



气候变化框架公约

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附属履行机构

第三十二届会议

2010年5月31日至6月9日，波恩

议程项目 11

对适应基金的审查

对适应基金的审查

主席提出的结论草案

1. 附属履行机构(履行机构)注意到缔约方提交材料中所载的意见和建议。¹
2. 履行机构审议了但却未能充分涵盖缔约方提出供纳入附件所载职权范围的额外问题，并指出，适应基金仅在最近才充分运作。履行机构还注意到，目前规定的时限不能保证及时提供相关投入，筹备对适应基金进行透彻审查。
3. 履行机构的结论是，履行机构无法按照第 5/CMP.5 号决定的要求，最终确定附件所载职权范围，以便作为《公约》缔约方会议（《议定书》缔约方会议）第六届会议对有关适应基金的所有事项进行审查，包括体制安排。
4. 履行机构还注意到，《议定书》缔约方会议第六届会议需审议与适应基金托管人所作的临时安排，以确保业已供资和执行进程中的任何项目活动不受影响。
5. 鉴于以上第 2 和第 3 段，履行机构商定，建议《议定书》缔约方会议第六届会议考虑在《议定书》缔约方会议第七届会议上对适应基金进行审查，建议《议定书》缔约方会议第六届会议采取措施便利这项工作，包括通过职权范围和着手开展审查。

¹ FCCC/SBI/2010/MISC.2。

Annex

Draft terms of reference for the initial review of the Adaptation Fund

[Terms of reference for the Initial Review of the Adaptation Fund]

I. Introduction

1. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) decided to undertake, at its sixth session, a review of all matters relating to the Adaptation Fund, including its institutional arrangements, with a view to ensuring the effectiveness and adequacy of the Fund. The review shall take into account the outcome of performance reviews of the secretariat and the trustee servicing the Adaptation Fund, submissions by Parties and other interested intergovernmental organizations and stakeholders. The CMP further decided that the interim institutional arrangements with the Global Environment Facility (GEF) for the provision of secretariat services to the Adaptation Fund Board, as well as the institutional arrangements with the World Bank for the provision of trustee services to the Adaptation Fund, shall be reviewed at the sixth session of the CMP.²
2. The CMP, at its fifth session, requested the Subsidiary Body for Implementation (SBI) to initiate the review of the Adaptation Fund at its thirty-second session and to agree on the terms of reference for the review and report back to the CMP at its sixth session so that the review can be undertaken by the CMP at that session.³

II. Objective

3. The objective of this initial review is to ensure the effectiveness and adequacy of the Adaptation Fund and its interim institutional arrangements with a view for the CMP to adopt an appropriate decision at its sixth session.

III. Scope

4. A review of all matters related to the Adaptation Fund, including institutional arrangements. Given that the Adaptation Fund has only recently become fully operational, this initial review shall focus on:
 - [x. Taking stock of the progress made and lessons learned in the operationalization of the Fund to date [including modalities [defined in decision 5/CMP.2 paragraph 2 [and further elaborated [informed by] [in] decision 1/CMP.3]], noting that this work is still ongoing;]

² Decision 1/CMP.3, paragraphs 32–34.

³ Decision 5/CMP.5.

(a) The interim institutional arrangements of the GEF acting as interim secretariat to the Adaptation Fund Board, as well as the interim institutional arrangements of the World Bank acting as the interim trustee for Adaptation Fund and the working arrangements of the Adaptation Fund Board;

(b) Performance reviews of the GEF acting as interim secretariat to the Adaptation Fund Board, as well as the interim institutional arrangements of the World Bank acting as the interim trustee for Adaptation Fund; and

(c) A comparative assessment of the administrative cost of the services of the GEF as interim secretariat of the Adaptation Fund Board, the World Bank acting as an interim trustee of the Adaptation Fund and the Adaptation Fund Board.

IV. Methodology

5. In conducting the initial review of the Adaptation Fund at CMP the Parties shall use the following inputs:

(a) Submissions by Parties, other interested intergovernmental organizations and stakeholders, on among other things, the interim institutional arrangements of the GEF acting as interim secretariat to the Adaptation Fund Board, as well as the interim institutional arrangements of the World Bank acting as the interim trustee for Adaptation Fund and the working arrangements of the Board, informed by the annual reports of the AFB to the CMP;

(b) Independent performance reviews of the secretariat and the trustee servicing the Adaptation Fund, to be undertaken by the Adaptation Fund Board or by an independent entity appointed for such purposes by the Adaptation Fund Board;

(c) A comparative analysis of administrative costs of the services of the GEF as interim secretariat of the AFB, the World Bank acting as an interim trustee of the Adaptation Fund and the Adaptation Fund Board; and

(d) Annual reports of the Adaptation Fund Board to the CMP.]
