



## Framework Convention on Climate Change

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### Subsidiary Body for Implementation

Thirty-fourth session

Bonn, 6–16 June 2011

Item 2(a) of the provisional agenda

**Organizational matters**

**Adoption of the agenda**

### Provisional agenda and annotations

Revised note by the Executive Secretary\*

#### I. Provisional agenda

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Organization of the work of the session.
3. National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention:
  - (a) Status of submission and review of fifth national communications from Parties included in Annex I to the Convention;
  - (b) Compilation and synthesis of fifth national communications from Parties included in Annex I to the Convention;
  - (c) Compilation and synthesis of supplementary information incorporated in fifth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol and submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol;
  - (d) Further implementation of Article 12, paragraph 5, of the Convention;<sup>1</sup>
  - (e) Other matters relating to the work programme referred to in decision 1/CP.16, paragraph 46.

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\* The document has been submitted late on 6 June 2011, following the consultations with Parties convened on the same day.

<sup>1</sup> Including consideration of decision 1/CP.16, paragraphs 40 and 46.

4. National communications from Parties not included in Annex I to the Convention:
  - (a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention;
  - (b) Information contained in national communications from Parties not included in Annex I to the Convention;<sup>2</sup>
  - (c) Further implementation of Article 12, paragraph 5, of the Convention;<sup>3</sup>
  - (d) Provision of financial and technical support;
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  - (b) Matters relating to the least developed countries.
8. Modalities and guidelines to enable least developed countries and other developing countries to formulate and implement national adaptation plans.
9. Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change
  - Activities to be undertaken under the work programme.
10. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol.
11. Forum on the impact of the implementation of response measures.<sup>4</sup>
12. Development and transfer of technologies.
13. Capacity-building under the Convention.
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15. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance.
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17. Arrangements for intergovernmental meetings:
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  - (d) Organization of the intergovernmental process;

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<sup>2</sup> At the thirty-third session of the Subsidiary Body for Implementation (SBI), there was no consensus to include this item on the agenda. It was therefore held in abeyance. On a proposal by the Chair, the SBI decided to include this item on the provisional agenda for its thirty-fourth session.

<sup>3</sup> Including consideration of decision 1/CP.16, paragraphs 60 and 66.

<sup>4</sup> Decision 1/CP.16 paragraph 93.

- (e) Observer organizations in the intergovernmental process.
- 18. Administrative, financial and institutional matters:
  - (a) Budget performance for the biennium 2010–2011;
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  - (c) Implementation of the Headquarters Agreement;
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- 19. Other matters.
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## II. Annotations to the provisional agenda

### 1. Opening of the session

1. The thirty-fourth session of the Subsidiary Body for Implementation (SBI) will be opened by the Chair on Monday, 6 June 2011.

### 2. Organizational matters

#### (a) Adoption of the agenda

2. The provisional agenda, prepared by the Executive Secretary in consultation with the Chair of the SBI, will be presented for adoption.

<p><i>FCCC/SBI/2011/1/Rev.1</i>      <i>Provisional agenda and annotations. Revised note by the Executive Secretary</i></p>
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#### (b) Organization of the work of the session

3. *Background:* The thirty-fourth session of the SBI will be held from 6 to 16 June 2011. A detailed schedule of the session will be posted on the UNFCCC website.

4. The Conference of the Parties (COP), at its sixteenth session, took a series of decisions, including decision 1/CP.16, that provide direction for the implementation of long-term cooperative action under the Convention. The provisional agenda reflects that direction given by the COP. Coordination among the various bodies undertaking related tasks will be essential. In preparing the work of the SBI, the Chair consulted with the chairs of related bodies, and will continue to liaise closely with them, including during the session. In particular, the Chair of the SBI will consult with the Chair of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) on matters related to the organization of work on mitigation.

5. Given that four bodies will be meeting during the sessional period, the time available for contact groups and informal consultations will be limited. To maximize the use of meeting time, the Chairs may, in consultation with Parties, propose time-saving measures.

6. The SBI, at its thirty-second session, recalled its recommendation in previous conclusions that all meetings end by 6 p.m., particularly to give Parties and regional groups sufficient time to prepare for daily meetings, but may, in exceptional circumstances, and on a case-by-case basis, continue for two to three hours.<sup>5</sup> In addition, the SBI recommended

<sup>5</sup> FCCC/SBI/2010/10, paragraph 165.

that the secretariat follow the practice of holding no more than two meetings of plenary and/or contact groups concurrently, with the total number of meetings held concurrently, including informals, not exceeding six, to the extent possible. It also recommended that the secretariat continue to take into consideration, when scheduling meetings, the constraints of delegations and avoid clashes on similar issues to the extent possible.<sup>6</sup> The session will be organized taking these things into account. In order to enable delegations to participate fully in other meetings taking place in parallel, the SBI will be invited to conduct its deliberations as efficiently as possible, including optimizing the time used for plenary and for informal negotiations, and to finish its work within the time foreseen. Items that are not concluded at this session will be forwarded to the SBI for consideration at its thirty-fifth or thirty-sixth session.

7. In accordance with the conclusions adopted by the SBI at its thirty-second session,<sup>7</sup> representatives of Parties and international organizations are requested to keep their oral statements as brief as possible. Those representatives wishing to make available a written statement should bring copies for distribution.

8. Parties are invited to refer to the overview of the session posted on the UNFCCC website and to consult the Daily Programme, published during the session, for a detailed and up-to-date schedule of the work of the SBI, as well as for more information on mandated in-session events, as detailed in paragraphs 9, 71 and 105 below.

9. The SBI, at its thirty-third session,<sup>8</sup> agreed to convene an in-session workshop in 2011 to further develop ways to enhance the engagement of admitted observer organizations in accordance with Article 7, paragraph 6, of the Convention.

10. When considering relevant agenda items, Parties are further invited to take into account information contained in document FCCC/SB/2007/INF.2 on the relationship of various provisions of the Mauritius Strategy for the Further Implementation of the Programme of Action for the Sustainable Development of Small Island Developing States to the work of the Convention and its Kyoto Protocol.

11. *Action:* The SBI will be invited to agree on the organization of the work of the session.

<p><i>FCCC/SBI/2011/1/Rev.1</i>      <i>Provisional agenda and annotations. Revised note by the Executive Secretary</i></p>
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**3. National communications and greenhouse gas inventory data from Parties included in Annex I to the Convention**

(a) Status of submission and review of fifth national communications from Parties included in Annex I to the Convention

12. *Background:* The COP, by its decision 10/CP.13, requested Parties included in Annex I to the Convention (Annex I Parties) to submit to the secretariat a fifth national communication (NC5) by 1 January 2010 in accordance with Article 12, paragraphs 1 and 2, of the Convention. As of 13 May 2011, the secretariat had received 40 NC5s from Annex I Parties. The NC5 of Turkey had not yet been received.

13. In accordance with decision 9/CP.16, each national communication submitted by an Annex I Party is subject to review. This includes an in-depth in-country review of each submitted national communication, as well as the preparation by the secretariat of a compilation and synthesis of these national communications, for consideration by the COP.

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<sup>6</sup> FCCC/SBI/2010/10, paragraph 164.

<sup>7</sup> FCCC/SBI/2010/10, paragraphs 164 and 165.

<sup>8</sup> FCCC/SBI/2010/27, paragraph 152.

14. In accordance with decision 22/CMP.1, each national communication submitted under the Kyoto Protocol by an Annex I Party shall be subject to a scheduled in-country review. In addition, by its decision 10/CMP.6, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) requested the secretariat to organize centralized reviews of the NC5s of Parties with total greenhouse gas (GHG) emissions of less than 50 million tonnes of carbon dioxide equivalent (excluding land use, land-use change and forestry) according to their most recent GHG inventory submission, with the exception of Parties included in Annex II to the Convention, for which the secretariat will organize in-country in-depth reviews, and with the exception of Parties that request an in-depth review.

15. *Action:* The SBI will be invited to take note of the report referred to below on the status of submission and review of NC5s.

<i>FCCC/SBI/2011/INF.6/Rev.1</i>	<i>Status of submission and review of fifth national communications. Revised note by the secretariat</i>
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(b) Compilation and synthesis of fifth national communications from Parties included in Annex I to the Convention

16. *Background:* The COP, by its decision 9/CP.16, requested the secretariat to prepare the compilation and synthesis of the NC5s submitted by Annex I Parties, for consideration by the COP at its seventeenth session. The compilation and synthesis report prepared in response to this request is contained in document FCCC/SBI/2011/INF.1 and Add.1 and 2.

17. *Action:* The SBI will be invited to consider the compilation and synthesis of the NC5s submitted by Annex I Parties, with a view to preparing a draft decision on this matter for adoption by the COP at its seventeenth session.

<i>FCCC/SBI/2011/INF.1</i>	<i>Compilation and synthesis of fifth national communications. Executive summary. Note by the secretariat</i>
<i>FCCC/SBI/2011/INF.1/Add.1</i>	<i>Compilation and synthesis of fifth national communications. Note by the secretariat. Addendum. Policies, measures, and past and projected future greenhouse gas emission trends of Parties included in Annex I to the Convention</i>
<i>FCCC/SBI/2011/INF.1/Add.2</i>	<i>Compilation and synthesis of fifth national communications. Note by the secretariat. Addendum. Financial resources, technology transfer, vulnerability, adaptation and other issues relating to the implementation of the Convention by Parties included in Annex I to the Convention</i>

(c) Compilation and synthesis of supplementary information incorporated in fifth national communications from Parties included in Annex I to the Convention that are also Parties to the Kyoto Protocol and submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol

18. *Background:* The CMP, by its decision 22/CMP.1,<sup>9</sup> requested the secretariat to prepare a compilation and synthesis of the national communications of all Annex I Parties that are also Parties to the Kyoto Protocol, in accordance with the relevant decisions of the COP and the CMP. The CMP, by its decision 10/CMP.6, requested the secretariat to

<sup>9</sup> Decision 22/CMP.1, annex, paragraph 146.

prepare the compilation and synthesis of supplementary information incorporated in NC5s submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol, for consideration by the CMP at its seventh session.

19. The report prepared by the secretariat is contained in document FCCC/SBI/2011/INF.2. This document should be considered in conjunction with document FCCC/SBI/2011/INF.1 and Add.1 and 2, which compiles and synthesizes the NC5s submitted by Annex I Parties.

20. *Action:* The SBI will be invited to consider the compilation and synthesis of supplementary information incorporated in NC5s, with a view to preparing a draft decision on this matter for adoption by the CMP at its seventh session.

FCCC/SBI/2011/INF.2	<i>Compilation and synthesis of supplementary information incorporated in fifth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Note by the secretariat</i>
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(d) Further implementation of Article 12, paragraph 5, of the Convention<sup>10</sup>

21. *Background:* Article 12, paragraph 5, of the Convention refers in part to the frequency of submission of national communications. The SBI initiated its consideration of the further implementation of Article 12, paragraph 5, at its thirty-third session<sup>11</sup> and agreed to continue its consideration of this matter at its thirty-fourth session.

22. *Action:* The SBI will be invited to continue its consideration of this matter.

(e) Other matters relating to the work programme referred to in decision 1/CP.16, paragraph 46

23. *Background:* At its sixteenth session, the COP decided, building on existing reporting and review guidelines, processes and experiences, to enhance reporting in the national communications of Annex I Parties on mitigation targets and on the provision of financial, technological and capacity-building support to developing country Parties.<sup>12</sup> The COP also decided to enhance the guidelines for the reporting of information in national communications by Annex I Parties.<sup>13</sup> The COP further decided to enhance guidelines for the review of information in national communications.<sup>14</sup>

24. In addition, the COP decided to establish a process under the SBI for the international assessment of emissions and removals related to quantified economy-wide emission reduction targets.<sup>15</sup>

25. In order to further the work outlined in paragraphs 23 and 24 above, the COP decided on a work programme for the development of modalities and guidelines as specified in decision 1/CP.16, paragraph 46.

26. *Action:* The SBI will be invited to initiate its consideration of this item, as required, and specifically upon request by the AWG-LCA.

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<sup>10</sup> Including consideration of decision 1/CP.16, paragraphs 40 and 46.

<sup>11</sup> FCCC/SBI/2010/27, paragraph 19.

<sup>12</sup> Decision 1/CP.16, paragraph 40.

<sup>13</sup> Decision 1/CP.16, paragraph 41.

<sup>14</sup> Decision 1/CP.16, paragraph 42.

<sup>15</sup> Decision 1/CP.16, paragraph 44.

#### 4. National communications from Parties not included in Annex I to the Convention

(a) Work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

27. *Background:* The SBI, at its thirty-third session, welcomed the progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention (CGE).<sup>16</sup> It also welcomed the technical report of the CGE on the surveys conducted by the CGE under its work programme in accordance with paragraph 2(a–c) of its terms of reference, contained in the annex to decision 5/CP.15, and encouraged the CGE to implement the recommendations outlined in that report, as appropriate.<sup>17</sup>

28. The CGE will report on the outcomes of its workshop on possible elements to be considered in a future revision of the UNFCCC “Guidelines for the preparation of national communications from Parties not included in Annex I to the Convention”, held in Antigua and Barbuda in March 2011, and on the implementation plan for its activities in 2011.

29. *Action:* The SBI will be invited to consider the progress report on the work of the CGE and to provide further guidance to the CGE on the implementation of its work programme.

<i>FCCC/SBI/2011/5/Rev.1</i>	<i>Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Revised note by the secretariat</i>
<i>FCCC/SBI/2011/5/Add.1</i>	<i>Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat. Addendum. Report of the workshop to exchange views on possible elements to be considered in a future revision of the UNFCCC guidelines for the preparation of national communications from Parties not included in Annex I to the Convention taking into account the difficulties encountered by non-Annex I Parties in the preparation of their most recent national communications</i>
<i>FCCC/SBI/2011/5/Add.2</i>	<i>Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat. Addendum. Common challenges, options and case studies/best practices available to countries and possible means of facilitating the development and long-term sustainability of processes for the preparation of national communications</i>

<sup>16</sup> FCCC/SBI/2010/27, paragraph 25.

<sup>17</sup> FCCC/SBI/2010/27, paragraph 26.

(b) Information contained in national communications from Parties not included in Annex I to the Convention<sup>18</sup>

30. *Background:* At SBI 24, Australia (on behalf of the Umbrella Group), Bosnia and Herzegovina, the European Union and its member States, Romania, Serbia and Montenegro, and Switzerland proposed that, in accordance with the requirements of Article 10, paragraph 2, of the Convention, the SBI consider the information communicated by Parties not included in Annex I to the Convention (non-Annex I Parties) in all of their national communications, including their second and, where appropriate, subsequent national communications.<sup>19</sup>

31. *Action:* The SBI will be invited to provide guidance on how to further develop the process for considering the information contained in national communications from non-Annex I Parties, in accordance with the requirements of Article 10, paragraph 2, of the Convention.

(c) Further implementation of Article 12, paragraph 5, of the Convention<sup>20</sup>

32. *Background:* The COP, by its decision 8/CP.11, decided to discuss the further implementation of Article 12, paragraph 5, of the Convention at its fifteenth session. At its thirty-first session, the SBI agreed to defer its consideration of this sub-item to its next session,<sup>21</sup> at which it agreed to continue its consideration at its thirty-third session.<sup>22</sup> At its thirty-third session,<sup>23</sup> the SBI could not reach agreement on this issue and, in accordance with rule 16 of the draft rules of procedure being applied, agreed to continue its consideration at its thirty-fourth session.

33. *Action:* The SBI will be invited to continue its consideration of the matter of the frequency of submission of national communications from non-Annex I Parties in accordance with Article 12, paragraph 5, of the Convention.

(d) Provision of financial and technical support

34. *Background:* The COP, by its decision 10/CP.2, paragraph 1(b), requested the secretariat to make available to the SBI, at each of its sessions, details of the financial support made available to non-Annex I Parties by the Global Environment Facility (GEF) for the preparation of their national communications.

35. The SBI, at its thirty-third session,<sup>24</sup> invited the GEF to continue to provide information on its activities relating to the preparation of national communications from non-Annex I Parties, including information on the dates of approval of funding and the disbursement of funds, and information on the approximate date of completion of the draft national communications and an approximate date of submission of the national communications to the secretariat.

36. *Action:* The SBI will be invited to consider the information provided by the secretariat of the GEF contained in the document below, and to make recommendations thereon.

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<sup>18</sup> At the thirty-third session of the SBI, there was no consensus to include this item on the agenda. It was therefore held in abeyance. On a proposal by the Chair, the SBI decided to include this item on the provisional agenda for its thirty-fourth session.

<sup>19</sup> FCCC/SBI/2006/11, paragraph 32.

<sup>20</sup> Including consideration of decision 1/CP.16, paragraphs 60 and 66.

<sup>21</sup> FCCC/SBI/2009/15, paragraph 20.

<sup>22</sup> FCCC/SBI/2010/10, paragraph 28.

<sup>23</sup> FCCC/SBI/2010/27, paragraph 37.

<sup>24</sup> FCCC/SBI/2010/27, paragraph 44.



*FCCC/SBI/2011/INF.4 Information on financial support provided by the Global Environmental Facility for the preparation of national communications from Parties not included in Annex I to the Convention. Note by the secretariat*

(e) Other matters relating to the work programme referred to in decision 1/CP.16, paragraph 66

37. *Background:* The COP, at its sixteenth session, decided to enhance reporting in national communications, including inventories, from non-Annex I Parties on mitigation actions and their effects, and support received, with additional flexibility to be given to the least developed countries (LDCs) and small island developing States (SIDS).<sup>25</sup>

38. The COP also decided that internationally supported mitigation actions will be measured, reported and verified domestically and will be subject to international measurement, reporting and verification in accordance with guidelines to be developed under the Convention.<sup>26</sup> The COP further decided that domestically supported mitigation actions will be measured, reported and verified domestically in accordance with general guidelines to be developed under the Convention.<sup>27</sup>

39. In addition, the COP decided to conduct international consultations and analysis of biennial reports under the SBI, in a manner that is non-intrusive, non-punitive and respectful of national sovereignty. The international consultations and analysis will aim to increase the transparency of mitigation actions and their effects.<sup>28</sup>

40. In order to further the work outlined in paragraphs 37–39 above, the COP decided on a work programme for the development of modalities and guidelines as specified in decision 1/CP.16, paragraph 66.

41. *Action:* The SBI will be invited to initiate its consideration of this item, as required, and specifically upon request by the AWG-LCA.

## 5. Financial mechanism of the Convention

42. *Background:* The SBI, at its thirty-third session, took note of the synthesis report<sup>29</sup> of the information provided by countries participating in the National Economic, Environment and Development Study (NEEDS) for climate change project.<sup>30</sup> It invited Parties to submit to the secretariat, by 28 March 2011, their views on that synthesis report and requested the secretariat to compile the submissions into a miscellaneous document, for consideration by the SBI at its thirty-fourth session.<sup>31</sup>

43. The Subsidiary Body for Scientific and Technical Advice (SBSTA), at its thirty-third session, emphasized the urgent need to secure funding to meet the essential needs for global climate observations under the Convention on a long-term basis, and noted the information related to additional funding requirements identified in the 2010 updated Global Climate Observing System (GCOS) implementation plan.<sup>32</sup> It urged Parties in a position to do so, and invited relevant organizations, to provide the support needed to

<sup>25</sup> Decision 1/CP.16, paragraph 60.

<sup>26</sup> Decision 1/CP.16, paragraph 61.

<sup>27</sup> Decision 1/CP.16, paragraph 62.

<sup>28</sup> Decision 1/CP.16, paragraph 63.

<sup>29</sup> FCCC/SBI/2010/INF.7.

<sup>30</sup> FCCC/SBI/2010/27, paragraph 58.

<sup>31</sup> FCCC/SBI/2010/27, paragraph 59.

<sup>32</sup> A summary of the *Update of the Implementation Plan for the Global Observing System for Climate in Support of the UNFCCC* (referred to here as the 2010 updated GCOS implementation plan) is contained in document FCCC/SBSTA/2010/MISC.9.

strengthen observation networks and capabilities in developing countries, especially in the LDCs and SIDS.<sup>33</sup>

44. In addition, the SBSTA, at its thirty-third session, invited the SBI to consider the funding needs referred to above under relevant agenda items, as appropriate, at its thirty-fourth session.<sup>34</sup>

45. *Action:* The SBI is expected to continue its consideration of the NEEDS synthesis report and the views expressed by Parties on that report and to provide guidance on possible follow-up activities.

46. The SBI will be invited to consider the funding needs for global climate observations and may wish to explore how support could be provided to strengthen observation networks and capabilities in developing countries, especially in the LDCs and SIDS.

<i>FCCC/SBI/2011/MISC.3</i>	<i>Views on the synthesis report on the National Economic, Environment and Development Study (NEEDS) for climate change project. Submissions from Parties</i>
<i>FCCC/SBI/2010/INF.7</i>	<i>Synthesis report on the National Economic, Environment and Development Study (NEEDS) for Climate Change Project. Note by the secretariat</i>
<i>FCCC/SBSTA/2010/MISC.9</i>	<i>Update of the Implementation Plan for the Global Observing System for Climate in Support of the UNFCCC. Submission from the secretariat of the Global Climate Observing System</i>

## 6. Article 6 of the Convention

47. *Background:* The COP, by its decision 9/CP.13, decided to adopt the amended New Delhi work programme on Article 6 of the Convention. The COP further decided to undertake an intermediate review of progress in the implementation of the amended New Delhi work programme in 2010, to evaluate its effectiveness and to identify emerging gaps and needs, and to undertake a review of its implementation in 2012.

48. The intermediate review was considered by the COP at its sixteenth session. By its decision 7/CP.16, it requested the SBI to develop, at its thirty-fourth session, terms of reference for a review of the implementation of the amended New Delhi work programme, with a view to launching the review at its thirty-sixth session.<sup>35</sup>

49. *Action:* The SBI will be invited to develop terms of reference relating to the review of progress in the implementation of the amended New Delhi work programme.

## 7. Matters relating to Article 4, paragraphs 8 and 9, of the Convention

### (a) Progress on the implementation of decision 1/CP.10

50. *Background:* The SBI, at its thirty-third session, agreed to continue its consideration of the progress on the implementation of decision 1/CP.10 at its thirty-fourth session on the basis of the draft decision text annexed to the report on its thirty-second session,<sup>36</sup> taking into account any relevant decisions adopted by the COP at its sixteenth session, with a view

<sup>33</sup> FCCC/SBSTA/2010/13, paragraphs 55 and 56.

<sup>34</sup> FCCC/SBSTA/2010/13, paragraph 57.

<sup>35</sup> Decision 7/CP.16, paragraph 8.

<sup>36</sup> FCCC/SBI/2010/10, annex IV.

to recommending a draft decision for adoption by the COP.<sup>37</sup> At the same session, it also agreed on interim actions.<sup>38</sup>

51. *Action:* The SBI will be invited to consider, including in the light of relevant decisions adopted by the COP at its sixteenth session, the draft decision text mentioned in paragraph 50 above, with a view to recommending a draft decision for adoption by the COP.

(b) Matters relating to the least developed countries

52. *Background:* The COP, by its decision 6/CP.16, extended the mandate of the Least Developed Countries Expert Group (LEG) under the terms of reference contained in decisions 29/CP.7, 7/CP.9, 4/CP.11 and 8/CP.13.<sup>39</sup>

53. The COP, by the same decision, requested the LEG to develop a two-year rolling programme of work for consideration by the SBI at its first sessional meeting of each year, and to report on its work to the SBI at each of its sessions.<sup>40</sup>

54. In accordance with its mandate, the LEG held its nineteenth meeting in Blantyre, Malawi, from 14 to 17 March 2011. At that meeting, the LEG developed its work programme for 2011–2012 and planned specific activities for 2011.

55. *Action:* The SBI will be invited to consider and endorse the work programme of the LEG for 2011–2012, contained in the document referred to below.

FCCC/SBI/2011/4

*Report on the nineteenth meeting of the Least Developed Countries Expert Group. Note by the secretariat*

**8. Modalities and guidelines to enable least developed countries and other developing countries to formulate and implement national adaptation plans**

56. *Background:* The COP, by its decision 1/CP.16, established the Cancun Adaptation Framework, with the objective of enhancing action on adaptation, including through international cooperation and coherent consideration of matters relating to adaptation under the Convention.<sup>41</sup>

57. By the same decision, the COP invited all Parties to enhance action on adaptation under the Cancun Adaptation Framework, by, inter alia, planning, prioritizing and implementing adaptation actions, including projects and programmes,<sup>42</sup> and actions identified in national and subnational adaptation plans and strategies, the national adaptation programmes of action (NAPAs) of the LDCs, national communications, technology needs assessments and other relevant national planning documents.<sup>43</sup>

58. As part of the Cancun Adaptation Framework, the COP decided to establish a process to enable LDC Parties to formulate and implement national adaptation plans, building upon the experience gained in preparing and implementing their NAPAs, as a means of identifying medium- and long-term adaptation needs and developing and implementing strategies and programmes to address those needs.<sup>44</sup>

<sup>37</sup> FCCC/SBI/2010/27, paragraph 82.

<sup>38</sup> FCCC/SBI/2010/27, paragraphs 83–86.

<sup>39</sup> Decision 6/CP.16, paragraph 1.

<sup>40</sup> Decision 6/CP.16, paragraph 3.

<sup>41</sup> Decision 1/CP.16, paragraph 13.

<sup>42</sup> Including in the areas of water resources; health; agriculture and food security; infrastructure; socio-economic activities; terrestrial, freshwater and marine ecosystems; and coastal zones.

<sup>43</sup> Decision 1/CP.16, paragraph 14.

<sup>44</sup> Decision 1/CP.16, paragraph 15.

59. The COP invited other developing country Parties to employ the modalities formulated to support these national adaptation plans, in the elaboration of their planning effort referred to in paragraph 57 above.<sup>45</sup>

60. In addition, the COP requested the SBI to elaborate modalities and guidelines for the provisions of paragraphs 58 and 59 above, for adoption by the COP at its seventeenth session.<sup>46</sup>

61. *Action:* The SBI will be invited to prepare a draft decision on this matter for adoption by the COP at its seventeenth session.

**9. Approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change**

- Activities to be undertaken under the work programme

62. *Background:* The COP, by its decision 1/CP.16, adopted the Cancun Adaptation Framework, within which it decided to establish a work programme to consider, including through workshops and expert meetings as appropriate, approaches to address loss and damage associated with climate change impacts in developing countries that are particularly vulnerable to the adverse effects of climate change.<sup>47</sup>

63. The COP requested the SBI to agree on activities to be undertaken under this work programme.<sup>48</sup> It invited Parties and relevant organizations to submit to the secretariat, by 21 February 2011, their views and information on what elements should be included in the work programme, including the following:<sup>49</sup>

(a) Possible development of a climate risk insurance facility to address impacts associated with severe weather events;

(b) Options for risk management and reduction, risk-sharing and transfer mechanisms such as insurance, including options for micro-insurance; and resilience-building, including through economic diversification;

(c) Approaches for addressing rehabilitation measures associated with slow-onset events;

(d) Engagement of stakeholders with relevant specialized expertise.

64. It also requested the secretariat to compile and synthesize these submissions, for consideration by the SBI at its thirty-fourth session, with a view to the SBI making recommendations on loss and damage for consideration by the COP at its eighteenth session.<sup>50</sup>

65. *Action:* The SBI will be invited to consider the information contained in the documents listed below with a view to agreeing on possible activities to be undertaken under the work programme on loss and damage.

FCCC/SBI/2011/3	<i>Synthesis report on views and information on the elements to be included in the work programme on loss and damage. Note by the secretariat</i>
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<sup>45</sup> Decision 1/CP.16, paragraph 16.  
<sup>46</sup> Decision 1/CP.16, paragraph 17.  
<sup>47</sup> Decision 1/CP.16, paragraph 26.  
<sup>48</sup> Decision 1/CP.16, paragraph 27.  
<sup>49</sup> Decision 1/CP.16, paragraph 28.  
<sup>50</sup> Decision 1/CP.16, paragraph 29.

<i>FCCC/SBI/2011/MISC.1</i>	<i>Views and information on elements to be included in the work programme on loss and damage. Submissions from Parties and relevant organizations</i>
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#### 10. Matters relating to Article 3, paragraph 14, of the Kyoto Protocol

66. *Background:* The SBI, at its thirty-third session, considered this agenda item together with the SBSTA agenda item “Matters relating to Article 2, paragraph 3, of the Kyoto Protocol” in a joint contact group.<sup>51</sup> At that session, the SBI and the SBSTA requested the secretariat to organize a joint workshop to address matters relating to Article 3, paragraph 14, and Article 2, paragraph 3, of the Kyoto Protocol, subject to the availability of resources, before their thirty-fifth sessions.<sup>52</sup> They invited Parties and relevant organizations to submit to the secretariat, by 21 February 2011, further information and views on issues that could be addressed at this joint workshop.<sup>53</sup>

67. The SBI and the SBSTA also requested the secretariat to prepare a synthesis report based on the submissions mentioned in paragraph 66 above, for consideration at their thirty-fourth sessions.<sup>54</sup> This synthesis report is contained in document FCCC/SB/2011/1.

68. The SBI and the SBSTA agreed to continue their discussions on these matters in a joint contact group to be established by the SBI and the SBSTA at their thirty-fourth sessions.<sup>55</sup>

69. *Action:* The SBI will be invited to consider the documents referred to in paragraphs 66 and 67 above, with a view to agreeing on issues that should be addressed at the joint workshop mentioned in paragraph 66 above, taking into account ongoing discussions on relevant items under the Convention and its Kyoto Protocol.

<i>FCCC/SB/2011/1</i>	<i>Synthesis of information and views on issues that could be addressed at the joint workshop on matters relating to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol. Note by the secretariat</i>
<i>FCCC/SB/2011/MISC.1</i>	<i>Information and views on issues that could be addressed at the joint workshop on matters relating to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol. Submissions from Parties and relevant organizations</i>

#### 11. Forum on the impact of the implementation of response measures<sup>56</sup>

70. *Background:* The COP, by its decision 1/CP.16, decided that Parties should cooperate fully to enhance understanding of the economic and social consequences of response measures, taking into account the need for information from those affected, and evidence of actual impacts, and of both positive and negative effects; and further decided to consider how existing channels, such as national communications, including the possible

<sup>51</sup> FCCC/SBI/2010/27, paragraphs 123.

<sup>52</sup> FCCC/SBI/2010/27, paragraphs 124.

<sup>53</sup> FCCC/SBI/2010/27, paragraphs 125.

<sup>54</sup> FCCC/SBI/2010/27, paragraph 126.

<sup>55</sup> FCCC/SBI/2010/27, paragraph 127.

<sup>56</sup> Decision 1/CP.16 paragraph 93.

submission of supplementary information, as considered by the SBI, could be improved and built upon.<sup>57</sup>

71. By the same decision, the COP decided to provide a forum on the impact of the implementation of response measures, and to that end requested the Chairs of the SBI and the SBSTA to convene such a forum at the thirty-fourth and thirty-fifth sessions of these bodies, with the objective of developing a work programme under the subsidiary bodies to address this impact, with a view to adopting, at COP 17, modalities for the operationalization of the work programme and a possible forum on response measures.<sup>58</sup>

72. Also by that decision, the COP invited Parties and relevant intergovernmental organizations to submit to the secretariat, by 28 March 2011, their views on the issues referred to in paragraph 71 above, for consideration by the SBI and the SBSTA at their thirty-fourth sessions.<sup>59</sup>

73. *Action:* The SBI and the SBSTA will be invited to:

(a) Consider the views submitted by Parties and relevant intergovernmental organizations contained in document FCCC/SB/2011/MISC.2;

(b) Initiate discussion on the matters referred to in paragraphs 70 and 71 above, with a view to elaborating possible elements for a draft decision on a corresponding work programme and modalities for its operationalization, taking into account exchanges held during the forum convened by the Chairs of the subsidiary bodies at their thirty-fourth and thirty-fifth sessions.

<i>FCCC/SB/2011/MISC.2</i>	<i>Views on the modalities for the operationalization of the work programme and on a possible forum on response measures. Submissions from Parties and relevant intergovernmental organizations</i>
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## 12. Development and transfer of technologies

74. *Background:* The COP, by its decision 1/CP.16, decided to establish a Technology Mechanism, comprising a Technology Executive Committee (TEC) and a Climate Technology Centre and Network (CTCN),<sup>60</sup> and decided that the TEC and the CTCN shall report, on an interim basis, to the COP, through the subsidiary bodies, on their respective activities and performance of their respective functions.<sup>61</sup> By the same decision, the COP also decided to terminate the mandate of the Expert Group on Technology Transfer (EGTT)<sup>62</sup> at the conclusion of its sixteenth session<sup>63</sup> and that the TEC shall further implement the technology transfer framework<sup>64</sup> adopted by decision 4/CP.7 and enhanced by decision 3/CP.13.<sup>65</sup>

<sup>57</sup> Decision 1/CP.16, paragraph 92.

<sup>58</sup> Decision 1/CP.16, paragraph 93.

<sup>59</sup> Decision 1/CP.16, paragraph 94.

<sup>60</sup> Decision 1/CP.16, paragraph 117.

<sup>61</sup> Decision 1/CP.16, paragraph 126.

<sup>62</sup> The EGTT was established by decision 4/CP.7 and reconstituted by decision 3/CP.13.

<sup>63</sup> Decision 1/CP.16, paragraph 124.

<sup>64</sup> The framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention adopted by decision 4/CP.7 and enhanced by decision 3/CP.13.

<sup>65</sup> Decision 1/CP.16, paragraph 119.

75. The COP, at its sixteenth session, also noted the proposal by the President<sup>66</sup> to request the secretariat to complete the remaining activities of the work programme of the EGTT for 2010–2011.<sup>67</sup>

76. The SBI, at its thirty-third session, noted the report of the GEF on the progress made in carrying out the Poznan strategic programme on technology transfer.<sup>68</sup> In view of the ongoing discussions taking place under the Convention regarding the structure of the Technology Mechanism, the SBI further noted that any activities proposed by the GEF should not prejudice the outcome of the negotiations under the AWG-LCA. In particular, the SBI noted that the GEF should align its long-term programme on technology transfer following the outcome of the negotiations.

77. *Action:* The SBI will be invited to consider progress of its work in advancing the implementation of Article 4, paragraph 5, of the Convention and the technology transfer framework adopted by decision 4/CP.7 and enhanced by decision 3/CP.13, and may wish to determine its possible further work on technology development and transfer in the light of the establishment of the Technology Mechanism by decision 1/CP.16 and of the need to enhance the synergy and coherence of technology-related work between relevant subsidiary bodies.

78. The SBI will also be invited to consider the long-term implementation of the Poznan strategic programme on technology transfer in light of the establishment of the Technology Mechanism by decision 1/CP.16, as appropriate.

### 13. Capacity-building under the Convention

79. *Background:* Pursuant to decision 6/CP.14, the SBI considered the second comprehensive review of the implementation of the framework for capacity-building in developing countries established by decision 2/CP.7 (hereinafter referred to as the capacity-building framework) at its thirtieth, thirty-second and thirty-third sessions and was unable to complete its work on this matter.

80. The COP, by its decision 10/CP.16, requested the SBI to continue its consideration of this issue at its thirty-fourth session on the basis of the draft text contained in the annex to that decision, with a view to recommending a draft decision for adoption by the COP at its seventeenth session.

81. *Action:* The SBI will be invited to consider the documents listed below. It will also be invited to prepare a draft decision on the outcome of the second comprehensive review for adoption by the COP at its seventeenth session.

<i>FCCC/CP/2010/5</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/SBI/2010/20</i>	<i>Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat</i>
<i>FCCC/SBI/2010/MISC.6</i>	<i>Activities to implement the framework for capacity-building in developing countries under decision 2/CP.7. Submissions from Parties and relevant organizations</i>

<sup>66</sup> FCCC/CP/2010/7, paragraph 76.

<sup>67</sup> FCCC/SB/2010/INF.1, annex I.

<sup>68</sup> FCCC/SBI/2010/25.

<i>FCCC/SBI/2009/4</i>	<i>Analysis of progress made in, and the effectiveness of, the implementation of the framework for capacity-building in developing countries in support of the second comprehensive review of the capacity-building framework. Note by the secretariat</i>
<i>FCCC/SBI/2009/5</i>	<i>Synthesis of experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Note by the secretariat</i>
<i>FCCC/SBI/2009/MISC.1</i>	<i>Information on experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Submissions from Parties and intergovernmental organizations</i>
<i>FCCC/SBI/2009/MISC.2</i>	<i>Additional or updated information and views relevant to the completion of the second comprehensive review of the capacity-building framework. Submissions from Parties</i>
<i>FCCC/SBI/2009/MISC.12/Rev.1</i>	<i>Submissions from the United Republic of Tanzania on behalf of the Group of 77 and China and from Sweden on behalf of the European Union and its Member States on completion of the second comprehensive review of the capacity-building framework in developing countries under the Convention and the Kyoto Protocol</i>

#### 14. Capacity-building under the Kyoto Protocol

82. *Background:* Pursuant to decision 6/CMP.4, the SBI considered the second comprehensive review of the implementation of the capacity-building framework as it relates to capacity-building for the implementation of the Kyoto Protocol at its thirtieth, thirty-second and thirty-third sessions and was unable to complete its work on this matter.

83. The CMP, by its decision 11/CMP.6, requested the SBI to continue its consideration of this issue at its thirty-fourth session on the basis of the draft text contained in the annex to that decision, with a view to recommending a draft decision for adoption by the CMP at its seventh session.

84. *Action:* The SBI will be invited to consider the documents listed below. It will also be invited to prepare a draft decision on the outcome of the second comprehensive review for adoption by the CMP at its seventh session.

<i>FCCC/KP/CMP/2010/10</i>	<i>Annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</i>
<i>FCCC/SBI/2010/20</i>	<i>Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat</i>
<i>FCCC/SBI/2010/MISC.6</i>	<i>Activities to implement the framework for capacity-building in developing countries under decision</i>



	<i>2/CP.7. Submissions from Parties and relevant organizations</i>
<i>FCCC/SBI/2009/4</i>	<i>Analysis of progress made in, and the effectiveness of, the implementation of the framework for capacity-building in developing countries in support of the second comprehensive review of the capacity-building framework. Note by the secretariat</i>
<i>FCCC/SBI/2009/5</i>	<i>Synthesis of experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Note by the secretariat</i>
<i>FCCC/SBI/2009/MISC.1</i>	<i>Information on experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Submissions from Parties and intergovernmental organizations</i>
<i>FCCC/SBI/2009/MISC.2</i>	<i>Additional or updated information and views relevant to the completion of the second comprehensive review of the capacity-building framework. Submissions from Parties</i>
<i>FCCC/SBI/2009/MISC.12/Rev.1</i>	<i>Submissions from the United Republic of Tanzania on behalf of the Group of 77 and China and from Sweden on behalf of the European Union and its Member States on completion of the second comprehensive review of the capacity-building framework in developing countries under the Convention and the Kyoto Protocol</i>

**15. Amendment of the Kyoto Protocol in respect of procedures and mechanisms relating to compliance**

85. *Background:* The SBI, at its thirty-third session, agreed to continue to discuss this matter further at its thirty-fourth session.<sup>69</sup> The agenda item is based on a proposal from Saudi Arabia contained in document FCCC/KP/CMP/2005/2 and on decision 27/CMP.1.

86. *Action:* The SBI will be invited to continue its consideration of this matter.

<i>FCCC/KP/CMP/2005/2</i>	<i>Proposal from Saudi Arabia to amend the Kyoto Protocol. Note by the secretariat</i>
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**16. Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism**

87. *Background:* The CMP, by its decision 3/CMP.6, requested the SBI to make recommendations to it, with a view to its adopting a decision at its seventh session on procedures, mechanisms and institutional arrangements under the CMP to allow for appeals against decisions of the Executive Board of the clean development mechanism based on

<sup>69</sup> FCCC/SBI/2010/27, paragraph 134.

decision 2/CMP.5, paragraph 42, taking into account the recommendations of the Executive Board contained in annex II to its annual report.<sup>70</sup>

88. By the same decision, the CMP invited Parties, intergovernmental organizations and admitted observer organizations to submit to the secretariat, by 28 March 2011, their views on this matter. It requested the secretariat to compile the submissions into a miscellaneous document and to prepare a technical paper for consideration by the SBI.

89. *Action:* The SBI will be invited to consider any submissions received from Parties, intergovernmental organizations and admitted observer organizations, as well as the technical paper prepared by the secretariat, as referred to in paragraph 88 above, and to prepare its recommendations on this matter for consideration by the CMP at its seventh session, taking into account the recommendations of the Executive Board.

FCCC/SBI/2011/MISC.2	<i>Views on procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism. Submissions from Parties and relevant organizations</i>
FCCC/TP/2011/3	<i>Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism. Technical paper</i>

#### 17. Arrangements for intergovernmental meetings

FCCC/SBI/2011/6	<i>Arrangements for intergovernmental meetings. Note by the Executive Secretary</i>
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##### (a) Seventeenth session of the Conference of the Parties

90. *Background:* The COP, by its decision 9/CP.14, accepted with appreciation the offer by the Government of South Africa to host the seventeenth session of the COP and the seventh session of the CMP. Furthermore, decisions 9/CP.14 and 12/CP.16 confirm that the sessions would be held in Durban, South Africa, from 28 November to 9 December 2011. In accordance with decision 13/CP.15, it is expected that the Executive Secretary will conclude a Host Country Agreement with a view to signing it no later than the thirty-fourth sessions of the SBSTA and the SBI.

91. Document FCCC/SBI/2011/6 includes information on the organization of the work of the COP and the subsidiary bodies. The document also addresses possible arrangements for the participation of ministers and other heads of delegations in the high-level segment.

92. *Action:* The SBI will be invited to consider the proposals and provide further guidance on the organization of the session, including the dates of the high-level segment, as contained in document FCCC/SBI/2011/6. The SBI may also wish to provide views on possible elements of the provisional agenda for COP 17.

##### (b) Seventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol

93. *Background:* In accordance with Article 13, paragraph 6, of the Kyoto Protocol, ordinary sessions of the CMP shall be held in conjunction with ordinary sessions of the COP, unless otherwise decided by the CMP.

<sup>70</sup> FCCC/KP/CMP/2010/10.

94. Document FCCC/SBI/2011/6 includes information on the organization of the work of the CMP. The document also addresses possible arrangements for the participation of ministers and other heads of delegations in the high-level segment.

95. *Action:* The SBI will be invited to consider the proposals and provide further guidance on the organization of the session, including the dates of the high-level segment, as contained in document FCCC/SBI/2011/6. The SBI may also wish to provide views on possible elements of the provisional agenda for CMP 7.

(c) Future sessional periods

96. *Background:* Under this sub-item the SBI will consider:<sup>71</sup>

(a) Issues arising from the scheduling and organization of sessions to be held in the second half of 2011;

(b) The venue for COP 18 and CMP 8, which are scheduled to be held from 26 November to 7 December 2012;

(c) Dates for the six sessional periods in 2014, 2015 and 2016.

97. The Bureau, at its meeting on 8 April 2011, agreed on the need, in order to continue the work of these bodies, for an additional session of the ad hoc working groups, to be scheduled, if possible, in September or October 2011. Arrangements for these sessions will include pre-sessional meetings for group consultations and workshops, where appropriate. The Bureau also noted the need for additional sessions of the subsidiary bodies, which could be convened before the 2011 United Nations Climate Change Conference, to be held in Durban.

98. Decision 12/CP.16 took note of the offers of the Governments of Qatar and the Republic of Korea to host the eighteenth session of the COP and the eighth session of the CMP and invited Parties to consult further on the host of these sessions with a view to concluding these consultations no later than the thirty-fourth session of the SBI, and to recommend a draft decision on this matter to the COP for adoption at its seventeenth session. The decision also noted that the President of the nineteenth session of the COP would come from the Eastern European Group.<sup>72</sup> The decision invited Parties to come forward with offers to host the nineteenth session of the COP and the ninth session of the CMP in 2013.

99. *Action:* The SBI may take note of any additional information on the calendar for the second half of 2011. It may wish to consider any offers to host future sessions of the COP and the CMP and to invite interested Parties to present offers as soon as possible. The SBI may also wish to consider the dates for the six sessional periods (meetings of the subsidiary bodies and the COP/CMP) to be held in 2014, 2015 and 2016 and recommend them for adoption by the COP at its seventeenth session.

(d) Organization of the intergovernmental process

100. *Background:* The June sessions of the SBI have traditionally provided Parties with an opportunity to consider how the intergovernmental process is functioning, to take decisions on relevant matters and to provide guidance to presiding officers and the secretariat. For example, the SBI, at its thirty-second session, provided guidance on the organization of future sessional periods and on the scheduling of meetings.<sup>73</sup>

<sup>71</sup> The consideration of additional future sessional periods is being discussed from a budgetary perspective under SBI item 15(b) ("Programme budget for the biennium 2012–2013").

<sup>72</sup> FCCC/CP/2010/7/Add.2.

<sup>73</sup> FCCC/SBI/2010/10, paragraphs 164 and 165.

101. As a result of events at COP 16 and CMP 6, Parties will want to take stock of certain elements of the intergovernmental process, to consider options for making improvements and to provide relevant guidance. As the calendar of meetings is becoming increasingly complex in terms of the number and timing of additional sessions and new bodies, Parties may wish to provide guidance on any changes that may be appropriate. The guiding principles of the process must continue to be that it is open, inclusive, transparent and Party-driven.

102. *Action:* The SBI may wish to consider the issues raised in document FCCC/SBI/2011/6 and to provide guidance on arrangements to improve the intergovernmental climate change process.

(e) Observer organizations in the intergovernmental process

103. *Background:* The SBI, at its thirty-third session<sup>74</sup>, agreed to continue to address further ways to enhance the existing means of engagement for observer organizations, particularly ways to increase opportunities for interventions and other substantive inputs. Efforts should also be made to enhance the use of technical means for participation.

104. In addition, the SBI recognized the need to take into account best practices from other processes within the United Nations system and requested the secretariat to keep under review good practices relating to observer organizations in the United Nations system, with a view to benefitting from the experiences of other forums in order to further enhance the participation of observer organizations in the UNFCCC process. The SBI also requested the secretariat to report back to it on these practices at its thirty-fourth session.

105. The SBI, at the same session, agreed to convene an in-session workshop in 2011 to further develop ways to enhance the engagement of admitted observer organizations in accordance with Article 7, paragraph 6, of the Convention, including ideas for enhancing their existing means of participation, taking note of the discussions that took place during SBI 33. The SBI requested that the report on the workshop be presented to it at its thirty-fourth session.

106. *Action:* The SBI will be invited to consider the issues and proposals relating to this agenda item, taking into account the discussions at the in-session workshop and at its thirty-third session. The SBI may also wish to consider the information provided on proposals and good practices relating to observer organizations in the United Nations system and to provide further guidance as appropriate.

**18. Administrative, financial and institutional matters**

(a) Budget performance for the biennium 2010–2011

107. *Background:* In accordance with the financial procedures,<sup>75</sup> the interim financial statements as at 31 December 2010 have been prepared for this session.

108. In addition, a report on the status of indicative contributions by Parties as at 15 May 2011 to the Trust Fund for the Core Budget of the UNFCCC and the Trust Fund for the International Transaction Log, and of voluntary contributions made to all of the trust funds of the UNFCCC, is provided, in keeping with the financial procedures that require the Executive Secretary to inform Parties of their status of contributions at least twice a year.

109. *Action:* The SBI will be invited to take note of the information contained in the documents listed below. It may wish to express its appreciation to the Parties that have made timely contributions to the core budget and to those that have made voluntary

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<sup>74</sup> FCCC/SBI/2010/27, paragraphs 150–152.

<sup>75</sup> Decision 15/CP.1.

contributions to the trust funds. The SBI may also wish to urge Parties that have not yet made their contributions to do so in a timely manner.

<i>FCCC/SBI/2011/INF.3</i>	<i>Interim financial statements for the biennium 2010–2011 as at 31 December 2010. Note by the Executive Secretary</i>
<i>FCCC/SBI/2011/INF.5</i>	<i>Status of contributions as at 15 May 2011. Note by the secretariat</i>

(b) Programme budget for the biennium 2012–2013

110. *Background:* The COP and the CMP, by their decisions 11/CP.16 and 12/CMP.6, requested the Executive Secretary to propose a programme budget for the biennium 2012–2013, for consideration by the SBI at its thirty-fourth session.

111. *Action:* The SBI will be invited to consider the programme budget proposed by the Executive Secretary for the biennium 2012–2013 and to recommend a draft decision for adoption by the COP at its seventeenth session and for endorsement by the CMP at its seventh session. In addition, the SBI is expected to authorize the Executive Secretary to inform Parties of their indicative contributions for 2012 based on the recommended budget.

<i>FCCC/SBI/2011/2</i>	<i>Proposed programme budget for the biennium 2012–2013. Note by the Executive Secretary</i>
<i>FCCC/SBI/2011/2/Add.1</i>	<i>Proposed programme budget for the biennium 2012–2013. Note by the Executive Secretary. Addendum. Work programme for the secretariat for the biennium 2012–2013</i>
<i>FCCC/SBI/2011/2/Add.2</i>	<i>Proposed programme budget for the biennium 2012–2013. Note by the Executive Secretary. Addendum. Activities to be funded from supplementary sources</i>
<i>FCCC/SBI/2011/2/Add.3</i>	<i>Proposed programme budget for the biennium 2012–2013. Note by the Executive Secretary. Addendum. Trust Fund for the International Transaction Log</i>

(c) Implementation of the Headquarters Agreement

112. *Background:* The SBI, at its seventeenth session,<sup>76</sup> requested the Host Government and the Executive Secretary to report, once a year, on progress regarding the implementation of the Headquarters Agreement. The last report was provided to the SBI at its thirty-second session.<sup>77</sup>

113. *Action:* A representative of the Host Government and the Executive Secretary will make oral presentations on progress made since SBI 32. The SBI will be invited to consider the statements and take any action deemed necessary.

(d) Privileges and immunities for individuals serving on constituted bodies established under the Kyoto Protocol

114. *Background:* The SBI, at its thirty-third session, agreed to continue its consideration of this issue at its thirty-fourth session, in accordance with rule 16 of the draft rules of

<sup>76</sup> FCCC/SBI/2002/17, paragraph 58.

<sup>77</sup> FCCC/SBI/2010/10, paragraphs 174–179.

procedure being applied, on the basis of the text contained in annex IX to the report of the SBI on its thirty-second session.<sup>78</sup>

115. *Action:* The SBI will be invited to continue its consideration of this sub-item.

**19. Other matters**

116. Any other matters arising during the session will be taken up under this item.

**20. Report on the session**

117. *Background:* A draft report on the work of the session will be prepared for adoption by the SBI at the end of the session.

118. *Action:* The SBI will be invited to adopt the draft report and authorize the Rapporteur to complete the report after the session, under the guidance of the Chair and with the assistance of the secretariat.

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<sup>78</sup> FCCC/SBI/2010/27, paragraph 157.

## Annex

### Documents before the Subsidiary Body for Implementation at its thirty-fourth session

#### Documents prepared for the session

FCCC/SBI/2011/1/Rev.1	Provisional agenda and annotations. Revised note by the Executive Secretary
FCCC/SBI/2011/2	Proposed programme budget for the biennium 2012–2013. Note by the Executive Secretary
FCCC/SBI/2011/2/Add.1	Proposed programme budget for the biennium 2012–2013. Note by the Executive Secretary. Addendum. Work programme for the secretariat for the biennium 2012–2013
FCCC/SBI/2011/2/Add.2	Proposed programme budget for the biennium 2012–2013. Note by the Executive Secretary. Addendum. Activities to be funded from supplementary sources
FCCC/SBI/2011/2/Add.3	Proposed programme budget for the biennium 2012–2013. Note by the Executive Secretary. Addendum. Trust Fund for the International Transaction Log
FCCC/SBI/2011/3	Synthesis report on views and information on the elements to be included in the work programme on loss and damage. Note by the secretariat
FCCC/SBI/2011/4	Report on the nineteenth meeting of the Least Developed Countries Expert Group. Note by the secretariat
FCCC/SBI/2011/5/Rev.1	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Revised note by the secretariat
FCCC/SBI/2011/5/Add.1	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat. Addendum. Report of the workshop to exchange views on possible elements to be considered in a future revision of the UNFCCC guidelines for the preparation of national communications from Parties not included in Annex I to the Convention taking into account the difficulties encountered by non-Annex I Parties in the preparation of their most recent national communications

FCCC/SBI/2011/5/Add.2	Progress report on the work of the Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention. Note by the secretariat. Addendum. Common challenges, options and case studies/best practices available to countries and possible means of facilitating the development and long-term sustainability of processes for the preparation of national communications
FCCC/SBI/2011/6	Arrangements for intergovernmental meetings. Note by the Executive Secretary
FCCC/SBI/2011/INF.1	Compilation and synthesis of fifth national communications. Executive summary. Note by the secretariat
FCCC/SBI/2011/INF.1/Add.1	Compilation and synthesis of fifth national communications. Note by the secretariat. Addendum. Policies, measures, and past and projected future greenhouse gas emission trends of Parties included in Annex I to the Convention
FCCC/SBI/2011/INF.1/Add.2	Compilation and synthesis of fifth national communications. Note by the secretariat. Addendum. Financial resources, technology transfer, vulnerability, adaptation and other issues relating to the implementation of the Convention by Parties included in Annex I to the Convention
FCCC/SBI/2011/INF.2	Compilation and synthesis of supplementary information incorporated in fifth national communications submitted in accordance with Article 7, paragraph 2, of the Kyoto Protocol. Note by the secretariat
FCCC/SBI/2011/INF.3	Interim financial statements for the biennium 2010–2011 as at 31 December 2010. Note by the Executive Secretary
FCCC/SBI/2011/INF.4	Information on financial support provided by the Global Environment Facility for the preparation of national communications from Parties not included in Annex I to the Convention. Note by the secretariat
FCCC/SBI/2011/INF.5	Status of contributions as at 15 May 2011. Note by the secretariat
FCCC/SBI/2011/INF.6/Rev.1	Status of submission and review of fifth national communications. Revised note by the secretariat
FCCC/SBI/2011/MISC.1	Views and information on elements to be included in the work programme on loss and damage. Submissions from Parties and relevant organizations



FCCC/SBI/2011/MISC.2	Views on procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism. Submissions from Parties and relevant organizations
FCCC/SBI/2011/MISC.3	Views on the synthesis report on the National Economic, Environment and Development Study (NEEDS) for climate change project. Submissions from Parties
FCCC/SB/2011/1	Synthesis of information and views on issues that could be addressed at the joint workshop on matters relating to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol. Note by the secretariat
FCCC/SB/2011/MISC.1	Information and views on issues that could be addressed at the joint workshop on matters relating to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol. Submissions from Parties and relevant organizations
FCCC/SB/2011/MISC.2	Views on the modalities for the operationalization of the work programme and on a possible forum on response measures. Submissions from Parties and relevant intergovernmental organizations
FCCC/TP/2011/3	Procedures, mechanisms and institutional arrangements for appeals against the decisions of the Executive Board of the clean development mechanism. Technical paper

**Other documents before the session**

FCCC/CP/2010/5	Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat
FCCC/KP/CMP/2010/10	Annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
FCCC/KP/CMP/2005/2	Proposal from Saudi Arabia to amend the Kyoto Protocol. Note by the secretariat
FCCC/SBI/2010/20	Synthesis report on the implementation of the framework for capacity-building in developing countries. Note by the secretariat
FCCC/SBI/2010/27	Report of the Subsidiary Body for Implementation on its thirty-third session, held in Cancun from 30 November to 4 December 2010
FCCC/SBI/2010/INF.7	Synthesis report on the National Economic, Environment and Development Study (NEEDS) for Climate Change Project. Note by the secretariat

FCCC/SBI/2010/MISC.6	Activities to implement the framework for capacity-building in developing countries under decision 2/CP.7. Submissions from Parties and relevant organizations
FCCC/SBI/2009/4	Analysis of progress made in, and the effectiveness of, the implementation of the framework for capacity-building in developing countries in support of the second comprehensive review of the capacity-building framework. Note by the secretariat
FCCC/SBI/2009/5	Synthesis of experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Note by the secretariat
FCCC/SBI/2009/MISC.1	Information on experiences and lessons learned in the use of performance indicators for monitoring and evaluating capacity-building at the national and global levels. Submissions from Parties and intergovernmental organizations
FCCC/SBI/2009/MISC.2	Additional or updated information and views relevant to the completion of the second comprehensive review of the capacity-building framework. Submissions from Parties
FCCC/SBI/2009/MISC.12/Rev.1	Submissions from the United Republic of Tanzania on behalf of the Group of 77 and China and from Sweden on behalf of the European Union and its Member States on the completion of the second comprehensive review of the capacity-building framework in developing countries under the Convention and the Kyoto Protocol
FCCC/SBSTA/2010/MISC.9	Update of the Implementation Plan for the Global Observing System for Climate in Support of the UNFCCC. Submission from the secretariat of the Global Climate Observing System
FCCC/SB/2007/INF.2	Relationship of various provisions of the Mauritius Strategy to the work of the Convention and its Kyoto Protocol. Note by the secretariat
FCCC/AWGLCA/2011/MISC.6	Views on the items relating to a work programme for the development of modalities and guidelines listed in decision 1/CP.16, paragraph 46. Submissions from Parties
FCCC/AWGLCA/2011/MISC.7	Views on the items relating to a work programme for the development of modalities and guidelines listed in decision 1/CP.16, paragraph 66. Submissions from Parties