 978	50.3
Mitigation, Data and Analysis	5 144 506	2 141 900	41.6
Finance, Technology and Capacity-building	2 867 510	982 305	34.3
Adaptation	1 894 567	703 246	37.1
Sustainable Development Mechanisms	560 159	236 404	42.2
Legal Affairs	1 355 314	581 881	42.9
Conference Affairs Services	1 643 216	932 590	56.8
Communication and Knowledge Management Services	1 707 432	913 133	53.5

	Budget (EUR)	Expenditure (EUR)	Expenditure as a percentage
Information Technology Services	3 122 445	1 499 745	48.0
B. Secretariat-wide operating costs ^a	1 691 236	966 177	57.1
Subtotal (A + B)	22 172 372	10 057 359	45.4
Less: extraordinary efficiency dividend	665 171		
Total^b	21 507 201	10 057 359	46.8

^a Secretariat-wide operating costs are managed by the Administrative Services programme.

^b Excludes programme support costs and adjustment to the working capital reserve.

8. 表 4 按照支出用途显示 2012 年的核定核心预算及截至 2012 年 6 月底的支出情况。“工作人员费用”(“Staff-costs”)包括工资和一般人事费、短期工作人员薪金、临时助理费用以及加班费。外部专家(包括个人和机构)列在“顾问”(“Consultants”)项下。工作人员公务差旅费与专家参加研讨会和非正式磋商的差旅费分开列出。对物品和服务供应商的付款以及电信费等日常费用合并列于“一般业务开支”(“General operating expenses”)项下。“赠款和捐款”(“Grants and contributions”)包括支付驻德国波恩的联合国共同服务股(它向秘书处提供后勤和行政服务)的房舍管理费和对政府间气候变化专门委员会(气专委)的年度捐款。

表4

按支出用途分列的 2012 年核定核心预算及截至 2012 年 6 月 30 日的支出情况

Object of expenditure	Budget (EUR)	Expenditure (EUR)	Expenditure as a percentage
Staff costs	16 547 976	7 421 652	44.8
Consultants	746 884	345 186	46.2
Expert groups	1 095 675	329 814	30.1
Travel of staff	739 597	312 958	42.3
General operating expenses	2 212 968	1 208 253	54.6
Grants and contributions	829 272	439 496	53.0
Subtotal	22 172 372	10 057 359	45.4
Less: extraordinary efficiency dividend	665 171		
Total^a	21 507 201	10 057 359	46.8

^a Excludes programme support costs and adjustment to the working capital reserve.

9. 截至 2012 年 6 月 30 日, 一些支出用途仍处于 50%的理想执行率之下。例如, 专家小组的支出较低, 这是因为, 履约委员会的一些会议, 以及由缓解、数据和分析(MDA)方案协调的所有集中审评都计划于 2012 年下半年进行。工作人员费用支出预计在后 6 个月中有所增加, 反映出 2011-2012 学年教育津贴结算的情况。一些支出用途的执行率高于 50%, 说明已承诺支付某些服务费, 如语言课程、通信费和邮费等等, 这些服务费每年仅结算 1 至 2 次。

B. 参与《气候公约》进程信托基金

10. 参与《气候公约》进程信托基金利用自愿捐款支持符合条件的发展中国家缔约方和经济转型缔约方代表参加缔约方会议及其附属履行机构的会议。根据联合国贸易和发展会议公布的统计资料，2007 年人均国内生产总值未超过 7,500 美元的缔约方有资格获得资助。对于小岛屿发展中国家，该上限提高至 14,000 美元。

11. 表 5 显示参与《气候公约》进程信托基金截至 2012 年 6 月 30 日的收入和支出情况。该基金在这一期间的收入总额为 560 万美元，主要包括 2010-2011 两年期的结转额 510 万美元和秘书处收到的自愿缴款 30 万美元。

12. 2012-2013 两年期头 6 个月的支出为 170 万美元，包括符合条件的缔约方参加 2012 年 5 月会议的费用，收入超出支出的余额为 390 万美元。该余额，加上对信托基金的任何新的自愿捐款，将用于资助符合条件的缔约方代表参加 2012 年 8 月 30 日至 9 月 5 日在泰国曼谷举行的特设工作组会议，以及用于资助符合条件的缔约方代表参加本年度在卡塔尔多哈举行的第十八届缔约方会议和第八届《议定书》/《公约》缔约方会议。

表5
参与《气候公约》进程信托基金截至 2012 年 6 月 30 日的状况
(美元)

<i>Income</i>	
Carry-over from 2010–2011	5 121 373
Voluntary contributions received in 2012	311 951
Interest	20 549
Miscellaneous income	110 936
Total income	5 564 809
<i>Expenditure</i>	
Travel of 199 participants to the thirty-sixth sessions of the SBI and the SBSTA, AWG-KP 17, AWG-LCA 15 and ADP 1	1 432 589
Other travel-related costs	35 536
Programme support costs	190 856
Total expenditure	1 658 981
Balance^a	3 905 828

Abbreviations: AWG-KP = Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol, AWG-LCA = Ad Hoc Working Group on Long-term Cooperative Action under the Convention, ADP = Ad Hoc Working Group on the Durban Platform for Enhanced Action, SBI = Subsidiary Body for Implementation, SBSTA = Subsidiary Body for Scientific and Technological Advice.

^a Excludes the operating reserve of USD 672 648.

C. 补充活动信托基金

13. 补充活动信托基金通过缔约方的自愿捐款，继续为许多规定的核心活动提供资金，使秘书处能够更有效地执行本两年期工作方案，包括支持特设工作组的谈判。

14. 表 6 显示补充活动信托基金截至 2012 年 6 月 30 日的收入和支出情况。

15. 报告所述期间收到的自愿捐款为 370 万美元。补充活动信托基金的其他收入来源包括 2010-2011 两年期的结转资金余额 2,650 万美元、联合执行(JI)收费、利息收入和杂项收入，截至 2012 年 6 月 30 日，总计 3,300 万美元。

16. 截至 2012 年 6 月 30 日，补充活动信托基金的支出为 690 万美元。尚未支出的余额 2,610 万美元，加上今后收到的自愿捐款，将用于资助本两年期剩余时间正在进行的活动。

表 6
补充活动信托基金截至 2012 年 6 月 30 日的状况
(美元)

<i>Income</i>	
Carry-over from 2010–2011	26 534 977
Voluntary contributions received in 2012	3 709 322
Joint implementation fees	1 942 886
Interest	111 552
Miscellaneous income	721 967
Total income	33 020 704
<i>Expenditure</i>	
Expenditure	6 117 434
Programme support costs	795 137
Total expenditure	6 912 571
Balance^a	26 108 133

^a Excludes the operating reserve of USD 2,500,000.

D. 清洁发展机制信托基金

17. 表 7 说明清洁发展机制信托基金截至 2012 年 6 月 30 日的收入和支出情况。2012-2013 两年期的收入为 1 亿 5,360 万美元，主要包括前一个两年期结转的资金 1 亿 1,920 万美元和清洁发展机制(CDM)收费 3,320 万美元。

18. 截至 2012 年 6 月 30 日的支出为 2,230 万美元，未支出余额为 1 亿 3,120 万美元，如扣除 4,500 万美元业务准备金，则未支出余额为 8,620 万美元。

表 7
清洁发展机制信托基金截至 2012 年 6 月 30 日的状况
(美元)

<i>Income</i>	
Carry-over from 2010–2011	119 203 135
Clean development mechanism fees	33 232 945
Interest	520 422
Miscellaneous income	607 671
Total income	153 564 173
<i>Expenditure</i>	
Expenditure	19 766 571
Programme support costs	2 569 673
Total expenditure	22 336 244
Balance^a	131 227 929

^a Excludes the operating reserve of USD 45 million.

E. 国际交易日志信托基金

19. 表 8 显示国际交易日志信托基金截至 2012 年 6 月 30 日的收入状况。2012 年的收入为 640 万欧元，主要包括上一个两年期结转的资金 400 万欧元和收费 230 万欧元(占本年度收费的 80.5%)。

表 8
国际交易日志信托基金截至 2012 年 6 月 30 日的收入状况
(欧元)

<i>Income</i>	
Carry-over from 2010–2011 ^a	4 038 951
International transaction log fees for 2012	2 321 614
Interest and miscellaneous income ^a	54 578
Total income^b	6 415 143

^a Where applicable, the exchange rate used (USD 1 = EUR 0.804) is the official United Nations exchange rate for 30 June 2012.

^b Operating reserve amounts to EUR 239,680.

20. 表 9 按支出用途显示国际交易日志信托基金 2012 年的核定预算及截至 2012 年 6 月 30 日的支出情况。关于国际交易日志(ITL)预算执行情况的详细信息，请参阅《京都议定书》之下的国际交易日志管理人的年度报告(FCCC/KP/CMP/2012/8)。

表 9
按支出用途分列的国际交易日志信托基金 2012 年核定预算及截至
2012 年 6 月 30 日的支出情况

<i>Object of expenditure</i>	<i>Budget (EUR)</i>	<i>Expenditure (EUR)</i>	<i>Expenditure as a percentage</i>
Staff costs	734 784	291 855	39.7
Contractors and consultants	1 647 864	1 261 008	76.5
Expert groups	17 748	—	—
Travel of staff	17 508	1 422	8.1
General operating expenses and contributions to common services	137 592	61 367	44.6
Total^a	2 555 496	1 615 652	63.2

^a Excludes programme support costs and adjustment to the working capital reserve.

F. 德国政府特别年度捐款信托基金(波恩基金)

21. 作为有关将《气候公约》秘书处设在波恩的协议内容的一部分，德国承诺每年向秘书处支付 180 万欧元特别捐款。截至 2012 年 6 月 30 日，已全额收到 2012 年的捐款。德国政府特别年度捐款信托基金(波恩基金)收到的捐款用于支付报告所述期间在波恩举行会议的后勤安排的费用。

22. 表 10 显示波恩基金截至 2012 年 6 月 30 日的收入和支出情况。在减去支出总额 170 万欧元和业务准备金 241,200 欧元后，基金的未支出余额为 40,848 欧元；打算在 2012 年底之前将其全部支出。

表 10
波恩基金截至 2012 年 6 月 30 日的状况
(欧元)

<i>Income</i>	
Carry-over from 2010–2011 ^a	221 130
Contributions	1 789 522
Interest income and prior period income	4 701
Total income	2 015 353
<i>Expenditure</i>	
Conference support	1,108,221
Conference information support	232,360
Share of costs for common services	9,018
Reimbursable value added tax	184,299
Programme support costs	199,407
Total expenditure	1 733 305
Balance^b	282 048

^a Where applicable, the exchange rate used (USD 1 = EUR 0.804) is the official United Nations exchange rate for 30 June 2012.

^b Excludes the operating reserve of EUR 241,200.

G. 方案支助费用

23. 按照联合国的财务条例,《气候公约》的所有信托基金需支付 13%的一般管理费用,以涵盖行政管理服务费用。这些服务大多由秘书处内部的“行政服务”(AS)方案提供。审计、薪资、投资、财政等中央服务以及与司法行政相关的服务由联合国有偿提供。

24. 表 11 显示方案支助费用在 2012 年头 6 个月的情况。截至 2012 年 6 月 30 日,收入为 1,890 万美元,包括从上一个两年期结转的资金 1,330 万美元、方案支助费用收入 550 万美元,以及利息和杂项收入 68,700 美元。

25. 同一时期将 500 万美元用于支付工作人员和非工作人员费用。联合国日内瓦办事处在头 6 个月期间所提供的主要费用尚未支付。在减去业务准备金 550 万美元后,方案支助费用特别账户未支出余额为 840 万美元。

表 11
方案支助费用特别账户截至 2012 年 6 月 30 日的状况
(美元)

<i>Income</i>	
Carry-over from 2010–2011	13 317 393
Programme support costs income from trust funds	5 504 085
Interest and miscellaneous income	68 700
Total income	18 890 178
<i>Expenditure</i>	
Secretariat staff costs	3 665 095
Secretariat non-staff costs	1 310 887
Services rendered by the United Nations	6 081
Total expenditure	4 982 063
Balance^a	13 908 115

^a Excludes the operating reserve of USD 5,478,934.

三. 方案执行

26. 方案执行所依据的是 2012-2013 两年期工作方案以及在提出工作方案后给予秘书处的授权。因此,本节应与概述该工作方案的 FCCC/SBI/2011/2/Add.1 号文件一并阅读。

27. 以下章节概述各方案的责任,说明是否正在实现两年期工作方案的预期成果,并概述对秘书处工作成果作出贡献的活动。

A. 行政领导和管理

28. “行政领导和管理”方案(EDM)的总体目标是领导秘书处对《公约》和《京都议定书》各机构和程序的各种需要作出回应，并确保秘书处工作的总体连贯性。执行秘书和副执行秘书为秘书处提供战略指导，并作为组织的代表对外交往。“行政领导和管理”方案为缔约方会议和《议定书》/《公约》缔约方会议以及缔约方会议主席和缔约方会议主席团提供直接支持。方案协调秘书处为《公约》之下的长期合作行动问题特设工作组(长期合作行动问题特设工作组)、附件一缔约方在《京都议定书》之下的进一步承诺问题特设工作组(进一步承诺问题特设工作组)和德班加强行动平台问题特设工作组(ADP)提供的支持以及相关活动。执行秘书与缔约方密切合作，协调与其他组织，尤其是与联合国系统内其他组织之间的合作，并与全球范围内的利害关系方开展外联工作，鼓励他们为实现《公约》目标和执行《公约》提供支持。

29. 执行秘书于 2012 年上半年继续上一个两年期启动的工作，即将一些重要的变革举措合并为一个战略框架，秘书处通过该框架不断改进业务，成为一个业绩优秀的组织。该框架的建设围绕三大支柱——“人员”、“程序”和“影响”，包括中期与长期的具体措施与目标。

30. 就“影响”这一支柱而言，秘书处尽可能努力预测缔约方和气候变化进程不断发展的需要，并对这些需要作出回应。2012 年初在这方面启动了一项重要工作，高级管理团队(MT)对第十七届缔约方会议和第七届《议定书》/《公约》缔约方会议的成果进行了全面评估，就“德班成果”对秘书处的影响问题启动了战略对话，工作随后在以下三个主要方面取得了进展：开展了一项全面的规划工作，旨在为推进该制度优化资源的部署；内部的政府间规划委员会开展工作，应对“德班成果”在程序管理方面带来的挑战；一个高级别执行协调委员会开展工作，从总体上把握秘书处执行“德班成果”的努力的战略方向。秘书处对各特设工作组，包括对新设立的德班加强行动平台问题特设工作组的有效支持是一项重要因素。执行秘书开展了大量筹集资金的活动，确保为工作组 2012 年 8 月和 9 月在曼谷举行的额外非正式会议提供适当资源。

31. 除了为缔约方参与《气候公约》谈判进程提供直接支持以外，方案继续加强其在秘书处内部的协调作用，促进与联合国秘书处、专门机构和国际组织之间的合作，以支持《气候公约》进程和执行缔约方会议及《议定书》/《公约》缔约方会议的决定。为此，秘书处推出了一个简化与政府间组织合作的长期进程，以确保其进一步努力以支持缔约方执行《公约》及其《京都议定书》的行动为重点。此外，执行秘书还延长了一名联络事务高级干事的任期，该干事与纽约的秘书长办公室合作，确保更加密切地协调和持续有效的合作。执行秘书还参加了一些关键活动，包括联合国可持续发展问题会议(里约+20)，确保通过有效的外联工作促进利害关系方参与以及为全球的气候相关行动提供支持。

32. 与广大的利害关系方取得联系以及建立新的伙伴关系，包括与相关联合国系统实体、国际组织、基金和私营部门实体建立伙伴关系，是执行秘书和“行政领导和管理”方案的另一优先事项。秘书处已就“关注气候倡议”，¹与联合国环境规划署和联合国全球契约办公室建立了正式伙伴关系，还就“驱动变革，全球行动”倡议²与城市相关的方面，与比尔和梅林达·盖茨基金会建立了正式伙伴关系。目前正在探讨与其他利害关系方建立正式伙伴关系的事项，将在今后对这些事项进行报告。

33. 鉴于缔约方需要有关气候变化进程的全面资料，执行秘书确保及时发布缔约方会议、《议定书》/《公约》缔约方会议、附属机构和特设工作组会议的报告，并汇编及在《气候公约》的网站上发布缔约方提供的资料，包括缔约方为推进特设工作组的工作提交的材料。秘书处还就执行《公约》及其《京都议定书》加强了传播力度，在《气候公约》的网站上设立了一个新的栏目——“执行最新进展”。

34. 就秘书处的内部程序而言，战略框架的目的在于提高业务的效率、质量和环境可持续性。在这方面，“行政领导和管理”方案加强了管理团队的战略工作重点，尤其侧重内部政策和程序，协调各种努力，以实现效率收益，促进创新，以及协调整个秘书处的若干变革活动。就管理团队的工作而言，执行秘书制定了一项财务、人力资源、信息技术(IT)、战略传播和道德操守分委员会制度，目的是在管理团队层面进行更有效的决策。在效率措施方面，管理团队核准了一项能够使秘书处实现更高效率和持续改进的计划。选择的方法包括一些基于事实的工具和一项基于 Lean/Six Sigma 的便利化程序，旨在通过减少浪费和改进业务实现价值最大化。已开展了有关改进《气候公约》录用程序和简化清洁发展机制登记和收费程序的两个试点项目，正在实施经批准的解决办法。为了在 2012 年底之前充分建设内部能力，一名顾问正在为秘书处的工作人员提供培训、指导和训练，使他们能够进行程序审查，并就改进措施带来的收益进行报告。

35. 最后，执行秘书继续努力创造一个健康的工作环境，以激励、留住和吸引工作表现优秀的工作人员，这也是秘书处为成为一个业绩优秀的组织，在所制定框架中“人员”支柱之下的一项关键目标。在这方面，报告所述期间在加强秘书处的管理和人力资源框架方面加大了努力的力度。采取这些举措的成果包括：60%的高级管理人员完成了一项“领导才能发展方案”；40%的中层管理人员参加了管理发展方案；在所有的领导人员中，70%参加了领导技能培训。这类工作具有持续性，旨在为所有管理人员和领导人员提供相关的培训。此外还规定了有关道德操守的强制性培训，100%的工作人员已完成该培训。除此以外还制定了一项新的学习战略，作为 2012-2014 年人力资源综合战略框架的一部分，该战略旨在提高秘书处工作人员的关键能力和组合技能。该框架包括一些具体措施，以

¹ http://www.unglobalcompact.org/issues/environment/climate_change/。

² http://unfccc.int/secretariat/momentum_for_change/items/6214.php。

实现专业和更高级别岗位的两性平等、促进所有层面的业绩管理和问责制，以及维持适当的工作人员地理分布状态。“行政领导和管理”方案开展的另一些举措侧重于创造一个更为健康的工作环境。

B. 缓解、数据和分析

36. “缓解、数据和分析”方案(MDA)支持与缓解相关的政府间进程，包括发达国家缔约方与缓解相关的活动，发展中国家缔约方适合本国的缓解行动(NAMAs)，减少发展中国家毁林和森林退化所致排放量(REDD)，部门方针和海运舱燃料，以及应对措施，并为审议所有缔约方通过国家信息通报、温室气体(GHG)清单和其他相关材料提供的信息和数据提供便利。

37. 在报告所述期间，方案继续支持《巴厘岛行动计划》和《坎昆协议》中与促进缓解行动相关的各项要点，包括为《公约》附件一缔约方(附件一缔约方)和非《公约》附件一缔约方(非附件一缔约方)的信息通报和资料审议提供支持。

38. 方案为附件一缔约方的信息通报和资料审议提供的支持包括以下活动：

- (a) 协调第五次国家信息通报的专家审评工作；
- (b) 促进专家培训，使附件一和非附件一缔约方都有足够经过良好培训和有准备的专家成功参与《公约》及其《京都议定书》之下的审评活动；³
- (c) 就 2011 年附件一缔约方清单报告和审评周期期间进行的附件一缔约方温室气体清单技术审评发布 25 份报告；
- (d) 为履约委员会的两次会议提供技术支持，会议上审议了清单审评报告中的资料；
- (e) 作为 2012 年附件一缔约方清单报告与审评周期工作的一部分，接收和处理所有 43 个附件一缔约方提交的年度温室气体清单材料，包括同时也是《京都议定书》缔约方的附件一缔约方按照《京都议定书》第七条第 1 款提交的补充材料；⁴

³ 例如，秘书处推出了一个新的课程，内容是通过使用基于大量数据的复杂方法得出清单估计数。共有 118 名专家报名参加该课程。

⁴ 缔约方提交数据的原始版本可在《气候公约》网站上查阅：<http://unfccc.int/national_reports/annex_i_ghg_inventories/national_inventories_submissions/items/6598.php>，另见温室气体数据界面可搜索数据库格式：http://unfccc.int/ghg_data/items/3800.php。

(f) 编写和发布附件一缔约方提交的所有 43 份温室气体清单年度状况报告,⁵ 并发布综合报告的第一部分和评价报告,⁶ 评价报告对缔约方报告的数据进行了汇编与比较;

(g) 组织及支持 2012 年 3 月在波恩举办附件一缔约方温室气体清单主任审评员第九届会议, 该会议为组织对附件一缔约方的温室气体清单进行审评提供了进一步指导;⁷

(h) 完成了新版“通用报告格式”报告软件的开发, 该软件能够使附件一缔约方依照经第 15/CP.17 号决定修订的《气候公约》附件一缔约方年度清单报告指南, 报告其温室气体清单状况;⁸

(i) 为制定衡量、报告和核实发达国家对附属科学技术咨询机构(科技咨询机构)的承诺与行动的模式提供支持。

39. 为非附件一缔约方信息通报和资料审评提供的支持包括下述活动:

(a) 协助非《公约》附件一缔约方国家信息通报问题专家咨询小组(专家咨询小组), 为非附件一缔约方提供国家信息通报进程和编写国家信息通报方面的技术援助;⁹

(b) 接收、处理并在《气候公约》网站上发布 12 份来自非附件一缔约方的第二次国家信息通报和一份来自一个非附件一缔约方的第三次国家信息通报;¹⁰

(c) 与伙伴合作, 协助非附件一缔约方提高能力, 以设立和维持可持续的温室气体清单管理系统, 以及就农业、土地利用、土地利用的变化和林业部门汇编一份记录详实的清单。

40. “缓解、数据和分析”方案继续支持与适合本国的缓解行动相关的进程, 包括开展以下活动:

⁵ http://unfccc.int/national_reports/annex_i_ghg_inventories/inventory_review_reports/items/6617.php。

⁶ <http://unfccc.int/resource/webdocs/sai/2012.pdf>。

⁷ 针对一些主任审评员在该会议上提出的建议, “缓解、数据和分析”方案将在这类审评期间进行个别新方式的试点, 例如采用虚拟团队办公室作为合作工具, 以及组织更有针对性的中央审评, 每个专家审评团队仅审评 2 至 3 个缔约方。

⁸ 新的“通用报告格式”报告软件的试用版计划于 2012 年 10 月 1 日发布。

⁹ “缓解、数据和分析”方案在专家咨询小组工作方案框架下, 组织了三次区域实际操作培训研讨会。研讨会的报告可在《气候公约》网站上查阅: http://unfccc.int/national_reports/non-annex_i_natcom/cge/items/2608.php。

¹⁰ http://unfccc.int/national_reports/non-annex_i_natcom/items/2979.php。国家信息通报中报告的温室气体相关数据已在报告所述期间进行的两次更新过程中(2012 年 3 月和 2012 年 6 月)并入温室气体在线数据界面。3 月份发布的资料也包括 2011 年 12 月提交的 5 份国家信息通报所载数据, 6 月发布的资料载有来自 11 份国家信息通报的数据。

(a) 开发适合本国的缓解行动登记册的原型，并在履行机构第三十六届会议上向缔约方介绍；

(b) 在《气候公约》网站上为提早提交各种类型的适合本国的缓解行动及支持资料提供模版；

(c) 为亚洲和东欧的代表举办研讨会，以支持发展中国家编写和实施适合本国的缓解行动。¹¹

41. 方案在报告所述期间就方法学和科学事项开展的工作包括以下活动：

(a) 为进一步承诺问题特设工作组和长期合作行动问题特设工作组涉及发达国家缓解的工作提供支持，包括编写技术文件和举办研讨会；

(b) 就充分实现 REDD 相关成果制行动的筹资选择编写一份技术文件；¹²

(c) 支持制定模式，用于衡量、报告和核实与森林有关的人为源排放量和汇清除量、森林碳储存，以及执行 REDD-plus¹³ 活动所致森林碳储存和面积的变化；

(d) 通过 REDD 网络平台，维持和促进有关 REDD 的信息共享，以及发展中国家养护、可持续管理森林和加强森林碳储存的作用；¹⁴

(e) 开办《气候公约》REDD 论坛，并全面投入运行；¹⁵

(f) 支持科技咨询机构正在就国际航空和海运产生的排放和通用指标开展的工作，以及启动农业和土地利用、土地利用的变化和林业方面的工作。

42. “缓解、数据和分析”方案继续为国际交易日志的运行提供实质性支持。以针对向《京都议定书》第二个承诺期过渡，就国际交易日志及汇编和核算数据库所需的调整作了初步技术分析。“缓解、数据与分析”方案还就将欧洲联盟各登记册整合纳入一个技术系统，向欧洲联盟及其成员国提供支持。

¹¹ 该研讨会于 2012 年 7 月 2 日至 4 日在亚美尼亚埃里温举行。共有 73 名专家(包括 36 名来自缔约方的专家和 19 名来自国际组织的专家)就适合本国的缓解行动在机构和资金方面的问题交流了意见与经验。

¹² FCCC/TP/2012/3。

¹³ 关于减少发展中国家毁林和森林退化所致排放量方面问题的政策方针和积极管理办法；以及发展中国家养护、可持续管理森林和加强森林碳储存的作用。

¹⁴ 第 2/CP.13 号决定，第 10 段，http://unfccc.int/methods_science/redd/items/4531.php。

¹⁵ <http://www.unfccc-redd-forum.net/>。

43. 在 2012 年 5 月举行的届会上,“缓解、数据和分析”方案继续为支持《公约》及/或其《京都议定书》之下设立的附属机构和特设工作组的谈判发挥关键作用。¹⁶

C. 财务、技术和能力建设

44. “财务、技术和能力建设”方案(FTC)的总体目标在于为下列工作提供支持:调集资金资源、就技术开发与转让开展国际合作、能力建设,以及教育、培训和宣传,从而促进缔约方与气候变化相关的行动。该方案还协调秘书处为科技咨询机构提供的支持,为履行机构的支持作贡献,以确保其有效果和高效地运转。

45. 在报告所述期间,方案支持缔约方推进政府间工作及履行机构、科技咨询机构和长期合作行动问题特设工作组的谈判,工作和谈判涉及的问题包括:对适应基金的初步审查、最不发达国家基金、气候变化特别基金、技术执行委员会(TEC)的联系模式及滚动工作计划、挑选气候技术中心东道方、关于技术转让的波兹南战略方案、对经济转型国家能力建设框架执行情况的第三次审查,以及对经修订的关于《公约》第六条的新德里工作方案执行情况的审查。

46. “财务、技术和能力建设”方案继续就“资金门户”¹⁷开展工作,更新“快速启动资金”和“全球环境基金”(GEF)模块显示的资料,并开发和推出了一个有关适应基金的新的模块。¹⁸更新的内容包括缔约方提交的材料以及由环境基金和适应基金定期提供的资料。气候筹资所涉利害关系方提供的反馈表明,对通过“资金门户”提供的有关资金流的资料满意度较高。

47. 方案在报告所述期间与适应基金董事会秘书处合作,举办了两次区域研讨会,¹⁹成功地帮助来自太平洋地区、亚洲和东欧的缔约方和观察员组织熟悉对直接向适应基金获取资源的国家执行实体认证的程序和要求。

¹⁶ 为各机构审议不同领域的问题提供了支持,审议问题的领域包括:发达国家和发展中国家缔约方的缓解问题;与 REDD 问题相关的政策方针和积极鼓励办法;附件一缔约方量化的限制和减少排放的承诺;提高缓解追求水平;缓解和气候变化的科学、技术和社会经济方面;国际航空和海运所使用的燃料引起的排放;用于计算温室气体二氧化碳当量而非二氧化碳的通用指标;对发达国家缔约方量化的整体经济范围减排指标的国际评估和分析;适合本国的缓解行动的多样性;适合本国的缓解行动登记册的原型;为国内支助的适合本国的缓解行动的国内衡量、报告和核实拟订一般指南;应对措施的经济和社会影响;非附件一缔约方的国家信息通报;通过国际磋商和分析审议技术专家小组的组成、模式和程序;科技咨询机构就土地利用、土地利用的变化、林业和农业推出工作方案。

¹⁷ <http://unfccc.int/pls/apex/f?p=116:1:1273473751496967>。

¹⁸ http://unfccc.int/cooperation_and_support/financial_mechanism/adaptation_fund/items/6668.php。该模块显示适应基金董事会每次举行会议之后提供的项目数据。

¹⁹ 有关研讨会的更多信息,包括研讨会的资料,可在《气候公约》网站上查阅:
http://unfccc.int/cooperation_and_support/financial_mechanism/adaptation_fund/items/6193.php。

48. “财务、技术和能力建设”方案继续就资金门户、环境基金信托基金之下的气候变化活动及制定环境基金私营部门参与战略等事项，与环境基金进行联络。方案与环境基金秘书处合作举办了两个秘书处的联合务虚会，务虚会促进了执行缔约方会议指导意见方面的合作和信息交流，上述指导意见所涉领域包括技术转让、缓解、适应和扶持型活动。

49. “财务、技术和能力建设”方案为制定和执行有关长期筹资的工作方案提供支持，包括为 2012 年 7 月在波恩举行的第一次研讨会提供后勤和实质性支持。²⁰ 约 140 名与会者，包括缔约方、观察员组织和学术界的代表参加了研讨会，收到的反馈表明，对会议的安排和会上的发言满意度很高。此外，方案已开始筹备常设委员会第一届会议，定于 2012 年 9 月举行该会议。

50. 方案还为技术执行委员会 2012 年 2 月和 5 月举办第二届和第三届会议²¹ 以及为编写和实施技术执行委员会 2012-2013 年滚动工作计划提供支持。为技术执行委员会提供的支持包括：在第三届会议期间举办专题对话，讨论技术转让的扶持型环境和面临的障碍，就现有的技术路线图和工具及相关组织就技术开发与转让开展的相关活动建立清单，以及在技术信息交换所(TT: CLEAR)内部设计一个新的技术信息平台。“财务、技术和能力建设”方案还支持技术执行委员会与其他机构安排制定初步联系模式。

51. 方案还为挑选气候技术中心东道方的进程提供便利，包括发布征求提案的通告，召集和支持评价小组对收到的提案进行评估，以及在甄选过程中与提议方沟通。最后，履行机构在其第三十六届会议上通过了由三个提议方构成的排序名单。

52. 方案继续支持发展中国家和经济转型国家实施能力建设框架。方案向伙伴机构简要介绍了发展中国家新的和新出现的优先事项，并从 265 家政府、政府间和非政府实体收集了有关能力建设活动的资料。此外，方案组织了德班论坛第一届会议；²² 包括缔约方、政府间和非政府组织及私营部门的代表等与会者提供的反馈表明，对会议安排的满意程度很高。

²⁰ 研讨会的文件和发言可在《气候公约》网站上查阅：http://unfccc.int/cooperation_support/financial_mechanism/long-term_finance/items/6963.php。

有关为便利信息交流采用的社会媒体和网络工具的资料以及关于长期筹资工作方案开放性的资料，见：http://unfccc.int/cooperation_support/financial_mechanism/long-term_finance/items/6966.php and http://unfccc.int/cooperation_support/financial_mechanism/long-term_finance/items/6972.php。

²¹ <http://unfccc.int/ttclear/jsp/TECMeeting.jsp>。

²² 有关该会议的资料可在《气候公约》网站上查阅：http://unfccc.int/cooperation_and_support/capacity_building/items/6802.php。该会议的报告(FCCC/SBI/2012/20)将在履行机构第三十七届会议上审议。

53. “财务、技术和能力建设”方案还为执行经修订的新德里工作方案提供便利，做法包括：促进伙伴关系及推动政府间和非政府组织的行动；²³ 举办最不发达国家执行《公约》第六条问题的研讨会；²⁴ 向 78 个《公约》第六条国家联络点提供支持，继续进一步开发信息网络交换所(CC: iNet)的工作，定期更新其内容，并通过秘书处的社交媒体渠道对其进行宣传；以及通过定期交流信息，在履行机构第三十六届会议上组织青年与履行机构主席和执行秘书的简介会等方式，协调“联合国儿童、青年与气候变化问题联合框架倡议”的工作。

D. 适应

54. “适应”方案支持缔约方，尤其是发展中国家缔约方评估、制定和执行适应计划、政策与行动，旨在减少脆弱性和建设抗御能力，改善国际气候政策和气候变化行动的科学基础，包括为审查长期气温全球目标的适当性提供便利。方案支持政府间组织的工作和就这些事项及长期合作行动共同愿景开展的谈判。

55. “适应”方案在报告所述期间继续通过以下活动为执行《坎昆适应框架》提供便利：

(a) 支持执行有关特别易受气候变化不利影响的发展中国家与气候变化影响相关的损失和损害问题的工作方案，包括举办各种规定活动，如举办有关评价损失和损害风险的专家会议，²⁵ 编写一份技术文件，作为对该会议的投入，²⁶ 以及编写各次会议的报告。方案还在埃塞俄比亚的亚的斯亚贝巴举办了四次专家会议中的第一次会议，讨论处理与气候变化不利影响相关的损失和损害问题的方式，²⁷ 并为该会议编写了一份背景文件。出席上述两次会议的人很多，包括来自各类缔约方、组织的代表和专家。方案收到所有利害关系方对会议和相关文件的好评；

(b) 通过发布综合报告等方式，酌情支持最不发达国家和其他感兴趣的发展中国家缔约方制定和执行国家适应计划(NAPs)的进程；²⁸

(c) 通过筹备适应委员会第一届会议，为该委员会的工作提供支持。²⁹

²³ 这方面的工作包括协调“联合国儿童、青年与气候变化问题联合框架倡议”(http://unfccc.int/cc_inet/cc_inet/youth_portal/items/6519.php)，以及向联合国气候变化培训服务平台(UNCC CC:Learn)的成员提供政策建议(<http://www.uncclearn.org/>)。

²⁴ http://unfccc.int/cooperation_and_support/education_and_outreach/items/6903.php。

²⁵ 会议的报告见 FCCC/SBI/2012/INF.3。

²⁶ FCCC/TP/2012/1。

²⁷ <http://unfccc.int/6872.php>。

²⁸ FCCC/SBI/2012/8。

²⁹ 由于秘书处的成员提名迟交，适应委员会的第一届会议在报告期之后举行。

56. 方案支持最不发达国家专家组(LEG)的工作，为其第二十一届会议提供后勤、旅行和组织安排。³⁰ 此外，“适应”方案继续为执行最不发达国家专家组工作方案提供支持，包括为国家适应计划进程提供技术指南，支持国家适应计划进程，以及为国家适应行动方案和最不发达国家工作方案的其他要素提供支持。

57. 广大利害关系方持续参与支持关于气候变化影响、脆弱性和适应的《内罗毕工作方案》，已使《内罗毕工作方案》伙伴组织的数量增加了 15%，相关行动承诺的数量也有所增加。³¹ 方案通过持续更新网站上有关《内罗毕工作方案》及其伙伴组织所有相关资料等方式，为各组织、机构、专家、社区和私营部门之间共享知识提供便利。³² 知识共享方面的最新实例包括在第十七届缔约方会议上正式推出的私营部门倡议(PSI)适应行动数据库。该数据库中包括近 100 个由私营公司倡导的可持续和可营利的气候变化适应活动案例研究。方案还为与伙伴组织及广大利害关系方的合作提供便利，以各种方式执行规定的活动。例如，《内罗毕工作方案》的一个伙伴组织于 2012 年 3 月主办了一次专家圆桌会议。该会议的目的是就可能的主题投入征求水问题专家的意见，为科技咨询机构要求秘书处在其第三十七届会议之前举办的技术研讨会制定规划。³³ 来自 16 个全球和区域组织从事水和气候变化适应问题工作的 21 名专家交流了知识和经验，并为技术研讨会的规划提出了建议，还讨论了在《内罗毕工作方案》之下促进有关水问题的讨论的进一步合作步骤。

58. 在研究和系统观测方面，方案继续依照第 9/CP.11 和第 16/CP.17 号决定，为信息流动、沟通研究活动和成果及研究需要提供便利。方案继续举办规定的研究对话，包括在科技咨询机构第三十六届会议期间举办会议，来自区域和国际研究方案及组织、气专委和来自所有主要缔约方集团的缔约方代表参加了会议。³⁴

59. “适应”方案还为长期合作行动问题特设工作组的谈判提供支持，这些谈判涉及将于 2013 年开始的对《坎昆协议》所载长期全球目标的适当性的初次审评，以及确定 2050 年之前全球减排量和全球排放量封顶的全球目标。方案组织了一次有关平等获得可持续发展的会间研讨会，以支持有关确定全球减排目标及封顶时间框架的谈判。研讨会还就适用平等原则开展了讨论。³⁵ 研讨会上有 16 人发言，发言者大多为代表团团长，缔约方随后进行了互动交流。会议受到所有缔约方的好评，为处理这一问题迈出了第一步。2012 年 8 月和 9 月在曼谷的非正式会议期间进行了后续讨论。

³⁰ 该会议的报告见 FCCC/SBI/2012/7。

³¹ FCCC/SBSTA/2012/INF.1。

³² <http://unfccc.int/3633.php>。

³³ 第 6/CP.17 号决定，第 4(a)段。

³⁴ <http://unfccc.int/6793.php>。

³⁵ 研讨会的报告见 FCCC/AWGLCA/2012/INF.3/Rev.1 号文件。

60. 方案在各工作流的框架下，继续与许多多边、国际、区域和国家组织开展合作，合作方包括全球基金、气专委、世界气象组织、全球气候观测系统、全球对地观测分布式系统和全球陆地观测系统。

E. 可持续发展机制

61. 在报告所述期间，“可持续发展机制”方案(SDM)的主要作用仍然是向清洁发展机制执行理事会和联合执行监督委员会(监委会)提供实质性支持，这两个机构是为监督《京都议定书》之下基于项目的机制的实施工作而组建的。此外，“可持续发展机制”方案继续支持进一步承诺问题特设工作组之下关于现行机制的谈判和长期合作行动问题特设工作组之下关于市场方针和非市场方针的谈判。

62. 方案为清洁发展机制政策对话高级别小组提供支持，就清洁发展机制进行评估，包括评估其影响、治理，及其作为支持实现《京都议定书》和《公约》目标的工具，今后可能发挥的作用。支持活动包括：在世界各地安排了与不同利害关系方群体共 28 场利害关系方会议、三次高级别小组会议、高级别小组、顾问和利害关系方群体之间的大量非正式磋商和网上会议。方案还帮助协调清洁发展机制政策对话研究方案的执行，该方案包括 12 个研究团队，审查了三个主要方面(清洁发展机制的影响、治理和今后状况)的 22 个研究课题。高级别小组的最后报告包括 12 个主题领域的 48 项建议，将在清洁发展机制执行理事会第六十九届会议上介绍该报告，并于 2012 年 9 月在清洁发展机制政策对话的网站上发布。方案提供的支持对确保及时举办所有会议、提供后勤服务、专家合同、旅行安排、协调研究活动等至关重要。高级别小组对这一支持深表赞赏。已向清洁发展机制执行理事会和《京都议定书》及《公约》缔约方提出建议。如果建议得以实施，可能会对确保碳市场继续为国际缓解活动作出贡献产生深远的积极影响。

63. 在报告所述期间，“可持续发展机制”方案依照 2012 年清洁发展机制执行理事会的业务规划和相关管理计划，为清洁发展机制执行理事会、专题小组和工作组的 14 场会议作了安排。除了提供适当的后勤安排以外，“可持续发展机制”方案共为清洁发展机制执行理事会的会议编写和提交了 59 份文件，为专题小组和工作组提供了额外产出。

64. 方案为 533 个清洁发展机制项目活动的登记和超过 1.48 亿核证减排量的发放提供了支持。方案支持清洁发展机制执行理事会通过了经修订的有关纳入大规模方法活动方案条款的程序和指南。方案为促进登记和发放小组(RIT)和清洁发展机制执行理事会在评估项目方面的交流提供便利，以精简和优化理事会会议时间。理事会注重登记和发放小组和秘书处对案件评估的区别，已从过去每次会议通常讨论 50 个或更多案件减少至现在的 5 至 15 个案件。秘书处还协助执行与新设立的碳捕获和储存工作组相关的条款。

65. “可持续发展机制”方案组织了 11 次利害关系方干预活动，包括为非洲指定国家主管部门(DNAs)提供了一次培训，以及在秘书处的协调和《内罗毕框

架》伙伴的参与下，在第 4 次非洲碳论坛的同时举办了一次区域研讨会。其他的干预活动包括：由清洁发展机制和联合执行共同举办一次研讨会、一次清洁发展机制圆桌会议，以及为指定经营实体的技术人员提供 3 次研讨会。进一步资料可在《气候公约》网站上查阅。³⁶ 应执行理事会、其专题小组和工作组早些时候的请求，方案在报告所述期间完成了有关会议管理的培训。指定国家主管部门接受了有关制定传播战略的培训。秘书处也接受了有关项目管理的培训，以便使其工作的规划和执行取得更大的有效性。已采用新的学习管理系统，用于发布新的专家名册认证在线课程的 4 个部分，因为今后不再需要举办大量成本高昂的面对面研讨会，所以费用有所降低。

66. 促进沟通和透明度的方式包括设立清洁发展机制新闻网页以及为联合国碳机制建立 Facebook 和 Twitter 帐户，清洁发展机制的 YouTube 频道在报告所述期间吸引了近 10,000 次视频观看。清洁发展机制的新闻网页在同一期间也吸引了近 10,000 次观看。联合国碳机制的 Facebook 网页有 801 人表示喜欢，目前有 40 人正在进行讨论。在 Facebook 上发布的帖子被分享，帖子平均吸引 350 至 500 人关注。在 Twitter 上，联合国碳机制的网页有 615 名追随者。许多帖子被转发，并受到环境网络和非政府组织的关注。已制作 8 份有关清洁发展机制益处的视频并通过社交媒体广泛发布。这些视频吸引了 1,800 多名观看者。Soundcloud 网站清洁发展机制电台来自非洲的故事吸引了 2,500 名访问者。为了支持促进参与清洁发展机制的努力，已编写了一份新的出版物，题为“非洲清洁发展机制——资金与支持”，³⁷ 并在 2012 年 4 月在埃塞俄比亚举办的非洲碳论坛上分发。报告所述期间还推出了第二届清洁发展机制非洲电台竞赛，第五届清洁发展机制“改变生活”摄影、视频和网播节目竞赛，以及第三届指定国家主管部门活动方案竞赛。

67. “可持续发展机制”方案举办并支持监委会的两次会议及联合执行认证小组的一次会议。“可持续发展机制”方案继续就相对重要性和二氧化碳的捕获及储存等专题以及与氢氟碳化物相关的问题，为科技咨询机构提供实质性技术支持。

F. 法律事务

68. “法律事务”方案(LA)的总体目标是就执行《公约》及其《京都议定书》、执行“巴厘岛路线图”之下商定的成果相关事项，以及就秘书处的运行，向以下机构提供法律咨询和支持：

(a) 《公约》和《京都议定书》机构，以及可能根据第 1/CMP.1 和第 1/CMP.13 号决定商定的成果设立的机构；

(b) 执行秘书、秘书处方案和缔约方。

³⁶ <http://cdm.unfccc.int/>。

³⁷ http://unfccc.int/resource/docs/publications/pub_cdm_africa_finance_2012.pdf。

69. 在报告所述期间,《公约》和《京都议定书》机构、缔约方会议和《议定书》/《公约》缔约方会议主席和主席团、附属机构和组成机构的主席、缔约方、观察员、执行秘书和秘书处方案就各种法律、程序和机构方面的问题,向“法律事务”方案征求并获得其咨询意见。

70. “法律事务”方案向缔约方有关未来气候变化制度的谈判提供支持,支持尤其涉及以下方面的法律问题:第二个承诺期《京都议定书》的拟议修正案,这些修正案快速生效及顺利过渡到第二个承诺期,包括侧重报告和审查要求的方法问题,以及德班加强行动平台问题特设工作组第一届会议涉及的法律问题。还为《坎昆协议》和《德班成果》的执行和实施提供法律支持,尤其在以下方面:气候技术中心东道方的挑选程序和确定东道国协议草案的要点,以及适应委员会和常设委员会第一届会议的筹备。还就绿色气候基金临时秘书处的相关安排提供法律咨询和支持。

71. “法律事务”方案还澄清了一些与谈判相关的程序和实质性法律问题,包括就通过议程、安排工作和观察员参与等事项涉及的法律和程序问题提供咨询意见。还就任命和甄选《公约》机构官员,尤其是德班加强行动平台问题特设工作组官员的程序,向缔约方提供了重要支持。

72. “法律事务”方案在履约委员会举办会议和闭会期间,向该委员会主席团、全体会议、执行事务组和促进事务组提供了实质性法律咨询和组织方面的支持。还就国际交易日志的运行以及执行《公约》及其《京都议定书》之下的报告和审评指南提供了咨询意见。

73. 清洁发展机制执行理事会在其工作的以下各个方面得到法律咨询意见与支持:审议项目活动、执行现有的模式、指南、方法和程序以及制定新的模式、指南、方法与程序,为清洁发展机制项目活动设立区域合作中心等。

74. “法律事务”方案确保秘书处的运行符合联合国的规则与条例及《气候公约》的要求。这方面的工作包括审查/拟订和批准秘书处将与第三方签订的所有法律文书,向联合地方契约委员会和联合地方产业调查理事会提供咨询和支助,以及为第十八届缔约方会议/第八届《议定书》/《公约》缔约方会议尽快缔结《东道国协定》提供便利。在报告所述期间,方案还就与秘书处的管理和运行相关的问题,包括就采购和行政及人力资源政策和问题提供定期法律咨询。

G. 会议事务服务

75. “会议事务服务”方案(CAS)的总体目标是为《气候公约》活动提供一个有利的环境。方案还确保缔约方在开展谈判和执行活动时及时收到联合国六种正式语文的高质量正式文件,使其能够进行知情审议与决策。“会议事务服务”方案与所有利害关系方联络,尤其为符合资格的缔约方及观察员组织出席会议提供便利,并确保一个安全的会议环境。方案提供全方位的会议相关设施与服务,满足缔约方的高标准要求。

76. 在报告所述期间，“会议事务服务”方案为履行机构、科技咨询机构、德班加强行动平台问题特设工作组、进一步承诺问题特设工作组和长期合作行动问题特设工作组于 2012 年 5 月举办的会议提供了后勤安排，包括安保安排，便利缔约方和观察员组织参会和登记的安排，以及编辑和处理《气候公约》文件的服务。除这些机构的全体会议以外，届会还包括联络小组、谈判小组、代表团和观察员组织的各种会议，会外活动和媒体活动。此外，这一期间还为 4 个谈判小组举办了为期 9 天的会前会议，为相关机构主席和 11 个谈判小组举办了 55 次双边会议。报告所述期间还为 2012 年下半年的届会和非正式会议作了充分准备。

77. 此外，“会议事务服务”方案通过编写谅解备忘录、与会者预先登记，为办理护照和观察员参与提供便利及/或协助后勤安排等工作，为在波恩和国外举办的 47 次会议提供支持。

78. “会议事务服务”方案共处理合格的缔约方代表的 199 份资金支持请求，以便利他们参加《公约》及其《京都议定书》之下设立的机构举办的会议，以及与这些会议同时举办的研讨会和活动。

79. 方案对履行机构 2011 年关于观察员参与政府间进程的结论³⁸进行了后续跟进，采取了一些旨在提高政府间进程透明度和促进观察员组织参与的活动，包括要求缔约方在申请举办会外活动和/或展览时与观察员组织结成伙伴关系。

80. “会议事务服务”方案实施了一些效率措施，以应对补充资金减少所致与观察员组织之间联络人员减少的问题。例如，开展的活动包括：

(a) 由主持会议的人员和执行秘书进行自由交流简介会，事先了解无必要讨论的问题和介绍；

(b) 除非主持会议的主席提出要求，否则在全体会议之前不再向观察员组织收集发言者的姓名或发言稿；

(c) 对举办会外活动的申请、参加届会的登记以及在全体会议上发言的请求规定更加严格的最后期限。

81. 在报告所述期间，方案还启动了工作，旨在减少会议的碳足迹，并在筹备第十八届缔约方会议/第八届《议定书》/《公约》缔约方会议框架下举办会外活动和会议展览。这一工作的成果将在 2012 年 9 月或 10 月发布的第十八届缔约方会议/第八届《议定书》/《公约》缔约方会议相关的通知和情况说明中有所体现。

82. 方案加大努力及时处理附属机构届会及第十八届缔约方会议/第八届《议定书》/《公约》缔约方会议所需的所有正式文件。方案计划与“综合可持续无纸化服务秘书处”³⁹合作，在第十八届缔约方会议/第八届《议定书》/《公约》缔

³⁸ FCCC/SBI/2011/7, 第 168 至 179 段。

³⁹ <<http://papersmart.un.org/>>。

约方会议上采用无纸系统，方案还进一步开发并正在规划实施一个电子正式文件系统。

H. 通信和知识管理服务

83. “通信和知识管理服务”方案(CKM)的总体目标是对外部通信、在线公共信息、媒体关系与服务以及内部知识管理服务进行管理，以支持《公约》及其《京都议定书》进程，并执行在缔约方会议上通过的决定。

84. 在报告所述期间，“通信和知识管理服务”方案为七份新出版物的制作提供了便利，⁴⁰并为在里约+20会议上介绍里约公约提供支持。此外，方案发布了两份秘书处通讯，⁴¹有英文版和西班牙文版，⁴²通讯包括《巴厘岛行动计划》的所有主题(缓解、适应、筹资、技术和能力建设)，并提供有关政府间进程的最新进展以及关于气候科学的资料。

85. 《气候公约》网站被浏览的页面达 5,300 万页，被下载的文档达 1,600 万个。气候公约的 iPhone/iPad 应用——Negotiator 约被下载了 10,000 次。秘书处的 YouTube 视频达到 195,000 次观看。约有 13,000 名用户积极使用秘书处的 Facebook 网页交流信息，有 30,000 多名用户是 Twitter 上的定期追随者。

86. “信息和知识管理服务”方案利用社交媒体，为其他秘书处方案实现目标提供支持。例如，应长期融资问题工作方案联合主席的请求，为了使第一届长期融资问题研讨会⁴³充分透明及实现互动，“通信和知识管理服务”方案利用社交媒体活动为该研讨会提供支持。“通信和知识管理服务”方案在 Twitter 和 Facebook 上发布消息，概括发言人介绍的关键信息，并为外部参与者通过 Twitter 和 Facebook 向与会者提问提供机会。在举办研讨会期间，这一活动的 Twitter 链接被观看 900 万次，秘书处通过 Facebook 账户接触到 32,000 人。此外，“通信和知识管理服务”方案还为执行秘书的 Twitter 账户撰写和发布文章。

87. 为了便于搜索和查询《气候公约》文件，2012年4月在《气候公约》网站上推出了一项新的搜索功能，提供综合分类搜索和一个主题浏览部分，以协助访问者轻松地找到正式文件。

88. “通信和知识管理服务”方案汇编了 17 份执行最新进展，公布在《气候公约》网站上，并提供简短的新闻标题，可通过链接获得更加详细的资料，如有关

⁴⁰ <http://unfccc.int/essential_background/background_publications_htmlpdf/items/2625.php>。

⁴¹ <http://unfccc.int/press/news_room/newsletter/items/3642.php>。

⁴² 英文版约有 15,000 名订阅者，西班牙文版约有 8,000 名订阅者。

⁴³ <http://unfccc.int/cooperation_support/financial_mechanism/long-term_finance/items/6814.php>。

绿色气候基金董事会和适应委员会会议的资料。最新进展可作为对缔约方执行行动时间表的提醒，从而鼓励为商界和民间社会加强行动提供支持。

89. 方案为一些机构和小组⁴⁴的会议和研讨会提供网播服务，以促进政府间进程的透明度。在报告所述期间，《气候公约》网站有 65,000 多名访问者观看了网播节目。

90. 方案继续与媒体密切合作，以促进对气候变化和政府间进程的理解和准确报道。“通信和知识管理服务”方案共满足了 173 项有关提供资料的请求，安排了媒体对执行秘书的 12 次采访，编写了 12 份新闻稿，组织了两次新闻发布会，介绍谈判或执行活动的状况。“通信和知识管理服务”方案继续编写每日要闻，⁴⁵概述全球对联合国气候变化进程及气候变化相关活动关键报道的情况。

91. “通信和知识管理服务”方案为执行秘书及代表执行秘书发言的秘书处高级工作人员撰写了 52 份演讲、发言和讲话稿，旨在向《气候公约》利害关系方告知谈判的状况、预计的成果及执行现有决定的情况。⁴⁶

92. 方案致力于努力提高工作人员和其他人员合作与共享信息的能力。方案为将于 2012 年底推出的基于 SharePoint 的合作平台编写了有关业务要求、信息架构和管理的内部文件。

93. “通信和知识管理服务”方案在全秘书处进行了一次有关处理和管理保密资料，包括适用联合国有关信息敏感度、分类和处理的政策⁴⁷的提高认识运动，并编写了一份秘书处公报，对其现有政策与指南进行了修订。所有秘书处方案共 150 名工作人员参加了相关研讨会。

94. 在准备 2013 年将工作人员转移到波恩 Altes Abgeordnetenhaus 的秘书处新址方面，“通信和知识管理服务方案”为所有方案提供了有关编制正式记录清单的培训。

95. “通信和知识管理服务”方案继续为共享信息与新闻提供局域网服务，包括重新设计 100 多个局域网网页，以及编辑四份秘书处内部通讯，目的包括促进秘书处的合作与参与气氛。在报告所述期间，通讯被浏览近 14,000 次。

96. 方案提供的图书馆服务包括答复来自工作人员的 600 多条有关提供参考资料请求，对 700 多个事项进行编目，以及订购 86 本书，供工作人员使用等。

⁴⁴ 履行机构、科技咨询机构、进一步承诺问题特设工作组、长期合作行动问题特设工作组、德班加强行动平台问题特设工作组、清洁发展机制执行理事会、监委会、指定国家主管部门、履约委员会和技术执行委员会。

⁴⁵ <http://unfccc.int/press/news_room/items/2768.php>。

⁴⁶ 例如，在世界经济论坛、第五届石油输出国组织国际研讨会、2012 年全球碳博览会以及可持续发展问题世界首脑会议上所作的演讲与发言。

⁴⁷ ST/SGB/2007/6。

I. 信息技术服务

97. “信息技术服务”方案(ITS)为支持秘书处执行任务提供信息技术基础设施和专门化的信息系统。“信息技术服务”方案确保通过实施与运行以信通技术为支持的可靠安全的基础设施及专门化应用，推进缔约方规定的工作。方案还负责根本基础设施的运行，以确保《气候公约》网站可持续使用，缔约方可便捷地获得正式文件、信息、数据、会议记录和活动的情况。

98. 除了运行、升级和维护等正常工作以外，“信息技术服务”方案有五项加强信息技术基础设施和促进信息系统的主要举措。加强信息技术基础设施的举措如下：将系统外包给一个商业数据中心运营商(Bedag)、SharePoint 的实施、2010 版 Office 软件的普及，以及满足秘书处工作人员搬迁到新办公楼 Altes Abgeordnetenhaus 过程中的 IT 需要。加强信息系统的主要举措包括 SharePoint 的实施以及开发新的可持续发展机制信息系统(SDM IS)。在报告所述期间，向 Bedag 操作系统迁移的工作近乎完成，SharePoint 平台的建设已完成 90%，SDM IS 项目已对提供的第一个程序试点推出，2010 版 Office 软件的普及工作几乎全部完成，向新办公楼搬迁已制定全面规划，正在等待办公楼的交接。除了这五项主要举措以外，还在继续努力提高“信息技术服务”方案基础设施服务水平及改进信息系统，包括精简组织结构，工作人员再培训与再分配等。已根据承诺协议改进了“信息技术服务”方案工作方案的定义，提供的服务实现了更高效率，并规定定期向顾客报告有关提供的服务状况的方案。一些创新的服务或产品已得到推广，包括向高级工作人员提供 iPad, 使用 Skype, 各种“服务软件”产品，Numara 服务台系统，以及 WebEx 互联网合作工具等。已使用 Skype 对一些地处偏远的候选人进行面试，利用 WebEx 提供一些培训课程。还为信息技术安全作出大量努力，包括进行脆弱性分析与报告及调查各种意外事件等。为了满足缔约方提出的要求，已开发出一个适合本国的缓解行动登记册原型，并在履行机构 2012 年 5 月的会议上作了演示。

99. 方案维持可靠安全的信息技术网络基础设施与服务，使秘书处能够满足政府间进程的要求。方案为以下会议和系统提供了服务：在《公约》及其《京都议定书》之下设立的机构举办的会议，52 次研讨会和较小型的会议，一些规定的系统，如《气候公约》温室气体数据库、汇编和核算数据库、温室气体数据界面、清洁发展机制和联合执行信息系统及清洁发展机制登记册，以及全秘书处的系统，如《气候公约》网站的内容管理系统和记录管理系统。此外，国际交易日志的技术监督和合同管理也得以有效执行。取得的主要成就包括测试和将欧洲联盟登记册并入国际交易日志。

J. 行政服务

100. “行政服务”方案(AS)的总体职能是在以下方面提供中央支持服务：秘书处的人力和财务资源管理、拟定财务和行政管理政策与指南，以及提供采购、宿舍管理和旅行安排服务。

101. 在报告所述期间，“行政服务”方案为联合国审计委员会审计截至 2011 年 12 月 31 日的两年期财务报表的工作提供了便利。委员会注意到，与上一个两年期 38% 的执行率相比，这一两年期的执行率已提高至 70%，委员会仅对 2010-2011 年的审计发布了三条新的审计建议。

102. “行政服务”方案努力改善秘书处的专业和更高级别工作人员的性别分布状况，女性的比例 2011 年提高了 2.4%，从而使专业和更高级别女性工作人员的比例达到 42.4%。同时，由于来自非附件一缔约方工作人员在报告所述期间的辞职人数多于来自附件一缔约方的工作人员，导致来自非附件一缔约方的专业和更高级别工作人员的比例降至 49.4%。

103. 方案还颁布了“人力资源战略”，并提高了工作人员发展方案的数量。已挑选和/或设计并实施 130 多个培训方案，这些方案侧重于领导才能的发展、较高专业级别的管理发展、领导技能、一般服务人员的发展，以及程序改进和通用技能和能力的发展。秘书处正在尽可能采用由其他组织开发并经过检验的预先设计的方案。

104. 2012 年上半年，方案共为 1,277 名获得提名的与会者作了旅行安排，以帮助他们参加附属机构第三十六届会议的届会，各组成机构及其专家小组的会议、专家会议、研讨会及国内审评。实际出席会议者占所作旅行安排人数的 91%。秘书处做出的及时安排确保接受支持的旅行者始终及时得到机票；未使用机票的提名者解释的原因包括业务或个人事务冲突或健康问题等。

105. “行政服务”方案在规定的时间内处理了 98% 的采购项目(366 个项目中的 361 个项目)。方案增加了长期协议和系统合同的范围与数量，进而减少了对重复招标的需要，也缩短了周转时间。方案共进行了 63 次招标活动，确保有效竞争和采购的成本有效性。平均而言，每次招标活动都有三家以上的卖方积极参与，最后选定的产品、报价或提案平均比最贵者便宜 30%。

106. “行政服务”方案继续筹备将秘书处的一部分搬迁到波恩联合国办公区。方案与东道国政府和联合国波恩办事处密切合作，确保对 Altes Abgeordnetenhaus 办公楼的改造符合秘书处和联合国的最新要求；方案已针对新办公楼所需设备与家具，开始进行商品与服务的采购，已获得所需资金。Altes Abgeordnetenhaus 办公楼的交接仪式目前计划借联合国日的契机，于 2012 年 10 月 31 日举行，实际搬迁工作将在 2013 年第二季度进行。

四. 补充信息

107. 秘书处的人力资源信息载于附件一。附件二载有补充活动信托基金所资助活动的收支情况。附件三载有秘书处各方案所有目标的绩效数据。

Annex I

[English only]

Human resources

A. Staff

1. Table 12 shows the number of approved posts and filled posts by grade and source of funding. As at 30 June 2012, of the 494.5 approved posts, 417 were filled. In addition, 33 Professional and 33 General Service level staff members were hired under temporary assistance contracts, bringing the total number of staff at the secretariat to 483.

Table 12

Approved established posts and filled posts by source of funding as at 30 June 2012

	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Subtotal	GS	Total
<i>Trust Fund for the Core Budget</i>											
Approved	1	3	7	15	30	36	13		105	51.5	156.5
Filled ^a	1	3	6	9	26	26	9		80	47	127
<i>Trust Fund for Supplementary Activities</i>											
Approved			1	3	6	13	12		35	16	51
Filled				2	5	10	8		25	14	39
<i>Trust Fund for the Clean Development Mechanism</i>											
Approved			1	5	18	47	65	1	137	58	195
Filled			1	4	17	43	59	1	125	49.5	174.5
<i>Trust Fund for the International Transaction Log</i>											
Approved					2	2	1		5	2	7
Filled					2	1			3	1.5	4.5
<i>Trust Fund for the Special Annual Contribution from the Government of Germany (Bonn Fund)</i>											
Approved						1	1		2	6	8
Filled						1			1	5	6
<i>Special account for conferences and other recoverable costs^b</i>											
Approved										2	2
Filled										1	1
<i>Programme support (overhead)</i>											
Approved			1	2	4	12	4		23	52	75
Filled			1	2	3	11	4		21	44	65
<i>Total</i>											
Approved	1	3	10	25	60	111	96	1	307	187.5	494.5
Filled	1	3	8	17	53	92	80	1	255	162	417

Abbreviations: ASG = Assistant Secretary-General, D = Director, P = Professional, GS = General Service.

^a Filled posts are occupied by staff members who have been awarded a fixed-term contract of one year or more and are appointed against established posts after going through the complete recruitment process, including review by the Review Board.

^b These posts are in support of operating the split office premises and are funded by the Government of Germany.

2. Table 13 provides information on the geographical distribution of the staff appointed at the Professional level and above. As at 30 June 2012, Western European countries and other States accounted for the highest percentage (38.8 per cent) of staff appointed at the Professional level and above, whereas Africa had the lowest (8.3 per cent).

3. The secretariat has continued its efforts in relation to geographical distribution and gender balance among staff at the Professional level and above. Vacancy announcements are placed in many regional and global media covering as many Parties not included in Annex I to the Convention (non-Annex I Parties) as possible.

Table 13

Geographical distribution of staff members appointed at the Professional level and above as at 30 June 2012

<i>Grade</i>	<i>Africa</i>	<i>Asia and the Pacific</i>	<i>Latin America and the Caribbean</i>	<i>Eastern Europe</i>	<i>Western Europe and other States</i>	<i>Total</i>
ASG			1			1
D-2	1				2	3
D-1	3			1	4	8
P-5	3	5	2	3	4	17
P-4	4	9	7	8	25	53
P-3	7	33	10	4	38	92
P-2	3	30	14	8	25	80
P-1					1	1
Total	21	77	34	24	99	255
Percentage of total	8.3	30.2	13.3	9.4	38.8	100.0

Abbreviations: ASG = Assistant Secretary-General, D = Director, P = Professional.

4. Table 14 highlights the distribution of staff members appointed at the Professional level and above between Parties included in Annex I to the Convention (Annex I Parties) and non-Annex I Parties. As at 30 June 2012, the percentage of staff from non-Annex I Parties at the Professional and higher levels was 49.4 per cent, compared with 50.6 per cent for Annex I Parties.

Table 14

Distribution of staff members at the Professional level and above between Annex I and non-Annex I Parties

<i>Grade</i>	<i>Annex I Parties</i>	<i>Non-Annex I Parties</i>
ASG		1
D-2	2	1
D-1	5	3
P-5	7	10
P-4	34	19
P-3	46	46
P-2	34	46
P-1	1	
Total	129	126
Percentage of total	50.6	49.4

Abbreviations: ASG = Assistant Secretary-General, D = Director, P = Professional.

5. Table 15 highlights the distribution of staff members by gender. As at 30 June 2012, the percentage of female staff at the Professional and higher levels was 42.4 per cent, up by 2.4 per cent from June 2011. The percentage of female staff at all levels was 54.7 per cent.

Table 15

Distribution of staff members by gender

<i>Grade</i>	<i>Male</i>	<i>Female</i>
ASG		1
D-2	3	
D-1	7	1
P-5	13	4
P-4	35	18
P-3	47	45
P-2	41	39
P-1	1	
GS	42	120
Total	189	228
Percentage of total	45.3	54.7

Abbreviations: ASG = Assistant Secretary-General, D = Director, P = Professional, GS = General Service.

B. Individual consultants and individual contractors

6. Between 1 January 2012 and 30 June 2012 a total of 106 individual consultants and individual contractors were hired. They provided a combined total of 143.1 working months at a total cost of USD 1.5 million under all sources of funding. Table 16 provides information on the distribution of these services by programme.

Table 16

Services of individual consultants and individual contractors by programme, 1 January 2012 to 30 June 2012

<i>Programme</i>	<i>Person-months</i>	<i>Cost (USD)</i>
Executive Direction and Management	12.3	65 243
Mitigation, Data and Analysis	12.1	224 815
Finance, Technology and Capacity-building	14.9	153 326
Adaptation	1.4	13 130
Sustainable Development Mechanisms	60.3	713 973
Legal Affairs	0.2	1 727
Conference Affairs Services	18.7	88 491
Communication and Knowledge Management Services	0.4	9 141
Information Technology Services	4.6	20 208
Administrative Services	18.2	210 474
Total	143.1	1 500 528

Annex II

[English only]

Projects and events funded from the Trust Fund for Supplementary Activities in the biennium 2012–2013

Table 17

Income and expenditures of projects and events funded from the Trust Fund for Supplementary Activities in the biennium 2012–2013 as at 30 June 2012

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
<i>Executive Direction and Management</i>			
Enhance and strengthen cooperation with the United Nations through the Office of the Secretary-General	143 717	108 772	34 945
Momentum for Change	1 436 235	185 349	1 250 886
Support to negotiations and new institutional arrangements aimed at enhancing the implementation of the Convention and its Kyoto Protocol	1 066 082	382 333	683 749
<i>Mitigation, Data and Analysis</i>			
Support the work on national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) and the implementation of the work programme of the reconstituted Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention	1 833 983	860 708	973 275
Development and maintenance of the database system for the annual compilation and accounting of emissions inventories and assigned amounts under the Kyoto Protocol compilation and accounting database	354 438	50 212	304 226
Activities relating to land use, land-use change and forestry: reducing emissions from deforestation and forest degradation, enhancement of carbon sinks and the role of sinks in future mitigation actions	885 866	117 356	768 510
Activities to support the expert review process under the Convention and its Kyoto Protocol: training for expert review teams and meetings of lead reviewers	1 422 997	207 999	1 214 998
Support the implementation of national greenhouse gas (GHG) inventories and related activities by non-Annex I Parties, including national forest monitoring systems	1 420 463	390 149	1 030 314
Development and maintenance of the UNFCCC information systems for the receipt and processing of GHG data, including the UNFCCC submission portal and the GHG data interface	214 058	124 028	90 030

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
Support to activities relating to scientific, technical and socioeconomic aspects of mitigation of climate change	7 486	–	7 486
Activities to facilitate the implementation of the work programme for the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories” and the use of the 2006 IPCC Guidelines for National Greenhouse Gas Inventories	245 482	–	245 482
Supporting enhanced action on mitigation by developing country Parties under the Cancun Agreements	873 278	110 933	762 345
Implementation of response measures	70 000	17 975	52 025
Supporting the work programme for the development of modalities and guidelines for enhanced reporting reviews by Parties included in Annex I to the Convention in accordance with decision 1/CP.16, paragraph 46	384 150	–	384 150
Redesigning and developing the software for the reporting of GHG emissions by all Parties to the Convention	505 490	214 423	291 067
Workshop on common metrics to calculate the carbon dioxide equivalence of anthropogenic GHG emissions by sources and removals by sinks	41 462	–	41 462
<i>Finance, Technology and Capacity-building</i>			
Support to financial cooperation and enhanced provision of financial resources	–	30 884	(30 884)
Support to capacity-building for developing countries	411 935	60 156	351 779
Organization and facilitation of thematic regional workshops to support the implementation of the amended New Delhi work programme on Article 6 of the Convention	513 834	117 961	395 873
Support the work of the Transitional Committee for the design of the Green Climate Fund	736 981	33 012	703 969
Workshops on the process and requirements of the accreditation of national implementing entities for direct access under the Adaptation Fund	643 294	443 660	199 634
Support the implementation of the technology mechanism	1 098 031	161 878	936 153
Enhance the participation of youth during the seventeenth session of the Conference of the Parties (COP) and the seventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP)	99 048	–	99 048
Support to the Standing Committee	288 000	14 209	273 791
Support to the work programme on long-term finance	292 696	66 434	226 262
Green Climate Fund	727 465	402 903	324 562

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
<i>Adaptation</i>			
Support to the least developed countries and the Least Developed Countries Expert Group	889 130	237 083	652 047
Supporting the implementation of the Nairobi work programme on impacts, vulnerability and adaptation to climate change	520 250	132 627	387 623
Support to activities relating to climate change science, research and systematic observation	182 813	11 225	171 588
Supporting the implementation of the technology mechanism	1 249 631	200 786	1 048 845
Supporting the periodic review of the adequacy of the long-term global goal referred to in decision 1/CP.16, paragraph 4	21 164	3 791	17 373
<i>Sustainable Development Mechanisms</i>			
Resource requirements for activities related to Article 6 of the Kyoto Protocol (joint implementation)	6 260 119	821 603	5 438 516
Carbon capture and storage workshop	39 996	–	39 996
Implementation of the two work programmes mandated under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention agenda item on various approaches, relating to: (1) a framework for various approaches; and (2) the new market-based mechanism	23 335	–	23 335
<i>Legal Affairs</i>			
Handbook on the UNFCCC	48 842	–	48 842
Support to the Compliance Committee	291 704	131 735	159 969
<i>Conference Affairs Services</i>			
Interpretation services during the thirty-fourth sessions of the subsidiary bodies and COP 17	2 522	2 955	(433)
Support to stakeholder engagement in the UNFCCC process	200 000	–	200 000
Ad hoc working group sessions	6 627	6 829	(202)
Implementation of side events and exhibits at the thirty-sixth sessions of the subsidiary bodies and COP 18/CMP 8	66 401	–	66 401
Temporary structure during the thirty-sixth sessions of the subsidiary bodies	621 514	645 274	(23 760)
<i>Communications and Knowledge Management Services</i>			
UNFCCC website: development of a Spanish portal	237 213	29 382	207 831
Developing country media training in the run-up to the COP	71 414	23 847	47 567

<i>Project</i>	<i>Income (USD)^a</i>	<i>Expenditure (USD)</i>	<i>Balance (USD)</i>
Information technology services	189 996	152 988	37 008
Internal communications: maintenance for internal newsletter and Intranet development	53 120	–	53 120
<i>Administrative Services</i>			
UNFCCC fellowship programme	3 667	867	2 800
<i>Other expenditures</i>			
Junior Professional Officers	1 287 630	410 245	877 385
Total	27 983 559	6 912 571	21 070 988

^a Note that not all income available under the Trust Fund for Supplementary Activities has been allocated to projects.

Annex III

[English only]

Programme performance data for the period 1 January 2012 to 30 June 2012

Table 18

Objectives, expected results,^a performance indicators and performance data for the Executive Direction and Management programme

Objective 1: To continue to enhance the secretariat's responsiveness to the needs of the Convention and Kyoto Protocol bodies and to enhance the coherence of its work in order to facilitate the efficient and effective implementation of the Convention

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The secretariat responds to the priorities of, and requests by, Parties and supports emerging issues, including those that relate to the implementation of the Cancun Agreements and subsequent mandates by Parties	Proportion of mandated outputs delivered and delivered on time Level of satisfaction of Parties with the work of the secretariat	A total of 456 mandates required the secretariat to deliver outputs during the reporting period, including some that required ongoing output delivery. In 99 per cent of cases outputs were fully delivered or output delivery was ongoing. Ninety-six per cent of the outputs were delivered on time Information on the level of satisfaction of Parties with the work of the secretariat will be made available in the budget performance report covering the period 1 January 2012 to 30 June 2013
Activities undertaken are coherent across programmes. The strategic objectives of programmes and the distribution of tasks and responsibilities among the programmes are clear	The members of the secretariat management consult with each other and take decisions on a regular basis (bilaterally and in management bodies)	The secretariat Management Team met nine times during the reporting period (1 January 2012 to 30 June 2012) The Executive Secretary and Deputy Executive Secretary held regular bilateral meetings with senior management

Objective 2: To ensure optimal secretariat support for the Conference of the Parties (COP) and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), thus enabling progress to be made in the intergovernmental process

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Climate change intergovernmental meetings provide a forum for taking decisions and advancing the process of responding to climate change	Level of satisfaction of Parties with the secretariat's support of negotiation sessions	Information on the level of satisfaction of Parties with the secretariat's support to the negotiation process will be made available in the budget performance report covering the period 1 January 2012 to 30 June 2013

Presidents of the COP and the COP Bureau are well informed and able to carry out their functions effectively	Level of satisfaction of the COP President and the COP Bureau with secretariat support	Information on the level of satisfaction of the COP President and the COP Bureau with secretariat support will be made available in the budget performance report covering the period 1 January 2012 to 30 June 2013
The high-level segment provides political impetus to the intergovernmental process	One or more political issues is/are resolved with the help of high-level participants	Data for this indicator will be collected at COP 18 and CMP 8

Objective 3: To ensure effective communication and outreach on the intergovernmental process and close liaison with Parties, intergovernmental organizations and other stakeholders, which will enhance the contribution of all stakeholders to the implementation of the Convention

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Information on the UNFCCC process is effectively communicated by the secretariat	Frequency of reference in the media to the UNFCCC messages issued during the COP sessions Number and duration of visits to the UNFCCC website and the volume of content downloads	No COP sessions were held during the reporting period A total of about five million visits to the website were made during the reporting period. Over 16 million pdf documents were accessed. Over 65,000 webcast visits were made
Support provided by the United Nations and intergovernmental agencies to Parties in the implementation of the Convention is enhanced ^a		

Objective 4: To continue to develop the secretariat as a well-managed, high-performance institution with a healthy working culture

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Competent and motivated staff representing a broad geographic spectrum and gender balance are recruited, trained and retained	Percentage of staff from Parties not included in Annex I to the Convention (non-Annex I Parties) and women in posts in the Professional and higher levels	Forty per cent of staff in the Professional and higher levels are from non-Annex I Parties. Forty per cent of staff in the professional and higher levels are women
Internal processes function smoothly and staff are satisfied with their work and with management	Level of staff satisfaction with their job, management and development opportunities	Information on the level of staff satisfaction with their job, management and development opportunities will be made available in the budget performance report covering the period 1 January 2012 to 30 June 2013
The secretariat has the required financial resources to implement mandates given to it by the COP and the CMP	Percentage of mandated outputs that cannot be delivered as a result of insufficient funding Contribution rate to core budget	Sufficient funding was available for the delivery of all mandated outputs EUR 14.9 million, or 65.6 per cent, of the total core budget indicative contributions expected for 2012 has been received as at 30 June 2012

	Level of contribution to the Trust Fund for Supplementary Activities	During the reporting period, the secretariat received USD 3.7 million in voluntary contributions from Parties against an initial requirement of USD 33 million for the biennium
Financial resources are managed in a sound manner and are utilized to maximize the benefit to the UNFCCC process	Proportion of actual expenditure compared with the approved budget	The core budget as at 30 June 2012 had a budget implementation rate of 46.8 per cent for the year 2012
	Level of expenditure under the Trust Fund for Supplementary Activities	The actual expenditures incurred to support mandated supplementary funded activities as at the end of June 2012 amounted to USD 6.9 million, using contributions received this year and the carry-over from 2010–2011
	Implementation rate of audit recommendations relating to financial and administrative matters	Of the 10 audit recommendations made for the biennium 2008–2009, seven (70 per cent) were fully implemented. The United Nations Board of Auditors noted an improved implementation rate compared with the 38 per cent in the previous biennium. The Board plans to issue three new audit recommendations as a result of the 2010–2011 audit

^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.

Table 19

Objectives, expected results,^a performance indicators and performance data for the Mitigation, Data and Analysis programme

Objective 1: To support Parties included in Annex I to the Convention (Annex I Parties) in the communication and consideration of information relating to implementation

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The launch and operation of the process for international assessment of emissions and removals relating to quantified economy-wide emission reduction targets for developed country Parties is facilitated	Proportion of mandated outputs delivered and delivered on time	The operation of the process for international assessment is expected to start in January 2014
The development of modalities for measurement, reporting and verification of developed country commitments and actions is facilitated	Proportion of mandated outputs delivered and delivered on time	All mandated activities were performed and all outputs were delivered on time. The Mitigation, Data and Analysis (MDA) programme prepared papers and a workshop to be held in October 2012 to facilitate Subsidiary Body for Scientific and Technological Advice (SBSTA) work on reporting in biennial reports, as well as on modalities for measurement, reporting and verification of developed country commitments and actions

Parties are informed about available guidelines, methods and tools for preparing and submitting their national communications, greenhouse gas (GHG) inventories and other reports	<p>Number of visits to the UNFCCC website to download guidelines, methods and tools</p> <p>Number of booklets and CD-ROMs collected by Parties from the UNFCCC information kiosk during sessions</p>	<p>The relevant web pages were visited about 360,000 times during the reporting period</p> <p>This indicator is no longer monitored because of the 'paper-light' policy of the secretariat</p>
Data reported by Annex I Parties under the Convention and its Kyoto Protocol are processed, stored and published in a timely and accurate manner	All relevant UNFCCC data systems (e.g. the GHG database, the compilation and accounting database, the GHG online data interface, the CRF Reporter) and their interlinkages, including those to the international transaction log (ITL) where relevant, are kept operational without unplanned interruptions	<p>Two full updates of the GHG data interface online have been implemented, in March and June 2012, in order to ensure that the data are correct and up to date</p> <p>All systems have operated in accordance with their availability requirements</p> <p>Changes in Parties' eligibility in response to decisions of the Compliance Committee under the Kyoto Protocol have been implemented in the ITL and the compilation and accounting database in real time for all eligibility cases considered in the reporting period</p>
The UNFCCC is recognized as an authoritative source of GHG data for Annex I Parties	<p>All queries and reports required to support the reporting and review processes for Annex I Parties are made available in accordance with agreed timelines</p> <p>The two key annual UNFCCC data reports (the GHG data reports and the compilation and accounting reports) have been considered by the Conference of the Parties (COP) and the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</p> <p>Ninety-five per cent or more of all data-related inquiries received by the secretariat have been answered within one week</p>	<p>Twenty-five reports on technical review of GHG inventories of Annex I Parties conducted in the 2011 inventory reporting and review cycle for Annex I Parties were published during the reporting period</p> <p>All 43 annual status reports for the GHG inventory submissions from Annex I Parties in 2012 have been prepared and published in accordance with the mandated time frame</p> <p>The 2012 edition of part I of the synthesis and assessment report for Annex I Parties has been prepared and published in accordance with the mandated time frame</p> <p>MDA responded to about 60 requests for GHG data or for data clarifications from Parties, national and international organizations, the media and individuals; the responses were provided within the agreed time frame of one week</p>
Effective support is provided for the fulfilment of reporting requirements and for the review of the implementation of the Convention and its Kyoto Protocol by Annex I Parties	All GHG inventories from Annex I Parties submitted on time in the biennium 2012–2013 are received and reviewed by international expert teams within the mandated time frames	<p>The 2012 GHG inventory submissions from all 43 Annex I Parties have been received and processed, including the submissions of supplementary information under Article 7, paragraph 1, of the Kyoto Protocol for relevant Annex I Parties</p> <p>MDA organized and supported the 9th meeting of the lead reviewers for GHG inventories of Annex I Parties in March 2012</p> <p>Arrangements for conducting the 2012 cycle of technical reviews of GHG inventories of Annex I Parties are being made</p>

Effective support is provided for the implementation of the work programme for the revision of the “Guidelines for the preparation of national communications by Parties included in Annex I to the Convention, Part I: UNFCCC reporting guidelines on annual inventories”	Proportion of mandated outputs delivered and delivered on time	No output was mandated to be delivered during the reporting period. Development of a new version of CRF Reporter, which reflects the revised guidelines, advances on schedule; the release of that version is planned for 1 October 2012 as per the mandate (decision 15/CP.17)
A sufficient number of experts are trained and ready to participate in GHG inventory reviews	Number of GHG inventory review experts passing the relevant examination annually	Forty experts received training and 31 passed the examination for reviews under the Convention. Seventy-eight experts enrolled in the online training courses under the Kyoto Protocol and 61 passed the examination

Objective 2: To support non-Annex I Parties in preparing and submitting national communications and national inventory and biennial reports and preparing and implementing nationally appropriate mitigation actions required for the implementation of the Convention

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The launch and operation of the process of international consultation and analysis of biennial reports from developing countries is facilitated	<p>Proportion of mandated outputs delivered and delivered on time</p> <p>All nationally appropriate mitigation action (NAMA) submissions are made available on the UNFCCC website in a timely manner</p> <p>All NAMAs are accurately recorded in the registry in a timely manner</p>	<p>No outputs were mandated to be delivered by the secretariat during the reporting period</p> <p>A total of four NAMAs were received and made available on the UNFCCC website in document FCCC/AWGLCA/2012/MISC.2</p> <p>The prototype of the registry was presented to Parties in May 2012, which endorsed the approach. A working prototype will be presented before COP 18. In the meantime, the secretariat has established a dedicated web page to record NAMAs and information on support</p>
The development of modalities for measurement, reporting and verification of developing country actions and support received for these actions is facilitated	Proportion of mandated outputs delivered and delivered on time	No outputs were mandated to be delivered during the reporting period
The NAMA registry is established effectively and updated regularly, including, as appropriate, the ‘matching’ function	Number of mitigation actions recorded	See above regarding the development of the registry. With regard to the ‘matching’ function, the secretariat proposed three options (Google search, automated search engine and queries to the secretariat). The automated search engine will not be developed at this stage given that some Parties had concerns with it

Parties are informed about available guidelines, methods and tools for preparing and submitting their national communications, GHG inventories and other reports	<p>Number of visits to the UNFCCC website to download guidelines, methods and tools</p> <p>Number of booklets and CD-ROMs collected by Parties from the UNFCCC information kiosk during sessions</p>	<p>The relevant web pages with information on national communications from Parties not included in Annex I to the Convention (non-Annex I Parties) were visited about 34,000 times during the reporting period</p> <p>About 570 national experts from the Asia and the Pacific and Africa regions were provided with CD-ROMs and/or access, via FTP, to draft updated Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention training materials on the preparation of national communications from non-Annex I Parties</p>
Effective support is provided to non-Annex I Parties in their fulfilment of reporting requirements under the Convention	<p>Number of non-Annex I Parties that have submitted their subsequent national communications</p> <p>Number of national reports received by the secretariat</p> <p>Number of non-Annex I experts that have been trained</p>	<p>Twelve second national communications and one third national communication were submitted</p> <p>More than 530 experts from the Asia and the Pacific and Africa regions participated either on site or remotely in the training workshop on national GHG inventories and over 40 experts from the Asia and the Pacific region participated on site or remotely in the workshop on mitigation assessment</p>
Data reported by non-Annex I Parties under the Convention and its Kyoto Protocol are processed, stored and published in a timely and accurate manner	All relevant UNFCCC data systems (e.g. the GHG database, the GHG online data interface, the non-Annex I software for the preparation of inventory data) are kept operational without unplanned interruptions	<p>All relevant systems operated without unplanned interruptions</p> <p>Two updates of the GHG data interface online have been implemented, in order to incorporate the latest data on GHG emissions reported in the latest national communications. GHG data for seven non-Annex I Parties were updated in the March 2012 release and 11 in the June 2012 release</p> <p>The development of non-Annex I Party software for the preparation of inventory data is ongoing</p>
The UNFCCC is recognized as an authoritative source of GHG data for non-Annex I Parties	<p>All queries and reports required for supporting the reporting of GHG data by non-Annex I Parties are made available in accordance with agreed timelines</p> <p>Ninety-five per cent or more of all data-related inquiries received by the secretariat have been answered within one week</p>	<p>During the reporting period, neither queries nor reports were required for supporting the reporting of GHG data by non-Annex I Parties</p> <p>Five out of five inquiries relating to national communication processes were responded to within one week of their receipt</p>

The development of modalities for measuring, reporting and verifying anthropogenic forest-related emissions by sources and removals by sinks, forest carbon stock and forest area changes resulting from the implementation of reducing emissions from deforestation and forest degradation in developing countries plus activities is facilitated	Proportion of mandated outputs delivered and delivered on time	No outputs were mandated to be delivered during the reporting period
The identification of land use, land-use change and forestry activities in developing countries, in particular those that are linked to the drivers of deforestation and forest degradation, is facilitated	Proportion of mandated outputs delivered and delivered on time	All outputs were delivered on time
A sufficient number of experts are trained and ready to contribute to the preparation of national communications and to other national reporting obligations	Number of national reports received by the secretariat Number of non-Annex I experts that have been trained	All 14 countries have prepared national reports that were incorporated into two regional reports (there are eight participating countries in the Southern and Eastern African regional capacity-building projects: Botswana, Malawi, Mauritius, Namibia, Rwanda, South Africa, United Republic of Tanzania and Zambia; the South-East Asian regional capacity-building projects involve five countries: Cambodia, Papua New Guinea, Philippines, Thailand and Viet Nam) Approximately 60 experts were trained in the use of agriculture, land-use and forestry software

Objective 3: To improve the methodological and scientific bases for enhancing the implementation of the Convention and its Kyoto Protocol

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided for the consideration of matters related to targets for Annex I Parties	Proportion of mandated outputs delivered and delivered on time	A total of six outputs were mandated to be delivered during the reporting period. These included MDA's continued support of negotiations on matters related to targets by Annex I Parties under the Ad Hoc Working Group on Long-term Cooperative Action under the Convention and the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol, the preparation of a technical paper on developed countries' targets and the organization of an in-session workshop on developed countries' mitigation in May 2012. All mandated outputs were delivered on time

Work on the methodological and scientific basis for long-term cooperative action on policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in developing countries (REDD-plus) in developing countries is facilitated	Proportion of mandated outputs delivered and delivered on time Number of submissions that are posted on the REDD Web Platform, developed in response to decision 2/CP.13	A total of three outputs were mandated to be delivered during the reporting period, including the preparation of a technical paper on modalities and procedures for financing results-based actions and considering activities related to decision 1/CP.16 and compilation of views from Parties on this matter; all were delivered on time A total of 183 submissions were posted on the REDD Web Platform during the reporting period
Work on methodological and scientific matters is facilitated, including in the areas of land use, land-use change and forestry, sectoral approaches, international aviation and maritime transport, and fluorinated gases	Proportion of mandated outputs delivered and delivered on time	A total of four outputs were mandated to be delivered during the reporting period. These included MDA's support of the launch of work programmes on land-use change and forestry and work on agriculture under the SBSTA, the compilation of views from Parties on agriculture, support of the ongoing work of the SBSTA on international aviation and maritime transport, and common metrics, and organization of a workshop on common metrics, as well as the preparation of a technical paper on implications of decisions 2/CMP.7 to 5/CMP.7 on the previous CMP decisions on methodological issues related to the Kyoto Protocol, including those relating to Articles 5, 7 and 8. All mandated outputs were delivered on time

Objective 4: To support Parties, in particular developing country Parties, in meeting their specific needs and concerns arising from the impact of the implementation of response measures

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Effective support is provided to work on the impact of the implementation of response measures, including support to the implementation of the work programme under the subsidiary bodies to address this impact; and a possible forum	Proportion of mandated outputs delivered and delivered on time	No outputs were mandated to be delivered during the reporting period

^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.

Table 20

Objectives, expected results,^a performance indicators and performance data for the Financial, Technology and Capacity-building programme
Objective 1: To support the intergovernmental process with regard to the provision of financial resources to developing country Parties for adaptation and mitigation

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Parties are effectively supported in intergovernmental negotiations on the financial mechanism of the Convention and on the provisions of the Cancun Agreements relating to fast-start finance, long-term finance, the Green Climate Fund and the Standing Committee	Number of mandated outputs delivered in a timely manner	During the reporting period, a total of two mandates required output delivery. The required outputs were two regional workshops on the process and the requirements for the accreditation of national implementing entities for direct access under the Adaptation Fund. Both workshops were held on time
Parties are regularly provided with information on financial resources to assist developing country Parties in implementing mitigation and adaptation measures	Proportion of mandated outputs delivered and delivered on time Number of visits to the section on the financial mechanism on the UNFCCC website	The finance portal modules on fast-start finance and the Global Environment Facility (GEF) have been updated and a new module on the Adaptation Fund has been developed. New web pages on long-term finance and the Standing Committee have been developed A total of 40,000 visits were made to the sections on the financial mechanism and long-term finance
Information on the implementation of the Conference of the Parties (COP) guidance to the GEF as an operating entity of the financial mechanism, as well as on the work of the Adaptation Fund, is provided regularly and in accordance with mandates under the Convention	Number of reports prepared by the GEF made available by the secretariat in a timely manner Number of reports prepared by the Adaptation Fund Board made available by the secretariat in a timely manner	No reports were mandated to be delivered during the reporting period No reports were mandated to be delivered during the reporting period
Parties are supported effectively in the fifth review of the financial mechanism	Proportion of mandated outputs delivered and delivered on time	No outputs were mandated to be delivered during the reporting period

Objective 2: To support and enhance cooperation among Parties and relevant organizations on the research, development, demonstration, deployment, diffusion and transfer of technologies for adaptation

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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Effective support is provided for making the Technology Mechanism fully operational, as mandated by the Cancun Agreements and in response to other subsequent decisions of the COP	Proportion of mandated outputs delivered and delivered on time	A total of two outputs were required to be delivered during the reporting period. Two reports were made available on time for consideration by the Subsidiary Body for Scientific and Technological Advice and the Subsidiary Body for Implementation (SBI) at their thirty-sixth sessions
Effective support is provided to the Technology Executive Committee (TEC)	<p>The draft business plans of the TEC are developed in accordance with its decisions</p> <p>The annual report of the TEC is made available in a timely manner</p>	<p>The rolling workplan was developed by the TEC at its 2nd meeting in line with its decisions</p> <p>This report was not mandated to be made available during the reporting period</p>
Effective support is provided in relation to the Climate Technology Centre and Network, as mandated by the COP and relevant bodies	The selection process for the host of the Climate Technology Centre and Network is launched and completed in a timely manner, in accordance with relevant COP decisions	<p>The call for proposals for hosting the Climate Technology Centre was issued in a timely manner and all related documents were made available on the UNFCCC website</p> <p>Eight proposals were received from interested organizations and one proposal from a consortium of 13 organizations</p> <p>A meeting of the evaluation panel was convened in Bonn, Germany, and six conference calls were organized</p> <p>The report on the evaluation of the proposals to host the Climate Technology Centre was made available to the SBI at its thirty-sixth session</p>
Effective support is provided for the implementation of the Technology Transfer Framework in collaboration with partner organizations	Proportion of mandated outputs delivered and delivered on time	No outputs were mandated to be delivered during the reporting period
The technology information clearing house (TT:CLEAR) is transformed to become the technology information platform of the Technology Mechanism	<p>Number of visits to the technology information platform</p> <p>Number of page displays and file downloads</p>	Data on the number of visits, page displays and downloads are not available as the transformation of TT:CLEAR is ongoing

Objective 3: To enhance the capacity of Parties, and education, training and public awareness activities by Parties, in particular developing country Parties, for their active engagement and participation in implementing the Convention and its Kyoto Protocol

<i>Expected result(s) under the Convention</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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Parties are regularly provided with information on progress, effectiveness and gaps in capacity-building for Parties not included in Annex I to the Convention and Parties with economies in transition	Annual reports made available in a timely manner	Five reports were mandated and made available during the reporting period. Three reports were made available on time and two were delayed owing to resource constraints
Information is made available on the support provided by developed country Parties for capacity-building in developing country Parties as well as on the use of this support	Information from submissions is compiled and made available on the UNFCCC website in a timely manner	One submission was received during the reporting period. The submission has been made available on the UNFCCC website in a timely manner
The implementation of the capacity-building frameworks is facilitated through coordination with United Nations agencies and other relevant institutions	<p>Number of partner agencies and organizations that contribute to the implementation of the capacity-building frameworks</p> <p>Number of activities undertaken by partner agencies and relevant organizations to contribute to the implementation of the frameworks for capacity-building as listed in annual reports</p>	<p>Thirty-one partner agencies and organizations contributed to the implementation of the capacity-building frameworks</p> <p>A total of 201 activities were submitted during the reporting period by partner agencies and relevant organizations are listed in the annual report</p>
Effective support is provided to the review of the implementation of the amended New Delhi work programme on Article 6 of the Convention	Proportion of mandated outputs delivered on time	Four outputs were mandated to be delivered during the reporting period. Two documents were made available to the SBI on time and two were delayed owing to resource constraints
Relevant United Nations agencies and other organizations are mobilized to provide coordinated input on the implementation of the work programme on Article 6 of the Convention	Number of relevant United Nations agencies and other organizations mobilized by the secretariat to provide input on the implementation of the work programme on Article 6 of the Convention	Fifteen intergovernmental and eight non-governmental organizations were mobilized by the secretariat during the reporting period
The information network clearing house (CC:iNet) is used actively as a tool to enhance the exchange of information on activities under Article 6 of the	<p>Number of registered users in different world regions</p> <p>Number of page displays and file downloads</p>	<p>A total of 385 users from different world regions were registered</p> <p>More than 41,000 page displays and almost 3,000 downloads were registered on CC:iNet during the reporting period</p>

Convention	Level of satisfaction with CC:iNet of users surveyed	A total of 84 responses were received to an online user survey on CC:iNet conducted in January and February 2012; 86 per cent of survey respondents found that the navigation structure of CC:iNet provides easy access to information and 70 per cent rated the layout of CC:iNet web pages and the quality of information as good or excellent. The survey was advertised on the UNFCCC website and the homepage of CC:iNet and e-mail notifications were sent to all Parties and more than 1,600 admitted observer organizations as well as to registered CC:iNet users and participants of regional workshops on the implementation of Article 6 of the Convention
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^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.

Table 21

Objectives, expected results,^a performance indicators and performance data for the Adaptation programme
Objective 1: To support Parties, in particular developing country Parties, in assessing impacts, vulnerability and risks and in developing and implementing adaptation plans, policies and actions

<i>Expected result(s) under the Convention</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The overall coherent implementation of the Cancun Adaptation Framework (CAF) is facilitated	Proportion of mandated outputs delivered and delivered on time	Fourteen mandates required output delivery during the reporting period, pertaining to all three components of the CAF. All mandates were delivered. Those that had a specific delivery date were delivered on time, except several documents depending on timely receipt of submissions and two documents that were slightly delayed owing to the timing of related activities
The work of the Adaptation Committee (AC)	Proportion of mandated AC reports delivered and delivered on time Number of working papers and information products prepared in support of the work programme of the AC Level of satisfaction of AC members with the secretariat's support for the work of the AC	Owing to the delay in membership nominations, the 1 st meeting of the AC was delayed until after the reporting period. Consequently, no activities could be initiated
The process for the formulation and implementation of national adaptation plans (NAPs) is facilitated	Proportion of mandated outputs delivered and delivered on time	Six mandates to the secretariat required output delivery during the reporting period. These include requests for three miscellaneous documents, one synthesis report and ongoing mandates pertaining to the use and enhancement of existing databases and the collection and compilation of information for the Subsidiary Body for Implementation (SBI) to monitor and evaluate the NAP process. All mandates with specific deadlines were delivered on time

	Number of experts trained in the modalities and guidelines for the NAPs	Since the Least Developed Countries Expert Group (LEG) technical guidelines will only be available in December 2012, training, if any, can only be scheduled from 2013 onwards
The implementation of the work programme on loss and damage is facilitated	Proportion of mandated outputs delivered and delivered on time	Seven mandates required output delivery during the reporting period. Two expert meetings were organized and one expert meeting report, one technical paper and one background document were produced, two out of which were slightly delayed owing to the timing of related mandated activities. The secretariat also complied with the mandates to invite experts from regional centres and networks to expert meetings and to engage stakeholders
The implementation of the Nairobi work programme on impacts, vulnerability and adaptation to climate change is facilitated	Proportion of mandated outputs delivered and delivered on time	Four mandates required output delivery during the reporting period. These include a progress report to the Subsidiary Body for Scientific and Technological Advice (SBSTA), which was delivered on time, and ongoing mandates relating to stakeholder engagement and the development of information and knowledge products and their dissemination. One knowledge product on the private-sector initiative and one issue of the electronic newsletter (eUpdate) were produced during the reporting period. Stakeholder engagement is ongoing
	Number of action pledges by Nairobi work programme partners	During the reporting period the secretariat received a total of eight new action pledges, bringing the total number of action pledges received to 169
The work of the LEG is facilitated	Proportion of mandated LEG reports delivered and delivered on time	One LEG report was mandated to be delivered during the reporting period and was made available on time
	Number of working papers and information products prepared in support of the work programme of the LEG	A total of six such working papers and information products were prepared
	Level of satisfaction of LEG members with the secretariat's support of the work of the LEG	This information will be contained in the budget performance report covering the period 1 January 2012 to 30 June 2013
Collaboration between the LEG and the Global Environment Facility (GEF) and its agencies is facilitated	Number of joint activities between the GEF and its agencies and the LEG facilitated by the secretariat	Three such activities were facilitated by the secretariat: one LEG meeting; one LEG side event at SBI 36; and the commencement of the development of training materials for LEG 2012–2013 regional training workshops through electronic means and interaction during subsidiary body sessions

Objective 2: To support the review of the adequacy of the long-term global goal and the consideration of various matters related to science, research and systematic observation

Expected result(s) *Performance indicator(s)* *Performance data*

Work by the Convention bodies on matters related to science, research and systematic observation is facilitated	Proportion of mandated outputs delivered and delivered on time	Three mandates required output delivery during the reporting period. These include the continuation of the organization of the research dialogue, the production of miscellaneous documents containing additional views on the research dialogue and enhancing the visibility of scientific information relevant to the Convention on the UNFCCC website. The first two outputs were delivered on time, the third is ongoing in a timely manner
	Number of international and regional programmes and organizations taking part in the research dialogue under the Convention	Fifteen presentations were made during the research dialogue held during SBSTA 36, with 11 presentations being made on behalf of 10 international research programmes and organizations and the Intergovernmental Panel on Climate Change, and four presentations made on behalf of Parties, with two of them representing a group of Parties
The review of the adequacy of the long-term global goal is facilitated	Proportion of mandated outputs delivered and delivered on time	The secretariat has not received any specific mandates on this matter yet

Objective 3: To engage a wide range of stakeholders and to widely disseminate information by effective means, including through the UNFCCC website

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
A wide range of stakeholders is engaged in the implementation of all relevant mandates	Number of collaborative activities facilitated between organizations and between Parties and organizations	The programme continued the facilitation of collaborative activities between organizations and between Parties and organizations in all its different workstreams. These include organizing eight workshops, expert meetings and informal meetings, and one research dialogue meeting, the production of six documents with stakeholder input, contribution to the Momentum for Change initiative and ongoing communication with all stakeholders across all workstreams
	Diversity of engaged stakeholders, including by geographic region, type and sector	The diversity of engaged stakeholders spans all regions, types, sectors and climate hazards. Statistical data are constantly updated and available on the programme's partners, action pledges and experts database < http://unfccc.int/5005.php >
Comprehensive user-friendly information is made available in a timely manner	Number of visits to the sections of the UNFCCC website dedicated to adaptation	During the reporting period the main page to the adaptation portal < http://unfccc.int/4159 > was accessed 43,753 times. All related subpages had a total of 749,941 views
	Information contained in the sections of the UNFCCC website dedicated to adaptation is regularly updated	Information contained in the sections of the UNFCCC website dedicated to adaptation was updated on a continuous basis
	Level of satisfaction of users of the section of the UNFCCC website dedicated to adaptation	This information will be contained in the budget performance report covering the period 1 January 2012 to 30 June 2013

^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.

Table 22

Objectives, expected results,^a performance indicators and performance data for the Sustainable Development Mechanisms programme
Objective 1: To support and optimize the operation of the clean development mechanism

<i>Expected result(s) under the Kyoto Protocol</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Meetings of the clean development mechanism (CDM) Executive Board are organized efficiently and are well supported	Level of satisfaction of the members of the CDM Executive Board with the support provided by the programme for their work	Information on the level of satisfaction of the members of the CDM Executive Board with the support provided by the programme for their work will be made available in the budget performance report covering the period 1 January 2012 to 30 June 2013
	Proportion of meeting documents made available fully in accordance with the rules of procedure of the CDM Executive Board	Eighty-eight per cent of meeting documents were made available fully in accordance with the rules of procedure. The remaining documents were late submissions pending inputs from external stakeholders and panels.
The work of the CDM Executive Board on methodologies is facilitated	Level of satisfaction of the members of the Methodologies Panel, Afforestation and Reforestation Working Group and CDM Small-scale Working Group with the support provided by the secretariat for their work	Information on the level of satisfaction of the members of the Methodologies Panel, Afforestation and Reforestation Working Group and CDM Small-scale Working Group with the support provided by the secretariat for their work will be made available in the budget performance report covering the period 1 January 2012 to 30 June 2013
	Proportion of new methodologies processed fully in accordance with the established procedures and timelines	The secretariat facilitated the work of the CDM Executive Board on methodologies such that all new methodologies were processed fully in accordance with the established procedures and timelines
	Proportion of requests for revisions of, clarifications to, and deviations from, approved methodologies processed fully in accordance with the procedures and timelines	The secretariat facilitated the work of the CDM Executive Board on methodologies such that 99 per cent of requests for revisions, clarifications and deviations were processed fully in accordance with the established procedures and timelines
The registration of CDM projects and the issuance of certified emission reductions are facilitated	Proportion of summary notes delivered to the CDM Executive Board within the specified timelines	Ninety-six per cent of the summary notes prepared for the period 1 January 2012 to 30 June 2012 in accordance with the procedures for registration and the procedures for issuance were delivered within the specified timelines
	Proportion of project completeness checks conducted within the specified timelines	In the period January to June 2012, 96 per cent of the commenced completeness checks and 97 per cent of the commenced information and reporting checks were conducted within the specified timelines

^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.

Table 23

Objectives, expected results,^a performance indicators and performance data for the Legal Affairs programme**Objective 1: To provide legal advice and support so that the Convention and its Kyoto Protocol as well as the outcomes from the negotiations under the Bali Road Map are implemented and the associated intergovernmental process is conducted in accordance with legal, procedural and institutional requirements**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The Convention and its Kyoto Protocol, as well as any new international agreement(s) adopted thereunder, are interpreted and implemented in accordance with relevant legal, procedural and institutional requirements	Absence of complaints by Parties and other stakeholders regarding the timeliness and soundness of legal advice and support provided by the secretariat	During the first half of 2012, the Legal Affairs programme issued at least 18 legal opinions on different legal issues, five of these directly to Parties, and provided legal advice on at least 18 different legal issues. The secretariat did not receive any complaints from Parties and other stakeholders regarding the timeliness and soundness of such legal advice and the legal support provided by the secretariat during the reporting period
The bodies established under the Convention and its Kyoto Protocol, including constituted bodies, as well as the intergovernmental process, function and operate in accordance with legal, procedural and institutional requirements	Absence of complaints raised by Parties with respect to the legal support provided by the secretariat to the intergovernmental process and the operation and functioning of the constituted bodies under the Kyoto Protocol	The intergovernmental process was also a major area of concentration for the legal advice provided by the Legal Affairs programme during this period. Eight of the 18 legal opinions issued in the first half of 2012 dealt with the legal, procedural and institutional requirements of the intergovernmental process
The bodies established under the Convention and its Kyoto Protocol, including constituted bodies, as well as the intergovernmental process, function and operate in accordance with legal, procedural and institutional requirements	Proportion of concerns, issues or disputes raised by public or private entities against constituted bodies under the Kyoto Protocol or their members that are addressed and resolved expeditiously and amicably and do not result in legal action against individuals serving on constituted bodies	The concerns and issues that were raised by public or private entities against constituted bodies or their members in the reporting period were expeditiously resolved and did not lead to legal action against any individuals serving on the constituted bodies

Objective 2: To facilitate the operations of the secretariat and to ensure that they are conducted in accordance with legal, procedural and institutional requirements

<i>Expected result(s)</i>	<i>Performance indicators</i>	<i>Performance data</i>
The operations of the secretariat are conducted in accordance with applicable United Nations rules and regulations, the legislative authority of the Conference of the Parties, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) and UNFCCC	The number of contracts and other legal instruments between the secretariat and service providers, governments and other partners that are concluded expeditiously and in a legally sound manner, thereby facilitating the smooth operation of the secretariat	The secretariat concluded 53 legal instruments with third parties during the first half of 2012 after these instruments had been reviewed/drafted and cleared by the Legal Affairs programme. No disputes have arisen nor were issues raised in the implementation of these instruments

requirements	The number of audit queries and queries raised by the United Nations Office of Legal Affairs on the appropriateness of legal arrangements entered into by the secretariat	From the period 1 January to June 2012, no audit queries or queries from the United Nations Office of Legal Affairs were received in relation to the appropriateness of legal arrangements entered into by the secretariat
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Objective 3: To facilitate the effective operation of the compliance mechanism in support of the environmental integrity of the Kyoto Protocol and the credibility of the market mechanisms (Article 18 of the Kyoto Protocol, decisions 27/CMP.1, 4/CMP.2 and 4/CMP.4)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The Compliance Committee takes decisions based on the best available information	Proportion of outputs made available on time	From 1 January 2012 to 30 June 2012, two meetings of the enforcement branch, one meeting of the facilitative branch and one meeting of the plenary took place. In the reporting period, the Legal Affairs programme delivered all its mandated outputs relating to the Compliance Committee on time (i.e. in accordance with the timelines set out in the procedures and mechanisms relating to compliance under the Kyoto Protocol (annex to decision 27/CMP.1) and the rules of procedure of the Compliance Committee of the Kyoto Protocol (annex to decision 4/CMP.2, as amended by decision 4/CMP.4))
	Level of satisfaction expressed by members of the Compliance Committee and alternate members regarding secretariat support	Information on the level of satisfaction expressed by members of the Compliance Committee and alternate members with support provided by the secretariat will be made available in the budget performance report covering the period 1 January 2012 to 30 June 2013
The CMP is provided with adequate and effective support in the development of policy guidance to the Compliance Committee	Absence of complaints raised by Parties with respect to the legal support provided by the secretariat in the development of policy guidance	No session of the CMP was held during the reporting period. The secretariat provides support in the development of policy guidance to the Compliance Committee towards the end of each year, when the annual report of the Compliance Committee to the CMP is made available
Information on the actions taken by the Compliance Committee is made available in a clear and timely fashion	Proportion of Compliance Committee documents made available to the members and alternate members of the Compliance Committee, Parties concerned and the general public in accordance with the rules of procedure of the Compliance Committee	In the reporting period, all the Compliance Committee documents prepared by the secretariat were made available to the members and alternate members of the Compliance Committee, the Party with respect to which a question/questions of implementation had been raised and the public, as appropriate, in accordance with the timelines set out in the annex to decision 27/CMP.1 and the annex to decision 4/CMP.2, as amended by decision 4/CMP.4

^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol

Table 24

Objectives, expected results,^a performance indicators and performance data for the Conference Affairs Services programme**Objective 1: To advance the climate change intergovernmental process as a result of participation in UNFCCC conferences and events**

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The secretariat's relations with Parties are strengthened through networking, the provision of information, in-depth insight and analysis, problem solving and the appropriate treatment of delegates according to protocol	Proportion of communications responded to Average response	The programme responded to all communications The average response time was 67 minutes
Representatives of eligible Parties, including those eligible for funding through the Trust Fund for Participation in the UNFCCC Process, can attend all relevant meetings and actively participate in this process	Proportion of eligible participants funded	A total of 218 participants were eligible for funding during the reporting period. Ninety-one per cent were actually funded. The remaining 9 per cent did not take advantage of the offer for funding, mainly because they did not respond to the offer or declined the offer for unknown reasons
Eligible members of civil society and intergovernmental organizations are admitted and participate within the capacity limit of the venue	Efficiency of admission process (waiting and processing time) Proportion of timely applications that are reviewed and processed in time for possible admission to the Conference of the Parties (COP)	Notification of admission was not to be undertaken during the reporting period A total of 150 applications were received during the reporting period and all were reviewed and processed in time for possible admission to COP 18
Input by observer organizations to the intergovernmental process is facilitated	Number of opportunities to make submissions to the negotiation process and opportunities to intervene at plenary and in contact groups and in-session workshops of UNFCCC sessions	During the reporting period, observer organizations made a total of 141 submissions to the negotiation process. In addition, observer organizations made a total of 32 interventions at the subsidiary body sessions held in May 2012 in Bonn, Germany, in plenary or in contact group meetings or during in-session workshops

Objective 2: To facilitate the work of delegates and assist in the intergovernmental process by maintaining the quality, clarity and readability of documents, and ensuring their timely availability

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
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Official documents are available on time	Percentage of documents, including versions in the six official languages, that have been submitted to the Conference Affairs Services programme in accordance with United Nations deadlines available to Parties on time	A total of 75 documents were mandated to be made available during the reporting period. All documents were made available and 47 per cent of the regular documents (nine of 19) were made available in accordance with the United Nations deadlines for translated documents
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Objective 3: To provide suitable surroundings and comprehensive, state-of-the-art logistical and technical support so that meetings proceed smoothly and productively

<i>Expected result(s)</i>	<i>Performance indicator</i>	<i>Performance data</i>
Comprehensive and functional conference facilities for participants in the climate change negotiation process are planned and provided for during the sessions, workshops and meetings	Number of complaints received with regard to conference facilities	No complaints were received with regard to conference facilities during the reporting period
Comprehensive and functional working conditions for staff are planned and provided for during the sessions, workshops and meetings	Number of reports of technical failures for sound, projectors, electricity, etc., relative to the size, duration and frequency of workshops and meetings	No reports of technical failures for sound, projectors, electricity, etc., were received during the reporting period

^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.

Table 25

Objectives, expected results,^a performance indicators and performance data for the Communications and Knowledge Management Services programme

Objective 1: To make available to Parties, observer organizations, the public and other stakeholders authoritative and up-to-date information regarding the UNFCCC process in a user-friendly and cost-efficient manner

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The UNFCCC website is recognized as the central information source for authoritative, up-to-date, comprehensive and user-friendly information on the UNFCCC process	Number of users accessing website content	A total of about five million visits to the website were made during the reporting period
	Amount of data downloaded	Over 16 million pdf documents were accessed
	Number of webcast visits	Over 65,000 webcast visits were made
The UNFCCC publications meet the needs of Parties and stakeholders	Level of satisfaction of Parties and stakeholders with the publications	Information on the level of satisfaction of Parties and stakeholders with the publications will be made available in the performance report covering the period 1 January 2012 to 30 June 2013

Journalists from developed and developing countries consistently attend intersessional and sessional meetings	Number of journalists from developed and developing countries attending the sessional meetings	Forty-three journalists attended the sessions of the subsidiary bodies in May 2012
External communication efforts regarding the UNFCCC are coordinated across the United Nations system	Evaluation of the outcomes of the annual communications plan of the United Nations Communications Group Task Force on Climate Change	Information on the evaluation of the outcomes of the 2012 communications plan of the United Nations Communications Group Task Force on Climate Change will be made available in the performance report covering the period 1 January 2012 to 30 June 2013
Global and specialist media and non-governmental organizations are informed about the progress and the decisions of the intergovernmental process and its objectives	Assessment of information and data on online accesses to information on the website and comments posted to Facebook and retweets of secretariat tweets on Twitter	In the first half of 2012, the secretariat posted a total of 234 tweets on Twitter and 310 comments on the UNFCCC Facebook site. Many of these messages were spread within the stakeholder community, with individual tweets by the Executive Secretary on the United Nations climate process and related events retweeted up to 70,000 times. Following Communications and Knowledge Management Services (CKM) programme social media support of the first long-term finance workshop, the head of Climate Action Network International, an umbrella organization comprising more than 700 non-governmental organizations, personally thanked the Executive Secretary for fully enabling civil society participation via social media and webcasts
	Number of media that the UNFCCC reaches	CKM reached more than 3,000 journalists via its global media distribution lists. A total of 32,000 individuals and organizations were reached via social media (Twitter and Facebook) and around 43,000 via the UNFCCC electronic newsletter

Objective 2: To facilitate search and retrieval of UNFCCC documents and to improve knowledge-sharing and collaboration

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Parties, observers, secretariat staff and the general public have ready access to well-organized and comprehensive official documentation of the climate change negotiation process	Number of official documents published on the UNFCCC website within the agreed time frames	A total of 715 official documents were posted in a timely manner
Records are managed in accordance with secretariat policy and guidelines	Number of electronic records captured in the secretariat's records management system	During the reporting period, no additional records were captured in the secretariat's records management system. The total number of records captured so far is 3,472

Internal communication, collaboration and knowledge-sharing is improved through the use of the appropriate tools and systems, including an enhanced Intranet	Level of staff satisfaction with the relaunched Intranet	Staff provided feedback indicating that finding information on the secretariat Intranet has become much easier since its relaunch in late 2011
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^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.

Table 26

Objectives, expected results,^a performance indicators and performance data for the Information Technology Services programme

Objective 1: To maintain and strengthen the secretariat's information technology (IT) infrastructure

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
The IT network infrastructure and support provide an enabling environment for the secretariat to service the Parties' requirements for conferences and mandated activities	Ninety-eight per cent overall planned information and communications technology network availability	<p>Out of 17 monitored systems, 14 reached an availability of over 98 per cent. Three of the systems reached an availability of between 97 per cent and 98 per cent, owing to failures of ageing hardware. For these three systems technology redesign projects have already been initiated</p> <p>During the reporting period, the Information Technology Services (ITS) programme supported a subsidiary body session in Bonn, Germany, 49 workshops within the Bonn region and three external workshops in Parties not included in Annex I to the Convention; 15 workshops involved the provision of virtual presence facilities, such as WebEx or Skype, which enabled remote delegates to actively participate in the workshops without having to travel</p>
Service levels in relation to the help desk and security and disaster recovery meet the requirements	Incident/problem management times meet at a minimum the agreed times in the service-level agreement (SLA)	<p>During the reporting period, 6,423 work orders were created by the in-house service desk (which excludes the externally contracted international transaction log (ITL) service desk). A total of 98 per cent were answered within the timelines specified in the SLA and 90 per cent were resolved within the timelines specified in the SLA. Remedial actions will be investigated during the second half of 2012 to improve the resolution rate</p> <p>The performance metrics set for the ITL service desk are: 90 per cent of phone calls answered within 10 seconds, no more than 5 per cent of calls abandoned (user hanging up before being answered) within 20 seconds and 85 per cent of e-mails answered within 30 minutes. These three targets were met and exceeded for the six-month period ending in June 2012: 96 per cent of phone calls were answered within 10 seconds, 3 per cent of phone calls were abandoned within 20 seconds and 95 per cent of e-mails were answered within 30 minutes</p>

Information system for Parties and secretariat use are well developed and supported	All systems are delivered and/or supported in accordance with the requirements defined in the engagement agreements (EAs)	<p>Six out of 10 expected EAs have been signed between ITS and the programmes</p> <p>The current EA season has registered a total of 191 projects and services – comprising 73 funded, 66 potentially funded and 52 unfunded required activities</p> <p>An EA prioritization process is in progress to review and advise on the selection of the most important projects to implement out of the 52 unfunded projects and services. This work is being carried out by a small task force mandated by the Deputy Executive Secretary</p> <p>A monthly EA report (with operational indicators on projects/services and budgetary status) started being sent out to the programmes in June 2012</p> <p>Given that the work on most systems delivery and enhancements is accomplished over the year and the late signing of EAs, statistics on actual percentages of systems delivered will be available only at the year end</p> <p>The technology information clearing house (TT:Clear) system reached an availability rate of 97.3 per cent and the web submission workflow system reached an availability rate of 97.63 per cent. Both systems did not reach the availability target. Remedial actions will be investigated during last half of 2012 to improve the resolution rate</p>
The UNFCCC systems supporting the operation of market-based mechanisms under the Kyoto Protocol function properly	The ITL, the clean development mechanism registry and other information systems supporting the market-based mechanisms are available without unplanned interruptions; their downtimes are kept to a minimum	<p>The ITL has an annual availability target of 99.9 per cent for its production system. The ITL has an annual availability target of 98.5 per cent for its non-production system</p> <p>For the six-month period ending in June 2012, the production system availability stood at 99.82 per cent, missing the targeted threshold. The availability of the non-production system was 99.7 per cent, exceeding the target. Remedial actions will be investigated during the second half of 2012 in order to improve the availability rate of the production system</p> <p>The clean development mechanism/joint implementation information system reached an availability rate of 95 per cent and did not reach the availability target of 98 per cent. Remedial actions will be investigated during second half of 2012 in order to improve the availability rate</p>

^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.

Table 27

Objectives, expected results,^a performance indicators and performance data for the Administrative Services programme
Objective 1: To facilitate the mobilization, allocation and utilization of resources (Article 8, para. 2(f), of the Convention, Article 14, para. 2, of the Kyoto Protocol, and decision 15/CP.1)

<i>Expected result(s)</i>	<i>Performance indicator</i>	<i>Performance data</i>
Parties are kept fully informed on the receipt and use of financial resources	Financial statements are made available to Parties in a timely manner	The audited financial statements for the biennium 2010–2011 were completed on time and will be presented to the Subsidiary Body for Implementation in November 2012
Coherence and transparency in fundraising and use of funds	Proportion of donor reports sent on time	A total of 38 donor reports were due during the reporting period, out of which 17 (or 44.7 per cent) were issued on time. In addition, the programme issued five out of seven overdue reports from the previous period
Optimal budget implementation rate	Proportion of actual expenditure compared with the approved budget	The 2012–2013 core budget for the secretariat had a budget implementation rate of 46.8 per cent through to June 2012, which is below the ideal implementation rate of 50 per cent
Compliance with United Nations financial rules and regulations and with UNFCCC financial procedures	Implementation rate of audit recommendations relating to financial and administrative matters	Of the 10 audit recommendations made for the biennium 2008–2009, seven (70 per cent) were fully implemented. The United Nations Board of Auditors noted an improved implementation rate compared with the 38 per cent in the previous biennium. The Board plans to issue three new audit recommendations as a result of the 2010–2011 audit

Objective 2: To facilitate the timely recruitment and retention of staff who meet the highest standards of efficiency, competence and integrity in order to support mandated activities to the largest extent possible (Article 8, para. 2(f), of the Convention, and Article 14, para. 2, of the Kyoto Protocol)

<i>Expected result(s)</i>	<i>Performance indicator(s)</i>	<i>Performance data</i>
Competent and motivated staff reflecting a broad geographic spectrum and gender balance are recruited and retained	Percentage of staff from Parties not included in Annex I to the Convention (non-Annex I Parties)	As at 30 June 2012, a share of 49.4 per cent of staff in the Professional and higher levels were from non-Annex I Parties
	Percentage of recruitment completed within the required time frame	The targeted time frame for recruiting UNFCCC staff (from posting of the vacancy announcement on the UNFCCC website to notification of the selected candidate) is four months. A total of 17 (83 per cent) recruitment cases were completed during the reporting period in accordance with the above time frame
	Percentage of women in Professional and higher-level posts	As at 30 June 2012, 42.4 per cent of staff in the Professional and higher levels were women
	Number of training programmes designed and implemented	A total of 138 classroom training sessions took place during the reporting period

A total of 29 e-learning courses were made available to staff

The Skillsoft courses library, comprising 2,695 course titles, was partially made available to staff in some programmes

Objective 3: To provide travel and procurement services that respond adequately to the needs of Parties (Article 8, para. 2(f), of the Convention, and Article 14, para. 2, of the Kyoto Protocol)

<i>Expected result(s)</i>	<i>Performance indicator</i>	<i>Performance data</i>
Travel of delegates and staff to official meetings is arranged effectively and efficiently	Proportion of participants attending meetings versus nominations	Ninety-one per cent of nominated participants attended meetings (1,168 out of 1,277)
Procurement of goods and services is carried out in a timely manner in accordance with United Nations rules and regulations and with UNFCCC policies	Percentage of procurement cases processed within established time frames	Over 98 per cent of procurement cases were processed within the established time frames (361 out of 366)
	Average number of responses received per tender	On average, more than three offers, bids and proposals were received in response to each of the secretariat's 63 tenders conducted in the reporting period
	Difference between vendors selected and highest offer received	On average, the offers selected following a tendering process were 34 per cent less expensive than the highest offers received

^a Unless otherwise stated, expected results relate to both work under the Convention and work under the Kyoto Protocol.