

Progress tracker Work programme resulting from the relevant requests contained in decision 1/CP.21 (Information as available by 05/07/2016)

Color Code

	Individual efforts	Global Stocktake	Loss and damage	Adaptation
	Support	Enhanced action prior to 2020	Response measures	Facilitating implementation and compliance
A	Transparency of Action and Support	Non-Party Stakeholders	Mechanisms (PA Art. 6)	

Background information, including on the approach to this progress tracker can be found here

Mandate	Body/Actor	Timeline	Status	Next steps
§14: Continue to publish the INDCs communicated by Parties on the UNFCCC website	Secretariat	Ongoing	I Ingoing	Not applicable/available (N/A)
INDCs of Parties that may need such support	Developed countries; Operating entities of the FM and any other organizations		Special event on support for NDCs held at SB44: event details	To be announced (TBA)
§19: Update the INDC synthesis report so as to cover all the information in the INDCs communicated by Parties pursuant to decision 1/CP.20 by 4 April 2016 and to make it available by 2 May 2016	Secretariat	2 May 2016	<u>Completed</u>	
§20: Convene a facilitative dialogue among Parties in 2018 to take stock of the collective efforts of Parties in relation to progress towards the long-term goal referred to in Article 4.1 of the Agreement and to inform the preparation of NDCs pursuant to Article 4.8 of the Agreement	СОР	2018	To be convened in 2018	ТВА
§22: Communicate first NDC no later than when the Party submits its respective instrument of ratification, acceptance, approval or accession of the PA ¹ ;	Parties	No later than when Party submits instrument of ratification, acceptance, approval or accession	Ongoing	N/A

¹ If the Party has communicated an INDC prior to joining the Agreement, that Party shall be considered to have satisfied this provision unless that Party decides otherwise

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§25: Submit to the secretariat NDCs referred to in Article 4 of the Agreement at least 9 to 12 months in advance of the relevant session of the CMA with a view to facilitating the clarity, transparency and understanding of these contributions, including through a synthesis report prepared by the secretariat	Secretariat	In advance of relevant CMA	Report to be prepared in advance of relevant CMA	Report to be prepared in 2020
§26: Develop further guidance on features of the NDCs for consideration and adoption by CMA1	APA	CMA1	Negotiations began at APA1: draft conclusions	Parties to submit views by 30 September 2016; secretariat to compile by 7 October 2016. Negotiations to continue at APA1.2 in November 2016
PA Art. 4.10: Consider common time frames for the NDCs	CMA	CMA1	N/A	N/A
PA Art. 4.11: At any time adjust existing NDC with a view to enhancing level of ambition, in accordance with guidance adopted by the CMA	CMA	CMA	N/A	N/A
§28: Develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs for consideration and adoption by CMA1	APA	CMA1	Negotiations began at APA1: draft conclusions	Parties to submit views by 30 September 2016; secretariat to compile by 7 October 2016. Negotiations to continue at APA1.2 in November 2016
§29: Develop modalities and procedures for the operation and use of the public registry referred to in Article 4.12 of the Agreement for consideration and adoption by CMA1	SBI	CMA1	Negotiations began at SBI44: draft conclusions	Negotiations to continue at SB45 in November 2016
§30: Make available an interim public registry in the first half of 2016 for the recording of NDCs submitted in accordance with Art. 4 of the Agreement, pending the adoption by the CMA of modalities and procedures referred to in paragraph 29.	Secretariat	First half of 2016	Completed	
§31: Elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance for accounting for Parties' NDCs, as referred to in Article 4.13 of the Agreement for consideration and adoption by CMA1; (see para 31 (a–d) for further details)	APA	CMA1	Negotiations began at APA1: draft conclusions	Parties to submit views by 30 Sept 2016; secretariat to compile by 7 October 2016. Negotiations to continue at APA1.2 in November 2016
§34: Recommend, for consideration and adoption by CMA1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Agreement by enhancing cooperation amongst Parties on understanding the impacts of mitigation actions under the Agreement and the exchange of information, experiences, and best practices amongst Parties to raise their resilience to these impacts;	SBSTA and SBI	CMA1	Negotiations began at SB44: draft conclusions	Negotiations to continue at SB45 in November 2016
§35: Publish on the UNFCCC website Parties' low greenhouse gas emission development strategies as communicated by Parties	Secretariat	Ongoing	No LEDS has been communicated yet	N/A

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§36: Develop and recommend the guidance referred to under Article 6.2 of the Agreement for consideration and adoption by CMA1, including guidance to ensure that double counting is avoided on the basis of a corresponding adjustment by Parties for both anthropogenic emissions by sources and removals by sinks covered by their NDCs under the Agreement	SBSTA	CMA1	SBSTA44: draft conclusions	Parties and observers to submit views by 30 September 2016. Negotiations to continue at SBSTA45 in November 2016
§38: Develop and recommend rules, modalities and procedures for the mechanism referred to in paragraph 37 (mechanism established by Article 6.4 of the Agreement) for consideration and adoption by CMA1 (see para 37 (a–f) for further details)	SBSTA	CMA1		Parties and observers to submit views by 30 September 2016. Negotiations to continue at SBSTA45 in November 2016
§39: Undertake a work programme under the framework for non-market approaches to sustainable development referred to in Article 6.8 of the Agreement, with the objective of considering how to enhance linkages and create synergy between, inter alia, mitigation, adaptation, finance, technology transfer and capacity-building, and how to facilitate the implementation and coordination of non-market approaches;	SBSTA	CMAI	Negotiations began at SBSTA44: draft conclusions	Parties and observers to submit views by 30 September 2016. Negotiations to continue at SBSTA45 in November 2016
PA Art. 7.12: Develop modalities and procedures for the operation and use of the public registry referred to in Article 7.12 of the Agreement for consideration and adoption by CMA1	SBI	CMA1	Negotiations began at SBI44: draft conclusions	Negotiations to continue at SBI45 in November 2016
PA Art. 7.10 & 7.11: Further guidance for adaptation communications referred to in Articles 7.10 and 7.11	APA	CMA1	Negotiations began at APA1: draft conclusions	Parties to submit views by 30 Sept 2016; secretariat to compile by 7 October 2016. Negotiations to continue at APA1.2 in November 2016
§41: Jointly develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Article 7.3 of the Agreement, and make recommendations for consideration and adoption by CMA1	AC and LEG	CMA1		Meeting report to be published. Desk review and background paper to be prepared for AC 10 (13 – 16 September 2016); call for submissions to Parties and organizations to be launched. AC and LEG finalizing guiding questions (submission deadline 30 September 2016)
§42: Taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by CMA1: (a) Review, in 2017, the work of adaptation-related institutional arrangements under the Convention, with a view to identifying	AC	AC: review in 2017 For: CMA1	Initial discussions started at AC 2 as part of the overall discussions on understanding the mandate given to the AC at COP 21	Desk review ongoing and background paper to be prepared for AC 10 (13 – 16 September 2016)

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ways to enhance the coherence of their work, as appropriate, in				
order to respond adequately to the needs of Parties; §42 Taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by CMA1 (b) Consider methodologies for assessing adaptation needs with a view to assisting developing country Parties, without placing an undue burden on them	AC	CMA1	Work began at <u>AC 9</u>	Desk review ongoing and background paper to be prepared for AC 10 (13 – 16 September 2016)
§43: provide information to Parties through the secretariat on how their development assistance and climate finance programmes incorporate climate-proofing and climate resilience	UN agencies and international, regional and national financial institutions	Ongoing		
§45: Develop methodologies, and make recommendations for consideration and adoption by CMA1 on: (a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement; (b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7.14(c) of the Agreement	AC and LEG, in collaboration with SCF and other relevant institutions		Joint preparatory meeting took place on 27 May 2016: meeting report	Meeting report to be published. Desk review and background paper to be prepared for AC 10 (13 – 16 September 2016); call for submissions to Parties and organizations to be launched. AC and LEG finalizing guiding questions (submission deadline 30 September 2016)
§46: Expedite support for the least developed countries and other developing country Parties for the formulation of national adaptation plans, consistent with decisions 1/CP.16 and 5/CP.17, and for the subsequent implementation of policies, projects and programmes identified by them	GCF	No timeline specified	Ongoing	GCF to report to COP 22 in November 2016
§48: Establish a clearing house for risk transfer that serves as a repository for information on insurance and risk transfer, in order to facilitate the efforts of Parties to develop and implement comprehensive risk management strategies	WIM Excom		Work began at Excom 2 (Feb 2016) and ongoing	WIM Excom to consider the outcomes of the intersessional work at Excom 4 (19–23 September 2016), and agree on further action thereon.
§49: Establish, according to its procedures and mandate, a task force to complement, draw upon the work of and involve, as appropriate, existing bodies and expert groups under the Convention including the AC and the LEG, as well as relevant organizations and expert bodies outside the Convention, to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change	WIM Excom		Work began at Excom 2 (Feb 2016) and ongoing	WIM Excom to consider the outcomes of the intersessional work at Excom 4 (19–23 September 2016), and agree on further action thereon.
§55:Initiate process to identify information to be provided by Parties, in accordance with Article 9.5 of the Paris Agreement, with	СОР	Initiate work at COP22 (Nov 2016)	N/A	N/A

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a view to providing a recommendation for consideration and adoption by CMA1				
§§59 & 60: Consider the issue referred to in Paragraph 59 (i.e. that the adaptation Fund may serve the Agreement, subject to relevant decisions by the CMP and the CMA) and make a recommendation to CMA1	COP and APA		CMP11 addressed this issue. See paragraphs 8 and 9 of Decision 1/CMP11	COP22 (November 2016) to work on a recommendation for the CMP
§57: Develop modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9.7 of the Agreement for consideration by COP 24, with a view to making a recommendation for consideration and adoption by CMA1	SBSTA	(Nov 2018), CMA1		Parties and observers to submit views by 29 August 2016. Work to continue at SBSTA45 in November 2016, including an in- session workshop
§64: Enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least developed countries and small island developing States, as appropriate	Institutions serving the Agreement	no timeline specified	Stocktaking events by the COP Presidency and the incoming Presidency: 21 May 2016 and 25 May 2016. Reflections note by the COP Presidency and the incoming Presidency	TBA
 §66: In supporting the implementation of the Agreement, to undertake further work relating to, inter alia: (a) Technology research, development and demonstration; (b) The development and enhancement of endogenous capacities and technologies 	TEC and CTCN	no timeline specified	TEC: Work in the two areas are included in the Rolling workplan of the TEC for 2016-2018; CTCN: Work is being undertaken by taskforce on RD&D (established last year)	TEC: Taskforces to report relevant progress of work at the next meeting (6 - 9 September) of TEC CTCN: Taskforce to incorporate actions to address the Paris Agreement and report at the next meeting (23 - 25 August 2016) of AB-CTCN
adoption (see para 67 (a–d) for further details)		Report: COP,	Elaboration of the framework was initiated at SBSTA44: draft Conclusions	Negotiations to continue at SBSTA45 in November 2016; mapping technology initiatives and compilation of Parties' submissions will be prepared by the secretariat
§69: Undertake a periodic assessment of the effectiveness of and the adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Agreement on matters relating to technology development and transfer	COD	periodic (see para 70)	see para 70	see para 70
§70: Initiate, at SBI 44, the elaboration of the scope of and modalities for the periodic assessment referred to in paragraph 69 above, taking into account the review of the CTCN as referred to in	SBI		Negotiations began at SBI44: draft Conclusions	Parties and observers to submit views by 25 January 2017. The

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decision 2/CP.17, annex VII, paragraph 20, and the modalities for the global stocktake referred to in Article 14 of the Agreement, for consideration and adoption by COP 25				Secretariat will compile and synthesize those views for consideration at SBI46 in May 2017
enhancement of the PCCB and to take any action it considers appropriate, with a view to making recommendations to CMA1 on enhancing institutional arrangements for capacity-building consistent with Article 11.5 of the Agreement	\$\$72 & 73: PCCB \$75: SBI \$76: SBI \$80: PCCB	\$76: COP 22 (2016) \$80: Annually at SBI sessions coinciding with COPs \$81: COP 25 (2019)	Friday 11 November 2016	Negotiations to continue at SBI45 in November 2016; PCCB members nominations to be posted here
§86: Make arrangements to support the establishment and operation of the Capacity-building Initiative for Transparency as a priority reporting-related need, including through voluntary contributions to support developing country Parties in the sixth replenishment of the GEF and future replenishment cycles, to complement existing support under the GEF (Also see 84 & 85)	GEF	GEF-6 replenishment and future cycles	Establishment of a New Trust Fund for the Capacity-building Initiative for Transparency and programming directions for it submitted to the GEF Council for its approval at the <u>fiftieth</u> meeting (7-9 June 2016)	The GEF secretariat to launch the Capacity-building Initiative for Transparency
§87: Assess the implementation of the Capacity-building Initiative for Transparency in the context of the 7th review of the Financial Mechanism §88: Include in its annual report to the COP the progress of work in the design, development and implementation of the Capacity-building Initiative for Transparency starting in 2016	СОР	In the content of the 7 th content of the	N/A	§87: to be initiated before 2021
a view to forwarding them to CMA1 for consideration and adoption (See paras 92–95 and 97–98 for further details) (PA Art. 13.5 & 13.13)	APA	COP 24 (2018); CMA1 (See also para 96, 97)	Negotiations began at APA1: draft conclusions	Parties to submit views by 30 September 2016; secretariat to compile by 7 October 2016. Negotiations to continue at APA1.2 in November 2016
PA Art. 7.10 & 7.11: develop further guidance for adaptation communications referred to in Articles 7.10 and 7.11	APA	IC MI A I	Negotiations began at APA1: draft conclusions	Parties to submit views by 30 September 2016;

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				secretariat to compile by 7 October 2016. Negotiations to continue at APA1.2 in November 2016
§99: Identify the sources of input for the global stocktake referred to in Article 14 of the Agreement and to report to the COP, with a view to the COP making a recommendation to CMA1 (see para 99 (a–c) for further details)	APA	COP; CMA1	Negotiations began at APA1: draft conclusions	Parties to submit views by 30 September 2016; secretariat to compile by 7 October 2016. Negotiations to continue at APA1.2 in November 2016
§100: Provide advice on how the assessments of the Intergovernmental Panel on Climate Change can inform the global stocktake of the implementation of the Agreement pursuant to its Article 14 and to report on this matter to APA 2	SBSTA		Negotiations began at SBSTA44: draft conclusions	Parties and observers to submit views by 12 September 2016
§101: Develop modalities for the global stocktake referred to in Article 14 of the Agreement and to report to the COP, with a view to the COP making a recommendation to CMA1	APA	COP; CMA1	Negotiations began at APA1: draft conclusions	Parties to submit views by 30 September 2016; secretariat to compile by 7 October 2016. Negotiations to continue at APA1.2 in November 2016
§ 109(c): In accordance with their respective mandates: (i) To engage in the technical expert meetings (TEMs) and enhance their efforts to facilitate and support Parties in scaling up the implementation of policies, practices and actions identified during this process; (ii) To provide regular updates during the TEMs on the progress made in facilitating the implementation of policies, practices and actions previously identified during this process; (iii) To include information on their activities under this process in their joint annual report to the COP	TEC and CTCN	Annually with COP and TEMs (until 2020)	TEC: Work in this area is included in rolling workplan of the TEC for 2016-2018; TEC and CTCN engaged and actively participated in TEMs on Mitigation during SB44	TEC & CTCN will continue to engage in contributing to the TEP on Mitigation; TEC & CTCN will report the activities in their joint annual report for 2016
§110: Engage in the technical expert meetings and to inform participants of their contribution to facilitating progress in the implementation of policies, practices and actions identified during the technical examination process	Operating entities of FM (GCF, GEF)	Annually with COP and TEMs (until 2020)	GCF and GEF took part in TEMs on mitigation and adaptation during SB44	GCF and GEF will continue to engage in contributing to the TEP on Mitigation
§111: Organize the process referred to in Paragraph 109 above and disseminate its results, including by (a): organizing, in consultation with the TEC and relevant expert organizations, regular TEMs focusing on specifies policies, practices and actions representing best practices and with the potential to be scalable and replicable; (b) Updating, on an annual basis, following the meetings, and in time to serve as input to the summary for policy makers, a technical paper on mitigation benefits and co-=benefits of policies, practices and actions for enhancing mitigation, as well as on options for	Secretariat: in consultation with the TEC and relevant expert organizations (§111(a)); in consultation with the champions (§111(c))	(§111(b)); Annually (until 2020); (§111(c)); Two months in advance of each COP;	Two TEM-M were organized at SB44	- A technical paper is being prepared to be published by end of August 2016.

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supporting their implementation, information on which should be made available in a user friendly online format; (c) preparing, in consultation with the champions a summary for policymakers, with information on specific policies, practices and actions representing best practices and with potential to be scalable and replicable, and on options to support their implementation, as well as on relevant collaborative initiatives, and publishing the summary at least two months in advance of each session of the Conference of the Parties as input for the high-level event. §112: Jointly organize the process referred to in paragraph 109 (technical examination process on mitigation), on an ongoing basis until 2020	SBI and SBSTA	Annually with COP and TEMs (until 2020)		- A summary for Policy makers is also being prepared to be published in the first week of September 2016.
§113: Conduct in 2017 an assessment of the process referred to in para 109 above so as to improve its effectiveness	СОР	COP 23 (2017)	N/A	N/A
§115: Conduct a facilitative dialogue in conjunction with COP22 to assess the progress in implementing decision 1/CP.19, paragraphs 3 and 4, and identify relevant opportunities to enhance the provision of financial resources, including for technology development and transfer, and capacity-building support, with a view to identifying ways to enhance the ambition of mitigation efforts by all Parties, including identifying relevant opportunities to enhance the provision and mobilization of support and enabling environments	СОР	COP 22 (2016)	N/A	To be organized at COP 22 in November 2016
§120: Convene, pursuant to decision 1/CP.20, paragraph 21, building on the Lima-Paris Action Agenda and in conjunction with each session of the Conference of the Parties during the period 2016–2020, a high-level event (see para 120 (a–d) for further details)	СОР	COP sessions from 2016 – 2020	N/A	To be organized at COP 22 in November 2016
§121: Act on behalf of the President of the COP to facilitate through strengthened high-level engagement in the period 2016–2020 the successful execution of existing efforts and the scaling-up and introduction of new or strengthened voluntary efforts, initiatives and coalition (see para 121 (a–c) for further details)		COP sessions from 2016–2020	the organization of the TEMs in SB44. A <u>roadmap for global</u> <u>climate action</u> was released on 6 June 2016, including a series of events in the lead up to	Parties and non-Party stakeholders to submit views by 1 August 2016. Secretariat will synthesize alongside outcomes of inperson events for the champions' consideration.
§126: The process referred to in paragraph 124 (technical examination process on adaptation) should be organized jointly by SBI and SBSTA, on an ongoing basis until 2020, and be conducted by the Adaptation Committee	Organized by SBI and SBSTA; conducted by AC	Ongoing until 2020		- A technical paper is being prepared to be published by end of August 2016. - Input to be provided to
§129: Support the process referred to in paragraph 124 by (a) organizing regular TEMs (b) Preparing annually a technical paper on opportunities to enhance adaptation action as well as options to support their implementation.	Secretariat		SB44	the above Summary for policymakers (see paragraph 111) to be published in the first week of September 2016.

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§130: In conducting the process referred to in paragraph 124, engage with and explore ways to take into account, synergize with and build on the existing arrangements for adaptation-related work programmes, bodies and institutions under the Convention so as to ensure coherence and maximum value	AC	On an ongoing basis until 2020		- Progress to be provided at AC10 (13 – 16 September 2016) - AC to explore ways to effectively engage other constituted bodies and stakeholders in conducting the TEP-A
§131: Conduct, in conjunction with the assessment referred to in paragraph 113 (assessment of technical examination process on mitigation), an assessment of the process referred to in paragraph 124 (technical examination process on adaptation), so as to improve its effectiveness	СОР	COP 23 (2017)	N/A	N/A
§103: Develop the modalities and procedures for the effective operation of the committee referred to in Article 15.2 of the Agreement, with a view to the APA completing its work on such modalities and procedures for consideration and adoption by CMA1	APA		draft conclusions	Co-chairs' guiding questions to be developed and communicated to Parties by September 2016 to guide discussions at APA1.2 in November 2016
§134: Scale up efforts and support actions to reduce emissions and/or to build resilience and decrease vulnerability to the adverse effects of climate change and demonstrate these efforts via the NAZCA platform	Non-Party Stakeholders	Ongoing	Ongoing	Commitments will be periodically published as they are received. Views on how to evolve NAZCA will be received as part of the champions' consultative process.
§135: Platform for the exchange of experiences and sharing of best practices on mitigation and adaptation in a holistic and integrated manner	COP	no timeline specified	N/A	TBA

Abbreviations

AB-CTCN The Advisory Board of the Climate Technology Centre and Network AC Adaptation Committee
APA Ad Hoc Working Group on the Paris Agreement
CMA Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
COPConference of the Parties
CTCNClimate Technology Centre and Network
FM Financial Mechanism of the Convention
GCF Green Climate Fund
GEFGlobal Environment Facility
LEGLeast Developed Countries Expert Group

MPGsModalities, Procedures and Guidelines
NDCsnationally determined contributions
PCCB Paris Committee on Capacity-building
SBISubsidiary Body for Implementation
SBSTA Subsidiary Body for Scientific and Technological Advice
SCFStanding Committee on Finance
TEC Technology Executive Committee
TEMstechnical expert meetings
TEP technical examination process
WIM ExCom Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts