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Agenda item 4
Development and transfer of technologies

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Draft conclusions proposed by the Chair

- 1. The Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI) welcomed the election of Mr. Arthur Rolle (Bahamas) as Chair and Mr. Bruce Wilson (Australia) as Vice-Chair of the Expert Group on Technology Transfer (EGTT) for 2009.
- 2. The SBSTA and the SBI took note of the oral report by the Vice-Chair of the EGTT on the outcomes of the group's meetings held from 24 to 26 February 2009 and from 13 to 14 May 2009, in Bonn, Germany. The SBSTA and the SBI commended the EGTT for providing its reports to this session. The SBSTA and the SBI welcomed the initiative of the EGTT to hold an informal dialogue with the business community in conjunction with its meeting in May 2009, during which representatives of the international business community communicated their positions and expressed their desire to play an even more active role, which is essential to the success of the process. The SBSTA and the SBI noted that further thought should be given to establishing an effective means of engaging the private sector more fully in this process. The SBSTA and the SBI encouraged the EGTT to continue engaging with other relevant stakeholders, including public and private financial institutions, non-governmental organizations and academia, in its work, as appropriate.
- 3. The SBSTA and the SBI welcomed the reports of the EGTT on financing options¹ and a long-term strategy,² and the draft report of the EGTT on performance indicators.³
- 4. The SBSTA and the SBI looked forward to the final report of the EGTT on performance indicators, to be made available at their thirty-first sessions. This report will contain a set of indicators that could be used by the SBI as one of the tools to conduct the review and assessment of the effectiveness of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention, and to

¹ FCCC/SB/2009/2/Summary and FCCC/SB/2009/2.

² FCCC/SB/2009/3/Summary and FCCC/SB/2009/3.

³ FCCC/SB/2009/1/Summary and FCCC/SB/2009/1.

regularly monitor and evaluate the effectiveness of the implementation of the technology transfer framework, ⁴ as requested by decision 4/CP.13.

- 5. The SBSTA and the SBI noted that the EGTT reports on financing options and a long-term strategy contain a wealth of information and a range of important findings that could inform Parties in their deliberations on matters relating to technology development and transfer as part of the work of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA). The SBSTA and the SBI invited the AWG-LCA to consider, as appropriate, information contained in these reports. The SBSTA and the SBI also invited the AWG-LCA to consider, as appropriate, the report referred to in paragraph 4 above once it is finalized.
- 6. The SBSTA noted with appreciation the second synthesis report prepared by the secretariat on technology needs identified by Parties not included in Annex I to the Convention (non-Annex I Parties).⁵ It welcomed the completion of 69 technology needs assessments (TNAs) by non-Annex I Parties and encouraged non-Annex I Parties to complete or update their TNAs and to submit them to the secretariat for posting on the UNFCCC technology information clearing house (TT:CLEAR). The SBSTA also noted that one developed country Party not included in Annex II to the Convention has also submitted a TNA report.
- 7. The SBSTA recognized that the large number of TNAs submitted provided a basis for analysis of technology needs and that the synthesis report referred to in paragraph 6 above therefore offers a wide range of information on regional and sector-specific technology needs, barriers to technology transfer, capacity-building needs and opportunities for technology transfer. The SBSTA noted that TNAs provide a good basis for enhancing the implementation of Article 4, paragraphs 1(c) and 5, of the Convention.
- 8. The SBSTA welcomed the updated handbook *Conducting Technology Needs Assessments for Climate Change*, ⁶ jointly prepared by the United Nations Development Programme and the secretariat in cooperation with the EGTT and the Climate Technology Initiative. The SBSTA encouraged non-Annex I Parties to use this handbook when assessing their technology needs. The SBSTA noted that the handbook should be considered a living document that could be updated and improved in the future.
- 9. The SBSTA noted with appreciation the offer of the Government of Botswana to host the African regional workshop on preparing technology transfer projects for financing to be held from 19 to 21 August 2009 in Gaborone, Botswana. It requested the secretariat to use the UNFCCC publication *Preparing and Presenting Proposals: A Guidebook on Preparing Technology Transfer Projects for Financing*⁷ in conducting this workshop.
- 10. The SBSTA requested the secretariat, subject to the availability of resources:
 - (a) To widely disseminate, in electronic and printed forms, the two reports of the EGTT on financing options and a long-term strategy referred to in paragraph 3 above and the report on performance indicators referred to in paragraph 4 above, once it is finalized;
 - (b) To prepare a report on the information required for using the performance indicators to support the review of the implementation of Article 4, paragraphs 1(c) and 5, of the Convention in accordance with decision 13/CP.1 and to regularly monitor and evaluate

6 http://unfccc.int/ttclear/pdf/TNA%20Handbook%20-%20Advance%20Document%20June09.pdf.

The framework for meaningful and effective actions to enhance the implementation of Article 4, paragraph 5, of the Convention.

⁵ FCCC/SBSTA/2009/INF.1.

⁷ This guidebook was launched at the twelfth session of the Conference of the Parties in November 2006. It is available at http://unfccc.int/ttclear/jsp/Guidebook.jsp.

the effectiveness of the implementation of the technology transfer framework, in accordance with decision 4/CP.13, and make it available for consideration by the SBSTA at its thirty-second session.
