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English only

Ad Hoc Working Group on Long-term Cooperative Action under the Convention

Fourteenth session

Bangkok, 5–8 April 2011, and Bonn, 7–17 June 2011*

Agenda item 3.5

Technology development and transfer

Submission from Argentina on behalf of the Group of 77 and China

1. During the second part of the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention, the secretariat received a submission from a group of Parties on agenda item 3.5. The secretariat was requested to issue a conference room paper containing this submission.
2. This submission is attached and reproduced¹ in the language in which it was received and without formal editing. The submission has also been posted on the UNFCCC website.²

* The third part of the fourteenth session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention will be held in conjunction with the third part of the sixteenth session of the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol. For further information see <http://unfccc.int/meetings/intersessional/panama_11/items/6092.php>.

¹ These submissions have been electronically imported in order to make them available on electronic systems, including the World Wide Web. The secretariat has made every effort to ensure the correct reproduction of the texts as submitted.

² <http://unfccc.int/meetings/ad_hoc_working_groups/lca/items/6050.php>.

Submission from G77 and China

G77 and China Draft decision under the agenda item on Development and Transfer of Technologies for AWG-LCA:

1. *Recalling* the commitments under the Convention, in particular Article 4, paragraphs 1, 3, 5, 7, 8 and 9.
2. *Recalling* paragraph 1(d) of decision 1/CP.13 Bali Action Plan, to enhance action on technology development and transfer to support action on mitigation and adaptation.
3. Noting the establishment of a Technology Executive Committee, and a Climate Technology Centre and Network in the Cancun Agreements, and noting the work programme for the Ad Hoc Working Group on Long Term Cooperative Action under the Convention in 2011 on technology development and transfer.
4. Recalling paragraphs 114 and 115 of decision 1/CP.16, which state that technology needs must be nationally determined, based on national circumstances and priorities, and that there is a need to accelerate action at different stages of the technology cycle,
5. Recalling paragraph 128 of decision 1/CP.16 on the work programme for the Ad hoc Group on Long-term Cooperative Action on technology development and transfer with a view to the Conference of the Parties taking a decision at its Seventeenth session in order to make the Technology Mechanism fully operational in 2012,

Mission of the CTC

6. Decides that the Climate Technology Centre (CTC) shall, at the requests of non-Annex-1 Parties:
 - (a) Identify currently available climate-friendly technologies that meet their key low carbon and climate resilient development needs;
 - (b) Facilitate the preparation of project proposals for the deployment and utilization of currently available Mitigation and Adaptation technologies;

- (c) Facilitate adaptation and deployment of currently available technologies to meet local needs and circumstances;
- (d) Facilitate research, development and demonstration of new climate-friendly technologies, which are required to meet key sustainable development objectives;
- (e) Enhance the national and regional human and institutional capacity to manage the technology cycle, and support the challenges for the above activities;
- (f) Facilitate the financing of these activities through the Green Climate Fund and other bilateral, multilateral and private-sector sources;

7. The CTC shall establish a network of organizations, which are capable of responding to non-Annex I Parties' requests related to technology development and transfer. These organizations shall be chosen through an open invitation, and the CTC shall maintain a list of organizations in the network.

Functions of the CTCN

8. Developing countries shall present their requests through designated focal points to the CTC, which shall assess the viability, feasibility and eligibility of requests from a non-Annex-1 Parties on the basis of the agreed guidelines.

9. Decides that the CTC would respond to requests by non-Annex-1 Parties, either by itself or by identifying an appropriate organization in the network, in an open and competitive manner on the basis of their expertise and experience, resources committed to respond to the request, regional coverage, and capacity to build teams appropriate to respond in an expeditious manner, in consultation with the requesting non-Annex I Party.

10. The CTC shall provide oversight related to the appropriateness and timeliness of the response.

Funding of CTCN Activities

11. Decides that costs associated with the operations of the CTC and the network shall in a short-term be met from the existing bilateral and multilateral sources, and in a long-term from the Green Climate Fund.

12. Requests developed country Parties and other developed Parties included in Annex II to support the CTC and the network through the provision of financial and other resources.

Governance of CTC

13. Decides that the activities of CTC shall be governed by the Technology Executive Committee, which shall provide, inter alia:

- (a) Recommendations to the CoP on matters related to activities and/or outcomes of activities eligible for financial support from the Financial Mechanism;
- (b) Policy direction on issues such as prioritization, operational criteria and guidelines, Human Resources policies, and designation of organizations as network members;
- (c) Oversight, including review, on issues such as responsiveness to requests, timelines, and appropriateness of response;
- (d) Financial control of CTC, such as approval of budget, resources, and audit;
- (e) Monitoring and evaluation of the speed and effectiveness of technologies transferred;
- (f) Reviewing the implementation of the approved work programme of the CTC and reporting it back to the COP;

14. Further decides that CTC shall provide an annual report of its activities and of the network to the Technology Executive Committee two months prior to the COP, in order to allow it to review the report and forward it to the COP with its comments, for COP's consideration.

Request for Proposals from Organizations to Host the Climate Technology Centre

15. Invites organizations interested in hosting the CTC to provide following details:

- (a) Vision on how the bidding organization, as the CTC, would meet and strengthen the mission of the CTCN, as contained in paragraph 123 of decision 1/CP.16;
- (b) Present/past experience and expertise:

- i. Involvement with similar networks, etc;
 - ii. Description of relevant projects/activities;
 - iii. Work done in a broad range of regions in the world;
- (c) Provision of Resources:
- i. Commitment of resources (e.g. financial, in-kind, staff) to the CTC;
- (d) Current multidisciplinary functions that overlap with CTC;
- (e) Scope of current operations (regional offices/bureaus that could support the CTC);
- (f) Program management capabilities:
- i. Proposed management structure;
 - ii. Staffing plan with qualifications and experience;
 - iii. Risk and scenario planning;
 - iv. Ability to handle a broad-content spectrum and volume of requests;
- (g) Current relationships that could be leveraged for Network development;
- (h) Delivery functions on budgets of X,Y,Z \$/year;
16. The proposal must also provide following details:
- (a) Structure/contracting/work delegation functionality;
 - (b) How the relationship with Network will be forged;
 - (c) Interaction of CTC with National Entities and the Network;
17. The evaluation criteria for choosing the CTC host shall be:
- (a) Appropriateness of experience and expertise;

- (b) Programme management capability to respond to requests from Parties;
- (c) Contribution (co-financing) to the CTCN;
- (d) Regional coverage, and capacity to build teams appropriate to respond fully to the non-Annex I Parties' requests in an expeditious manner;
- (e) Accessibility to all non-Annex I Parties;

18. All other criteria being equal, preference shall be given to a host organization located in a developing country.

19. Decides that the Technology Executive Committee shall evaluate the proposals and forward its recommendations to the COP for its considerations.
