



**Bonn, 12 January 2011**

Ref: ODES/COP 16/10

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## MESSAGE TO PARTIES

### Early submission of information and views

This note contains an updated table listing upcoming deadlines for submission of information and views invited by Convention and Kyoto Protocol bodies. The note has been prepared as a reference for Parties to facilitate the early submission of information and views. The update reflects the outcomes of the recent sessions of the Conference of the Parties (COP), Conference of the Parties serving as the Meeting of the Parties to the Kyoto Protocol (CMP), Subsidiary Body for Implementation (SBI), Subsidiary Body for Scientific and Technological Advice (SBSTA), Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG-LCA) and the Ad Hoc Working Group on Further Commitments for Annex I Parties under the Kyoto Protocol (AWG-KP). Where the reports of the sessions are not yet ready the table refers to the appropriate in-session documents.

In order for documents to be produced in a timely manner and to facilitate preparations, it is important that the submissions reach the secretariat on time. Electronic submissions, either on CD-ROM or sent via e-mail, will facilitate the timely production of documents. E-mail submissions should be sent to [secretariat@unfccc.int](mailto:secretariat@unfccc.int); receipt will be acknowledged. Submissions formatted in MS Word 2000 are preferable. If non-electronic submissions are provided, more time will be needed to produce the document.

As submissions are compiled on an issue-by-issue basis, Parties are requested to identify each submission by its subject and mandate and, if possible, send each submission as a separate document.

Yours sincerely,

*(signed by)*

Richard Kinley  
Deputy Executive Secretary

**Distribution:** To Parties and observer States through national focal points for climate change and diplomatic missions accredited to the Federal Republic of Germany.



## Upcoming deadlines for submission of views or information by Parties

Submission		Date
<b>2011</b>		
1.	<u>Research and systematic observation (SBSTA)</u> Additional views on issues related to the research dialogue, including possible ways to enhance its effectiveness and the workshop to be held in conjunction with SBSTA 34. (See FCCC/SBSTA/2010/L.22, paragraph 22)	31 January
2.	<u>Carbon dioxide capture and storage in geological formations as clean development mechanism project activities (SBSTA/CMP - submission to SBSTA)</u> Submissions of views from Parties and admitted observer organizations on how the issues referred to in paragraph 3 of FCCC/CMP/2010/L.10 can be addressed in the modalities and procedures for the inclusion of carbon dioxide capture and storage in geological formations as project activities under the clean development mechanism. (See FCCC/CMP/2010/L.10, paragraph 2, 3 and 4)	21 February
3.	<u>Matters relating to Article 2, paragraph 3, and Article 3, paragraph 14, of the Kyoto Protocol (SBSTA/SBI)</u> Submissions of views and information from Parties and relevant organizations on issues that could be addressed at the joint SBSTA and SBI workshop on matters relating to these Articles. (See documents FCCC/SBSTA/L.16, paragraph 3, and FCCC/SBI/L.23, paragraph 3)	21 February
4.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Submissions of views from Parties on the composition of, and modalities and procedures for, the Adaptation Committee, including on proposed linkages with other relevant institutional arrangements. (See FCCC/AWGLCA/2010/L.7, paragraph 21)	21 February
5.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Submissions of views and information from Parties and relevant organizations on what elements should be included in the work programme to consider approaches to address loss and damage, referred to in document FCCC/AWGLCA/2010/L.7, paragraph 26, including the following: (a) Possible development of a climate risk insurance facility to address impacts associated with severe weather events; (b) Options for risk management and reduction; risk sharing and transfer mechanisms such as insurance, including options for micro-insurance; and resilience building, including through economic diversification; (c) Approaches for addressing rehabilitation measures associated with slow onset events; (d) Engagement of stakeholders with relevant specialized expertise. (See FCCC/AWGLCA/2010/L.7, paragraphs 26–29)	21 February
6.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Submissions from Parties and accredited observer organizations on matters relating to the establishment of one or more market-based mechanisms to enhance the cost-effectiveness of, and to promote, mitigation actions, as referred to in document FCCC/AWGLCA/2010/L.7, paragraph 81. (See FCCC/AWGLCA/2010/L.7, paragraphs 80–82)	21 February



7.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Submissions from Parties and accredited observer organizations on matters relating to the establishment of one or more non-market-based mechanisms to enhance the cost-effectiveness of, and to promote, mitigation actions, as referred to in document FCCC/AWGLCA/2010/L.7, paragraph 85. (See FCCC/AWGLCA/2010/L.7, paragraphs 84–86)	21 February
8.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Information from Parties and accredited observer organizations on the evaluation of various approaches in enhancing the cost-effectiveness of, and promoting, mitigation actions, including activities implemented jointly under Article 4, paragraph 2 (a), of the Convention and any other relevant activities, for synthesis by the secretariat. (See FCCC/AWGLCA/2010/L.7, paragraph 87)	21 February
9.	<u>Consideration of further commitments for Annex I Parties under the Kyoto Protocol: Land use, land-use change and forestry (AWG-KP)</u> Information on the forest management reference level inscribed in the Annex I of document FCCC/KP/AWG/2010/L.8/Add.2, including any update to replace the value, in accordance with the guidelines outlined in Part I of Annex II of the same document. (See FCCC/KP/AWG/2010/L.8/Add.2, paragraph 4)	28 February
10.	<u>Consideration of further commitments for Annex I Parties under the Kyoto Protocol: Land use, land-use change and forestry (AWG-KP)</u> Confirmation by Parties of their active experts on the LULUCF roster of experts available to participate in the review of forest management reference levels in 2011. (See FCCC/KP/AWG/2010/L.8/Add.2, Annex II, paragraph 24)	28 February
11.	<u>Further guidance relating to the clean development mechanism (CMP - submission to SBI)</u> Submissions of views from Parties, intergovernmental organizations and admitted observer organizations on procedures, mechanisms and institutional arrangements under the Conference of the Parties serving as the meeting of the Parties under the Kyoto Protocol to allow for appeals against Executive Board decisions based on decision 2/CMP.5, paragraph 42, taking into account the recommendations of the Executive Board contained in annex 2 to its annual report. (See FCCC/CMP/2010/L.8, paragraph 18 and 19)	28 March
12.	<u>Further guidance relating to the clean development mechanism (CMP - submission to SBSTA)</u> Submissions of views from Parties, intergovernmental organizations and admitted observer organizations on the issue of materiality. (See FCCC/CMP/2010/L.8, paragraph 30 and 31)	28 March
13.	<u>Nairobi work programme on impacts, vulnerability and adaptation to climate change (SBSTA)</u> Further views and relevant information on progress made and gaps as well as views on new activities that may be needed to achieve the objective and expected outcomes of the Nairobi work programme, to inform the review. (See FCCC/SBSTA/2010/L.20, paragraph 5)	28 March



14.	<p><u>Implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities (SBSTA)</u></p> <p>Views on the implications of the inclusion of reforestation of lands with forest in exhaustion as afforestation and reforestation clean development mechanism project activities. (See FCCC/SBSTA/2010/L.15, paragraph 1)</p>	28 March
15.	<p><u>Financial mechanism of the Convention (SBI)</u></p> <p>Views from Parties on the synthesis report on the National Economic, Environment and Development Studies (NEEDS) for Climate Change Project. Note by the secretariat (FCCC/SBI/2010/INF.7) (See FCCC/SBI/2010/L.38, paragraph 2)</p>	28 March
16.	<p><u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u></p> <p>Submissions of views from Parties on the items relating to a work programme for the development of modalities and guidelines listed in document FCCC/AWGLCA/2010/L.7, paragraph 46, including with respect to the initial scheduling of the processes described in section III.A (Enhanced action on mitigation. Nationally appropriate mitigation commitments or actions by developed country Parties). (See FCCC/AWGLCA/2010/L.7, paragraphs 46–47)</p>	28 March
17.	<p><u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u></p> <p>Submissions from Parties on the items relating to a work programme for the development of modalities and guidelines listed in document FCCC/AWGLCA/2010/L.7, paragraph 66, including with respect to the initial scheduling of the processes described in section III.B (Enhanced action on mitigation. Nationally appropriate mitigation actions by developing country Parties). (See FCCC/AWGLCA/2010/L.7, paragraphs 66–67)</p>	28 March
18.	<p><u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u></p> <p>Submissions from Parties and relevant intergovernmental organizations on the issues relating to modalities for the operationalization of the work programme and a possible forum on response measures referred to in document FCCC/AWGLCA/2010/L.7, paragraph 93 for consideration by the SBI and the SBSTA at the thirty-fourth sessions of the subsidiary bodies. (See FCCC/AWGLCA/2010/L.7, paragraphs 93–94)</p>	28 March
19.	<p><u>Review of the Adaptation Fund (CMP)</u></p> <p>Submission of views from Parties and international organizations and stakeholders on the review of the Adaptation Fund based on the terms of reference annexed in document FCCC/KP/CMP/2010/L.5. (See FCCC/KP/CMP/2010/L.5, paragraph 4)</p>	19 September
20.	<p><u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u></p> <p>Information from developing countries that wish to voluntarily inform the Conference of the Parties of their intention to implement nationally appropriate mitigation actions. (See FCCC/AWGLCA/2010/L.7, paragraph 50)</p>	Not specified



21.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Information from developing country Parties on nationally appropriate mitigation actions for which they are seeking support, along with estimated costs and emission reductions, and the anticipated time frame for implementation. (See FCCC/AWGLCA/2010/L.7, paragraph 54)	Not specified
22.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Information from developed country Parties on support available and provided for nationally appropriate mitigation action. (See FCCC/AWGLCA/2010/L.7, paragraph 55)	Not specified
23.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Information from developed country Parties in a position to do so, through annual submissions, to the secretariat and other appropriate channels, on the support they have provided for capacity-building in developing country Parties. (See FCCC/AWGLCA/2010/L.7, paragraph 133)	Not specified
24.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Information from developing country Parties in a position to do so, through annual submissions to the secretariat and other appropriate channels, on progress made in enhancing capacity to address climate change, including on the use of the support received. (See FCCC/AWGLCA/2010/L.7, paragraph 135)	Not specified

<b>Annually</b>		
25.	<u>Capacity-building under the Convention (SBI)</u> Information from Parties on the activities they have undertaken pursuant to decision 2/CP.7 and 2/CP.10, which should include, inter alia, such elements as needs and gaps, experiences and lessons learned. (See FCCC/CP/2006/5/Add.1, decision 4/CP.12, paragraph 1 (a))	For 2011, 15 August
26.	<u>Capacity-building under the Kyoto Protocol (SBI)</u> Information from Parties on the activities that they have undertaken pursuant to decision 29/CMP.1 and reports from relevant multilateral and bilateral agencies and the private sector on their support of the implementation of the framework undertaken pursuant to decision 29/CMP.1, in accordance with national priorities and with the knowledge of relevant national authorities. (See FCCC/KP/CMP/2006/10/Add.1, decision 6/CMP.2, paragraph 1 (a) and (b))	For 2011, 15 August
27.	<u>Financial mechanism of the Convention (SBI)</u> Views and recommendations from Parties on elements to be taken into account in developing guidance to the Global Environment Facility. (See FCCC/SBI/2010/L.38/Add.1, paragraph 7)	For 2011, 19 September
28.	<u>Outcome of the work of the Ad Hoc Working Group on long-term Cooperative Action under the Convention (AWG-LCA)</u> Information from developed country Parties on the resources provided to fulfil the commitment referred to in document FCCC/AWGLCA/2010/L.7, paragraph 95, including ways in which developing country Parties access these resources. (See FCCC/AWGLCA/2010/L.7, paragraphs 95–96)	For 2011, 1 May



<b>2012</b>		
29.	<u>Capacity-building for countries with economies in transition under the Convention (SBI)</u> Information on how Parties have implemented capacity-building activities in EIT countries. (See FCCC/SBI/2007/34, paragraph 87)	February
30.	<u>Capacity-building for countries with economies in transition under the Kyoto Protocol (SBI)</u> Information on how Parties have implemented capacity-building activities in EIT countries. (See FCCC/SBI/2007/34, paragraph 123)	February
31.	<u>Activities implemented jointly under the pilot phase (SBSTA)</u> Reports on activities implemented jointly under the pilot phase, to be included in the eighth synthesis report. (See FCCC/SBSTA/2010/L.21, paragraph 2)	1 June
32.	<u>Financial mechanism of the Convention. Least Developed Countries Fund (SBI)</u> Information from Parties and relevant organizations on their experience with the implementation of the least developed countries work programme, including the updating and implementation of national adaptation programmes of action, and in accessing funds from the Least Developed Countries Fund. (See FCCC/SBI/2010/L.27/Add.1, paragraph 5)	1 August

<b>2015</b>		
1.	<u>Matters relating to Article 4, paragraphs 8 and 9, of the Convention. Matters relating to the least developed countries (SBI)</u> Views from Parties on the work of the Least Developed Countries Expert Group. (See FCCC/SBI/2010/L.28/Add.1, paragraph 9 (b))	1 February