



联合国



## 气候变化框架公约

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附属履行机构

第三十届会议

2009年6月1日至10日，波恩

议程项目 5(a)

资金问题

对资金机制的第四次审查

### 对资金机制的第四次审查

#### 订正结论草案

1. 附属履行机构(履行机构)决定在第三十一届会议上，根据附件所载草案继续审议本议程项目下的各项问题，以期作为建议提出一项决定草案，供缔约方会议第十五届会议通过。

2. 履行机构请缔约方在 2009 年 9 月 28 日之前，为供对资金机制的第四次审查参考，就作为资金机制的一个经营实体的全球环境基金下资金的运作以及气候变化特别基金和最不发达国家基金下资金的运作向秘书处提交材料，以便汇编成一份杂项文件，供履行机构第三十一届会议审议。

Annex

[ENGLISH ONLY]

**Text for a draft decision for consideration by the Subsidiary Body  
for Implementation at its thirty-first session**

**Draft decision [-/CP.15]**

**Fourth review of the financial mechanism**

*[The Conference of the Parties,*

*Recalling* Article 4, paragraphs 3, 4, 5, 8, and 9, of the Convention and taking fully into account Article 11 of the Convention, in particular its sub-paragraph 1,

*Recalling also* its decisions 11/CP.1, 12/CP.2, 3/CP.4, 6/CP.13, and 3/CP.14,

*Pursuant* to Article 7.2 (h) of the Convention,

*[Noting* that multilateral and bilateral agencies have scaled up financial resources related to the implementation of the Convention,]

*Noting* the Annual Reports of the Global Environment Facility to the COP,

*[ Noting* the Fourth Overall Performance Study of the Global Environment Facility interim report, [and particularly its paragraphs 4, [5] and 6]]

*Further taking into account* the mid-term review of the Resource Allocation Framework,

*[Taking note* of the [assessment of the status of the implementation] [review] of the Special Climate Change Fund,]

*[Gravely concerned* over the huge gaps of financing [needed by developing] [provided by developed] countries, in particular for adaptation, and the amount made available through an operating entity of the financial mechanism of the Convention, the Global Environment Facility,]

*[Conscious* of the need to scale up the level of [public] [and private] financing for the implementation of the climate change convention [based on past and present experience as well as on relevant literature on future financial and investment needs],]

*[Recognizing]* *[Seriously aware]* that most of the financing for activities under the Convention are done through financial institutions outside of the framework of the financial mechanism of the Convention,

*[Taking into account* the continued difficulties faced by developing countries with the financing through the Global Environment Facility, in particular due to the requirement for co-financing, the application of the concept of incremental costs, and the Resource Allocation Framework,]

*Noting* [with serious concern the continued problems faced by developing countries due to] [concerns from a number of Parties regarding] the functioning of the Implementing Agencies of the Global Environment Facility,

[*Noting* the need to increase [the amount of resources for] capacity building [, [and] institutional strengthening [[and] [to enhance] enabling environments[for catalyzing investment in, and the transfer of, sustainable technologies that mitigate greenhouse gas emissions, and for enhancing resilience to climate change]] in developing countries,]

1. [*Takes note* of the OPS4 interim report findings that:

- i) GEF support continues to be in line with guidance from the Convention
- ii) GEF support has been crucial in enabling countries to integrate climate change into their national development agenda
- iii) GEF support has helped developing countries to introduce policies to address climate change and reduce and avoid GHG emissions
- iv) GEF's move towards country level programming has increased country ownership to some extent, but the current resource allocation modalities need improvement
- v) there is scope to further simplify and streamline the procedures, particularly the project identification phase and improve timeliness throughout the project cycle;
- vi) GEF needs a knowledge management strategy to improve learning and sharing best practice;
- vii) GEF has played an important role in scaling up resources to address climate change,]

2. [*Further decides* to assess the adequacy and predictability of financing channelled through the Global Environment Facility as an operating entity of the financial mechanism of the Convention for the implementation of commitments under Article 4, paragraph 3 of the Convention,]

3. [*Requests* the Global Environment Facility to ensure a successful fifth Replenishment in order to assist that adequate and predictable funding is available,] [Calls upon developed country Parties and invites other Parties that make financial contributions to the Global Environment Facility to secure a successful fifth replenishment of the Global Environment Facility,] [Calls upon donors to the Global Environment Facility to ensure a successful fifth replenishment in order to assist in providing adequate and predictable funding,]

4. *Requests* the Global Environment Facility, as an operating entity of the financial mechanism, to ensure active participation of recipient partners in the replenishment process,

5. [*Request* the Global Environmental Facility to align its fifth replenishment cycle, as well as its climate change operational program with the investment and financial needs identified by Parties and by authorized studies and reports,]

6. [*Further requests* information from the GEF on the changes in the project management cycle, the number of projects funded, and the number of projects which were rejected, as well as the modalities by which a particular funding decision may be reconsidered, in the light of the guidance of the Conference of the Parties, in accordance with Article 11, paragraph 3 (b) of the Convention,]

7. [*Also requests* the Global Environment Facility to provide additional information to the Conference of the Parties on the reforms planned or being undertaken, in particular as concerns allocation of resources,]

8. *[Requests the GEF Secretariat to present an option paper with recommendations to the GEF Council at its next meeting on reconsidering the requirement for co-financing, in particular for adaptation projects, to developing countries particularly in small island developing states (SIDS) and the least developed countries (LDCs) amongst them,]*
9. *[Invites bilateral, regional and other multilateral agencies to continue scaling up financial related to the implementation of the Convention,]*
10. *[Calls upon the Implementing Agencies of the GEF to comply with their role as implementing agencies, and to comply with the guidance provided by the Conference of the Parties on policies, programme priorities and eligibility criteria for funding,]*
11. *[Decides that the GEF has provided and should continue to provide support to developing countries in:*
  - i) supporting developing countries to meet their commitments under the Convention
  - ii) strengthening national capacity building, including the development of policies and environmental legislation and regulatory frameworks
  - iii) the application and diffusion, including transfer, of technologies, practices and processes for mitigation that control, reduce or prevent anthropogenic emissions
  - iv) ensuring that its activities in all focal areas take into account low carbon development and adaptation needs
  - v) developing synergies between the MEAs addressing the interaction between the components of the climate system,]
  12. *[ Decides also that the GEF should continue to provide support for the implementation of adaptation activities, including the implementation of National Adaptation Plans of Action, through the LDCF and SCCF,]*
  13. *[Requests the GEF to continue improvements in its modalities to improve the responsiveness, effectiveness and efficiency of its support, including:*
    - i) enhancing modalities which reinforce country ownership and improve the allocation of resources
    - ii) further simplifying and improving its procedures, particularly in respect of activity identification, preparation and approval
    - iii) ensuring that access to resources is expeditious and timely
    - iv) enabling a programmatic approach, where appropriate
    - v) ensuring consistency and complementarity with other financing activities
    - vi) promoting private sector financing and investment for climate change activities
    - vii) reinforcing its knowledge management approach to share best practice,]
    14. *[Invites the Global Environmental Facility to look into options for enhancing consistency and improving the complementarity with others sources and means of financing for climate change, as indicated in Article 11, paragraph 5 of the Convention,]*
    15. *[Decides [to examine all options available, in particular through processes under the Convention] [to take into consideration the ongoing discussions on financing in the framework of the AWG-LCA] , to scale up the funding available for the implementation of obligations under Articles 4.3, 4.4 and 4.5 of the Convention, ]*

16. [*Decides* to provide further guidance on possible additional functions and modalities for the GEF, within its mandate, as defined through the AWG/LCA,]

17. [*Requests* the GEF, in its regular report to the Conference of the Parties, to include information on the steps it has taken to implement the guidance provided in paragraphs 3, 4, 5, 6 and 7 above.]]

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