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SUBSIDIARY BODY FOR SCIENTIFIC AND TECHNOLOGICAL ADVICE

Nineteenth session

Milan, 1–9 December 2003

Agenda item 5

DEVELOPMENT AND TRANSFER OF TECHNOLOGIES

Draft conclusions proposed by the Chair

1. The Subsidiary Body for Scientific and Technological Advice (SBSTA) welcomed the report of the Expert Group on Technology Transfer (EGTT) for 2003 (FCCC/SBSTA/2003/12) and the oral report of the Chair of the EGTT on the outcome of its special meeting held on 11 and 12 November 2003 in New Delhi, India, and of its fourth meeting held on 28 and 29 November 2003 in Milan, Italy. It expressed appreciation for the kind support of the Government of India in hosting the special meeting of the EGTT, and for the financial support provided by the Government of Germany.
2. The SBSTA endorsed the programme of work of the EGTT for 2004 as contained in annex I to these conclusions, and welcomed the experts nominated by Parties to serve on the EGTT for 2004–2005 as listed in annex II. It expressed its appreciation to Mr. William Kojo Agyemang-Bonsu (Ghana), the Chair of the EGTT, and Mr. Richard Bradley (USA), the Vice-Chair, for their valuable contributions in successfully leading the EGTT to implement its programme of work for 2002–2003.
3. The SBSTA noted with appreciation the assistance provided by the United Nations Development Programme (UNDP), the United Nations Environmental Programme (UNEP), the Global Environment Facility (GEF) and other intergovernmental and international organizations, as well as the Climate Technology Initiative (CTI), and their support for the implementation of the work programme of EGTT for 2002–2003. It encouraged these organizations to continue their support for the EGTT in implementing its programme of work for 2004.
4. The SBSTA noted that the full implementation of the EGTT programme of work for 2004 would require additional supplementary resources, and invited Parties in a position to do so to support the EGTT on its programme of work for 2004, and relevant international organizations, including business and industry, to cooperate with the EGTT in this work.
5. The SBSTA welcomed the technical paper on capacity-building in the development and transfer of technologies (FCCC/TP/2003/1), prepared by the secretariat under the guidance of the EGTT. It noted the information on case studies and key lessons learned on human, institutional and information capacity-building activities, and acknowledged the need to further analyse trends, gaps and overlaps in the implementation of different capacity-building activities. The SBSTA noted that the Conference of the Parties (COP), at its tenth session, will complete a comprehensive review on the implementation of the capacity-building framework and that this technical paper provides a valuable contribution to that review.

6. The SBSTA took note of document FCCC/SBSTA/2003/INF.12 containing the questionnaire for the survey to assess the effectiveness of the use of the technology information system (TT:CLEAR), and of the presentation made by the secretariat on the progress of TT:CLEAR and on the methodology used in the survey.
7. The SBSTA requested Parties, relevant international organizations, and business and industry to submit the completed questionnaire on the TT:CLEAR survey to the secretariat by 15 February 2004. It noted that the secretariat will report on the results of the survey to the SBSTA at its twentieth session, after consideration by the EGTT.
8. The SBSTA requested Parties to consider, at its twentieth session, the outcomes of the high-level round-table discussions, particularly on technology, including technology use and development and transfer of technologies, held at the ninth session of the COP.
9. The SBSTA encouraged all Parties:
 - (a) To include more specific reporting on capacity-building activities relating to technology transfer in their national communications, as appropriate, in line with the existing guidelines on national communications;
 - (b) To promote and support technology networks and facilitate technology partnerships that complement technology transfer and capacity-building activities;
 - (c) To promote and support training programmes that cover core science, technology and other relevant skills for developing countries and countries with economies in transition.
10. The SBSTA invited UNDP, in cooperation with UNEP, the GEF and other international organizations, as well as the CTI, to provide information to the EGTT on progress in, and barriers to, the implementation of technology needs assessments and information on their trends and on plans to finance specific capacity-building activities for technology transfer.
11. The SBSTA requested the EGTT to consider for possible inclusion in its programme of work for 2005 activities relating to the development of endogenous technologies, and how to encourage and promote, as appropriate, the transfer of publicly owned technologies or those in the public domain.
12. The SBSTA requested the secretariat, subject to the availability of resources:
 - (a) To organize a workshop on innovative options for financing the development and transfer of technologies, taking into consideration terms of reference recommended by the EGTT, and to report on the findings of the workshop to the SBSTA at its twenty-first session;
 - (b) To prepare a report on the outcomes of the senior-level round-table discussion on enabling environments for technology transfer under Article 4.5 of the Convention, held at the ninth session of the COP, for consideration by the SBSTA at its twentieth session.

Annex I

Expert Group on Technology Transfer programme of work for the year 2004

Area	Proposed actions	Activities/Outcomes	Time frame
Technology needs assessments	<ol style="list-style-type: none"> 1. Review progress in the implementation of the technology needs assessment, including its financial and technical assistance components 2. Collaborate with UNDP and the CTI on the further development of the handbook on methodologies for technology needs assessments, including the provision to address adaptation issues and concerns 3. Cooperate with the CTI and others to organize and sponsor regional training on the conduct of technology needs assessments (utilizing the UNDP handbook on methodologies for technology needs assessments prepared in collaboration with the CTI) and related topics 	<ul style="list-style-type: none"> • Discuss the status and provide recommendations to the SBSTA • Review of the revised handbook • Regional workshops 	<p>SBSTA 20</p> <p>Ongoing</p> <p>Ongoing</p>
Technology information	<ol style="list-style-type: none"> 1. Consider the outcome of the survey on TT:CLEAR 2. Review the needs, logistical implications and institutional arrangements associated with networking international technology clearing houses and networks of regional and national technology centres 	<ul style="list-style-type: none"> • Provide recommendations to the SBSTA on possible ways and means to improve TT:CLEAR and make the system known to potential users; and on possible next steps • Produce an informal paper; and provide recommendations to the SBSTA 	<p>SBSTA 20</p> <p>SBSTA 21</p>

Area	Proposed actions	Activities/Outcomes	Time frame
Enabling environments	<ol style="list-style-type: none"> 1. Consider the outcome of the round-table discussion on enabling environments for technology transfer held at COP 9 2. Cooperate with business and industry in the organizations of sector-specific workshops as mandated by SBSTA 18 3. Analyse the interaction of climate and environmentally related legislation and policies on national technology choices and transfer 	<ul style="list-style-type: none"> • Provide recommendations to the SBSTA for further actions • Consider the outcomes of these events and provide recommendations to the SBSTA for further actions • Review submissions from Parties and identify next steps 	<p>SBSTA 20</p> <p>SBSTA 21</p> <p>SBSTA 21</p>
Capacity-building	<ol style="list-style-type: none"> 1. Analysis of trends (e.g., capacity-building for hardware operation, human resources and institutional capacity-building) and gaps and overlaps in the implementation of different capacity-building activities 2. Analyse ways to strengthen institutional capacities and promote closer regional cooperation of national focal points 	<ul style="list-style-type: none"> • Request the secretariat to consult with other relevant organizations to provide information on these trends and their plans to finance specific activities to support capacity-building for technology transfer and report on its findings to the EGTT • Review ongoing activities carried out by other organizations 	<p>5th EGTT meeting</p> <p>SBSTA 21</p>
Mechanisms	<ol style="list-style-type: none"> 1. Identify linkages and possible collaboration with other conventions 	<ul style="list-style-type: none"> • Monitor ongoing work in other conventions 	SBSTA 20

Area	Proposed actions	Activities/Outcomes	Time frame
Cross-cutting activities	1. Explore opportunities to enhance the capabilities of existing institutions to serve as clean technology/energy centres in order to facilitate climate technology transfer at national and regional level	<ul style="list-style-type: none"> • Cooperate with UNEP and other relevant organizations 	SBSTA 21
	2. Encourage the development of environmentally sound technologies in particular adaptation technologies	<ul style="list-style-type: none"> • Discuss possible activities • Produce a scoping paper on the basic concepts of adaptation-relevant technologies to facilitate the discussion of the EGTT on possible next steps 	5th EGTT meeting
Innovative financing	1. Identify innovative options for financing the development and transfer of technologies	<ul style="list-style-type: none"> • Prepare terms of reference for the workshop • Organize a workshop before SBSTA 21 	5th EGTT meeting SBSTA 21

Annex II

New members of the Expert Group on Technology Transfer for 2004–2005

Africa

Ms. Muriel Dube

Asia and the Pacific

Mr. Vute Wangwacharakul

Latin America and the Caribbean

Mr. Rawleston Moore

Mr. Osvaldo Girardin

Small island developing States

Mr. Kishan Kumarsingh

Annex I Parties

Ms. Renata Cybulska-Witkiewicz

Mr. Taka Hiraishi

Mr. Thomas Verheyne

Replacement of a current member of the Expert Group on Technology Transfer for 2004

Mr. Elmer Holt¹

¹ Replacing of Mr. Richard Bradley who resigned during COP 9.