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SUBSIDIARY BODY FOR IMPLEMENTATION Twenty-first session Buenos Aires, 6–14 December 2004

Agenda item 7 Capacity-building

Capacity-building

Draft conclusions proposed by the Chair

Recommendation of the Subsidiary Body for Implementation

The Subsidiary Body for Implementation, at its twenty-first session, decided to recommend the following draft decision for adoption by the Conference of the Parties at its tenth session:

Draft decision -/CP.10

Capacity-building for developing countries (non-Annex I Parties)

The Conference of the Parties,

Being guided by Article, 4, paragraphs 1, 2, 3,4, 5 and 7 in the context of Article 3, and Articles 5 [and 12] of the Convention,

Recalling its decisions 2/CP.7 to conduct a comprehensive review of the implementation of the framework for capacity-building in developing countries, and decision 9/CP.9 to complete the review at its tenth session and to conduct further comprehensive reviews every five years thereafter,

Reaffirming that decision 2/CP.7 should continue to be the basis for and guide the implementation of capacity-building activities in developing countries, and that it remains effective,

Reaffirming also that the guiding principles, the approaches and initial scope for the capacity-building framework, as contained in the annex to decision 2/CP.7, are still valid and important in advancing the purpose of the capacity-building framework for developing countries and in contributing to the objective of the Convention,

[Noting that whereas [the range of] [a number of] [most] [some] [many] of the priority issues identified in the capacity-building framework are being addressed by the Global Environmental Facility and its implementing agencies, as well as other multilateral and bilateral agencies, [significant] [large] [important] [gaps still remain to be filled] [in terms of range and effectiveness of capacity-building activities including FCCC/SBI/2004/9 and the meeting of capacity-building practitioners held on 3 December 2004 in Buenos Aires] [and access to financial resources remains an issue to be addressed in Annex II Parties and developing country Parties,]

[Noting also that access to financial resources remains an issue to be addressed in Annex II Parties and by the entity entrusted with the financial mechanism of the Convention as well as through the multilateral and bilateral agencies,]

Noting also that the preparation of national communications and of national adaptation programmes of action in least developed countries and of a range of other activities has contributed to the development of individual level capacity within and across institutions and has trained individuals from different sectors, including non-governmental actors,

Noting that Parties, in general, continue to involve different stakeholders such as non-governmental organizations, academic institutions, and in, some cases,the private sector, in implementing capacity-building activities,

Acknowledging that activities, projects and programmes implemented should continue to put emphasis on defining realistic results, identifying programme beneficiaries, monitoring the progress made towards expected results, identifying and managing risks and providing information on results achieved,

Welcoming the strategic approach of the Global Environment Facility towards enhancing capacity-building with the aim of providing adequate support for nationally determined and prioritized capacity-building needs,

Having taken note of the terms of reference of the first comprehensive review of the implementation of the framework for capacity-building in developing countries, contained in annex III to the report of the Subsidiary Body for Implementation at its eighteenth session,

Acknowledging all inputs into the comprehensive review, such as national communications and submissions from Parties, multilateral organizations and the secretariat, as well as from the various assessments, document FCCC/SBI/2004/9 as well as the meeting of capacity-building practitioners held on 3 December 2004 in Buenos Aires, Argentina.

- 1. Decides that the scope of capacity-building needs, as contained in the framework for capacity-building in developing countries, annexed to decision 2/CP.7, is still relevant and that the following are key factors that should be taken into account and could assist in the further implementation of decision 2/CP.7:
 - (a) To make institutional capacity-building a priority for the creation and strengthening of basic institutional infrastructure
 - (b) To raise awareness at various levels on climate change issues and increase the involvement of national governmental organizations in capacity-building activities
 - (c) [To integrate climate change issues, [as appropriate and in a country driven manner [[in accordance with the needs and priorities expressed by developing countries in their national communications and national adaptation programmes of action,] with support

- from the Annex II Parties]] in various sectors of the economy and in poverty reduction strategies and programmes, as well as into general sustainable development strategies]
- (d) To develop and, where appropriate, promote exchange of best practices, experiences, and information on capacity-building activities undertaken by various Parties, including financial resources, case studies and tools for capacity-building
- (e) To ensure effectiveness of capacity-building activities so that:
 - (i) They enhance the ability of developing country Parties to implement the Convention and to participate effectively in the Kyoto Protocol process
 - (ii) Initial and subsequent national communications and national adaptation programmes of action provide a good measure of successful capacity-building as it relates to the implementation of the Convention
 - (iii) Capacity-building is integrated as a priority by policy and decision makers
 - (iv) Long-term sustainability of capacity-building activities is achieved through integration and [mainstreaming] in planning processes.
- (f) Financial and technical resources should be made available, through an operating entity of the financial mechanism and, as appropriate, through multilateral and bilateral agencies in the private sector, to assist developing countries, in particular least developed countries and small island developing States among them, in the implementation of this framework
- (g) To further apply learning by doing approaches for capacity-building by supporting various types of capacity-building activities, projects and programmes at national and local levels
- (h) To continue to improve international donor coordination in the provision of financial resources, and to harmonize donor support in alignment with national priorities, plans and strategies
- (i) To ensure that resources are made available for the implementation of capacity-building activities
- (j) To strengthen institutional arrangements at the national level to coordinate implementation consistent with decision 2/CP.7 as a way of promoting integration of climate change issues into the national planning processes so as to increase effectiveness and sustainability of outcomes;
- 2. Encourages Parties to further improve the implementation of capacity-building activities by taking into account key factors identified in paragraph 1 above, and to report on the effectiveness and sustainability of capacity-building programmes in their national communications and other relevant documents;
- 3. Requests the Global Environmental Facility as an operating entity of the financial mechanism to take into account the key factors identified in paragraph 1 of decision XX in the context of the operating entity of the financial mechanism's projects, when supporting capacity building activities in developing countries in accordance with decisions 2/CP.7 and 4/CP.9 and as defined in the Strategic Approach to Enhance Capacity-Building;

- 4. [*Invites* Annex II Parties multilateral, bilateral, international agencies, private sector [and national governments], that are in a position to do so, [to continue] [to provide financial resources] to support capacity-building framework, as annexed to decision 2/CP.7;]
- 5. *Invites* relevant intergovernmental and non-governmental organizations, and in particular the United Nations Development Programme, World Bank and the United Nations Environment Programme to incorporate into their own work programmes, scope of needs identified in the capacity-building framework, taking into account the key factors identified in paragraph 1 above, and to cooperate with each other, with the support and facilitation of the secretariat, in order to ensure efficient and coordinated support to capacity-building efforts by developing country Parties;
- 6. *Requests* the Global Environmental Facility to include in its report to the Conference of Parties, at its eleventh session, information on how it is responding to this decision;
- 7. Decides to initiate a second comprehensive review of the implementation of capacity-building framework in developing countries, based on paragraph 8 of this decision and information provided by Parties, the Global Environmental Facility and its implementing agencies, bilateral, multilateral and other international organizations, at the twenty-eighth session of the Subsidiary Body for Implementation with a view to completing it at fifteenth session of the Conference of the Parties:
- 8. *Invites* Parties to submit by 15 February 2006, their views on steps to be taken by Subsidiary Body for Implementation to monitor regularly capacity-building activities undertaken pursuant to decision 2/CP.7, for consideration at the twenty-fourth session of the Subsidiary Body for Implementation;
 - 9. *Requests* the secretariat:
 - (a) To continue to cooperate with the operating entity of the financial mechanism of the Convention, its implementing agencies, bilateral and other multilateral and international organizations to facilitate the implementation of the capacity-building framework;
 - (b) To continue to cooperate with the secretariats of the Convention on Biological Diversity and the United Nations Convention to Combat Desertification, and other pertinent United Nations Conventions to maximize synergy in implementing capacity-building activities through, for example, sharing information, knowledge, experiences and lessons learned in implementation of the respective Conventions;
 - (c) To prepare a synthesis report on the steps to be taken to monitor regularly capacity-building activities pursuant to decision 2/CP.7 based on views from Parties as indicated in paragraph 8 and taking into account the work of the Global Environmental Facility on capacity-building performance indicators for the climate change focal area consistent with decision 4/CP.9 and make this report available to the Subsidiary Body for Implementation at its twenty-fourth session;
 - (d) To prepare reports, based on inputs from Parties, the Global Environmental Facility and its implementing agencies, as well as from other relevant organizations and institutions, for consideration by the Subsidiary Body for Implementation at its thirty-first session (in 2009), to allow the Conference of Parties to complete its second comprehensive review of the capacity-building framework;
 - (e) To disseminate, in cooperation with the Global Environmental Facility and its implementing agencies, an information document on best practices, lessons learned in capacity-building projects and programmes and, to facilitate its publication through the UNFCCC web site.

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