



## Progress tracker<sup>1</sup>

### Work programme resulting from the relevant requests contained in decision 1/CP.21

(Information available as at 20 June 2017)

Color Code			
PA Art. 4 and Dec. 1/CP.21, §§12 – 32 and §35	PA Art. 7 and Dec. 1/CP.21, §§41 – 46	PA Art 13 Dec. 1/CP.21; §§84 – 98	Dec. 1/CP.21, §§105 – 132
PA Art. 4.15 Dec. 1/CP.21, §§33 & 34	PA Art. 8 Dec. 1/CP.21; §§47 – 51	PA Art. 14 Dec. 1/CP.21; §§99 – 101	Dec. 1/CP.21, §§133 – 136
PA Art. 6 Dec. 1/CP.21, §§36 – 40	PA Arts. 9 – 12 and Dec. 1/CP.21, §§43 & 46 and §§52 – 83	PA Art. 15 Dec. 1/CP.21; §§102 & 103	

*Note: On 4 November 2016, the Paris Agreement entered into force. The first part of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA 1) took place in Bab Ighli, Marrakech, Morocco in conjunction with COP 22 and CMP 12. For information on how the CMA will conduct its business, please see [decision 1/CMA.1](#)*

Background information, including on the approach to this progress tracker can be found [here](#)

Mandate <sup>2</sup>	Body/Actor	Timeline <sup>3</sup>	Status	Next steps <sup>4</sup>
§14: Continue to publish the INDCs communicated by Parties on the UNFCCC website.	Secretariat	Ongoing.	<a href="#">Ongoing.</a>	<a href="#">Ongoing.</a>
§15: Provide support for the preparation and communication of the INDCs of Parties that may need such support.	Developed country Parties; Operating entities of the FM and any other organizations	Ongoing.	Ongoing.	Ongoing.
§19: Update the INDC synthesis report so as to cover all the information in the INDCs communicated by Parties pursuant to decision 1/CP.20 by 4 April 2016 and to make it available by 2 May 2016.	Secretariat	2 May 2016	<a href="#">Completed.</a>	<a href="#">Completed.</a>
*§20: Convene a facilitative dialogue among Parties in 2018 to take stock of the collective efforts of Parties in relation to progress towards the long-term goal referred to in Article 4.1 of the Agreement and to inform the preparation of NDCs pursuant to Article 4.8 of the Agreement.	COP	2018	COP 22 and COP 23 Presidents undertook inclusive and transparent consultations during SB46 (8–18 May 2017) as per <a href="#">decision 1/CP.22</a>	COP 22 and COP 23 Presidents to continue undertaking inclusive and transparent consultations and to jointly

<sup>1</sup> SBI 46 invited the secretariat to continue to regularly update and make available this progress tracker. See document [FCCC/SBI/2017/L.10. §13](#)

<sup>2</sup> \* indicates mandates with progress updates reflected in this version of the progress tracker.

<sup>3</sup> The SBSTA, SBI, APA and the constituted bodies are to accelerate their work on the PA work programme, and forward the outcomes to COP24 (December 2018), at the latest. For details, please see [decision 1/CMA.1](#) and [decision 1/CP.22](#).

<sup>4</sup> APA1.3 (May 2017) invited Parties to submit, by 15 October 2017, views on how to progress work in the period after APA1.4 (November 2017). See [APA 1.3 conclusions, §20](#) (AUV).

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				report at COP 23 (6–17 November 2017).
§22: Communicate first NDC no later than when the Party submits its respective instrument of ratification, acceptance, approval or accession of the PA. <sup>5</sup>	Parties	No later than when a Party submits instrument of ratification, acceptance, approval or accession.	<a href="#">Ongoing.</a>	<a href="#">Ongoing.</a>
§25: Submit to the secretariat NDCs referred to in Article 4 of the Agreement at least 9 to 12 months in advance of the relevant session of the CMA with a view to facilitating the clarity, transparency and understanding of these contributions, including through a synthesis report prepared by the secretariat.	Parties Secretariat	In advance of relevant CMA.	Report to be prepared in advance of relevant CMA.	Report to be prepared in 2020.
*§26: Develop further guidance on features of the NDCs for consideration and adoption by CMA1.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA 1.3 (8–18 May 2017). See <a href="#">APA 1.3 conclusions, §11</a> (advance unedited version (AUV)). Also see <a href="#">Informal Note by the co-facilitators</a> for APA Agenda item 3.  Secretariat organized, under the guidance of the APA Co-Chairs, a round table on 6 May 2017. For details, see <a href="#">APA 1.3 website.</a>	Negotiations to continue at APA 1.4 (6–17 November 2017).  The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA 1.3.  Parties to submit views by 15 September 2017. APA 1.3 co-facilitators for this item, with support from the secretariat, to prepare a non-paper capturing convergence, divergence and options by 15 October 2017.  Secretariat to organize, under the guidance of the APA Co-Chairs, a round table on 6 November 2017.
PA Art. 4.10: Consider common timeframes for the NDCs.	SBI CMA	Third part of the first CMA session (2018), at the latest.	The CMA agreed to send this item to SBI 47 for its consideration. See <a href="#">FCCC/PA/CMA/2016/3, §24.</a>	To be considered at SBI47 in November 2017.
*PA Art. 4.11: At any time adjust existing NDC with a view to enhancing level of ambition, in accordance with guidance adopted by the CMA.	Parties CMA	Third part of the first CMA session (2018), at the latest.	This issue was also considered at APA 1.3. See the <a href="#">Informal Note by the APA Co-Chairs</a> on Agenda item 8.  The APA agreed to continue its consideration of this matter at	Considerations to continue at APA 1.4 (6–17 November 2017).

<sup>5</sup> If the Party has communicated an INDC prior to joining the Agreement, that Party shall be considered to have satisfied this provision unless that Party decides otherwise.

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			APA1.4. See <a href="#">APA 1.3 conclusions, §19</a> (AUV)	
*§28: Develop further guidance for the information to be provided by Parties in order to facilitate clarity, transparency and understanding of NDCs for consideration and adoption by CMA1.	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.3 (8–18 May 2017). See <a href="#">APA 1.3 conclusions, §11</a> (AUV). Also see <a href="#">Informal Note by the co-facilitators</a> for APA Agenda item 3.  Secretariat organized, under the guidance of the APA Co-Chairs, a round table on 6 May 2017. For details, see <a href="#">APA 1.3 website</a> .	Negotiations to continue at APA1.4 (6–17 November 2017).  The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA 1.3.  Parties to submit views by 15 September 2017. APA1.3 co-facilitators for this item, with support from the secretariat, to prepare a non-paper capturing convergence, divergence and options by 15 October 2017.  Secretariat to organize, under the guidance of the APA Co-Chairs, a round table on 6 November 2017.
§29: Develop modalities and procedures for the operation and use of the public registry referred to in Article 4.12 of the Agreement for consideration and adoption by CMA1.	SBI CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBI 46. See document <a href="#">FCCC/SBI/2017/L.6</a>	Negotiations to continue at SBI 47 (6–17 November 2017).  Parties and observers to submit views by 21 September 2017.
§30: Make available an interim public registry in the first half of 2016 for the recording of NDCs submitted in accordance with Art. 4 of the Agreement, pending the adoption by the CMA of modalities and procedures referred to in paragraph 29.	Secretariat	First half of 2016.	<a href="#">Completed.</a>	<a href="#">Completed.</a>
*§31: Elaborate, drawing from approaches established under the Convention and its related legal instruments as appropriate, guidance for accounting for Parties' NDCs, as referred to in Article 4.13 of the Agreement for consideration and adoption by CMA1; ( <i>see para 31 (a–d) for further details</i> ).	APA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA1.3 (8–18 May 2017). See <a href="#">APA 1.3 conclusions, §11</a> (AUV). Also see <a href="#">Informal Note by the co-facilitators</a> for APA Agenda item 3.  Secretariat organized, under the guidance of the APA Co-Chairs, a round table on 6 May 2017. For details, see <a href="#">APA 1.3 website</a> .	Negotiations to continue at APA1.4 (6–17 November 2017).  The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA 1.3.  Parties to submit views by 15 September 2017. APA 1.3 co-facilitators for this item, with support from the secretariat, to prepare a non-

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				<p>paper capturing convergence, divergence and options by 15 October 2017.</p> <p>Secretariat to organize, under the guidance of the APA Co-Chairs, a round table on 6 November 2017.</p>
<p>*§34: Recommend, for consideration and adoption by CMA1, the modalities, work programme and functions of the forum on the impact of the implementation of response measures to address the effects of the implementation of response measures under the Agreement by enhancing cooperation amongst Parties on understanding the impacts of mitigation actions under the Agreement and the exchange of information, experiences, and best practices amongst Parties to raise their resilience to these impacts.</p>	<p>SBSTA and SBI CMA</p>	<p>Third part of the first CMA session (2018), at the latest.</p>	<p>Negotiations continued at SB 46 (8–18 May 2017). See document <a href="#">FCCC/SB/2017/L.3</a></p> <p>This issue was also considered at APA 1.3 (8–18 May 2017). See <a href="#">Informal Note by the APA Co-Chairs</a> on APA Agenda item 8. Also see <a href="#">APA 1.3 conclusions, §16 c (AUV)</a>.</p>	<p>Parties to submit views by 30 September 2017.</p> <p>Secretariat to organize, under the guidance of the chairs of the SBI and SBSTA, a pre-session workshop in November 2017.</p> <p>Chairs of the SBI and SBSTA to update the <a href="#">reflections note</a> after the workshop.</p>
<p>§35: Publish on the UNFCCC website Parties' low greenhouse gas emission development strategies as communicated by Parties.</p>	<p>Secretariat</p>	<p>Ongoing.</p>	<p><a href="#">Ongoing.</a></p>	<p><a href="#">Ongoing.</a></p>
<p>*§36: Develop and recommend the guidance referred to under Article 6.2 of the Agreement for consideration and adoption by CMA1, including guidance to ensure that double counting is avoided on the basis of a corresponding adjustment by Parties for both anthropogenic emissions by sources and removals by sinks covered by their NDCs under the Agreement.</p>	<p>SBSTA CMA</p>	<p>Third part of the first CMA session (2018), at the latest.</p>	<p>Negotiations continued at SBSTA 46 (8–18 May 2017). See document <a href="#">FCCC/SBSTA/2017/L.15</a>. Also see <a href="#">Informal Information Note by the co-facilitators</a> for SBSTA Agenda item 10a.</p>	<p>Negotiations to continue at SBSTA 47 (6–17 November 2017).</p> <p>Parties to submit views by 2 October 2017.</p> <p>Secretariat to organize, under the guidance of the SBSTA Chair, a round table among Parties in conjunction with the SBSTA 47 (6–17 November 2017). SBSTA chair to request relevant co-facilitators to prepare an informal document on the round table.</p>
<p>*§38: Develop and recommend rules, modalities and procedures for the mechanism referred to in paragraph 37 (mechanism established by Article 6.4 of the Agreement) for consideration and adoption by CMA1 (<i>see para 37 (a–f) for further details</i>).</p>	<p>SBSTA CMA</p>	<p>Third part of the first CMA session (2018), at the latest.</p>	<p>Negotiations continued at SBSTA 46 (8–18 May 2017). See document <a href="#">FCCC/SBSTA/2017/L.16</a>. Also see <a href="#">Informal Information Note by the co-facilitators</a> for SBSTA Agenda item 10b.</p>	<p>Negotiations to continue at SBSTA 47 (6–17 November 2017).</p> <p>Parties to submit views by 2 October 2017.</p>

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				Secretariat to organize, under the guidance of the SBSTA Chair, a round table among Parties in conjunction with the SBSTA 47 (6–17 November 2017). SBSTA chair to request relevant co-facilitators to prepare an informal document on the round table.
<p>*§39: Undertake a work programme under the framework for non-market approaches to sustainable development referred to in Article 6.8 of the Agreement, with the objective of considering how to enhance linkages and create synergy between, inter alia, mitigation, adaptation, finance, technology transfer and capacity-building, and how to facilitate the implementation and coordination of non-market approaches.</p> <p>*§40: Recommend a draft decision on the work programme referred to in paragraph 39 above, taking into account the views of Parties, for consideration and adoption at CMA 1.</p>	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA 46 (8–18 May 2017). See document <a href="#">FCCC/SBSTA/2017/L.17</a> . Also see <a href="#">Informal Information Note by the co-facilitators</a> for SBSTA Agenda item 10c.	<p>Negotiations to continue at SBSTA 47 (6–17 November 2017).</p> <p>Parties to submit views by 2 October 2017.</p> <p>Secretariat to organize, under the guidance of the SBSTA Chair, a round table among Parties in conjunction with the SBSTA 47 (6–17 November 2017). SBSTA chair to request relevant co-facilitators to prepare an informal document on the round table.</p>
*PA Art. 7.10 & 7.11: Develop further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement.	APA CMA	Third part of the first CMA session (2018), at the latest.	<p>Negotiations continued at APA 1.3 (8–18 May 2017). See <a href="#">APA 1.3 conclusions, §12</a> (AUV). Also see <a href="#">Informal Note by the co-facilitators</a> for APA Agenda item 4.</p> <p>Secretariat organized, under the guidance of the APA Co-Chairs, a workshop on 6 May 2017. For details, see <a href="#">APA 1.3 website</a>.</p>	<p>Negotiations to continue at APA 1.4 (6–17 November 2017).</p> <p>The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA1.3.</p> <p>The APA Co-Chairs will issue an informal summary of the workshop held on 6 May 2017.</p> <p>Parties to submit views by 15 September 2017.</p> <p>APA 1.3 co-facilitators, under the guidance of the APA Co-Chairs and with support from the secretariat, to synthesize into a</p>

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				<p>non-paper the submissions by 15 October.</p> <p>Secretariat to prepare a technical paper synthesizing adaptation-related information included in NDCs, NAPs and recent NatComs by 1 October 2017.</p> <p>Secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table on 4 November 2017.</p>
*PA Art. 7.12: Develop modalities and procedures for the operation and use of the public registry referred to in Article 7.12 of the Agreement for consideration and adoption by CMA1.	SBI CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBI 46. See document <a href="#">FCCC/SBI/2017/L.8</a> .	<p>Negotiations to continue at SBI 47 (6–17 November 2017).</p> <p>Parties and observers to submit views by 21 September 2017.</p>
*§41: Jointly develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Article 7.3 of the Agreement, and make recommendations for consideration and adoption by CMA1.	AC and LEG CMA	Third part of the first CMA session (2018), at the latest.	<p>The AC/LEG held a <a href="#">joint event</a> (12 May 2017) during SB 46 to present latest findings and to collect feedback from Parties and non-Party stakeholders.</p> <p>This issue was also considered at APA 1.3. See <a href="#">Informal Note by the APA Co-Chairs</a> on APA Agenda item 8. Also see <a href="#">APAI.3 conclusions, §16 (AUV)</a>.</p>	AC 12 and LEG 32 (both in September 2017) to continue working on these mandates.
§42: Taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by CMA1: (a) Review, in 2017, the work of adaptation-related institutional arrangements under the Convention, with a view to identifying ways to enhance the coherence of their work, as appropriate, in order to respond adequately to the needs of Parties.	AC CMA	in 2017.	<p>Parties and other stakeholders submitted <a href="#">views</a> by 12 January 2017.</p> <p>The AC continued its work on this mandate at its <a href="#">11<sup>th</sup> meeting</a> (7–10 March 2017) during which, it began drafting its <a href="#">recommendations for the CMA</a>.</p>	AC 12 (September 2017) to consider revised recommendations and to finalize them for the CMA.
§42 Taking into account its mandate and its second three-year workplan, and with a view to preparing recommendations for consideration and adoption by CMA1 (b) Consider methodologies for assessing adaptation needs with a view to assisting developing country Parties, without placing an undue burden on them.	AC CMA	Third part of the first CMA session (2018), at the latest.	<p>Parties and other stakeholders submitted <a href="#">views</a> by 12 January 2017.</p> <p>The AC continued its work on this mandate at its <a href="#">11<sup>th</sup> meeting</a> (7–10 March 2017).</p>	The AC will prepare draft recommendations at AC12 (September 2017).

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			Secretariat undertook <a href="#">analysis as input</a> for AC 11 (7–10 March 2017).	
§43: Provide information to Parties through the secretariat on how their development assistance and climate finance programmes incorporate climate-proofing and climate resilience.	UN agencies and international, regional and national financial institutions Secretariat	Ongoing.	Ongoing.	Ongoing.
*§45: Develop methodologies, and make recommendations for consideration and adoption by CMA1 on: (a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement; (b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7.14(c) of the Agreement.	AC and LEG, in collaboration with SCF and other relevant institutions CMA	Third part of the first CMA session (2018), at the latest.	The AC, LEG and SCF held a <a href="#">joint event</a> (12 May 2017) during SB 46 (8–18 May 2017) to present latest findings and to collect feedback from Parties and non-Party stakeholders.	AC 12 and LEG 32 (both in September 2017) to continue working on these mandates, in collaboration with the SCF.
§46: Expedite support for the least developed countries and other developing country Parties for the formulation of national adaptation plans, consistent with decisions 1/CP.16 and 5/CP.17, and for the subsequent implementation of policies, projects and programmes identified by them.	GCF	No timeline specified.	Ongoing.  GCF reported to COP 22 (7-18 November 2016). See <a href="#">decision 10/CP.22</a> .	Countries to continue working with accredited entities and delivery partners to develop their NAPs readiness proposals.
§48: Establish a clearing house for risk transfer that serves as a repository for information on insurance and risk transfer, in order to facilitate the efforts of Parties to develop and implement comprehensive risk management strategies.	WIM Excom	Launch of a beta site of the clearing house planned for COP 23 (2017).	Ongoing.  Excom continued its work on this mandate at its <a href="#">5th meeting</a> (21-24 March 2017). It began technical work, in collaboration with partners, related to the development of a beta site of the clearing house.	An inter-sessional working group of the Excom to continue engaging potential partners. Secretariat to develop an initial draft version of an online gateway to the clearing house in accordance with the request by the Excom.  Excom to include the operationalization of this mandate in its five-year rolling workplan of the WIM Excom at Excom 6 (October 2017).
*§49: Establish, according to its procedures and mandate, a task force to complement, draw upon the work of and involve, as appropriate, existing bodies and expert groups under the Convention including the AC and the LEG, as well as relevant organizations and expert bodies outside the Convention, to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change.	WIM Excom	COP 24 (2018)	Task Force on Displacement held its <a href="#">first meeting</a> on 18–19 May 2017 in Bonn, and forwarded their draft workplan for endorsement by the Excom.	Upon the endorsement of the workplan by the Excom, the Task Force to advance the implementation.
*§55: Initiate process to identify information to be provided by Parties, in accordance with Article 9.5 of the Paris Agreement,	COP CMA	Initiate work at COP 22 (Nov 2016).	A <a href="#">round table</a> discussion took place during SB 46 (16 May 2017).	Secretariat to prepare a summary report on the round table

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with a view to providing a recommendation for consideration and adoption by CMA1.				discussion for consideration at COP 23 (6–17 November 2017).
*§§59 & 60: Consider the issue referred to in Paragraph 59 (i.e. that the Adaptation Fund may serve the Agreement, subject to relevant decisions by the CMP and the CMA) and make a recommendation to CMA1.	CMP, COP APA CMA	Third part of the first CMA session (2018), at the latest.	The APA initiated work on addressing the governance and institutional arrangements, safeguards and operating modalities for the Adaptation Fund to serve the Paris Agreement. See <a href="#">APA 1.3 conclusions, §16</a> (AUV). Also see <a href="#">Informal Note by the Co-facilitators</a> for APA Agenda item 8.	Negotiations to continue at APA 1.4 (6–17 November 2017).  The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA 1.3.  Secretariat to make available on the UNFCCC website a compilation of a list of relevant decisions on the Adaptation Fund by 15 September 2017.
*§57: Develop modalities for the accounting of financial resources provided and mobilized through public interventions in accordance with Article 9.7 of the Agreement for consideration by COP 24, with a view to making a recommendation for consideration and adoption by CMA1.	SBSTA CMA	Third part of the first CMA session (2018), at the latest.	The secretariat issued document <a href="#">FCCC/TP/2017/1</a> on 28 April 2018.  Negotiations continued at SBSTA 46 (8–18 May 2017), taking into account key considerations included in the <a href="#">Reflections Note</a> by the Co-chairs on this SBSTA item. See document <a href="#">FCCC/SBSTA/2017/L.13</a> . Also see <a href="#">Informal Note by the Co-Chairs</a> on SBSTA Agenda item 11.	Negotiations to continue at SBSTA 47 (6–17 November 2017).
§64: Enhance the coordination and delivery of resources to support country-driven strategies through simplified and efficient application and approval procedures, and through continued readiness support to developing country Parties, including the least developed countries and small island developing States, as appropriate.	Institutions serving the Agreement	Ongoing.	Ongoing.	Ongoing.
§66: In supporting the implementation of the Agreement, to undertake further work relating to, inter alia: (a) Technology research, development and demonstration; (b) The development and enhancement of endogenous capacities and technologies.	TEC and CTCN	No timeline specified.	TEC: Work in the two areas is included in the <a href="#">Rolling workplan of the TEC for 2016-2018</a> (updated after TEC 14).  The TEC held its <a href="#">14th meeting</a> on 28–31 March 2017.	TEC and CTCN: To continue the implementation of the RD&D actions contained in the <a href="#">Joint annual report of the TEC &amp; CTCN for 2016</a> and their respective workplans.



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			CTCN: Work in the two areas is included in the <a href="#">Annual Operating Plan of the CTCN for 2017</a> . TEC and CTCN have begun implementing these actions.	
*§67: Initiate, at SBSTA 44, the elaboration of the technology framework established under Article 10.4 of the Agreement and to report on its findings to the COP, with a view to the COP making a recommendation on the framework to CMA1 for consideration and adoption ( <i>see para 67 (a–d) for further details</i> ).	SBSTA COP CMA	Initiate: SBSTA44 (May 2016). Report: COP. For: Third part of the first CMA session (2018), at the latest.	Negotiations continued at SBSTA 46 (8–18 May 2017). See document <a href="#">FCCC/SBSTA/2017/L.10</a> . Also see <a href="#">Information Note by co-facilitators</a> for SBSTA Agenda item 4.	TEC and CTCN to submit inputs requested by SBSTA for SBSTA 47. Negotiations to continue at SBSTA 47 (6–17 November 2017).
§69: Undertake a periodic assessment of the effectiveness of and the adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Agreement on matters relating to technology development and transfer.	COP	Periodic ( <i>see para 70</i> ).	<i>See para 70.</i>	<i>See para 70.</i>
*§70: Initiate, at SBI 44, the elaboration of the scope of and modalities for the periodic assessment referred to in paragraph 69 above, taking into account the review of the CTCN as referred to in decision 2/CP.17, annex VII, paragraph 20, and the modalities for the global stocktake referred to in Article 14 of the Agreement, for consideration and adoption by COP 25.	SBI COP	Initiate: SBI 44 (May 2016). For: COP 25 (2019).	Parties submitted views which were <a href="#">compiled and synthesized</a> for consideration at SBI 46.  Negotiations continued at SBI 46 (8–18 May 2017). See document <a href="#">FCCC/SBI/2017/L.4</a> . Also see <a href="#">Information note by the co-facilitators</a> for SBI Agenda item 10.	Negotiations to continue at SBI 48 (April–May 2018).  The secretariat to prepare a technical paper to inform deliberations by SBI 47 (6–17 November 2017).

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<p>*§§72 &amp; 73: Manage and oversee the workplan referred to in paragraph 73 (<i>workplan for 2016-2020: see para 73 (a-i) for activities of the workplan; see also para79</i>);</p> <p>§74: Annual focus on an area or theme related to enhanced technical exchange on capacity-building;</p> <p>§75: Organize annual in-session meetings of the PCCB;</p> <p>§76: Develop the terms of reference for the PCCB, in the context of the third comprehensive review of the implementation of the capacity-building framework, also taking into account paragraphs 71–75 above and paragraphs §79 and 80 below, with a view to recommending a draft decision on this matter for consideration and adoption by COP 22;</p> <p>§80: Prepare annual technical progress reports on its work, and to make these reports available at the sessions of the SBI coinciding with the sessions of the COP;</p> <p>§81: Review the progress, need for extension, the effectiveness and enhancement of the PCCB and to take any action it considers appropriate, with a view to making recommendations to CMA1 on enhancing institutional arrangements for capacity-building consistent with Article 11.5 of the Agreement.</p>	<p>§§72 &amp; 73: PCCB</p> <p>§74: PCCB</p> <p>§75: SBI</p> <p>§76: SBI</p> <p>§80: PCCB</p> <p>§81: COP CMA</p>	<p>§§72 &amp; 73: Annually to SBI (<i>see para. 80</i>).</p> <p>§74: Annually.</p> <p>§75: Annually (once PCCB is constituted).</p> <p>§76: COP 22 (2016).</p> <p>§80: Annually at SBI sessions coinciding with COPs.</p> <p>§81: COP 25 (2019).</p>	<p>The <a href="#">first meeting</a> of the PCCB took place on (11–13 May 2017) in conjunction with SBI 46.</p> <p>The PCCB further developed and adopted its <a href="#">working modalities</a> and <a href="#">procedures</a> at PCCB 1.</p> <p>PCCB 1 also adopted a 2017–2019 <a href="#">rolling work plan</a>.</p> <p>The focus area or theme of the PCCB in 2017/2018 is on capacity-building activities for the implementation of NDCs in the context of the Paris Agreement.</p>	<p>SBI 47 to consider the Annual Technical Progress Report of the PCCB to COP 23 (6–17 November 2017).</p>
<p>§83: Explore ways of enhancing the implementation of training, public awareness, public participation and public access to information so as to enhance actions under the Agreement.</p>	<p>SBI CMA</p>	<p>Third part of the first CMA session (2018), at the latest.</p>	<p>The CMA agreed to send this item to SBI 47 for its consideration. See document <a href="#">FCCC/PA/CMA/2016/3, §24</a></p>	<p>To be considered at SBI 47 (6–17 November 2017).</p>
<p>*§86: Make arrangements to support the establishment and operation of the Capacity-building Initiative for Transparency as a priority reporting-related need, including through voluntary contributions to support developing country Parties in the sixth replenishment of the GEF and future replenishment cycles, to complement existing support under the GEF (<i>also see 84 &amp; 85</i>).</p>	<p>GEF</p>	<p>GEF6 replenishment and future cycles.</p>	<p>By 12 June 2017, ten national projects and one global project had been approved. See <a href="#">CBIT projects database</a>. The proposed programming directions for <a href="#">GEF-7</a> included specific provisions for CBIT support.</p> <p>Additional donors have highlighted their intention to pledge resources to the CBIT Trust Fund to be programmed by June 30, 2018.</p>	<p>GEF secretariat to continue to engage with GEF Agencies and countries about interests to submit CBIT proposals and national priorities. GEF secretariat to continue to consult with the UNFCCC and its work streams to ensure that the CBIT is adequately reflected in the GEF-7 replenishment.</p>
<p>§87: Assess the implementation of the Capacity-building Initiative for Transparency in the context of the 7th review of the Financial Mechanism.</p>	<p>COP</p>	<p>In the context of the 7<sup>th</sup> review of the FM.</p>	<p>N/A.</p>	<p>To be initiated before 2021.</p>
<p>§88: Include in its annual report to the COP the progress of work in the design, development and implementation of the Capacity-building Initiative for Transparency starting in 2016.</p>	<p>GEF</p>	<p>2016 and beyond.</p>	<p>Progress on CBIT establishment included in GEF</p>	<p>Progress of work to be included in the GEF annual report to COP 23 (6–17 November 2017).</p>

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			annual report: <a href="#">FCCC/CP/2016/6/Add.2 Decision 11/CP.22.</a>	
*§91: Develop recommendations for modalities, procedures and guidelines in accordance with Article 13.13 of the Agreement, and to define the year of their first and subsequent review and update, as appropriate, at regular intervals, for consideration by COP 24, with a view to forwarding them to CMA1 for consideration and adoption ( <i>see paras 92–95 and 97–98 for further details</i> ) (PA Art. 13.5 & 13.13).	APA CMA	Third part of the first CMA session (2018), at the latest ( <i>see also para 96, 97</i> ).	Negotiations continued at APA 1.3 (8–18 May 2017). See <a href="#">APA 1.3 conclusions, §13</a> (AUV). Also see <a href="#">Informal Note by the co-facilitators</a> for APA Agenda item 5.	Negotiations to continue at APA 1.4 (6–17 November 2017).  The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA 1.3.  Parties to submit views by 30 September 2017. Secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table on 4 & 5 November 2017.
*§99: Identify the sources of input for the global stocktake referred to in Article 14 of the Agreement and to report to the COP, with a view to the COP making a recommendation to CMA1 ( <i>see para 99 (a–c) for further details</i> ).	APA COP CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA 1.3 (8–18 May 2017). See <a href="#">APA 1.3 conclusions, §14</a> (AUV). Also see <a href="#">Informal Note by the co-facilitators</a> for APA Agenda item 6.	Negotiations to continue at APA 1.4 (6–17 November 2017).  The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA 1.3.  Parties to submit views by 30 September 2017. Secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table on 5 November 2017.
§100: Provide advice on how the assessments of the Intergovernmental Panel on Climate Change can inform the global stocktake of the implementation of the Agreement pursuant to its Article 14 and to report on this matter to APA 2.	SBSTA APA	APA1.2.	SBSTA 45 concluded the work on this mandate. SBSTA 45 provided advice, consistent with the mandate. See document <a href="#">FCCC/SBSTA/2016/4 §§47–56</a> . APA1.2 welcomed the SBSTA advice provided. See document <a href="#">FCCC/APA/2016/4, §25</a> .	<a href="#">Completed.</a>
*§101: Develop modalities for the global stocktake referred to in Article 14 of the Agreement and to report to the COP, with a view to the COP making a recommendation to CMA1.	APA COP CMA	Third part of the first CMA session (2018), at the latest.	Negotiations continued at APA 1.3 (8–18 May 2017). See <a href="#">APA 1.3 conclusions, §14</a> (AUV).	Negotiations to continue at APA 1.4 (6–17 November 2017).

Mandate <sup>2</sup>	Body/Actor	Timeline <sup>3</sup>	Status	Next steps <sup>4</sup>
			Also see <a href="#">Informal Note by the co-facilitators</a> for APA Agenda item 6.	<p>The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA 1.3.</p> <p>Parties to submit views by 30 September 2017.</p> <p>Secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table on 5 November 2017.</p>
<p>*§ 103: Develop the modalities and procedures for the effective operation of the committee referred to in Article 15.2 of the Agreement, with a view to the APA completing its work on such modalities and procedures for consideration and adoption by CMA1.</p>	APA CMA	Third part of the first CMA session (2018), at the latest.	<p>Negotiations continued at APA 1.3 (8–18 May 2017). See <a href="#">APA 1.3 conclusions, §15</a> (AUV).</p> <p>Also see <a href="#">Informal Note by the co-facilitators</a> for APA Agenda item 7.</p>	<p>Negotiations to continue at APA 1.4 (6–17 November 2017).</p> <p>The APA Co-Chairs will issue a reflections note providing an overview of the outcomes of APA 1.3.</p> <p>Parties to submit views by 15 September 2017.</p> <p>Secretariat to organize, under the guidance of the APA Co-Chairs, a round-table on 6 November 2017.</p>
<p>*§ 109. <i>Resolves</i> to strengthen, in the period 2016–2020, the existing technical examination process on mitigation as defined in decision 1/CP.19, paragraph 5(a), and decision 1/CP.20, paragraph 19, taking into account the latest scientific knowledge, including by:</p> <p>(c); In accordance with their respective mandates:</p> <p>(i) To engage in the technical expert meetings (TEMs) and enhance their efforts to facilitate and support Parties in scaling up the implementation of policies, practices and actions identified during this process;</p> <p>(ii) To provide regular updates during the TEMs on the progress made in facilitating the implementation of policies, practices and actions previously identified during this process;</p> <p>(iii) To include information on their activities under this process in their joint annual report to the COP.</p>	TEC and CTCN COP	During TEMs (until 2020).	TEC and CTCN took part in <a href="#">TEMs on Mitigation</a> during SB 46 which focused <a href="#">on cross-cutting issues in urban environment and land use</a> where the TEC hosted a thematic session on innovative policy and technology solutions for sustainable urban development.	TEC & CTCN will continue to engage in the <a href="#">TEP on Mitigation</a> . TEC & CTCN will report on their activities to COP 23 (6–17 November 2017).
<p>§ 110: Engage in the technical expert meetings and to inform participants of their contribution to facilitating progress in the implementation of policies, practices and actions identified during the technical examination process.</p>	Operating entities of FM (GCF, GEF)	During TEMs (until 2020).	GCF and GEF took part in <a href="#">TEMs on Mitigation and adaptation</a> during SB 46	Ongoing.

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*§111: Organize the process referred to in Paragraph 109 above and disseminate its results, including by (a): organizing, in consultation with the TEC and relevant expert organizations, regular TEMs focusing on specific policies, practices and actions representing best practices and with the potential to be scalable and replicable; (b) Updating, on an annual basis, following the meetings, and in time to serve as input to the summary for policy makers, a technical paper on mitigation benefits and co-benefits of policies, practices and actions for enhancing mitigation, as well as on options for supporting their implementation, information on which should be made available in a user friendly online format; (c) preparing, in consultation with the champions a summary for policymakers, with information on specific policies, practices and actions representing best practices and with potential to be scalable and replicable, and on options to support their implementation, as well as on relevant collaborative initiatives, and publishing the summary at least two months in advance of each session of the Conference of the Parties as input for the high-level event.	Secretariat: in consultation with the TEC and relevant expert organizations (§111(a)); in consultation with the champions (§111(c))	(§111(b)): Annually (until 2020); (§111(c)): Two months in advance of each COP.	TEC, SB Chairs and Champions provided input on topics to the secretariat for <a href="#">TEMs on Mitigation</a> in 2017.  <a href="#">TEMs on Mitigation</a> were held during SB 46 (8–18 May 2017) on cross-cutting issues in urban environment and land use.	A technical paper to be prepared and published by the secretariat at the end of August 2017.  A user-friendly online format of the technical paper to also be prepared and published by the secretariat.  A joint mitigation and adaptation summary for policy makers to be prepared by the secretariat, in consultation with the champions, to be prepared and published in September 2017.  Under the guidance of the champions, a high-level event to be held at COP 23 (6–17 November 2017).
§112: Jointly organize the process referred to in paragraph 109 (technical examination process on mitigation), on an ongoing basis until 2020.	SBI and SBSTA	On an ongoing basis until 2020		
§113: Conduct in 2017 an assessment of the process referred to in para 109 above so as to improve its effectiveness.	COP	COP 23 (2017).	N/A.	An assessment of the <a href="#">TEPs on Mitigation</a> to be conducted at COP 23 (6–17 November 2017).
§115: Conduct a facilitative dialogue in conjunction with COP22 to assess the progress in implementing decision 1/CP.19, paragraphs 3 and 4, and identify relevant opportunities to enhance the provision of financial resources, including for technology development and transfer, and capacity-building support, with a view to identifying ways to enhance the ambition of mitigation efforts by all Parties, including identifying relevant opportunities to enhance the provision and mobilization of support and enabling environments.	COP	COP 22 (2016).	<a href="#">Organized at COP 22</a> in November 2016 as two-part event (part 1 on 11 November 2016, part 2 on 16 November 2016).	<a href="#">Completed.</a>
§120: Convene, pursuant to decision 1/CP.20, paragraph 21, building on the Lima-Paris Action Agenda and in conjunction with each session of the Conference of the Parties during the period 2016–2020, a high-level event ( <i>see para 120 (a–d) for further details</i> ).	COP	COP sessions from 2016–2020.	A <a href="#">high-level event</a> was held at COP 22 (7-18 November 2016).	A high-level event to be held at COP 23 (6–17 November 2017).
§121: Act on behalf of the President of the COP to facilitate through strengthened high-level engagement in the period 2016–2020 the successful execution of existing efforts and the scaling-up and introduction of new or strengthened voluntary efforts, initiatives and coalition ( <i>see para 121 (a–c) for further details</i> ).	Two high-level champions	Ongoing from 2016–2020.	<a href="#">Ongoing.</a>	<a href="#">Ongoing.</a>
*§126: The process referred to in paragraph 124 (technical examination process on adaptation) should be organized jointly by	Organized by SBI and SBSTA; conducted by AC	Ongoing until 2020.	The 2017 TEP-A topic is:	

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SBI and SBSTA, on an ongoing basis until 2020, and be conducted by the Adaptation Committee.			<i>Integrating climate change adaptation with the SDGs and the Sendai Framework for Disaster Risk Reduction</i>	A technical paper to be prepared and published by the secretariat at the end of August 2017.
*§129: Support the process referred to in paragraph 124 by (a) organizing regular TEMs (b) Preparing annually a technical paper on opportunities to enhance adaptation action as well as options to support their implementation.	Secretariat AC	Ongoing until 2020.	<a href="#">TEMs on Adaptation</a> were held during SB 46 (8–18 May 2017).	A user-friendly online format of the technical paper to also be prepared and published by the secretariat.  A joint mitigation and adaptation summary for policy makers to be prepared by the secretariat, in consultation with the champions, to be prepared and published in September 2017. Under the guidance of the champions, a high-level event to be held at COP 23 (6–17 November 2017), covering adaptation and mitigation.
§130: In conducting the process referred to in paragraph 124, engage with and explore ways to take into account, synergize with and build on the existing arrangements for adaptation-related work programmes, bodies and institutions under the Convention so as to ensure coherence and maximum value.	AC	On an ongoing basis until 2020.	<a href="#">AC10</a> (13–16 September 2016) established a <a href="#">TEP-A working group</a> to provide operational support to the AC. In addition to AC members, the working group comprises representatives of the LEG, TEC, SCF and observer constituencies.	Ongoing
§131: Conduct, in conjunction with the assessment referred to in paragraph 113 (assessment of technical examination process on mitigation), an assessment of the process referred to in paragraph 124 (technical examination process on adaptation), so as to improve its effectiveness.	COP	COP23 (2017).	N/A.	An assessment of the <a href="#">TEP on Adaptation</a> to be conducted at COP 23 (6–17 November 2017).
§134: Scale up efforts and support actions to reduce emissions and/or to build resilience and decrease vulnerability to the adverse effects of climate change and demonstrate these efforts via the NAZCA platform.	Non-Party Stakeholders	Ongoing.	<a href="#">Ongoing.</a>	<a href="#">Ongoing.</a>

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*§135: Indigenous Peoples Platform for the exchange of experiences and sharing of best practices on mitigation and adaptation in a holistic and integrated manner.	COP	<i>no timeline specified.</i>	The SBSTA Chair convened an <a href="#">open multi-stakeholder dialogue</a> on 16–17 May 2017 at SBSTA 46 (8–18 May 2017).	A report on the outcomes of both the <a href="#">submissions</a> made in March and the <a href="#">dialogue</a> to be prepared by the secretariat.  The SBSTA47 to consider the report under a new agenda item, “ <i>Local communities and indigenous peoples platform</i> ”. SBSTA to forward recommendations for operationalization of the platform to COP 23 (6–17 November 2017).

## Abbreviations

AC .....	Adaptation Committee
APA.....	Ad Hoc Working Group on the Paris Agreement
CMA.....	Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP .....	Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
COP.....	Conference of the Parties
CTCN.....	Climate Technology Centre and Network
FM.....	Financial Mechanism of the Convention
GCF.....	Green Climate Fund
GEF .....	Global Environment Facility
INDCs .....	intended nationally determined contribution
LEG.....	Least Developed Countries Expert Group
MPGs.....	Modalities, Procedures and Guidelines
NDCs.....	nationally determined contributions
PA .....	Paris Agreement
PCCB .....	Paris Committee on Capacity-building
SBI .....	Subsidiary Body for Implementation
SBSTA .....	Subsidiary Body for Scientific and Technological Advice
SCF.....	Standing Committee on Finance
TEC .....	Technology Executive Committee
TEMs.....	technical expert meetings
TEP.....	technical examination process
WIM Excom .....	Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts