

Harmonization of NC and BR reporting requirements

Workshop on the revision of the guidelines for the preparation of national communications by Annex I Parties, 13-14 May, 2016, Bonn



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NC sections for discussion under theme 3

- 1. Policies and measures**
- 2. Financial support**
- 3. Technology transfer support**
- 4. Capacity-building support**



Policies and measures – complementarity of information in NC and BR

EU proposal: The information presented in the NC should be complementary to the information presented in the BR with relevant references provided, as applicable.

Is it acceptable to insert this provision in para 20.

Discussion on para 20.



Policies and measures – NC table 1

Is it acceptable to use BR CTF 3 instead of NC table 1?

Discussion on NC table 1/BR CTF 3.



Policies and measures – description of NC table 1

If NC table 1 is replaced with BR CTF table 3, should we include description of missing headings from BR CTF 3 in para 22 (sectors affected, brief description, start year of implementation)?

Discussion on para 22.



Policies and measures – estimation of mitigation impact

Factual point: In BR, all elements of CTF table 3 are mandatory. NC GLs, para 23, has “*should*” requirement for estimation of mitigation impacts of individual PaMs.

Is it acceptable to change the nature of requirement for quantitative estimation of mitigation impacts from “*should as appropriate*” to “*shall*”?

Discussion on para 23.



FTC support – overview of general requirements and finance support

Para	NC	BR
	VIII. Financial resources and transfer of technology	VI. Provision of FTC support to developing country Parties
51.	– new and additional financial resources	– new and additional FTC support
-		– distinguish between mitigation and adaptation (should)
-		– national approach for tracking FTC support (shall)
-		– information on indicators, delivery mechanisms and allocation channels tracked (shall)
-		– use and describe methodology (shall)
-		– underlying assumptions and methodologies for finance (shall)
		A. Finance (including revised CTF tables 7, 7(a), 7(b) – 9/CP.21)
		– effectiveness of financial resources (shall)
		– provided , committed and/or pledged financial support (shall)
53.	– financial resources provided through bilateral, regional and other multilateral channels including annual contribution (table 4, 5)(shall)	– allocation channels and annual contribution for two years (shall, as appropriate)
		– information on amount, type, source, instrument and sector (shall)
		– private financial flows (should)
		– PaMs that promote scaling up of private investment (should)
		– Types of instruments (grants, loans, ...) (should)



Finance support – complementarity of information in NC and BR

Factual point: BR reporting requirements on finance are more detail and specific compared to those in the NC.

Is it acceptable to complement reporting requirement provisions in the NC with those from the BR?

Discussion on paras 50.-53.



Financial support – revision of CTF tables 7, 7(a) and 7(b)

FYI: Decision 9/CP.21 on reporting of financial support decided to revise CTF tables 7, 7(a) and 7(b).

Revisions included:

- Documentation box provides a space for Parties to explain each term
- Table 7(b) included **activity** as contribution channel beside **project/programme**
- Status (change from provided, committed, pledged to committed and disbursed)



Financial support – revision of CTF tables 7, 7(a) and 7(b)

Revision 1: Creation of reporting fields for the provision of information on definitions or methodologies used for reporting information in the following reporting parameters: **“climate-specific”** or **“core/general”**, **“status”**, **“funding source”**... **“financial instrument”**, **“type of support”** and **“sector”** (9/CP.21, para 6(a))

Table 7(a)

Provision of public financial support: contribution through multilateral channels in 20XX-3^a

New numerical footnotes
for tables 7, 7(a) and 7(b)

Total amount		Status ^{b, 3}	Funding source ⁴	Financial instrument ⁵	Type of support ⁶	Sector ⁷
Core/general ^{d, 1}	Climate-specific ^{e, 2}			Grant		Energy Transport

Note: Explanation of numerical footnotes is provided in the documentation box after tables 7, 7(a) and 7(b).

Documentation box

1: Core/general
2: Climate-specific
3: Status
4: Funding source
5: Financial instrument
6: Type of support
7: Sector
Each Party shall provide an indication of what new and additional financial resources it has provided and clarify how it has determined that such resources are new and additional. Please provide this information in relation to tables 7(a) and (b).

Documentation box corresponding to footnotes (at the end of the tables) for provision of information on definitions or methodologies



Financial support – revision of CTF tables 7, 7(a) and 7(b)

Revision 2: Creating reporting fields for the provision of information on ...“activity”
(9/CP.21, para 6(a))

Table 7(b)

Provision of public financial support: contribution through bilateral, regional and other channels in 20XX-3^a

			<i>Total amount</i>				<i>Total amount</i>
			<i>Climate-specific^f</i>				<i>Climate-specific^{f, 2}</i>
<i>Recipient country/ region/project/programme^b</i>	<i>Domestic currency</i>	<i>USD</i>		<i>Recipient country/ region/project/programme/activity^b</i>	<i>Domestic currency</i>	<i>USD</i>	<i>USD</i>
			→				

Reporting parameter “activity” added to table 7(b)- contribution through bilateral, regional and other channels



Financial support – revision of CTF tables 7, 7(a) and 7(b)

Revision 3: Aligning the categorization in the reporting parameter “status” of support (“pledged”, “committed” and “provided”) ...with the categorization used in other existing international methodologies (“**committed**” and “**disbursed**”)
(9/CP.21, para 6(c))

Table 7(a)

Provision of public financial support: contribution through multilateral channels in 20XX-3^a

	Total amount				Status ^b	Func sou.
	Core/general ^d		Climate-specific ^e			
	Domestic currency	USD	Domestic currency	USD	Provided	OL
Donor funding					Committed	OC
					Pledged	OP

^a Parties should explain, in their biennial reports, the methodologies used to specify the funds as provided, committed and/or pledged. Parties will provide the information for as many status categories as appropriate in the following order of priority: provided, committed, pledged.

	Total amount				Status ^{b, 3}	Fundi source
	Core/general ^{d, 1}		Climate-specific ^{e, 2}			
	Domestic currency	USD	Domestic currency	USD	Committed	ODI
Donor funding					Disbursed	Othe

Reporting parameter “status” of support revised from pledged/committed/provided to committed/disbursed for tables 7(a) and 7(b).



^a Parties should explain, in their biennial reports, the methodologies used to specify the funds as **disbursed and committed**. Parties will provide the information for as many status categories as appropriate in the following order of priority: **disbursed and committed**.

FTC support – overview of technology transfer support

Para	NC	BR
	VIII. Financial resources and transfer of technology	VI. Provision of FTC support to developing country Parties
		B. Technology development and transfer
54.	– measures to promote, facilitate and finance TT	– measures to promote, facilitate and finance TT and
56.	and development and enhancement of endogenous capacities and technologies	development and enhancement of endogenous capacities and technologies
55.	– success and failure stories (shall, where feasible)	– success and failure stories (may)
-		– measures and activities related to TT since its last NC
55.	Table 6 (shall, where feasible)	CTF table 8 (shall, to the extent possible)
	– project/programme	– receipt country/region,
	– purpose	– target area ,
	– receipt country	– measures/activities ,
	– sector	– sector,
	– total funding	– source (public and/or private) ,
	– years in operation	– activity undertaken by ,
	– description	– status ,
	– success factors	– additional information
	– technology transferred	
	– impact on GHG emission/sink (optional)	
54.	– distinguish between public and private activities	– distinguish between public and private activities



Technology transfer support – complementarity of information in NC and BR

Is it acceptable to complement reporting requirement provisions in the NC with those from the BR?

Discussion on paras 53quater-54.



Technology transfer support – use of CTF table 8

NZ and USA proposed to include BR CTF table 8 in the NCs GLs.

Is it acceptable to include BR CTF 8 in the NC GLs?

Discussion on BR CTF table 8.



Capacity-building support – complementarity of information in NC and BR

Is it acceptable to complement reporting requirement provisions in the NC with those from the BR?

Discussion on para 56bis

