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**AD HOC WORKING GROUP ON LONG-TERM COOPERATIVE ACTION  
UNDER THE CONVENTION**

**Seventh session**

**Bangkok, 28 September to 9 October 2009, and Barcelona, 2–6 November 2009**

**Agenda item 2 (b)**

**Organizational matters**

**Organization of the work of the session**

**Scenario note on the resumed seventh session**

**Note by the Chair\***

*Background*

The first part of the seventh session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) was held in Bangkok, Thailand, from Monday, 28 September to Friday, 9 October 2009. The resumed session will be held in Barcelona, Spain, from 2 to 6 November 2009. Thereafter, the AWG-LCA will meet to conclude its work at its eighth session, concurrently with the fifteenth session of the Conference of the Parties which will open in Copenhagen, Denmark, on 7 December 2009.

The secretariat has issued annotations to the provisional agenda for the seventh session.<sup>1</sup> The purpose of this note is to share with delegations the initial ideas of the Chair of the AWG-LCA on the objectives and organization of work of the resumed seventh session.

**I. Introduction**

1. At the first part of the seventh session, the open-ended, Party-driven negotiating processes being undertaken within the framework of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) made progress in addressing substantive issues arising from their work under the Bali Action Plan and in allowing the chairs, co-chairs and facilitators of the various contact groups and sub-groups to further consolidate the negotiating texts before them. Areas of agreement were expanded and options more clearly framed.

\* This document was submitted after the due date owing to the short interval between the first part of the seventh session of the Ad Hoc Working Group on Long-term Cooperative Action under the Convention and its resumed seventh session.

<sup>1</sup> FCCC/AWGLCA/2009/11.

2. Nevertheless, without analysing the texts under consideration in some depth, it is difficult to discern the elements that must form the core of an agreed outcome. Moreover, the documentary form and the legal nature of that outcome remain to be determined.
3. It is necessary for the Parties, in undertaking the remaining work of the AWG-LCA, to build on progress they have achieved on the different topics by negotiating specific agreed texts and by pulling the threads of their negotiations together in a manner that allows the agreed outcome envisaged in the Bali Action Plan to take shape, momentum to be generated and bottlenecks to be cleared.
4. It is also necessary to accelerate the pace of negotiations to respond to their ever-shortening time frame.

## **II. Organization of work at the resumed seventh session**

5. Consultations undertaken towards the end of the first part of the session revealed that Parties were generally satisfied with the allocation of tasks to the six contact groups that had been established. They envisaged the continuation of this organization of work at the resumed seventh session, with a judicious combination of formal and informal meetings to advance work within the one week available.
6. At the same time, it was considered that work by the contact groups, particularly that on mitigation, would require careful and flexible management to balance comprehensiveness with focus on critical issues. Some Parties also expressed the need to maintain an overarching view of the entire negotiating process under the AWG-LCA, in order to enable them to identify and address cross-cutting topics and linkages.
7. Taking these views into account, the Chair proposes the following organizational arrangements for the resumed seventh session:
  - (a) A short plenary meeting to deal with organizational matters will take place during the morning of Monday, 2 November. It is understood that groups or individual Parties will not make general policy statements, thus permitting the Parties to resume their negotiations as soon as possible;
  - (b) The six contact groups established at the start of the seventh session will be maintained. They deal with shared vision, adaptation, mitigation, technology development and transfer, capacity-building and finance;<sup>2</sup>
  - (c) The contact group on mitigation will organize its work at its first meeting, allocating specific tasks to sub-groups;
  - (d) To the extent that they are available, the chairs, co-chairs and facilitators who assisted the contact groups and sub-groups at the first part of the session will continue in these functions during the resumed session;
  - (e) In keeping with conclusions of the Bureau of the Conference of the Parties, the schedule of meetings will be extended to 7 p.m. when needed, in consultation with the regional groups. If necessary, time limits on statements will be introduced;

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<sup>2</sup> The subject matters of the six contact groups are described formally as: a shared vision for long-term cooperative action; enhanced action on adaptation and its associated means of implementation; enhanced action on mitigation and its associated means of implementation; enhanced action on the provision of financial resources and investment; enhanced action on development and transfer of technology; enhanced action on capacity-building.

- (f) The Chair will continue to convene informal consultations open to all Parties to enable them to maintain an overview of the progress of the negotiations under the AWG-LCA towards the agreed outcome called for by the Bali Action Plan and to address issues arising;
  - (g) The Chair's informal consultations will include consideration of the documentary form and legal nature of the agreed outcome;
  - (h) Informal consultations with the Parties will also be held, as needed, to lay the ground for work at the eighth session and in preparation for it;
  - (i) A closing plenary meeting will be held during the afternoon of Friday, 6 November.
8. A tentative schedule of meetings for the first two days of the resumed session will be posted on the UNFCCC website for the information of delegates.
9. The Chair will be available in Barcelona on 31 October and 1 November for further consultations as might be sought by Parties on the organization of the resumed seventh session.

### **III. Documents for the resumed seventh session**

10. The progress of negotiations in the contact groups and sub-groups at the first part of the session is captured in non-papers prepared by the respective facilitators. A list of these non-papers, organized by subject matter, is annexed to this note.
11. Most of these non-papers were available to delegates before or at the close of the first part of the seventh session in Bangkok. In a few cases, groups requested their facilitators to revise the available non-papers and make the revised non-papers available as soon as possible before the resumed seventh session.<sup>3</sup> All the non-papers are now available on the UNFCCC website.<sup>4</sup>
12. The following supporting material to the non-papers can also be found on the website:
- (a) A further updated list of proposed new institutional arrangements;
  - (b) A compilation of quantifications included by Parties in their proposals on a long-term global goal for emission reductions and on related medium-term goals.

### **IV. Suggestions to concentrate and accelerate negotiations in Barcelona**

13. In working towards an agreed outcome of the Bali Action Plan that is comprehensive and balanced, as well as ambitious, effective and fair, the Parties may see merit in concentrating their initial negotiations in Barcelona on some critical issues addressed in the current non-papers that are central to the task of the AWG-LCA. Further progress in the contact groups towards clarity and agreement on core issues should impart momentum to the negotiations across the board that would carry into the eighth session of the AWG-LCA in Copenhagen.
14. In addition, Parties may consider whether certain detailed material now incorporated in the non-papers could be dealt with separately in a manner that does not over-burden the negotiation of the agreed outcome yet gives the outcome greater operational thrust, for example in annexes or in complementary decisions of the Conference of the Parties.

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<sup>3</sup> The revised non-papers are those on shared vision (no. 33), adaptation (no. 31), mitigation under paragraph 1 (b)(ii) of the Bali Action Plan (no. 26), and financing (no. 34).

<sup>4</sup> <[http://unfccc.int/meetings/ad\\_hoc\\_working\\_groups/lca/items/5012.php](http://unfccc.int/meetings/ad_hoc_working_groups/lca/items/5012.php)>.

15. Furthermore, the Parties may consider whether the statement of their “shared vision” could enhance the integration of the agreed outcome by incorporating references to the instruments, mechanisms and other operational elements that will be constructed from the building blocks of the Bali Action Plan to enable the full, effective and sustained implementation of the Convention.

16. In order to stimulate reflections by the Parties on the above suggestions, the Chair offers the following indicative list of possible areas of initial concentration in the work of the AWG-LCA in Barcelona. This list, prepared by the Chair on his initiative and under his responsibility, draws upon the non-papers currently available for consideration. It is not an exhaustive list of all topics under negotiation; inclusion of a topic in the list does not imply that it is more important than topics not included, nor does the absence of a topic from the list mean that it will not be addressed at all in Barcelona. The list does not attempt to indicate the degrees of convergence or divergence around the topics included. It is simply an attempt to encourage a quick and productive resumption of negotiations.

### 1. A shared vision for long-term cooperative action

- (a) Context, including:
- Science: unequivocal anthropogenic climate change, adverse impacts, vulnerability, urgency to mitigate and adapt;
  - Economics: transition to low-emission, climate-resilient growth and development, cost of action lower than cost of inaction, collateral benefits, recognition of social dimension;
- (b) Guiding principles framing the entire agreed outcome, including:
- Convention objective, provisions and principles, in particular equity and common but differentiated responsibilities and respective capabilities;
  - Leadership by developed country Parties in actions and support;
  - Overriding priorities of developing country Parties – economic and social development and poverty eradication;
- (c) Integrated vision of cooperative action resulting from the building blocks of the Bali Action Plan;
- (d) Long-term global goal for emission reductions, including:
- Quantitative objective(s) and related medium-term goals, peaks and pathways;
  - Qualitative dimensions and principles for its achievement;
- (e) Process for reviewing the goal and overall progress by the Parties towards the ultimate objective of the Convention.

### 2. Enhanced action on adaptation

- (a) Implementation of a cooperative framework/programme, including:
- National plans for adaptation to be formulated, periodically reviewed and updated by Parties;
  - Implementation of adaptation action at national, regional and international levels;
  - Substantially scaled-up support for adaptation in developing country Parties, especially those that are particularly vulnerable;

- International mechanism to address risks of loss and damage;
  - Monitoring, review and assessment;
- (b) Institutional arrangements under the Convention.

3. Enhanced national/international action on mitigation of climate change

- (a) Implementation of Articles 4.1 (a) and (b), 10 and 12 of the Convention, taking into account the common but differentiated responsibilities and respective capabilities of the Parties, through arrangements for, inter alia:
- National greenhouse gas inventories;
  - Programmes containing measures to mitigate climate change;
  - Publishing, communicating and considering information on planned and implemented mitigation measures;
- (b) Nationally appropriate mitigation commitments or actions by developed country Parties, including:
- Economy-wide quantified emission reduction objectives beyond 2012, taking account of commitments under the Kyoto Protocol;
  - Comparability of level of ambition, legal form, accounting rules, procedures and mechanisms;
  - Measurement, reporting and verification of commitments or actions;
- (c) Nationally appropriate mitigation actions by developing country Parties, including:
- Scope and definition of nationally appropriate mitigation actions;
  - Mechanism(s) to register nationally appropriate mitigation actions;
  - Substantially scaled-up support for nationally appropriate mitigation actions and corresponding institutional arrangements;
  - Measurement, reporting and verification of actions and support;
- (d) Aspects of other approaches to mitigation, inter alia:<sup>5</sup>
- REDD-plus actions: objectives, scope, principles and different phases, including implications for the means of implementation;
  - Addressing emissions from international bunker fuels;
  - Enhancing cost-effectiveness of mitigation actions, including through use of markets;
  - Means to promote policies and measures aimed at realizing significant near-term mitigation potential;
  - Institutional arrangements to identify vulnerability to negative economic and social consequences of response measures, share information and minimize consequences.

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<sup>5</sup> Progress on these issues is likely to be contingent on progress on the mitigation issues listed above.

#### 4. Enhanced action on technology development and transfer

A mechanism for technology development and transfer under the Convention that will enhance technology action to support developing country Parties to undertake their transition to low-emission, climate-resilient growth and development, including:

- Institutional arrangements, including incentive mechanisms for technology transfer, networks of national and regional technology innovation centres, a technology development and transfer leveraging facility, and support for capacity-building needs of developing country Parties;
- Modalities for an international action plan on technology and technology roadmaps that will address barriers to technology transfer, cooperative actions on technologies and key economic sectors, and support to implement nationally appropriate mitigation actions and national adaptation plans;
- Financial arrangements to support the operation of the mechanism.

#### 5. Enhanced action on the provision of financial resources and investment

- (a) Scale and nature of commitments for the provision of new and additional, adequate and predictable financial resources;
- (b) Sources/options for generating financial resources to scale-up international public financing;
- (c) Enhancement of the financial mechanism of the Convention, through:
  - A new fund with specialized funding windows (or specialized funds) for adaptation, mitigation, REDD-plus, technology development and transfer, and capacity-building;
  - Existing institutions, including funds;
  - A mechanism to ensure coherence and coordination between different funding sources, matching needs to financial resources.

#### 6. Enhanced action on capacity-building

- (a) Implementation of capacity-building actions and related institutional arrangements;
- (b) Assessment of delivery/monitoring and review of capacity-building support/actions.

Annex

[ENGLISH ONLY]

**List of non-papers**

26 October 2009

The list below provides an overview of the non-papers prepared by the chairs, co-chairs and facilitators of the contacts groups and sub-groups of the first part of the seventh session of the AWG-LCA.<sup>1</sup> All non-papers are available on the UNFCCC website.<sup>2</sup> In cases where a contact group or sub-group has requested its chair, co-chairs or facilitator to undertake further work prior to the resumed seventh session, the non-papers resulting from this work as well as the non-papers superseded thereby are indicated accordingly.<sup>3</sup>

**Contact group on a shared vision for long-term cooperative action**

*Non-paper No. 33: Revised annex I to document FCCC/AWGLCA/2009/INF.2 (23/10/09). This non-paper supersedes non-paper No. 19 (8/10/09) and No. 27*

**Contact group on enhanced action on adaptation and its associated means of implementation**

*Non-paper No. 31: Revised streamlined text on adaptation (20/10/09). This non-paper supersedes non-paper No. 8 (3/10/09)*

**Contact group on enhanced action on mitigation and its associated means of implementation**

*Non-paper No. 28: Revised paragraphs 1–37 of annex III to document FCCC/AWGLCA/2009/INF.2 (9/10/09)*

**Subgroup on paragraph 1 (b) (i) of the Bali Action Plan**

*Non-paper No. 25: Revised annex III A to document FCCC/AWGLCA/2009/INF.2 (9/10/09)*

**Subgroup on paragraph 1 (b) (ii) of the Bali Action Plan**

*Non-paper No. 26: Nationally appropriate mitigation actions by developing country Parties (20/10/09)*  
This non-paper supersedes non-paper No. 20 (8/10/09)

**Subgroup on paragraph 1 (b) (iii) of the Bali Action Plan**

*Non-paper No. 18 : Revised annex III C to document FCCC/AWGLCA/2009/INF.2 (8/10/09)*

**Subgroup on paragraph 1 (b) (iv) of the Bali Action Plan**

*Non-paper No. 17: Revised annex III D to document FCCC/AWGLCA/2009/INF.2 (8/10/09)*

**Subgroup on paragraph 1 (b) (v) of the Bali Action Plan**

*Non-paper No. 30: Revised annex III E to document FCCC/AWGLCA/2009/INF.1 (9/10/09)*

<sup>1</sup> Non-papers 1, 2, 3, 4, 5, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 19, 10, 21, 22, 23 and 27 have been superseded by later versions (as indicated in each of the later non-papers by means of a footnote).

<sup>2</sup> <[http://unfccc.int/meetings/ad\\_hoc\\_working\\_groups/lca/items/5012.php](http://unfccc.int/meetings/ad_hoc_working_groups/lca/items/5012.php)>.

<sup>3</sup> See also footnote 3 to paragraph 11 of this document.

**Subgroup on paragraph 1 (b) (vi) of the Bali Action Plan**

*Non-paper No. 32: Revised annex III E to document FCCC/AWGLCA/2009/INF.2 (9/10/09)*

**Contact group on enhanced action on the provision of financial resources and investment**

*Non-paper No. 34: Revised annex IV to document FCCC/AWGLCA/2009/INF.2 (20/10/09). This non-paper supersedes non-paper No. 13 (5/10/09)*

**Contact group on enhanced action on the development and transfer of technology**

*Non-paper No. 29: Streamlined text and concepts contained within the reordering and consolidation of text in the revised negotiating text FCCC/AWGLCA/2009/INF.2, annex V (09/10/09)*

**Contact group on enhanced action on capacity-building**

*Non-paper No. 24: Revised annex VI to document FCCC/AWGLCA/2009/INF.2 (9/10/09)  
with background information contained in Non-paper No. 6 (1/10/09)*

In addition, the following supporting material to the non-papers is available on the UNFCCC website:

*Further updated list of proposed new institutional arrangements. Non-paper by the Chair (9/10/09)*

*Quantifications included by Parties in their proposals on a long-term global goal for emission reductions and on related medium-term goals. Non-paper by the Chair (23/10/09)*

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