



Australian Government
**Department of Climate Change
and Energy Efficiency**

AUSTRALIAN NATIONAL GREENHOUSE ACCOUNTS



National Inventory Report 2009

Volume 3

The Australian Government Submission to the
UN Framework Convention on Climate Change April 2011

thinkchange



Published by the Department of Climate Change and Energy Efficiency
www.climatechange.gov.au

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ISBN: 978-1-921299-44-5

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April 2011

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8. WASTE

8.1 OVERVIEW

Total estimated waste emissions for 2009 were 14.1 Mt CO₂-e, or 2.6% of total net national emissions (excluding LULUCF) (Table 8.1). The majority of these emissions were from solid waste disposal on land, contributing 11.0 Mt or 78.3% of waste emissions. Wastewater handling contributed a further 3.0 Mt (21.5%) of waste emissions while waste incineration contributed 0.03 Mt (0.2%). *Waste* emissions are predominantly methane-generated from anaerobic decomposition of organic matter. Small amounts of carbon dioxide are generated through the *incineration of solvents and clinical waste* and nitrous oxide through the *decomposition of human wastes*.

Table 8.1: Waste CO₂-e emissions, 2009

Greenhouse gas source and sink categories	CO ₂ -e emissions (Gg)			
	CO ₂	CH ₄	N ₂ O	Total
6 WASTE	30	13,616	429	14,075
A. Solid waste disposal on land	NA	11,024	NA	11,024
B. Wastewater handling	NA	2,592	429	3,021
C. Waste incineration	30	NA	NE	30
D. Other waste	NA	NA	NA	NA

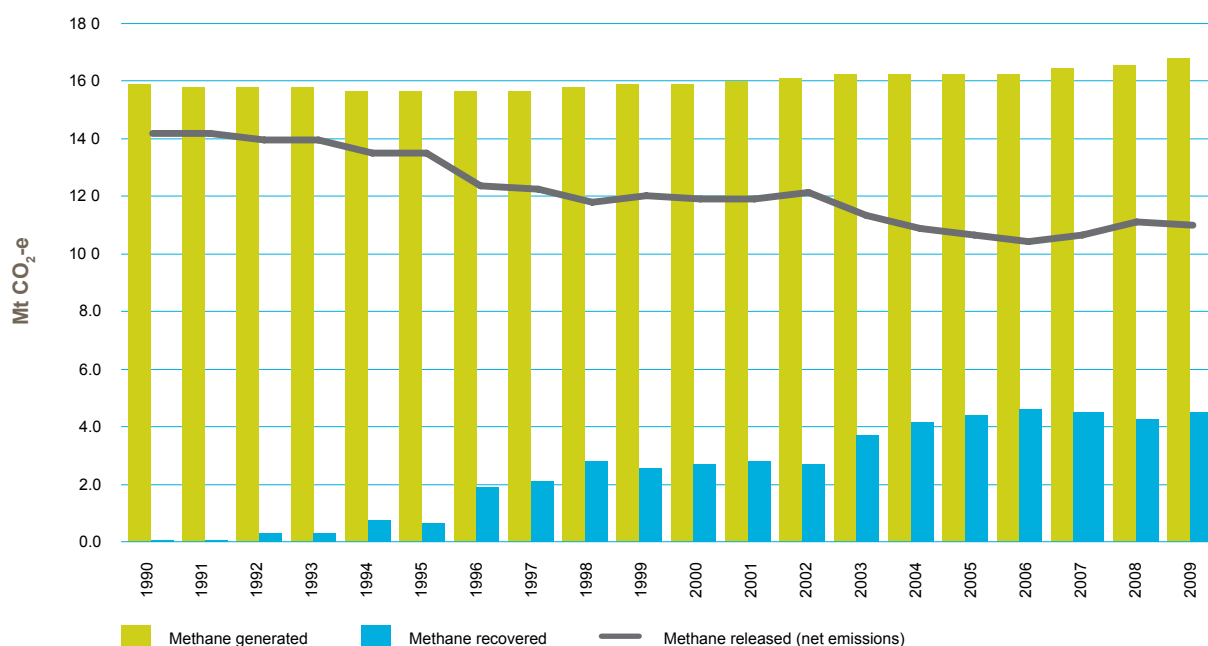
Trends

Waste emissions were 21.9% (3.9 Mt CO₂-e) lower in 2009 than they were in 1990 and 0.3% (0.04 Mt CO₂-e) lower than in 2008.

Emissions from municipal *solid waste disposal on land* decreased by 22.5% (3.2 Mt CO₂-e) over the period 1990 to 2009 (Figure 8.1) and were 0.2% (0.02 Mt CO₂-e) lower than in 2008. As waste degradation is a slow process, estimates of methane generation for 2009 reflect waste disposal over more than 50 years.

Rates of methane recovery from solid waste have improved substantially since 1990, increasing from a negligible amount to 4.5 Mt CO₂-e of methane in 2009.

Figure 8.1: Emissions from solid waste disposal on land, 1990–2009



Wastewater handling emissions decreased by 18.7% (0.7 Mt CO₂-e) over the period 1990 to 2009, with a decrease of 0.6% (0.02 Mt CO₂-e) since 2008. Changes in estimates for *wastewater handling* emissions are largely driven by changes in industry production, population loads on centralised treatment systems and the amount of methane recovered for combustion or flaring.

Emissions of CO₂ from the incineration of solvents and clinical waste decreased by 64.8% (0.1 Mt) between 1990 and 2009.

8.2 OVERVIEW OF SOURCE CATEGORY DESCRIPTION AND METHODOLOGY – WASTE

Table 8.2: Summary of methods and emission factors used to estimate emissions from Waste

Greenhouse Gas Source and Sink Categories	CO ₂		CH ₄		N ₂ O	
	Method applied	Emission factor	Method applied	Emission factor	Method applied	Emission factor
6. WASTE	T2	CS	T2	CS,D	CS	D
A. Solid Waste Disposal on Land	NA	NA	T2	D	NA	NA
B. Wastewater Handling	NA	NA	T2/3	CS,D	CS	D
C. Waste Incineration	T2	CS	NE	NA	T2	CS
D. Other	NA	NA	NA	NA	NA	NA

T1= Tier 1, T2 = Tier 2, CS = country specific, M = model, D = default, NE = not estimated, NA = not applicable

8.2.1 Solid Waste Disposal On Land (6.A)

8.2.1.1 Source Category Description

The anaerobic decomposition of organic matter in a landfill is a complex process that requires several groups of microorganisms to act in a synergistic manner under favourable conditions. Emissions emanate from waste deposited over a long period (in excess of 50 years in the Australian inventory). The final products of anaerobic decomposition are CH₄ and CO₂. Emissions of CO₂ generated from solid waste disposal are considered to be from biomass sources and therefore are not included in the waste sector of the inventory. CO₂ produced from the flaring of methane from waste is also considered as having been derived from biomass sources.

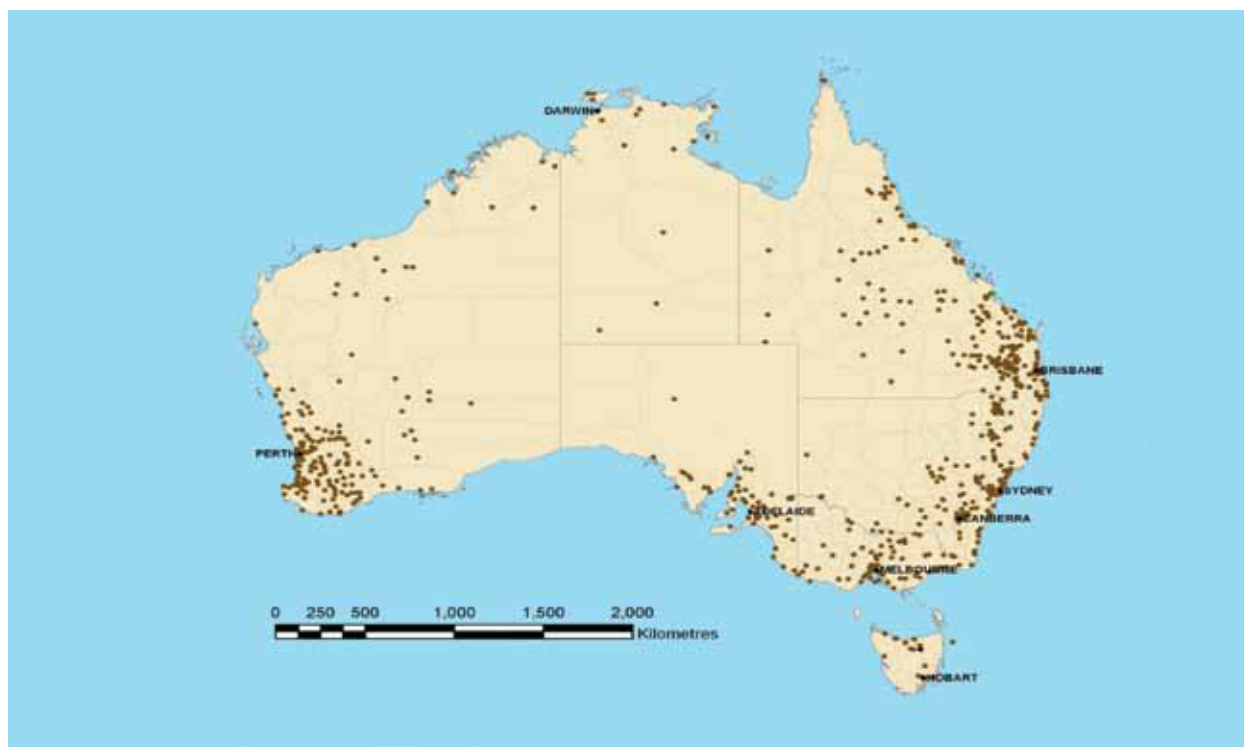
Solid waste treatment in Australia

Common with the practice in many other developed economies, solid waste is processed in Australia via four main mechanisms:

- landfill
- biological treatment/composting
- incineration
- recycling/reuse.

DEWHA report that there are at least 665 operating landfills in Australia receiving around 21 Mt of waste. This amount equates to approximately 48 % of the estimated total waste generated (44 Mt). The balance of waste, 52% of waste material generated, is recycled or reprocessed (including biological treatment/composting) while a negligible amount is treated thermally (incinerated) (DEWHA 2009). Figure 8.2 shows the physical locations of the major landfills in Australia. The map shows that landfills are clustered around the large population centres around Australia's coastline.

Figure 8.2: Australian landfill locations



Source: Geoscience Australia

A landfill industry survey conducted by the Waste Management Association of Australia (WMAA) in 2007 found that a relatively small number of sites are responsible for the bulk of the waste received in Australia. Of the landfills surveyed, 39 process more than 200 kt of waste per year, 24 process between 100 kt and 200 kt per year, 32 process between 50 kt and 100 kt per year, 38 process between 25 kt and 50 kt per year, 61 process between 10 kt and 25 kt per year and the remainder (around 55% of the total number of landfills) process less than 10 kt each per year.

Overall, these statistics show the concentrated nature of the landfill industry in Australia. The top 8% of landfills (ie the top 39) manage over 55% of total waste received while almost 90% of solid waste sent to landfill in Australia is received in 133 large landfills with capacity to process 25 kt or more of waste each year.

In terms of waste management practices in place at Australian landfills, 11% of landfills have a landfill gas collection system in place. However, in the larger scale landfills, this practice is more common meaning that around 30% of the methane generated is collected for either flaring or energy generation.

Common management practices amongst larger landfills include the use of leachate collection systems (38% of landfills). Landfill designs include 38% of landfills with clay cell liners in place, 9% use HDPE cell liners while 7% use GCL liners. In terms of capping practices, 59% of landfills use clay capping, whilst 12% of landfills use either HDPE, GCL or evapotranspiration caps.

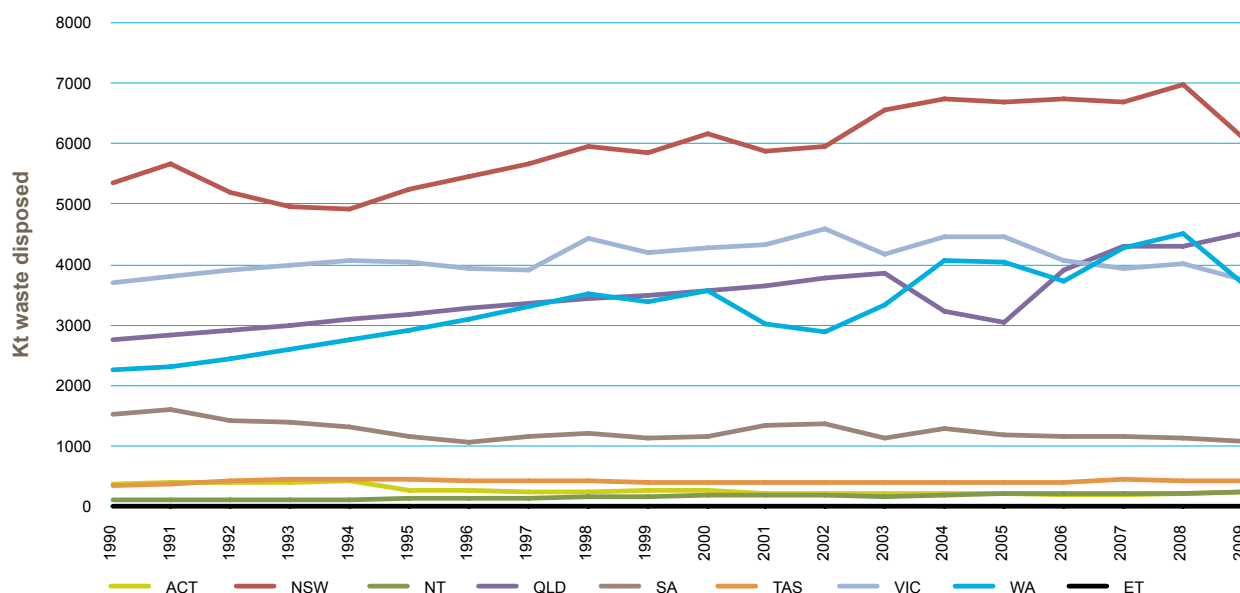
8.2.1.2 Activity data

The Australian methodology for calculating greenhouse gas emissions from solid waste is consistent with the IPCC Tier 2 First Order Decay (FOD) Model (IPCC 2006). The methodology deployed utilises a dynamic model driven by landfill data provided by the relevant State/Territory Government agencies responsible for waste management. Although the structure of the methodology is constant across States, climate-specific parameters introduce variations in estimated emissions depending on location. The model tracks the stock of carbon estimated to be present in the landfill at any given time. Emissions are generated by the decay of that carbon stock, and reflect waste disposal activity over many decades. The methodology is fully integrated with the results of the Harvested Wood Products (HWP) model reported in chapter 7.

8.2.1.2.1 Australian waste generation and disposal to landfill

Quantities of waste disposed to landfill are collected by State Government agencies (and in most cases also published). A mix of steady growth and some declines in waste tonnages disposed to landfill has been observed in Australia's States and Territories since 1990 reflecting, in part, differences in population growth and the impact of State government policies on waste management (Figure 8.3).

Figure 8.3: Solid waste to landfill by state



Sources: NSW Department of Environment Climate Change and Water; Sustainability Victoria; QLD Department of Environment and Resource Management; SA Environment Protection Authority; WA Department of Environment and Conservation; Tasmanian Department of Primary Industries, Parks, Water and Environment; ACT Department of Territory and Municipal Services.

8.2.1.2.2 Waste streams

Total waste to landfill data is disaggregated into three major waste streams defined according to relevant State and Territory government legislation and broadly consistent with the following:

- municipal solid waste – waste generated by households and local government in their maintenance of civic infrastructure such as public parks and gardens;
- commercial and industrial waste – waste generated by business and industry, for example shopping centres and office blocks or manufacturing plants; and,
- construction and demolition waste – waste resulting from the demolition, erection, construction, alteration or refurbishment of buildings and infrastructure. Construction and demolition waste may also include hazardous materials such as contaminated soil or asbestos.

State/Territory data have been used to determine the stream percentages. Where disaggregated historical data cease, the stream shares have been held constant back to 1940. In Table 8.3 the stream percentages for each State and Territory as applied for 2009 are reported.

Table 8.3: Waste streams: municipal, commercial and industrial, construction and demolition: percentages by State: 2009

	NSW ^(a)	VIC ^(b)	QLD ^(c)	NT ^(d)	SA ^(e)	WA ^(f)	TAS ^(g)	ACT ^(h)
Municipal Solid Waste	33%	41%	41%	41%	36%	25%	44%	38%
Commercial and Industrial	37%	25%	32%	32%	19%	25%	50%	45%
Construction and Demolition	31%	33%	27%	27%	46%	50%	6%	17%

Sources: ^(a) NSW Department of Environment Climate Change and Water; ^(b) Sustainability Victoria; ^(c) QLD Department of Environment and Resource Management; ^(d) SA Environment Protection Authority; ^(e) WA Department of Environment and Conservation; ^(f) Tasmanian Department of Primary Industries; ^(g) Department of Territory and Municipal Services.

Note: External Territories waste stream breakdown is assumed to be the same as QLD.

Some states include clean fill (uncontaminated inert solid material) in their waste to landfill estimates provided and this has an influence on the waste stream proportions, however, as this type of waste is largely inert, there is little effect on the final emissions estimate.

8.2.1.2.3 Individual waste types

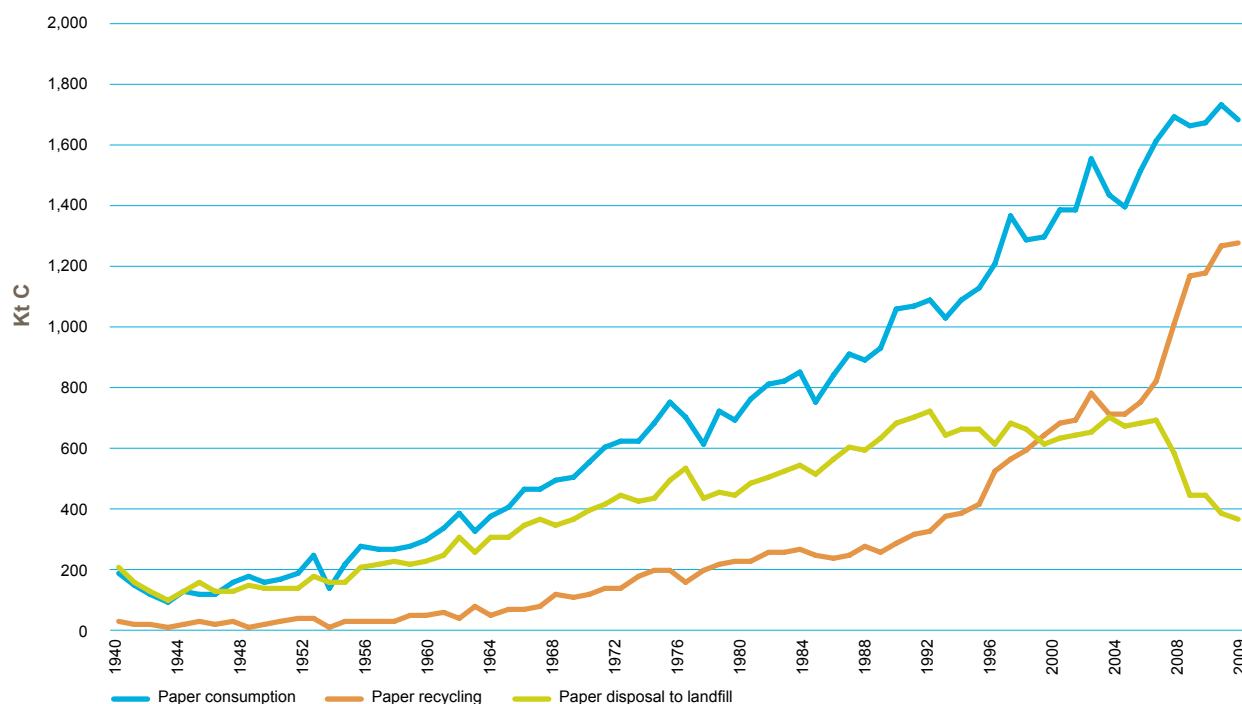
Each waste stream is further disaggregated into a mix of individual waste type categories that contain significant fractions of biodegradable carbon. The categories considered are as follows:

- Food;
- Paper;
- Garden and green;
- Wood;
- Wastes from the production of harvested wood products;
- Textiles;
- Sludge (including biosolids)
- Nappies
- Rubber and leather; and,
- Inert (concrete, metal, plastics, glass, soil etc).

Paper, wood and wood waste generation and disposal

The amount of paper disposed to landfill reflects those factors that affect the amount of paper in stock reaching the end of its useful life and therefore available for disposal and the changes that have occurred in disposal behaviour – particularly the shift in disposal from landfill to recycling that has occurred since the late 1980s (Figure 8.4). Data on paper and wood reaching the end of their useful life is relatively robust given the long data series available for paper and wood product production, trade and consumption and the assumptions about lifetimes of products reported in Appendix 7.I. This function is a constrained form of the function specified in section 12.2.2 in IPCC 2006.

Figure 8.4: Paper consumption, recycling and disposal to landfill – Australia: 1940-2009



Over time the amount of paper waste generated for disposal will be consistent with the amount of paper consumption given the short life time assumed for this product. Overall paper consumption is estimated to have risen from 475 kt in 1940 to reach 3312 kt in 2009 (ABARE 2010c) reflecting both increasing population and increasing per capita consumption levels. In terms of carbon, these consumption estimates translate into an estimated 190 kt C in 1940 and 1679 kt C in 2009 (Table 8.4). Per capita consumption of paper has increased from an estimated 26 kg C per person in the 1940s to 76 kg C per person in 2009. Reflecting the growth in paper consumption, waste paper generation is estimated to have increased from 245kt C in 1940 to 1693 kt C in 2009.

The proportion of paper waste generated that reaches landfill depends critically on the amount of paper diverted to other disposal paths. In Australia, an increasing trend to paper recycling has led to a decrease in the proportion of paper disposed to landfill. The amount of waste paper disposed to recycling as a share of product reaching the end of its useful life has increased from an estimated 30% in 1990 to 76% in 2009, with a sharp jump recorded in 2006 reflecting in part the effectiveness of a number of State Government waste management initiatives. The share of paper disposed to landfill has declined commensurately.

The generation of wastes from the production of harvested wood products – mainly sawmill residues and commercial offcuts – is also a significant source of waste generation and reflects two conflicting trends. The overall production of harvested wood products – particularly sawnwood from hardwoods – increased significantly between 1940 and 1960. Production has increased significantly again since the early 1990s – particularly sawnwood from softwood species and paper production – which has offset declines in the production of sawnwood from hardwood species. The ratio of waste generated to harvested wood product produced has fallen over time, however, reflecting both efficiencies in production and the changes in the mix of products produced and offsetting the effect of the overall increase in production to a large extent. In 1940, the ratio of waste generated to wood and paper product produced was 53 per cent. By 2009, this ratio had fallen to 26 per cent.

The amount of wastes generated from the production of harvested wood products that are disposed to landfill depends critically on how much of the wastes are estimated to have been diverted to other disposal paths or uses including the quantities combusted for energy¹, the quantities of fibre used in the production of other products (paper) and the quantities disposed to aerobic treatment processes. Of these three possible alternative disposal options, there has been rapid growth in the disposal of wastes to aerobic treatment processes in recent years with a concomitant reduction in wood wastes going to landfill (Table 8.5).

Figure 8.5: Estimated wood product wastes production, recycling, aerobic treatment processes and disposal to landfill – Australia: 1990-2009

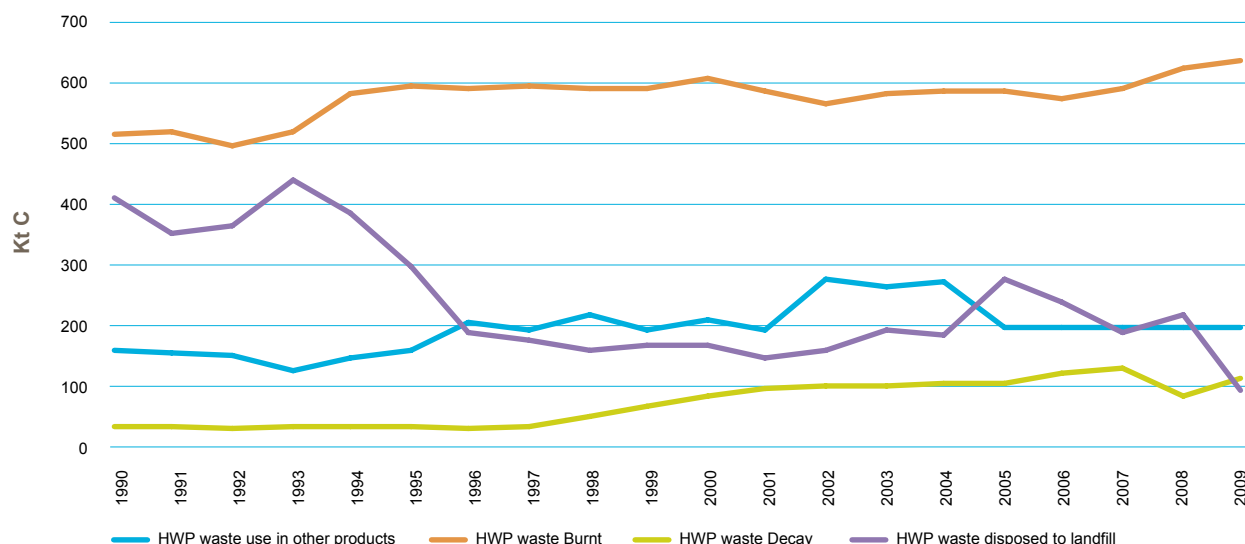


Table 8.4: Paper consumption, waste generation and disposal: Australia

	Apparent paper consumption	Per capita paper consumption	Closing stock of paper product	Total paper available for disposal/waste generation	Paper recycling	Paper disposal to landfill	Recycling share of total disposal	Disposal to landfill as share of total disposal
	kt C	kg C/head	kt C	kt C	kt C	kt C		
1940	190	26	200	245	27	204	0.14	0.83
1990	1086	64	601	1076	325	719	0.30	0.67
2000	1548	81	835	1482	783	655	0.53	0.44
2001	1434	74	812	1457	715	699	0.49	0.48
2002	1398	72	784	1426	710	674	0.50	0.47
2003	1514	77	824	1474	751	679	0.51	0.46
2004	1608	80	877	1555	818	690	0.53	0.44
2005	1691	84	925	1643	1007	587	0.61	0.36
2006	1661	81	926	1660	1163	447	0.70	0.27
2007	1673	79	928	1671	1175	446	0.70	0.27
2008	1735	81	954	1709	1271	390	0.74	0.23
2009	1679	76	941	1693	1280	362	0.76	0.21

Source: Department of Climate Change and Energy Efficiency: derived from ABARE 2010c, Department of National Development 1969, Jaakko Pöyry 2000, Recycled Organics unit 2009. See Table 8.6.

¹ Non-CO₂ emissions associated with the combustion of HWP wastes are accounted for in the Energy Sector. CO₂ emissions are reported as a memo item.

Table 8.5: Wood product production, waste generation and disposal: Australia

	HWP production ^(a) kt C	HWP waste generation kt C	Ratio of HWP waste generation to HWP production	Shares of HWP waste generation combusted (for energy)	Share of HWP waste disposed to landfill	Share of HWP waste disposed to aerobic treatment	Share of HWP waste used in other products
1940	1766	932	0.53	0.30	0.67	0.03	0.00
1990	3307	1,118	0.34	0.46	0.37	0.03	0.14
2000	3791	1065	0.28	0.57	0.16	0.08	0.19
2001	3682	1021	0.28	0.57	0.14	0.09	0.19
2002	3918	1095	0.28	0.51	0.14	0.09	0.25
2003	4084	1141	0.28	0.51	0.17	0.09	0.23
2004	4163	1141	0.27	0.51	0.16	0.09	0.24
2005	4249	1164	0.27	0.50	0.24	0.09	0.17
2006	4232	1129	0.27	0.51	0.21	0.11	0.17
2007	4137	1103	0.27	0.53	0.17	0.12	0.18
2008	4190	1119	0.27	0.56	0.19	0.08	0.18
2009	3983	1041	0.26	0.61	0.09	0.11	0.19

(a) Includes waste generation but excludes roundwood log and woodchip exports

Source: Department of Climate Change and Energy Efficiency: derived from ABARE 2010c, Department of National Development 1969, Jaakko Pöyry 2000. See Table 8.6.

Table 8.6: Principal data sources and key assumptions made with respect to disposal of paper: waste from HWP production and wood

	Paper	Waste from HWP production	Wood
Waste generation inputs			
(1) Production and apparent consumption	ABARE 2010c; Jaakko Pöyry 2000, Department of National Development 1969.	Not applicable	ABARE 2010c; Jaakko Pöyry 2000, Department of National Development 1969
(2) End of useful product life	End of useful life function specified in Jaakko Pöyry 2000 (See Appendix 7.1)	Not applicable	End of useful life function specified in Jaakko Pöyry 2000 (See Appendix 7.1)
(3) Waste generation	Derived from (1) and (2)	Jaakko Pöyry 2000 (See Appendix 7.1)	Derived from (1) and (2)
Method of disposal			
Landfill	Balance of paper waste generation (3) and paper disposed through recycling, combustion and aerobic decay.	Balance of HWP production waste generation (3) and wastes disposed through recycling, combustion and aerobic decay	Determined exogenously based on GHD (2008) and Hyder Consulting (2008)
Recycling	Source: ABARE 2010c, Jaakko Pöyry 2000	Source: Jaakko Pöyry 2000, Australian Plantations Products and Paper Industry Council (2006).	Balance of waste generation from wood reaching end-of-useful life and wood disposed to landfill, combustion and aerobic decay.

Combusted for energy / waste incineration	0% assumed combusted for energy or incineration.	Derived as the balance of wood and wood waste combusted by manufacturing industry (Source: ABARE 2010a and 2010c) and assumptions on combustion of wood. No data is available on waste incineration.	Combusted for energy: 5% of product disposal (see Appendix 7.I). Source: Jaakko Pöyry 2000. Zero percent of product disposal assumed to be incinerated (ie not for energy).
Aerobic treatment processes	3% of product assumed to decay due to aerobic processes based on expert judgement. Source: Jaakko Pöyry 2000	Source: Recycled Organics Unit (2009). Prior to 1995, 3% of product assumed to decay due to aerobic processes. Source: Jaakko Pöyry 2000	Decay assumed to be 0% based on expert judgement. Source: Jaakko Pöyry 2000.

The key data sources and assumptions made in relation to the estimation of the data presented in Tables 8.4 and 8.5 are reported in Table 8.6. The amount of paper disposed to landfill is estimated as the balance of the amount of paper waste generated from paper in stock reaching the end of its useful life and the amount of paper disposed to recycling, combustion and aerobic treatment processes. This estimator ensures completeness and consistency with the estimates of the stock of harvested wood products presented in Appendix 7.I; and is considered to produce robust estimates because of the high quality of the available data on apparent paper consumption (ABARE 2010c and the Department of National Development 1969) and paper recycling (ABARE 2010c). It also allows for the share of paper in total waste disposed to landfill to vary in response to observed rapid changes in disposal behaviour, in particular, the rapid increase in recycling of paper in Australia.

Similarly, data on the wastes from HWP production are considered robust because of the availability of high quality data on HWP production (ABARE 2010c and the Department of National Development 1969) and on the combustion of wood and wood waste (ABARE 2010a). Data on the amount of wastes disposed to aerobic treatment processes is available from the Recycled Organics Unit of the University of New South Wales. The other important assumption set out in Table 8.6 concerns the percentage of wastes lost through incineration. No data is currently available on the amount of waste incinerated as opposed to combusted for energy. Obtaining more accurate data on this variable is difficult. Consequently, the assumption made has been the subject of sensitivity testing, which demonstrates that waste disposed to landfill is inversely related to the assumption on incineration, indicating that there is limited risk of the estimates of waste disposed to landfill used in the inventory being underestimates.

Table 8.7: Additions and deductions from harvested wood products: 2009

	kt C
Additions to the HWP carbon stock	
Apparent consumption of HWP	3,381
Generation of HWP wastes	1,041
Total additions	4,422
Deductions from the HWP carbon stock	
Disposal to landfill	840
Disposal through combustion for energy/ waste incineration	745
Disposal through aerobic decay	164
Recycling/use in other products	1,508
Total deductions	3,257
Net increment in HWP stock	1,165

Combustion of harvested wood products for energy reduces the amount of the harvested wood product stock and is effectively recorded as a reduction in stock (or, equivalently, a source of emissions). In 2009, the reduction in carbon stock from combustion for energy of harvested wood product and wastes generated from harvested wood product production is estimated at 745 ktC. This source of emissions is effectively recorded within the Harvested Wood Product category. Non-CO₂ emissions from the combustion of these products are recorded in Fuel Combustion 1.A. Similarly, the disposal of harvested wood products to landfill reduces the stock of product and is also effectively recorded as a reduction in stock (or source of emissions) against the Harvested Wood Product category. In 2009, the reduction in carbon stock from disposal to landfill is estimated at 840 ktC. Half of this carbon will also eventually be converted to methane in the landfills (effectively, the carbon is counted twice).

Back casting of total waste disposed to landfill

The data available from State Government agencies on total waste disposed to landfill does not extend to the period prior to 1990. Nor are there any possibilities for filling in the gaps with future surveys. In these circumstances, IPCC 2006 notes that a range of splicing and extrapolation techniques are available. The technique chosen to determine the historical time series was a surrogate-data technique where the drivers used to determine total waste to landfill were the amount of waste generated from paper consumption and the estimated amount of waste generated from the production of harvested wood products. These data were chosen because published datasets of production and consumption of these variables, which are closely related to disposal, were available back to 1936. The surrogate technique applied was to assume that the total waste to landfill is perfectly correlated with the sum of paper and wood wastes disposed to landfill for years prior to 1990. This assumption ensures that the more general underlying influences affecting waste generation impact these estimates since a) rising per capita incomes and rising population are reflected in rising demand for paper consumption and consequent waste generation and b) changes in production functions over time (improvements in efficiency) are reflected in the amount of waste generated in harvested wood products.

Waste mixes disposed to landfill

The base waste mix percentages are derived as a simple average of waste mixes presented in studies conducted by GHD (2008) and Hyder Consulting (2008), except for data on paper and wastes from the production of harvested wood products disposed to landfill which are based on data and assumptions set out in Table 8.6. Actual waste mix percentages change over time as the amount of wood waste and paper entering landfills vary – percentages for 2009 are reported in Table 8.8.

Table 8.8: Individual waste type mix: percentage share of individual waste streams disposed to landfill 2009

	Municipal Solid Waste	Commercial & Industrial	Construction & Demolition
Food	37.8%	24.6%	0.0%
Paper ^(a)	6.1%	6.5%	1.2%
Garden and Green	17.8%	4.6%	2.0%
Wood ^(a)	1.1%	7.1%	6.0%
Waste from HWP production ^(a)		4.1%	
Textiles	2.2%	4.6%	0.0%
Sludge	0.0%	1.7%	0.0%
Nappies	4.3%	0.0%	0.0%
Rubber and Leather	0.5%	4.0%	0.0%
Inert (concrete, metal, plastics and glass, soil etc)	30.2%	42.9%	90.8%

Sources: Derived from GHD 2008 and Hyder Consulting (2008); (a) Department of Climate Change and Energy Efficiency estimates based on data and assumptions in Table 8.6 and GHD 2008.

Table 8.9: Total waste and individual waste types disposed to landfill (tonnes): Australia

Year	Total waste to landfill ^(a,b)	Food ^(b)	Paper ^(b)	Garden ^(b)	Wood and wood waste ^(b)	Textiles, Sludge, Nappies, Rubber and Leather ^(b)	Other ^(b)
	kt	kt	Kt	kt	kt	kt	kt
1940	11,597	2,262	509	1,051	1,925	492	5,357
1990	16,425	3,039	1,797	1,300	1,696	757	7,836
2000	19,594	3,666	1,637	1,456	1,333	1,016	10,486
2001	19,021	3,677	1,746	1,480	1,222	995	9,902
2002	19,390	3,735	1,684	1,583	1,230	952	10,205
2003	19,818	3,502	1,698	1,491	1,373	909	10,844
2004	20,587	3,597	1,726	1,541	1,384	931	11,408
2005	20,225	3,641	1,468	1,553	1,584	939	11,039
2006	20,396	4,122	1,117	1,662	1,506	1,109	10,881
2007	21,215	4,182	1,115	1,714	1,433	1,115	11,656
2008	21,794	4,300	975	1,726	1,546	1,174	12,072
2009	20,023	4,173	905	1,678	1,174	1,125	10,967

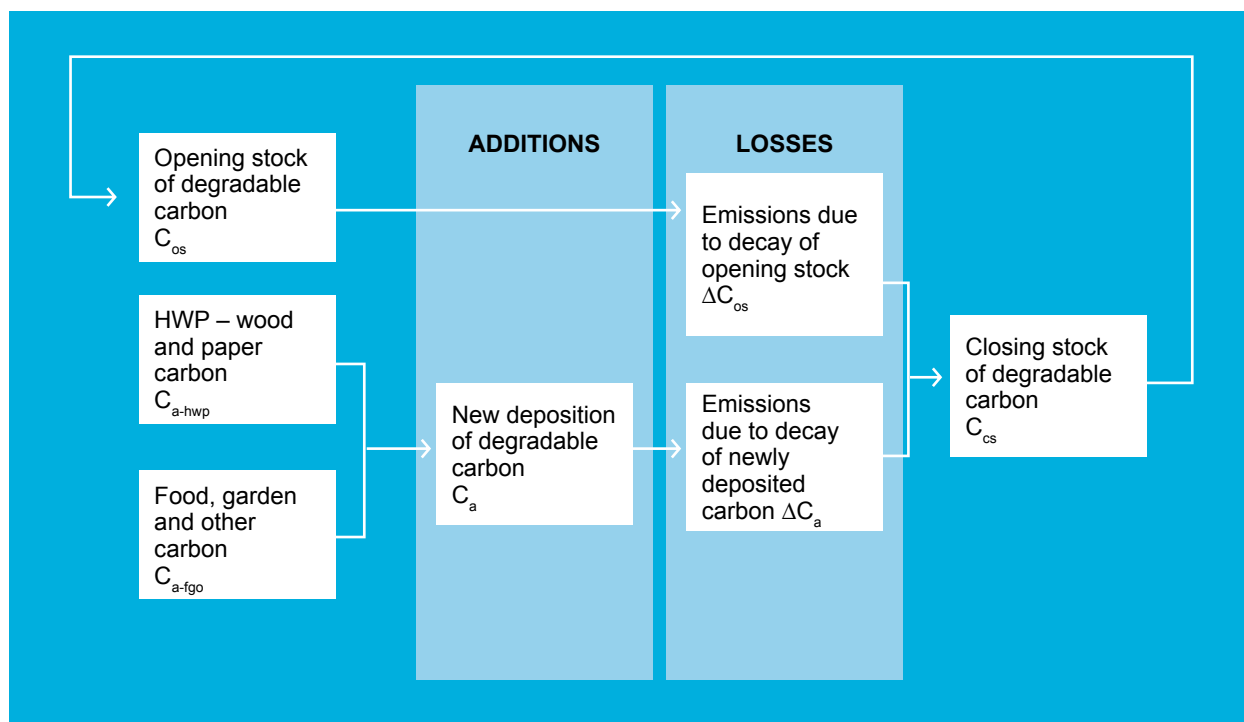
(a) State Government Agencies; (b) Department of Climate Change and Energy Efficiency estimates.

8.2.1.3 Methodology

The Australian methodology for the estimation of emissions from solid waste disposal utilises the IPCC Tier 2 FOD model presented in the 2006 IPCC Guidelines for National Greenhouse Gas Inventories (IPCC 2006).

The key parameters determining the amount of methane emissions are the fraction of degradable organic carbon in each individual waste type (DOC); the rate of decay assumed for each individual waste type (decay function 'k'); the fraction of degradable organic carbon that dissimilates through the life of the waste type (DOC_p); the methane correction factor (MCF) and the amount of methane captured for combustion. The model is explained in detail in IPCC 2006. The model takes account of the stock of carbon in a landfill by keeping track of additions of carbon through waste disposal and losses due to anaerobic decay. The concept of the carbon stock model approach is illustrated in Figure 8.6.

Figure 8.6: Carbon stock model flow chart



Carbon enters the landfill system via new deposition of waste C_a . Deposition is based on wood and paper carbon transferred from the HWP carbon pool C_{a-hwp} and carbon in food, garden and other waste derived from data provided by State and Territory waste authorities C_{a-figo} . A portion of the newly deposited carbon decays in the first year ΔC_a and the remainder contributes to the closing stock of carbon C_{cs} . Additionally, the opening stock of carbon decays over the year ΔC_{os} with the remainder going to the year's closing stock. The closing stock then becomes the next year's opening stock C_{os} . The total change in carbon stock is estimated simultaneously with estimated emissions of methane.

$$C_{cs} = C_{os} - \Delta C_{os} (\text{emissions lost from opening stock}) + C_a - \Delta C_a (\text{emissions lost from new deposition})$$

In Australia recent field work estimating methane generated at particular landfills (Bateman 2009, Dever et al 2009 and Golder Associates 2009) has demonstrated that there is potentially a wide variation in methane generation rates across Australian landfills. In Australia, this is interpreted as principally reflecting:

- differences in waste composition at landfills, reflecting both the differing values of degradable organic carbon (DOC) of individual waste types and differing degradable organic carbon that is dissimilable (DOC_p) values of individual waste types; and
- differences in the decay rate 'k' reflecting differences in waste composition, management regimes or local climatic conditions.

8.2.1.3.1 Degradable Organic Carbon

Values for the degradable organic carbon (DOC) content for each waste mix category used in the model are listed in Table 8.10. The source for these parameters is IPCC (2006).

Table 8.10: Key model parameters: DOC values by individual waste type

Waste Type (wet)	DOC
Food	0.15
Paper	0.40
Garden and Green	0.20
Wood and waste from HWP production	0.43
Textiles	0.24
Sludge	0.05
Nappies	0.24
Rubber and Leather	0.39
Other	-

Source: IPCC 2006.

8.2.1.3.2 Decay function values 'k'

The half lives and associated 'k' values for each waste mix category have been determined based on default half lives reported in IPCC 2006 and on prevailing climatic conditions at the landfill sites of the principal cities in each State and Territory. In each State, average annual temperature and annual rainfall data for the principal landfill sites were taken from data published by the Australian Bureau of Meteorology. The assumptions of climatic conditions for each State/Territory and 'k' values for each waste mix category are outlined in Table 8.11.

Table 8.11: Key model parameters: 'k' values by individual waste type and State

State / Territory	Climate description	Waste mix category	k value
NSW	Wet Temperate	Food	0.185
		Paper and Textiles	0.06
		Garden and Green	0.10
		Wood	0.03
		Textiles	0.06
		Sludge	0.185
		Nappies	0.04
		Rubber and leather	0.06
VIC, WA, SA, TAS, ACT	Dry Temperate	Food	0.06
		Paper and Textiles	0.04
		Garden and Green	0.05
		Wood	0.02
		Textiles	0.04
		Sludge	0.06
		Nappies	0.04
		Rubber and leather	0.04
QLD, NT	Moist and Wet Tropical	Food	0.4
		Paper and Textiles	0.07
		Garden and Green	0.17
		Wood	0.035
		Textiles	0.07
		Sludge	0.4
		Nappies	0.07
		Rubber and leather	0.07

Source: IPCC 2006

8.2.1.3.3 Fraction of degradable organic carbon dissimilated (DOC_f)

DOC_f is an estimate of the fraction of carbon in waste that is ultimately degraded anaerobically and released from solid waste disposal site (SWDS) and reflects the fact that some carbon in waste does not degrade or degrades very slowly under anaerobic conditions (IPCC – 2006 – Vol 5 p3.13). Most countries (but not all) utilise the IPCC default factor 0.5 which is an average DOC_f value that is used for all putrescible waste types and which appears to be based on the results of one study in the Netherlands. On the use of country-specific DOC_f values the IPCC Good Practice Guidance states the following:

National values for DOC_f or values from similar countries can be used for DOC_f, but they should be based on well documented research.

There is a growing body of research into the fraction of degradable carbon that is available for anaerobic decay from both Australia and overseas. There is evidence that for certain types of waste such as wood the IPCC default DOC_f value of 0.5, which is an average value, may be an overestimate whilst for waste types such as food it may be an under-estimate.

In the Australian context there has been an ongoing program of research into the decay of wood in landfill by researchers from the NSW Department of Primary Industries, the Cooperative Research Centre for Greenhouse Accounting, the Research and Development Division of State Forests NSW and the Chemistry Centre of Western Australia.

This research program was initiated in 2001 when excavated wood samples taken from two sites at Sydney landfills were examined for the extent of decomposition (Gardner et al 2004). The extent of loss of initial carbon from softwood and hardwood materials retrieved from the two landfills that had been closed for 19 and 29 years was found to be insignificant (4.1%). The tests showed slightly greater decay in the samples taken from the site closed for 19 years than the 29 year samples which was explained by the waste management practices at the two sites (one site had leachate recirculation whilst the other had an active methane extraction system in place).

Ximenes et al. (2008b) supplemented this work with further field-based research, extracting wood samples from a second Sydney landfill that had been closed for 46 years. Carbon loss from softwood and hardwood material retrieved from the third landfill from the site closed for 46 years was found to be 18 and 17 per cent respectively.

As these investigations are field-based, the results reflect the prevailing conditions and waste management practices in the particular landfills under examination. Nevertheless, the results suggest that wood products are much more resistant to decay under anaerobic conditions than would be implied by the use of the average DOC_f value of 0.5.

The Australian field-based results reflect decomposition over restricted time profiles. They reflect both the DOC_f applicable to the waste types analysed, which represents the total decomposition of the waste under anaerobic conditions over very long term time horizons, but also the rate of decomposition, 'k', experienced for the period that the waste has been in place.

Estimates of DOC_f that are applicable to very long term time horizons (3-5 half lives) can be estimated from investigations into the carbon storage under anaerobic conditions of a range of waste types under laboratory conditions (Doorn and Barlaz (1995), Barlaz (1998) Barlaz (2005), Barlaz (2008)). This experimental work involves the testing of a range of waste types in reactors operated to obtain maximum methane yields. As the laboratory work optimises the conditions for anaerobic decay, the results can be considered as true estimates of the DOC_f value that would apply over very long time horizons. These estimates could also be considered to represent an upper limit of the decay processes found in landfills under anaerobic conditions over more restricted time horizons.

The results of the Barlaz work are presented in Table 8.12 which shows reported values for the initial carbon content and carbon remaining after decomposition and the derived DOC_f value.

Table 8.12: DOC_f values for individual waste types derived from laboratory experiments

Waste type	Initial total organic carbon (kg/dry kg) A	Organic carbon remaining after decomposition (kg/dry kg) B	DOC_f (A-B)/A
Newsprint	0.49	0.42	0.15
Office paper	0.4	0.05	0.88
Old corrugated containers	0.47	0.26	0.45
Coated paper	0.34	0.27	0.21
Branches	0.49	0.38	0.23
Grass	0.45	0.24	0.47
Leaves	0.42	0.3	0.28
Food	0.51	0.08	0.84

Source: Derived by Hyder Consulting 2009 in consultation with Morton Barlaz

In research currently underway, Barlaz is continuing with the examination of further waste samples including softwood, hardwood, plywood and MDF as well as some Australian wood species. Preliminary results from these laboratory-based experiments broadly confirm the earlier result that the value for wood is significantly less than 0.5. The testing on the additional wood samples is not yet complete. However, the results are expected to be available during 2011. In addition to the examination of wood samples in the study currently underway, a range of Australian paper types have been examined. Preliminary results from this portion of the study are also broadly consistent with results obtained previously and again highlight the range of different DOC_f values observed for different paper types.

Overall, well documented research is available on DOC_f values for individual waste types both from laboratory conditions and from field tests conducted in Australia. The quality of the work conducted in Australia by Ximenes et al. 2008b has recently been recognised by the IPCC Emission Factor Database Editorial Board. This well documented research supports the use of DOC_f values for individual waste types for this inventory.

The 2006 IPCC guidelines offer further recommendations on the use of DOC_f values for individual waste mix types:

Higher-tier methodologies (tier 2 or 3) can also use separate DOC_f values defined for specific waste types...The introduction of waste-type specific values for DOC_f can introduce additional uncertainty into estimates where good waste composition data are not available. Therefore it is good practice to use waste type specific DOC_f values only when waste composition data are based on representative sampling and analysis.

As outlined above, Australia's waste to landfill data is currently supplied by State and Territory agencies responsible for waste management. The data are collected under the various levy schemes in place in each jurisdiction and are disaggregated into MSW, C&I and C&D waste streams. For example, in NSW landfills are licensed under the *Protection of the Environment Operations Act 1997* – as part of the licensing provisions, landfill operators are required to report on quantities of waste received at the landfill. Similar arrangements are in place in all jurisdictions. The waste mix percentages used to further disaggregate the waste streams are based upon a wide range of waste audits carried out across Australian landfills typically commissioned by local and State/territory governments.

To assess the quality of Australia's waste composition data and acceptability for use with individual waste type DOC_f values, a review was undertaken by an external expert (Guendehou 2010). Guendehou concluded that 'Australia should take advantage of the availability of good waste composition data to apply waste type specific DOC_f in order to improve the accuracy of the emissions estimate'.

Australia's waste type specific DOC_f values

Values of DOC_f for individual waste types that are appropriate for Australia have been selected based on well documented research on DOC_f values contained in Barlaz 1998, Barlaz 2005 and Barlaz 2008. These estimates provide an upper limit of an appropriate DOC_f value. The approach adopted, while conservative, is based on the recommendations of Guendehou (2010) after consultations with a range of experts in the industry GHD (2010), Hyder Consulting (2010) and Blue Environment (2010).

For wood products, Australia has selected a value of 0.23 to apply to all wood deposited in landfills in Australia based on the Barlaz estimate for 'branches'. This should be considered as an upper limit of the DOC_f values that are applicable to the anaerobic decay of Australian wood products as the research of Ximenes et al. 2008b and Gardner et al. 2004 indicates that a range of lower DOC_f values may be possible depending on the type of timber and type of wood product. Ximenes et al. 2008b, for example, note that the use of the Barlaz result for 'branches' for timber and wood products could be refined as it is likely that true DOC_f values for certain wood products may be lower depending on the type of timber and wood product. This view was confirmed by Barlaz in the preparation of the 2008 inventory (Hyder Consulting 2009) and supported by GHD 2010. Future research may provide a basis for a review of this factor at some later time and, in fact, preliminary data from Barlaz (forthcoming) indicates that certain timber classes may be displaying much lower rates of degradation for a range of timber classes in ideal anaerobic conditions. However, until these results are available, the Barlaz 1998 result for branches represents the best possible estimate for the anaerobic decay of timber and wood products.

For food waste the DOC_f value of 0.84 reported in Table 8.12, based on the work of Barlaz 1998 has been used.

For paper, the Barlaz work translates into a range of DOC_f values, for four classes of paper types meaning that it is important to understand the types of paper waste entering the landfill waste system in order to assign the appropriate weights for each of the Barlaz results. Newsprint contains high levels of lignin, which inhibits decomposition in anaerobic conditions, while office paper contains almost no lignin and therefore experiences high levels of decomposition even under anaerobic conditions. In addition, the Barlaz paper classes are not exhaustive of all paper types. Allowance must be made for non-identified paper classes. In these cases, consideration must be given to the possible chemical composition of the paper and theoretical approaches to the estimation of methane potential.

Consequently, it was necessary to make use of available waste audit data to compile a weighted average DOC_f value for the "paper and cardboard" waste mix category. Based on paper waste composition data presented in GHD 2008 and Lamborn 2009, the proportions of paper types corresponding to the Barlaz DOC_f categories have been derived for Australian landfills (Table 8.13).

Given that the classes of paper analysed by Barlaz were not comprehensive, a DOC_f value is also required to be assumed for 'other' paper. One factor important to the analysis of decomposition under anaerobic conditions relates to the amount of cellulose and hemicellulose in the product (see for example, Lamborn 2009). In the case of the paper types analysed with DOC_f values, the reported cellulose and hemicellulose proportions in the product range from 51.7 for coated paper up to 91.3 for office paper (Barlaz 1998). For the classification of 'other' paper, the value of cellulose and hemicellulose reported by Lamborn 2009 is 72.0 – which is very much in the middle of the range reported for the waste paper types for which DOC_f values are available. Consequently, the assumption made is that the DOC_f for the 'other' paper is the weighted average of the paper types for which DOC_f values are available.

Table 8.13: Derivation of a weighted average DOC_f value for paper

Paper type	Composition(% of total paper in analysis) ^(a)	Cellulose and hemicellulose (%) ^(b)	DOC_f ^(c)
Newspaper	4%	54.6	15%
Office paper	11%	91.3	88%
Cardboard	58%	67.2	45%
Coated Paper	1%	51.7	21%
Other paper	25%	72.0	49%
Weighted average of above			49%

(a) Lamborn 2009, (b) Barlaz 1998, (c) Hyder consulting 2009, except for 'other paper'.

Micales and Skog 1996 published a range of methane potentials for a comprehensive list of paper types (based on data in Doorn and Barlaz 1995) which show that methane potentials range between 0.054 g CH₄/g refuse for newspaper and 0.131 g CH₄/g refuse for office paper. These results also suggest that the range of DOC_f values shown in Table 8.13 above derived from Barlaz data encompass the broad range of paper types that may be present in Australian landfills and the degradabilities observed in the experimental data.

For garden and park waste a DOC_f value of 0.47 based on the work of Barlaz 1998 has been used. This value assumes the upper estimate calculated by Barlaz for “leaves” and “grass”. On this assumption, it represents a conservative upper limit on the likely true DOC_f value for this category.

For the remaining waste categories in the inventory the IPCC default value of 0.5 has been retained. This includes values for textiles, sludge, nappies, and rubber and leather which require additional research to be undertaken before waste type specific values are adopted.

The complete list of DOC_f values for each inventory waste mix type is presented in Table 8.14. As indicated in the QA-QC section, the weighted average DOC_f value for Australian landfills is estimated to be 48.1 for 2009.

Table 8.14: Key model parameters: DOC_f values by individual waste types

Waste type	DOC _f value
Food	0.84
Paper and paper board	0.49
Garden and park	0.47
Wood	0.23
Wood waste	0.23
Textiles	0.50
Sludge	0.50
Nappies	0.50
Rubber and Leather	0.50
Inert waste (including concrete, metal, plastic and glass)	0.00

8.2.1.3.4 Methane Correction factor (MCF)

An important parameter for the emissions calculation is the methane correction factor (MCF) which is intended to represent the extent of anaerobic conditions in landfills. It is assumed that all solid waste disposal on land in Australia is disposed to well managed landfills, hence a methane correction factor of 1.0 has been applied to all years. Data from a Waste Management Association of Australia (WMAA) survey on waste management practices undertaken in 2007 was reviewed for this inventory and considered to provide strong evidence that the landfills in Australia adopt management practices that are consistent with the IPCC characterisation of well-managed landfills. Seventy one percent of landfills, receiving an estimated 95 per cent of waste, operate with some form of permanent cover. The balance of landfills are assumed to operate within the meaning of well-managed landfills, as defined by the IPCC. No comprehensive data are available to accurately characterise changes to management practices over time.

8.2.1.3.5 Delay time

The IPCC default delay time of six months (M = 13) has been used to reflect the fact that methane generation does not begin immediately upon deposition of the waste. Under this assumption, and given that all waste is assumed to be delivered at the mid-point of the year, anaerobic decay is set to start, on average, on the first day of the year following deposition.

8.2.1.3.6 Fraction of decomposition that results in methane (F)

The IPCC default value of 0.5 is assumed for this inventory, reflecting the assumption that the decomposition of organic carbon under anaerobic conditions is equally split between the generation of methane and the generation of carbon dioxide.

8.2.1.3.7 Oxidation factor (OF)

The IPCC default value of 0.1 is assumed for this inventory, reflecting the proportion of methane generated by the decomposition of organic carbon under anaerobic conditions that is oxidised before the gas reaches the surface of the landfill.

8.2.1.3.8 Methane capture

Net emissions are derived after accounting for methane recovery undertaken at the landfill site. The quantity of methane recovered for flaring and power is based upon reported methane capture under NGERs for 2009 and industry survey for the years 1990-2008. Methane recovered (R(t)) is subtracted from the amount generated before applying the oxidation factor, because only landfill gas that is not captured is subject to oxidation in the upper layer of the landfill.

8.2.1.4 Emission Estimates

8.2.1.4.1 Methane

Additions to and losses from the pool of organic carbon in landfills including both degradable and non-degradable organic carbon from all waste types are presented in Table 8.15. Half of the carbon losses are assumed to result in the generation of methane (assuming that F, the share of carbon decay resulting in methane, is the IPCC default value of 0.5). The other half is assumed to be carbon dioxide and is effectively estimated when this carbon is deducted from the pool of carbon in the harvested wood product pool.

Table 8.15: Methane generation and emissions, Australia: 1990 to 2009

Year	Carbon additions to landfill (kt C)	Carbon loss (through emissions) (kt C)	Methane generated (Gg CH ₄) ^a	Methane capture (Gg CH ₄)	Net methane (Gg CH ₄)
1990	2,360	1,132	754	2	677
1991	2,317	1,127	751	2	674
1992	2,297	1,127	751	11	666
1993	2,340	1,124	749	11	665
1994	2,266	1,119	746	35	640
1995	2,277	1,116	744	28	644
1996	2,199	1,117	745	91	588
1997	2,194	1,121	747	98	584
1998	2,271	1,126	751	130	558
1999	2,248	1,132	755	121	570
2000	2,334	1,136	757	129	565
2001	2,330	1,144	763	131	569
2002	2,326	1,152	768	128	576
2003	2,329	1,160	773	176	537
2004	2,375	1,160	773	197	518
2005	2,369	1,156	770	207	507
2006	2,333	1,156	771	222	494
2007	2,322	1,171	781	216	509
2008	2,351	1,184	789	205	526
2009	2,121	1,197	798	215	525

Source: Department of Climate Change and Energy Efficiency.

Note: (a) methane generated prior to oxidation.

8.2.1.4.2 Non-Methane Volatile Organic Compounds (NMVOC)

Small quantities of NMVOC are contained in landfill gas emitted from landfills in Australia. Some of these NMVOC are generated by the decomposition process and others are residuals from the particular types of waste dumped in the landfill.

The CSIRO Division of Coal and Energy Technology in Sydney (Duffy, Nelson & Williams 1995) investigated NMVOC emissions from four landfills in the Sydney region. They found significant concentrations, up to 10 parts per million by volume (ppmv), for approximately 60 different compounds. Researchers in the UK (Baldwin and Scott 1991) have found between 2,200 and 4,500 milligrams per cubic metre (mg/m³) of NMVOC present in landfill gas.

In Australian landfills, liquid waste is rarely disposed of with solid waste whereas co-disposal is common practice in the UK. On this basis the lower range of 2,000 mg/m³ found by the UK researchers is used for NMVOC emissions from Australian landfills unless other site-specific information is available.

It is assumed that NMVOC emissions from landfills comprise 0.2% of total landfill gas emissions; the average methane fraction of landfill gas as generated before release to the atmosphere is 0.5. This quantity is a weighted mean for all previous years of waste data used to calculate any inventory year's data and the proportion of methane emitted after oxidation is 0.9.

8.2.2 Wastewater Handling (6.B)

8.2.2.1 Source Category Description

The anaerobic decomposition of organic matter in wastewater results in emissions of methane while chemical processes of nitrification and denitrification in wastewater treatment plants and discharge waters give rise to emissions of nitrous oxide.

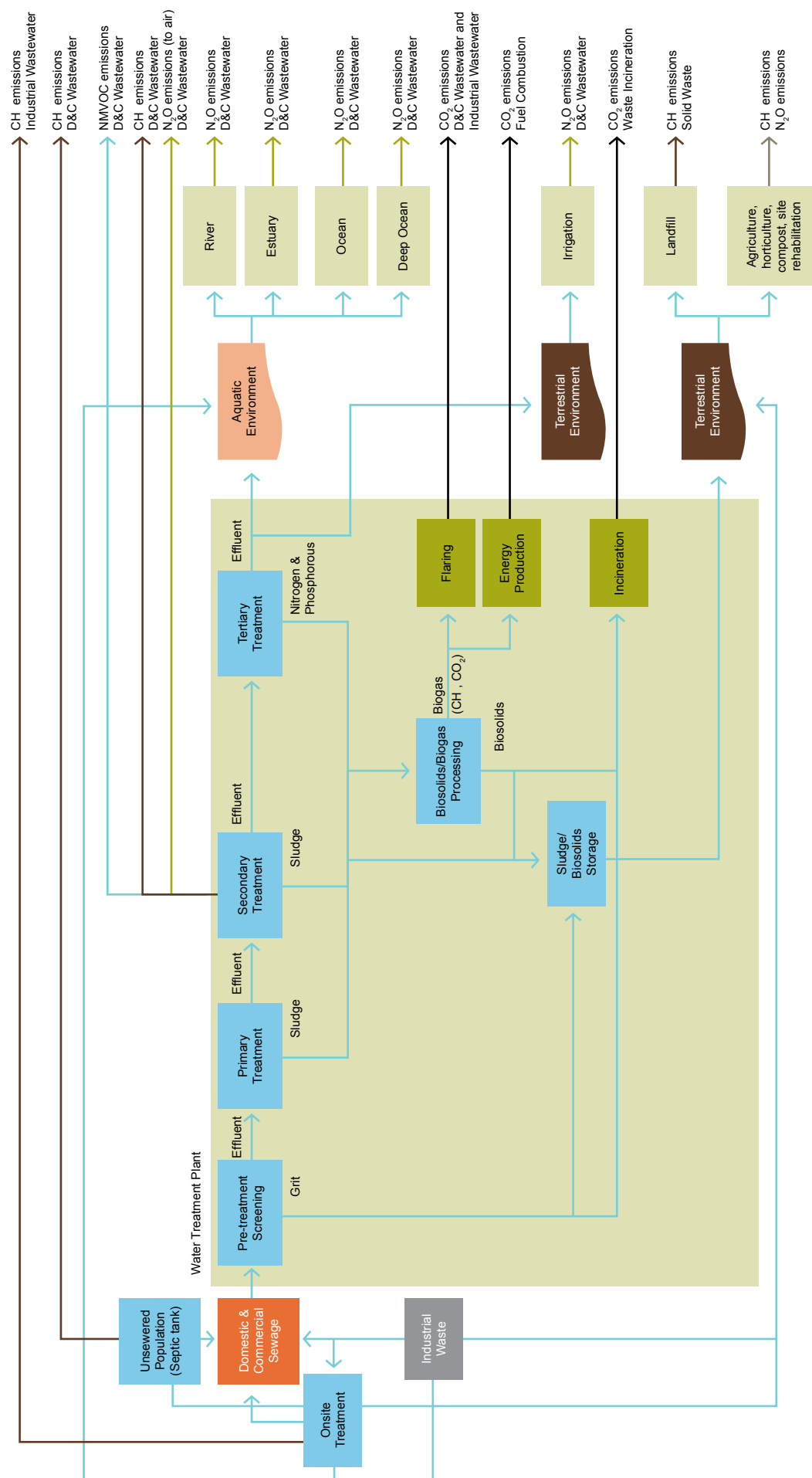
Large quantities of CH₄ are not usually found in wastewater due to the fact that even small amounts of oxygen are toxic to the anaerobic bacteria that produce the CH₄. In wastewater treatment plants, however, there are a number of processes that foster the growth of these organisms by providing anaerobic conditions.

As methane is generated by the decomposition of organic matter, the principal factor which determines the methane generation potential of wastewater is the amount of organic material in the wastewater stream. This is typically expressed in terms of Chemical Oxygen Demand (COD). COD is a measure of the oxygen consumed during total chemical oxidation (both biodegradable and non-biodegradable) of all material in the wastewater (IPCC 2006).

Nitrous oxide, N₂O, is also generated from municipal wastewater treatment plants. Nitrogen, which is present in the form of urea in urine and also as ammonia in domestic wastewater, can be converted to another compound—nitrate (NO₃). Nitrate is less harmful to receiving waters since it does not take oxygen from the water. The conversion of nitrogen to nitrate is usually done by secondary and tertiary wastewater treatment plants using special bacteria in a process called nitrification. Following the nitrification step some facilities will also use a second biological process, known as denitrification. Denitrification further converts the nitrogen in the nitrates to nitrogen gas, which is then released into the atmosphere. Nitrification and denitrification processes also take place naturally in rivers and estuaries. N₂O is a by-product of both nitrification and denitrification.

Municipal wastewater treatment plants in Australia treat a major portion of the domestic sewage and commercial wastewater, and a significant part of industrial wastewater. Approximately 5 % of the Australian population is not connected to the domestic sewer and instead utilise on-site treatment of wastewater such as septic tank systems (WSAA 2005). Some industrial wastewater is treated on-site and discharged either to an aquatic environment or to the domestic sewer system which then feeds into a municipal wastewater treatment plant. A schematic diagram of the pathways for the treatment of wastewater in Australia is shown in Figure 8.7.

Figure 8.7: Pathways for Wastewater



Consistent with IPCC good practice, methane emissions from effluent discharge to receiving waters is not reported in the inventory. Similarly, N_2O emissions from any form of industrial wastewater discharge and from discharge of municipal wastewater to ocean and deep ocean waters or used in irrigation are considered negligible and are not reported in the inventory.

Sludge removed from wastewater treatment plants is either disposed to landfill or can be further treated to produce biosolids and then used in a land application such as agriculture, horticulture, composting or site rehabilitation. Emissions of methane from disposal of sludge in a landfill are included in the solid waste sector. Emissions of nitrous oxide from land application are not included in the agriculture sector but are included within the wastewater sector itself.

Methane generated at wastewater treatment facilities may be captured and combusted for energy purposes or flared. The amount of CH_4 captured or flared is subtracted from the total CH_4 generated. Quantities of sludge biogas combusted for the production of energy and the associated non- CO_2 emissions are reported in the stationary energy sector.

Carbon dioxide emissions are not reported in the wastewater handling sector except where they are derived from non-biomass sources of carbon.

Wastewater treatment in Australia

A survey of the Australian wastewater industry was conducted by DCC in 2009 (DCC 2009b) to gather information on the operational characteristics of the wastewater sector including the location of discharge points, treatment levels, effluent volumes and type of aquatic environment to which the effluent flowed. The utilities which participated in the survey were selected on the basis of two criteria: that they serviced more than 50,000 customers and that these customers were living in coastal areas. The 11 utilities in Australia which met these criteria were asked to take part in the survey and 10 of these provided a response. In total, the respondents represented wastewater utilities which operate more than 100 facilities and treat wastewater for over 60 per cent of the Australian population, all of which were living in coastal cities or communities.

More than three quarters of Australia's total population live in coastal areas. According to data from the Australian Bureau of Statistics (2009e), in 2009 the total Australian population was approximately 22 million people and around 16 million of these were living in capital cities and major centres on the coast of Australia. The residual population not covered by the DCC survey was approximately eight million people and it is estimated that at least three million of these people were also living on the coast of Australia.

The survey found that wastewater treatment facilities in Australia predominantly process wastewater to a secondary or tertiary treatment level before discharging the wastewater into an aquatic environment. However, some large facilities process the wastewater to a primary level only. As the treatment level increases from primary to secondary to tertiary, the number of unit operations used to treat the wastewater and the amount of organic matter and nitrogen removed before discharge to an aquatic environment increases.

Proportions of Australia's population connected to each treatment level is presented in Table 8.16 together with data for the residual population not covered by the survey which has been extrapolated from the survey data where possible. Nitrogen entering and leaving each treatment level is also shown in table 8.16. The data clearly show that more complex treatment systems remove a greater proportion of nitrogen and thus generate more N_2O .

Table 8.16: Wastewater treatment plants by level of treatment

Wastewater Treatment Level	Population serviced		Annual quantity of nitrogen entering the system (tonnes of N)		Annual quantity of nitrogen in effluent discharged (tonnes of N) ^(c)	
Primary	2,761,280	13%	15,931	14%	16,169 ^(d)	66%
Secondary	6,960,027	32%	27,333	25%	6,170	25%
Tertiary	3,231,570	15%	15,849	14%	2,001	8%
Residual – Coastal Area	3,131,923 ^(a)	14%	18,040 ^(b)	16%	N/A	N/A
Residual – Inland Area	5,880,487 ^(a)	27%	33,872 ^(b)	31%	N/A	N/A
Total	21,965,287		111,024		24,341	

(a) Estimated using data from Australian Bureau of Statistics 2008b

(b) Estimated using the IPCC default method and protein intake of 0.036 tonnes per year and IPCC default, 0.16 tonnes of nitrogen per tonne of protein

(c) Total nitrogen discharged does not include the nitrogen discharged for the residual

(d) Nitrogen discharged from primary treatment is greater than nitrogen received due to the lower removal rate for primary systems and the transfer of wastewater between plants

The survey also examined the discharge practices of Australian wastewater facilities. The effluent discharged by wastewater treatment plants enters one of four classes of aquatic environment which are defined as follows:

- River means all waters other than estuarine, ocean or deep ocean waters.
- Estuarine waters means all waters (other than ocean or deep ocean waters):
 - (a) that are ordinarily subject to tidal influence, and
 - (b) that have a mean tidal range greater than 800 mm (being the average difference between the mean high-water mark and the mean low-water mark, expressed in millimetres, over the course of a year).
- Ocean means all waters except for those waters enclosed by a straight line drawn between the low-water marks of consecutive headlands and deep ocean waters.
- Deep ocean means all waters, except for river and estuarine waters, that are more than 50 metres below the ocean surface. Survey results shown in table 8.17 indicate that the majority of effluent is discharged to either ocean or deep ocean outfalls. Only a small proportion of effluent from coastal treatment plants is discharged to a river environment (9 per cent). However, when the non-coastal population is taken into consideration, this proportion becomes 29 per cent, with the additional assumption that all wastewater generated from the non-coastal population is also discharged to river. The residual population also includes the population that is unsewered; estimated at approximately 5 per cent of the Australian population. As the type of discharge environment is critical to emissions of N₂O from discharge, this information is also included in table 8.17 and shows a large proportion of nitrogen discharged goes to deep ocean outfalls, typically more than 2 kilometres from the coastline at a depth of 50 metres or more.

Table 8.17: Effluent discharged from wastewater treatment plants by type of aquatic environment for 2008 and 2009

Type of aquatic environment	Population serviced		Annual volume of effluent discharged (kilolitres)		Annual quantity of nitrogen entering the plant (t)		Annual quantity of nitrogen in effluent discharged (t)	
River	2,564,463	12%	117,734,320	9%	11,545	10%	1,334	5%
Estuary	2,920,629	13%	187,480,682	14%	16,862	15%	1,775	6%
Ocean	4,405,912	20%	385,746,932	29%	23,055	20%	6,376	22%
Deep Ocean	3,015,430	14%	360,797,519	27%	17,601	15%	16,562	57%
Residual - Coastal Area	3,178,366 ^(a)	14%	N/A	N/A	18,307 ^(b)	16%	N/A	N/A
Residual - Inland Area	5,880,487 ^(a)	27%	269,972,736	20%	28,384 ^(b)	25%	3,162 ^(c)	11%
Total	21,965,287		1,321,732,189 ^(d)		115,756		29,210 ^(d)	

(a) Estimated using data from Australian Bureau of Statistics 2008b.

(b) Estimated using the IPCC default method and protein intake of 0.036 tonnes per year and IPCC default, 0.16 tonnes of nitrogen per tonne of protein

(c) Data value estimated from extrapolation of survey data for river discharge

(d) Total effluent and nitrogen discharged does not include the nitrogen discharged for the residual coastal population.

Sludge treatment and disposal practices were also examined in the survey. Results show that approximately 87 per cent of the nitrogen in sludge transferred out of treatment plants was reported as being used in a land application and 13 per cent was reported as being sent to landfills. The sludge generated by the residual population not covered by the survey has been estimated by extrapolating the data from the survey using a per-capita sludge generation value. Emissions from sludge sent to landfills are included in the solid waste sector while emissions from biosolids (treated sludge) used in a land application are included in wastewater treatment.

Table 8.18: Survey data for sludge reuse and disposal in 2008 and 2009

	Nitrogen (t)	% Contribution
Sludge to Landfill	1,435	13%
Sludge Reused in Land Application	5,494	49%
Residual Population – Sludge	4,336 ^(a)	38%
Total	11,264	

(a) Data value estimated from extrapolation of survey data for sludge

Sectoral snapshot: Sydney Water's effluent discharge Sydney Water Corporation is Australia's largest wastewater utility, with 30 facilities servicing approximately 20 per cent of Australia's population mainly living in the cities of Sydney and Wollongong. In addition to providing annual reports on each facility to the New South Wales state government, Sydney Water also publish information about their operations on their website at www.sydneywater.com.au. A map of Sydney Water's operations is shown in Figure 8.8 and information made available on their website has been summarised in Table 8.19 below. The data in Table 8.19 shows that 17 of Sydney Water's facilities discharge into a river, however, most of the effluent discharged by volume, approximately 87 per cent, enters ocean and deep ocean waters.

Figure 8.8 Sydney Water Wastewater Systems

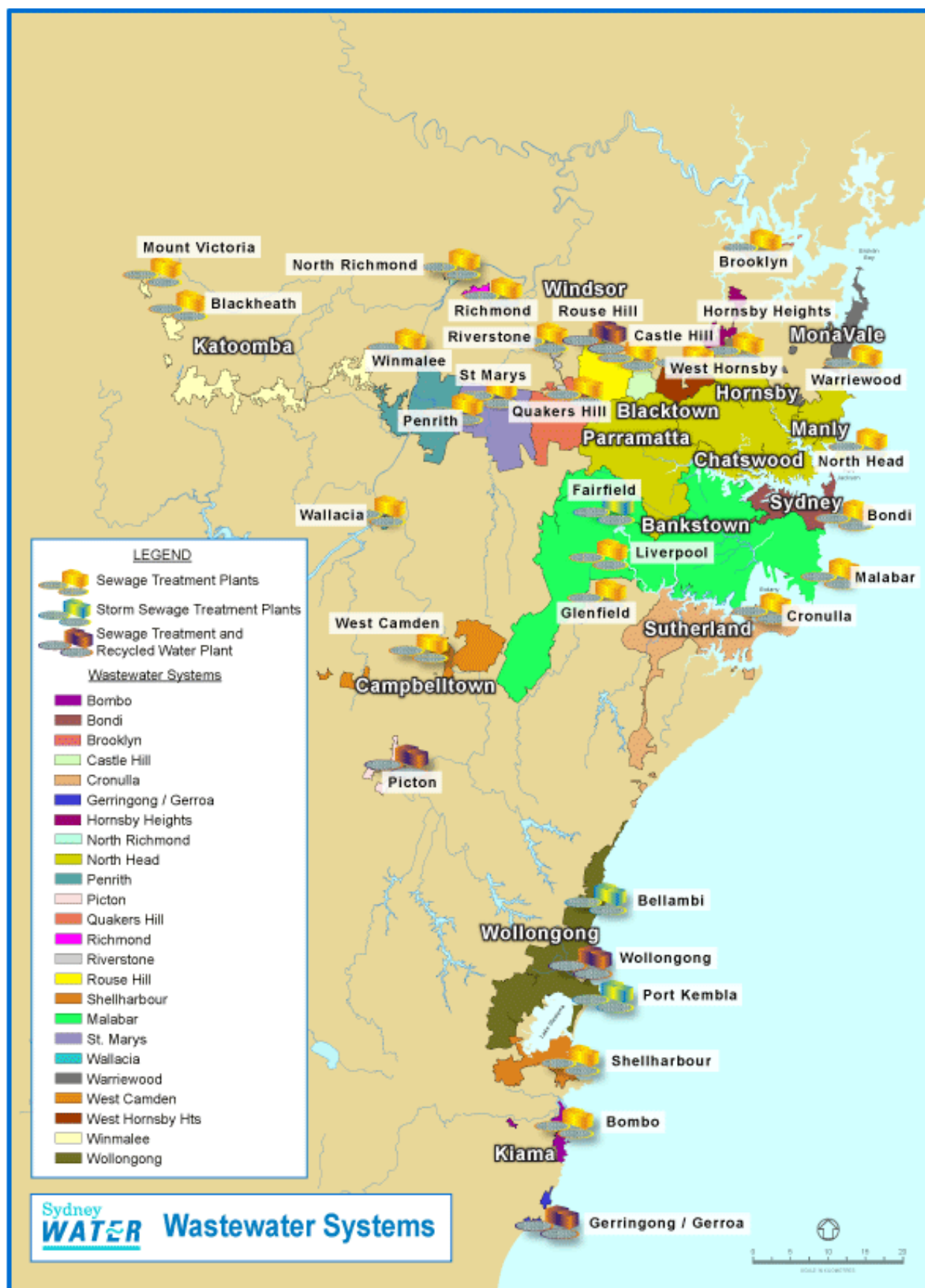


Table 8.19: Sydney Water Corporation Wastewater Treatment Plants 2008

	Discharge Type	Discharge Point	Level of Treatment	Total volume of treated wastewater discharged to the waterway (million litres)	Estimated population Served	Total discharge load to waterway (kg)	
						BOD	Total nitrogen
Inland sewage treatment plants							
St Marys	River	South Creek (a tributary of South Creek)	Tertiary treatment	14,829	139,700	57,925	63,824
Quakers Hill	River	South Creek (Breakfast Creek, a tributary of Eastern Creek)	Tertiary treatment	13,816	144,400	36,693	64,606
Riverstone	River	South Creek (Eastern Creek, a tributary of South Creek)	Tertiary treatment	743	8400	1,532	5,796
Brooklyn	River	Hawkesbury River at Kangaroo Point	Tertiary treatment	14	500	36	127
West Hornsby	River	Waitara Creek, a tributary of Berowra Creek	Tertiary treatment	5,210	53,500	9,876	21,645
West Camden	River	Matahill Creek, a tributary of the Nepean River	Tertiary treatment	3,913	49,700	13,156	49,545
North Richmond	River	Redbank Creek, a tributary of the Hawkesbury River	Tertiary treatment	341	3,760	886	2,005
Richmond	River	Discharging mainly to irrigation schemes for a local university campus and golf course. Excess flows are discharged to an inland waterway (Rickabys Creek).	Tertiary treatment	391	7,800	675	1,671
Winmalee	River	Unnamed tributary of the Nepean River	Tertiary treatment	6,792	56,300	22,005	66,220
Hornsby Heights	River	Calna Creek, a tributary of Berowra Creek	Tertiary treatment	2,496	28,300	6,058	7,826
Rouse Hill	River	Second Ponds Creek, a tributary of Cattai Creek (partial discharge only)	Tertiary treatment plant and recycled water plant	4,355	63,100	6,168	31,662
Castle Hill	River	Cattai Creek	Tertiary treatment	3,134	24,900	13,157	46,805
Penrith	River	Boundary Creek, a tributary of the Nepean River	Tertiary treatment	9,541	96,800	18,776	39,799
Wallacia	River	Warragamba River	Tertiary treatment	242	2,670	721	1,351

	Discharge Type	Discharge Point	Level of Treatment	Total volume of treated wastewater discharged to the waterway (million litres)	Estimated population Served	Total discharge load to waterway (kg)	
						BOD	Total nitrogen
Picton	River	discharging mainly to an irrigation scheme for a local agricultural farm. There are occasional wet weather discharges to an inland waterway (Stonequarry Creek)	Tertiary treatment	76	10,200	–	174
Blackheath	River	Hat Hill Creek, a tributary of the Grose River	Tertiary treatment	424		1,676	10,983
Mount Victoria	River	Fairy Dell Creek, a tributary of the Cox's River	Tertiary treatment	72		843	885
Gerringong Gerroa	Recycled or to wetland	Treated wastewater is mainly discharged to an irrigation scheme for a local dairy farm.	Tertiary treatment		11,000	326	201
Coastal sewage treatment plants							
Wollongong (incl Bellambi and Port Kembla STPs)	Ocean	Reuse at Bluescope steelworks with remainder discharging to the ocean via an extended outfall one kilometre from the shoreline	Tertiary treatment	21,238	199,000	142,551	377,149
Shellharbour	Ocean	Ocean via a nearshore outfall (at Barrack Point).	Secondary treatment	6,681	60,000	29,557	121,904
Bombo	Ocean	Ocean via a shoreline outfall at the headland north of Bombo Beach	Secondary treatment	1,372	13,300	7,212	11,683
North Head	Deep Ocean	Ocean Outfall – The outfall discharges 3.7 km from the shoreline at 65 m maximum water depth	Primary treatment	138,623	1,240,000	34,096,767	6,816,185
Malabar (incl Liverpool, Glenfield and Fairfield STPs)	Deep Ocean	Ocean Outfall – outfall discharges 3.6 km from the shoreline at 82 m maximum water depth	Primary treatment	185,415	1,690,000	38,204,663	7,669,426
Bondi	Deep Ocean	Ocean outfall 2.2 km from the shoreline at 63 m maximum water depth	Primary treatment	45,256	480,000	9,441,442	2,218,050

	Discharge Type	Discharge Point	Level of Treatment	Total volume of treated wastewater discharged to the waterway (million litres)	Estimated population Served	Total discharge load to waterway (kg)	
						BOD	Total nitrogen
Cronulla	Ocean	Ocean via a shoreline outfall at Potter Point	Tertiary treatment	26,930	200,000	84,719	551,882
Warriewood	Ocean	Ocean via a shoreline outfall at Turimetta Head	Secondary treatment	6,878	59,000	71,445	216,595
TOTAL (for all plants)				498,782	4,647,335	82,268,865	18,397,999

8.2.2.2 Domestic and Commercial Wastewater (6.B.2) Methodology

8.2.2.2.1 Methane Emissions from Wastewater Treatment at Municipal Wastewater Treatment Plants (MWTPs)

Methane emissions from the treatment of wastewater at municipal wastewater treatment plants are estimated according to the default method set out in The IPCC Good Practice Guidance which relates emissions to the total quantity of organic waste treated at the MWTP. The emission factors applied to this quantity of organic waste are derived from a consideration of the type of treatment process used at the MWTP and the degree to which the organic waste is treated anaerobically.

Activity data: Organic waste in wastewater

Quantities of organic waste in wastewater treated at individual MWTPs have been obtained under NGERs for the first time in this inventory. Around 60 per cent of facilities reporting under NGERs (numbering 79 in total and servicing around 60 per cent of Australia's population) measured the quantity of COD entering their facility directly. The weighted average per-capita COD entering these facilities is 0.0425 tonnes of COD per person per year.

For the remainder of the category's facilities, a country-specific value of 0.0585 tonnes of COD per person per year (NGGIC 1995) was used for the amount of organic waste in wastewater received at their sites.

Utilities reporting under NGERs are also required to report the quantities of COD leaving their facility in effluent and treated in the form of sludge. Sludge refers to the solids generated in the wastewater treatment process. All wastewater treatment plants produce sludge requiring disposal. Sludge generated in Australia is often treated in sludge lagoons, sludge drying beds or anaerobic digesters. Treatment of this sludge can produce methane if it is allowed to decompose anaerobically. The amount of methane generated is variable depending on the type of treatment applied to the sludge. Biosolids are the product of sludge treatment suitable for use in land applications. Emissions from application of biosolids to land are included in the agriculture sector. Sludge and biosolids may also be sent to landfill. Emissions arising from the decomposition of sludge disposed to landfill are included in the solid waste sector.

As with the COD entering the facilities, NGERs facility-specific data on COD sludge leaving the facility has been used where this variable has been measured directly. Where this data was unavailable, a country-specific fraction of COD removed and treated as sludge of 0.54 has been applied (NGGIC 1995).

Methodology

Emissions generated from the treatment of COD in wastewater are estimated according to the following equation:

$$CH_{4(t)} = (COD_{in} - COD_{sl} - COD_{out}) * EF_t$$

Where $CH_{4(t)}$ is the estimated CH_4 emissions from the treatment of sewage at wastewater plants
 COD_{in} is the amount of COD input entering into wastewater treatment plants
 COD_{sl} is the amount of COD treated separately as sludge
 COD_{out} is the amount of COD effluent discharged from wastewater treatment plants into aquatic environments
 EF_t is the emission factor for wastewater treated by wastewater plants

Emissions generated from the treatment of sludge are estimated according to the following equation:

$$CH_{4(t)} = (COD_{sl} - COD_{trl} - COD_{tro}) * EF_{sl}$$

Where $CH_{4(t)}$ is the estimated CH_4 emissions from the treatment of sewage at wastewater plants
 COD_{sl} is the amount of COD treated separately as sludge
 COD_{trl} is the amount of COD as sludge removed and sent to landfill
 COD_{tro} is the amount of COD as sludge removed and to a site other than landfill
 EF_{sl} is the emission factor for sludge treated by wastewater plants

Under NGERS reporting provisions, wastewater facilities must characterise the type of treatment process used in terms of the fraction of COD (as both sludge and wastewater) treated anaerobically. This parameter is defined as the methane conversion factor (MCF). The 2006 IPCC default MCF values and the definition of the corresponding treatment processes associated with these defaults in Australia are shown in Table 8.20. Facilities reporting under NGERS select the most appropriate MCF value for their operational circumstances.

Table 8.20: MCF values listed by wastewater treatment process

Classes of wastewater treatment in 2006 IPCC Guidelines	MCF Values	Applicable Wastewater Treatment Processes
Managed Aerobic Treatment	0.0	<ul style="list-style-type: none"> Preliminary treatment (i.e. screens and grit removal) (1) Primary sedimentation tanks (PST) (1) Activated sludge processes, inc. anaerobic fermentation zones (2) and anoxic zones (3) for biological nutrient removal (BNR) Secondary sedimentation tanks or clarifiers Intermittently decanted extended aeration (IDEA), intermittently decanted aerated lagoons (IDAL) and sequencing batch reactors (SBR) Oxidation ditches and carrousels Membrane bioreactors (MBR) Mechanically aerated lagoons Trickling filters Dissolved air flotation Aerobic digesters Tertiary filtration Disinfection processes (e.g. chlorination inc. contact tanks, ultraviolet, ozonation) Mechanical dewatering (e.g. centrifuges, belt filter presses)
Unmanaged Aerobic Treatment	0.3	<ul style="list-style-type: none"> Gravity thickeners Imhoff tanks
Anaerobic Digester / Reactor	0.8	<ul style="list-style-type: none"> Anaerobic digesters High-rate anaerobic reactors (e.g. UASB)
Anaerobic Shallow Lagoon (< 2 m deep)	0.2	<ul style="list-style-type: none"> Facultative lagoons Maturation / polishing lagoons Sludge drying pans
Anaerobic Deep Lagoon (> 2 m deep)	0.8	<ul style="list-style-type: none"> Sludge lagoons Covered anaerobic lagoons

Source: Water Services Association of Australia 2011

Emission factors for each facility for wastewater and sludge are derived using equation 6.2 in the IPCC Good Practice Guidance. The IPCC default maximum methane producing capacity (B_0) of 0.25 kg CH₄/kg COD is used for all facilities.

Methane Capture

Methane recovered for combustion for energy or flared is deducted from the estimated methane generated and is based on directly measured quantities of methane captured for combustion and flaring reported under NGERs. For 1990-2008, the 2009 proportions of methane capture by utility have been held constant and applied to each individual utility reporting methane capture. The back-casting of methane capture is based upon the assumption that the larger-scale facilities undertaking methane capture covered by NGERs utilise well established infrastructure and treatment processes that have not undergone significant changes since 1990.

No data is available on the precise split of methane recovery between wastewater and sludge treatment. For the purposes of reporting in table 6.B.s1 of the CRF table, methane recovery is allocated between wastewater and sludge such that net emissions from wastewater are not negative.

Choice of emission factor

There is a proportion of the wastewater treatment sector where no facility-specific data is available under NGERs. The choice of parameters applicable to the residual portion of the sector was made in accordance with the decision tree described in section 1.4.1.

As treatment processes employed at individual facilities are highly technology specific, it was not considered reasonable to extrapolate the factors obtained from NGERS data to the facilities in the residual portion of the sector. Consequently, the per-capita COD and region-specific MCF values from NGGIC 1995 were used for 2009 for the residual of the category where no facility-specific data under NGERS was available.

Time-series consistency

The use of NGERS data for the first time in this submission has required careful consideration of time-series consistency issues. Facility-level activity data and emission factors are available for 2009 only. In order to preserve time-series consistency, facility-level activity data obtained under NGERS has been back-cast as a fixed proportion of total population serviced in each state. Constant facility level MCF values and the proportion of methane generated that was captured in 2009 have been used with the back-cast activity data. This approach to maintaining time series consistency was based on the consideration that the larger-scale facilities covered by NGERS utilise well established infrastructure and treatment processes that have not undergone significant changes since 1990.

The residual portion of the sector, for which no NGERS facility-specific data is available, has been handled as described above for the entire time-series.

8.2.2.2.2 Methane Emissions from On-Site Domestic and Commercial Wastewater Treatment

The IPCC good practice default method for estimating methane emissions is used to estimate emissions from on-site domestic and commercial wastewater treatment. The total unsewered population on a State by State basis is calculated according to the Australian Bureau of Statistics (2009e) and WSAA data (WSAA 2005). It is assumed that each person in unsewered areas in Australia produces 0.0585 tonnes of COD per person per year (NGGIC 1995). The amount of COD that settles out as solids and undergoes anaerobic decomposition (MCF) is assumed to be 15%, which is the IPCC default fraction for total urban wastewater (IPCC Vol. 3 1997). The IPCC good practice default emission factor of 0.25 kg CH₄/kg COD is used.

Sludge is also generated by on-site domestic and commercial wastewater treatment. Septic tank systems must be emptied occasionally of the sludge that accumulates inside the system. This sludge is typically transferred to a municipal wastewater treatment facility for further treatment.

8.2.2.2.3 Nitrous Oxide Emissions from Domestic and Commercial Wastewater Treatment

The methodology used to estimate N₂O emissions from domestic and commercial wastewater treatment utilises a detailed IPCC good practice methodology and comprises estimates for emissions from sewage treatment at a wastewater plant; emissions from discharge of effluent into aquatic environments; and emissions from disposal of treated sludge to land.

$$\text{Total N}_2\text{O-N} = \text{N}_2\text{O}_{(t)}\text{-N} + \text{N}_2\text{O}_{(d)}\text{-N} + \text{N}_2\text{O}_{(l)}\text{-N}$$

Where

- N₂O-N is the estimated N₂O emissions from domestic and commercial wastewater treatment
- N₂O_(t)-N is the estimated N₂O emissions from sewage treatment at a wastewater plant
- N₂O_(d)-N is the estimated N₂O emissions from discharge of effluent
- N₂O_(l)-N is the estimated N₂O emissions from application of treated sludge to land

N₂O emissions from sewage treatment at wastewater treatment plants

The emissions of N₂O from sewage treatment at wastewater treatment plants are estimated using the following equation:

$$N_2O_{(t)}-N = (N_{in} - N_{out} - N_{trf} - N_{tro}) * EF_6$$

Where $N_2O_{(t)}-N$ is the estimated emissions from the treatment of sewage at wastewater plants
 N_{in} is the amount of nitrogen input entering into wastewater treatment plants
 N_{out} is the amount of nitrogen effluent discharged from wastewater treatment plants into aquatic environments
 N_{trf} is the amount of nitrogen removed from wastewater treatment plants as sludge and disposed to landfill
 N_{tro} is the amount of nitrogen removed from wastewater treatment plants as sludge and disposed at a site other than landfill (reused in land applications) and
 EF_6 is the emission factor for sewage treated by wastewater plants

The total nitrogen input entering wastewater treatment plants for Australia in 2009 is obtained from facility specific measurements under NGERs and, in addition, DCC 2009b yielded nitrogen treatment and discharge data for a group of utilities not captured under NGERs. In total, facility level data obtained under NGERs and DCC 2009b covered 108 facilities.

Estimates of the remainder of the nitrogen entering the national system is based on the residual population not covered by the facilities reporting under NGERs or DCC 2009b and the average nitrogen input received by the wastewater plants per person serviced by the plants derived from NGERs and DCC 2009b facility data. Together with the IPCC good practice assumption for the fraction of nitrogen in protein, 0.16kg N/kg protein, the facility level data translates into a per capita protein consumption level of 35.9kg per person per year in 2009.

Estimates of nitrogen leaving the system as effluent or as sludge disposed to landfill or to a land application, N_{out} , N_{trf} and N_{tro} have also been obtained by facility under NGERs and DCC 2009b.

The emission factor for the estimation of N₂O emissions from wastewater treatment, EF_6 , is the IPCC good practice default, 0.01 kg N₂O-N/kg N.

N₂O emissions from discharge of effluent

The effluent discharged into an aquatic environment may enter directly into a river, estuary, ocean surface waters or deep ocean environment depending on the location of the wastewater outfall of each treatment plant. As extensive facility-level information has been collected from verifiable sources on the quantities of nitrogen discharged by location of outfall, Australia is able to use a more detailed country-specific method rather than the IPCC tier 1 method while using IPCC (1997) default factors available for each aquatic receiving environment.

The emissions of N₂O from the discharge of effluent are estimated using the following equation:

$$N_2O_{(d)}-N = N_{out_r} * (EF_{5-r} + EF_{5-e}) + N_{out_e} * (EF_{5-e})$$

Where $N_2O_{(d)}-N$ is the emissions from discharge of effluent
 N_{out_r} is the amount of nitrogen discharged into rivers which then flows into an estuary
 N_{out_e} is the amount of nitrogen discharged into estuaries
 EF_{5-r} is the emission factor for rivers
 EF_{5-e} is the emission factor for estuaries

The amount of nitrogen discharged by aquatic environment for 2009 is obtained by facility under NGERs and DCC 2009b.

The IPCC good practice default initial emission factors are 0.0075 kg N₂O-N/kg N for wastewater discharged into rivers (EF_{5-r}) and 0.0025 kg N₂O-N/kg N for wastewater discharged into estuaries (EF_{5-e}) (IPCC good practice 4.73). For wastewater discharged into rivers, the final emission factor is cumulative, (EF_{5-r} + EF_{5-e}), as it is assumed that the wastewater passes from the river system and through the estuaries and then into the sea. For wastewater discharged directly into an estuary, only (EF_{5-e}) is applied.

While the IPCC Guidelines state that nitrous oxide emissions resulting from sewage nitrogen are estimated from ‘input of sewage nitrogen to rivers and estuaries’ (IPCC 1997 page 4.109) it also states that no methodology is provided for ‘N₂O from nitrogen exported to the continental shelf region’ (IPCC 1997 page 4.108). Consequently, it is considered that there is no IPCC default method available for the estimation of emissions from effluent discharged directly to ocean waters. Nor is there any empirical literature available on emissions from disposal to ocean waters in Australia – such a study would be prohibitively expensive at this time. The results of the limited number of studies conducted that relate to ocean bodies outside of Australia are not considered appropriate to Australian marine conditions. They are, nonetheless, reviewed in the QA-QC section of this chapter.

Ocean waters are defined to include only those bodies of water that are beyond the straight line drawn between the low-water marks of consecutive headlands so that waters within headlands, such as bays and basins, are included as part of the estuarine waters. Consequently, the delineation of ocean waters is considered conservative.

Table 8.21: IPCC emission factors for disposal of effluent by type of aquatic environment

Type of Aquatic Environment	Emission factor for initial disposal
River (EF _{5-r}).	0.0075 kg N ₂ O-N/kg N
Estuary (EF _{5-e}).	0.0025 kg N ₂ O-N/kg N

Source: IPCC (1997) page 4.110.

N₂O emissions from the application of treated sludge to land

The emissions of N₂O from the application of treated sludge to land is estimated using the following equation:

$$N_2O_{(l)}-N = N_{tro} * EF_7$$

Where N₂O_(l)-N is the emissions from treated sludge applied to the land
 N_{tro} is the amount of nitrogen removed as treated sludge and applied to the land
 EF₇ is the emission factor for treated sludge applied to land

The amount of nitrogen applied to land is obtained by facility under NGERs and DCCEE 2009b. The emission factor for the application of treated sewage to land is 0.009 kg N₂O-N/kg N applied (see Table 6.23 of Volume 1) and is consistent with the N₂O emission factors for manure applied to crops and pastures (Bouwman et al. 2002).

Non-Methane Volatile Organic Compounds (NMVOC)

There has been little research into the release of NMVOC from wastewater treatment plants. BOD values obtained and used for calculations of methane emissions are used for the calculation of NMVOC from domestic and commercial wastewater and for industrial wastewater. A default value of 0.3 kg NMVOC/tonne BOD for municipal wastewater treatment plants is used.

8.2.2.3 Industrial Wastewater (6.B.1) Methodology

Technologies for dealing with industrial wastewater in Australia are varied. Some industrial wastewater is treated entirely on-site, while a large amount is treated entirely off-site at municipal wastewater treatment plants. Increasingly industrial wastewater is partially treated on-site before being recycled or discharged to the sewer and treated at municipal wastewater treatment plants. This is due to trade waste discharge licence compliance requirements for a certain quality of wastewater to be achieved prior to sewer discharge.

Most of the industrially produced COD in wastewater comes from the manufacturing industry. According to the IPCC, sectors like food and beverage manufacturing produce significant amounts of COD, some of which is anaerobically treated. Some concentrated industrial wastewater is removed from factories in tankers operated by specialised waste disposal services. This wastewater is usually transported to a special treatment facility.

The methodology to determine the amount of CH₄ generated from industrial wastewater is given in IPCC 2000 and focuses on 9 industrial sectors which are considered to generate the most significant quantities of wastewater:

- Dairy production
- Pulp and paper production
- Meat and poultry processing
- Organic chemicals production
- Sugar production
- Beer production
- Wine production
- Fruit processing
- Vegetable processing.

Organic waste in wastewater

Quantities of organic waste in wastewater treated at industrial facilities have been obtained under NGRS for the first time in this inventory. Where available, the quantity of COD treated at each facility has been taken from direct measurements reported under NGRS. Where facility-specific data under NGRS are unavailable, estimates are based on country-specific wastewater and COD generation rates shown in Table 8.22.

NGRS data were only used where industry coverage was considered sufficient to provide a complete picture of wastewater treatment practices in a given industry. Coverage was considered sufficient for the pulp and paper, beer and sugar industries.

Table 8.22: Country-specific COD generation rates for industrial wastewater, 2009

Commodity	Wastewater generation rate (m ³ wastewater/ t commodity produced)	COD generation rate (kg COD/m ³ wastewater generated)
Dairy	5.7	0.9
Pulp and Paper	26.7 ^(b)	0.4
Meat and Poultry	13.7	6.1
Organic Chemicals	67.0 ^(a)	3.0
Sugar	0.4	3.8
Beer ^(c)	C	C
Wine	23.0 ^(a)	1.5
Fruit	20.0	0.2
Vegetables	20.0	1.2

Source: O'Brien 2006a unless otherwise stated. (a) NGGIC 1995, (b) Australian Plantation Products and Paper Industry Council 2006, (c) facility-level parameters obtained for beer production under NGRS are confidential.

Choice of methane correction factor

Emission factors for each facility for wastewater and sludge are derived using equation 6.2 in the IPCC Good Practice Guidance. The IPCC default maximum methane producing capacity (B_0) of 0.25 kg CH₄/kg COD is used for all facilities.

Under NGERS reporting provisions, industrial wastewater facilities must characterise the type of treatment process used in terms of the fraction of COD (as both sludge and wastewater) treated anaerobically. This parameter is defined as the methane conversion factor (MCF). As with COD, data on facility-specific MCF values at industrial wastewater facilities are available for the sugar, pulp and paper and beer industries. Country-specific values outlined in table 8.23 have been used for other industries based on data in O'Brien 2006a or NGGIC 1995.

Table 8.23: Methane Conversion factors for industrial wastewater emissions, 2009

Commodity	MCF
Dairy	0.4
Pulp and Paper	0
Meat and Poultry	0.4
Organic Chemicals	0.1 ^(a)
Sugar	0.3
Beer ^(b)	C
Wine	0
Fruit	1
Vegetables	1

Source: O'Brien 2006a unless otherwise stated. (a) NGGIC 1995, (b) facility-level parameters obtained for beer production under NGERS are confidential.

Methane Emissions from Disposal of Sludge Generated by Industrial Wastewater Treatment

A proportion of the COD generated in the industrial wastewater is ultimately treated as sludge. Quantities of COD treated as sludge have been obtained for the paper, sugar and beer industries from NGERS. For the remaining industries, a constant fraction of COD of 0.15 is assumed to be treated separately as sludge (NGGIC 1995).

Methane Capture

Estimates of the quantities of methane captured have been obtained from NGERS for pulp and paper, beer and sugar facilities for 2009 and derived from facility-level data in O'Brien 2006a and NGGIC 1995 for the years 1990-2008. For the industries for which NGERS data has not been used, the sources are O'Brien 2006a and NGGIC 1995.

As with domestic and commercial wastewater treatment, no data is available on the precise split of methane recovery between wastewater and sludge treatment. For the purposes of reporting in table 6.B.s1 of the CRF table, methane recovery is allocated between wastewater and sludge on the same proportions as domestic and commercial wastewater treatment.

Table 8.24: Methane recovered as a percentage of industrial wastewater treatment 2009

Commodity	Fraction of methane recovered/flared (%)
Dairy ^(b)	6%
Pulp and Paper ^(c)	64%
Meat and Poultry ^(b)	6%
Organic Chemicals ^(b)	6%
Sugar ^(c)	0%
Beer ^(c)	57%
Wine ^(b)	0%
Fruit ^(b)	100%
Vegetables ^(b)	100%

Source: (a) O'Brien 2006a, (b) NGGIC 1995 (c) NGERS 2009

Time-series consistency

Time-series consistency has been maintained through the interpolation of MCF values and proportions of methane captured for pulp and paper and sugar for 1990-2008. For the beer industry, facility-specific MCF values and quantities of methane captured were available for the years 2003 to 2005. For 1990-2002, the 2003 MCF and proportion of methane generated that was captured values have been used. For the years 2006 – 2008, the 2009 NGERS MCF and proportion of methane captured has been utilised. This introduces a step change in the methane capture estimates for beer in 2006 where the amount of methane captured doubles, reflecting a doubling in treatment plant capacity in the beer industry during 2006.

For the industries where NGERS data have not been used, time-series consistency is ensured through the use of a consistent methodology and associated parameters.

Nitrous oxide emissions from industrial wastewater

Nitrogen generated and discharged to the sewer system is ultimately treated at centralised municipal wastewater treatment plants. As N₂O emissions estimates at these plants are estimated based on the measurement of nitrogen entering the plant, this value is also inclusive of any nitrogen originating from industrial sources. Therefore emissions of N₂O from Industrial Wastewater are included in the estimate of N₂O emissions from Domestic and Commercial Wastewater.

8.2.3 Incineration (6.C)

Emissions are estimated from the incineration of solvents and municipal and clinical waste. Incineration of a quantity of solvent generated through various metal product coating and finishing processes. In this instance, incineration is used as a method to minimize emissions of solvents and VOCs to the atmosphere and leads to emissions of CO₂. Data prior to 2004 is based on company data after which emissions from this source have been based on data estimated by the DCCEE.

Carbon dioxide emissions from incineration of solvents are estimated by converting the volume of solvent incinerated (Litres) to the weight of solvent (using specific volume factor of 1229 L/t), deriving the energy content of the mass of solvent (using the energy content of 44 GJ/t), and using a carbon dioxide emission factor per petajoule of solvent (69.6 Gg/PJ).

Between 1990 and 1996, there were 3 incinerators receiving municipal solid waste. These were located in New South Wales and Queensland. All 3 incinerators ceased operations in the mid-1990's.

In addition to the incineration of municipal solid waste, a quantity of clinical waste is incinerated in four major facilities located in Queensland, New South Wales, South Australia and Western Australia. Data on the quantities of municipal solid waste incinerated are based upon published processing capacities of the 3 incineration plants prior to decommissioning. Data on the quantities of clinical waste incinerated have been obtained from a per-capita waste generation rate derived from data reported under NGERS and in O'Brien 2006b and an estimate of State population reported by the Australian Bureau of Statistics.

The quantity of CO₂ emitted as a result of the incineration of municipal and clinical waste is based upon the quantity of waste incinerated, the carbon content of the waste and the proportion of that carbon which is of fossil origin and the efficiency of the combustion process (oxidation factor). The country-specific fossil carbon content of municipal waste of 7% is based upon empirical data presented in NGGIC 1995 for incineration activities occurring in 1990. Of this 7% of fossil carbon in municipal waste, it is estimated that 80% of this carbon is combustible (NGGIC 1995). Emissions of N₂O from the incineration of municipal solid waste are also estimated based on a country-specific emission factor of 0.00015 Gg of N₂O/G of waste taken from NGGIC 1995. The carbon content factors used in the emissions estimation are shown in Table 8.25.

Table 8.25: Parameters used in estimation of waste incineration emissions

	Municipal Solid Waste ^(a)	Clinical Waste ^(b)
Proportion of waste that contains fossil carbon	0.07	
Proportion of waste that is carbon		0.6
Proportion of fossil carbon containing products that is carbon	0.80	
Fossil carbon content as a proportion of total carbon		0.4
Oxidation factor	1	0.95

Sources: (a) NGGIC 1995; (b) IPCC 2000

8.2.4 Uncertainties and Time Series Consistency

8.2.4.1 Waste sector

The uncertainty analysis in Annex 7 provides estimates of uncertainty according to IPCC source category and gas. Time series consistency is ensured by use of consistent models, model parameters and datasets for the calculations of emissions estimates. Where changes to emission factors or methodologies occur, a full time series recalculation is undertaken.

8.2.4.2 Wastewater handling

For this submission, new data has been used for the estimation of nitrogen entering the domestic and commercial wastewater system for the years 2008 and 2009, as reported in DCC 2009b. Time series consistency has been maintained for the estimates of Australia's protein per capita intake through the following assumptions. The protein per capita consumption value for the years 1990 to 1993 of 99.4g/day (36.28kg/year) is sourced from the Australian Institute of Health and Welfare (AIHW) (de Looper and Bhatia 1998). The values for 1994 to 1998 are based upon data presented in AIHW 2002. Linear interpolation was used to derive values for 1999 to 2007, which is the period for which no data are available. The following table shows the time series for values used for protein per capita consumption.

Table 8.26: Estimates of implied protein per capita: Australia, 1990-2009

Year	Protein per capita g/capita/ day
1990	99.4
1991	99.4
1992	99.4
1993	99.4
1994	97.9
1995	96.6
1996	97.4
1997	100.5
1998	101.0
1999	100.5
2000	100.0
2001	99.5
2002	99.0
2003	98.6
2004	98.1
2005	97.6
2006	97.1
2007	96.6
2008	96.1
2009	98.3

Sources: de Looper and Bhatia 1998 (1990-1993), AIHW 1999 (1994 – 1998), DCC 2009b (2008), NGERS 2009 (2010)

Note: interpolation used for years 1999 to 2007 inclusive

8.2.5 Source Specific QA/QC

8.2.5.1 Solid waste disposal on land

Emissions from solid waste disposal reflect a large amount of activity data and assumptions in relation to parameters in the IPCC first order decay model. Consequently, an intensive and systematic quality control system is required to ensure that emission estimates meet the required quality characteristics of accuracy, completeness, comparability, time series consistency and transparency.

The quality control system has established measures to test the key data inputs and emissions estimates against each of these criteria.

The solid waste sector category is covered by the general QC measures undertaken for inventory identified in Section 1.6. In particular, emissions are estimated subject to the application of carbon balance constraints that ensures completeness; that carbon is tracked from harvest to disposal and that consistency between the harvested wood product and landfill pools is maintained. Estimates of carbon stored in wood products and in landfills are provided in Annex 6.

Quality assurance in relation to key parameters and the overall method for the sector was provided through review by an international external expert not involved in the inventory process (Guendehou 2009). Independent external review provides assurance that the approach adopted by Australia is consistent with the approaches adopted by other parties.

Additionally, as part of a systematic quality control process the emission estimates obtained for the Australian inventory are compared with those reported by other parties. Methane generation at landfills in Australia was assessed against the reported estimates of methane generated at landfills across all Annex I parties.

It was concluded that the implied emission factor for Australian landfills was not significantly different to the mean implied emission factor for all Annex I parties.

Key parameters such as waste type fractions have been the subject of consultations with industry and industry experts. In particular, external experts have been utilised or review of available waste audit data, MCF, DOC_f and oxidation rates.

Analysis of available waste audit data utilised in this inventory was undertaken independently by two external expert consultancies (Hyder consulting (2008), GHD (2008)).

The methane correction factor (MCF), which is intended to represent the extent of anaerobic conditions in landfills, was reviewed for this inventory by GHD 2010. The assessment of GHD confirmed that an MCF factor of 1.0 is appropriate for Australian landfills.

Country specific values for DOC_f for individual waste types were selected after consultation with independent consultants (GHD 2010, Hyder consulting 2010, Blue Environment 2010) and reviewed by an international expert reviewer not involved in the preparation of the inventory (Guendehou 2010). Guendehou concluded that the approach adopted lead to a significant improvement in the emission estimates.

Sensitivity testing of the waste type specific factors adopted showed that the average DOC_f value for Australia between 1990 and 2009 was 0.47 compared with the IPCC default of 0.5. The sensitivity testing confirms that the Australian parameters used in this inventory are generally consistent with the IPCC default DOC_f value of 0.5.

Oxidation rates were reviewed for this inventory (GHD 2010). Following the review, it was decided to retain the IPCC default assumption of 10 per cent until further research can be undertaken.

As NGERS data were used for methane capture for the first time in this submission, it was important to ensure time-series consistency was maintained. In order to ensure this was the case, the DCCEE engaged the external consultant who was previously used to collect methane capture information from landfill gas capture companies to undertake a QC analysis of the NGERS capture data. Data were assessed for completeness and consistency with previously reported values. Capture estimates were compared with data available from the renewable energy certificate register as well as the NSW Greenhouse Gas Reduction Scheme register. The analysis confirmed that methane capture for energy generation was complete and consistent with previously reported data. For methane flaring, the analysis highlighted a completeness issue with respect to flaring occurring at local council landfills (in general, councils are not required to report under NGERS). Therefore, this portion of flaring activity data had to be estimated for 2009 based on previously reported data.

Through this QC project, the DCCEE was able to ensure continuity of expertise and knowledge used in the compilation of previous inventory submissions.

8.2.5.2 Wastewater handling

The quality of the data utilised in this report has been assessed against facility data available through the state government EPA licensing system. The Australian wastewater industry is heavily regulated by state governments, which administer relevant state legislation such as the *Environmental Protection Act 1994* in Queensland and the *Protection of the Environment Operations Act 1997* in New South Wales. Under this legislation the state governments issue environment protection licences to each premises treating wastewater. The licences require compliance with strict conditions including limits on odours, noise and organic matter and nutrients (nitrogen and phosphorus) discharged to water catchments. Annual reports must be submitted by wastewater facility operators to their state government to demonstrate their compliance and some of this information is publicly available through public registers, the National Pollutant Inventory and, in some cases, the operator's own website.

The protein per capita intake utilised in this inventory was compared with an estimate calculated using the nitrogen entering treatment plants reported by Sydney Water in the DCCEE survey and the population for Sydney Water's service area in 2007 according to the Australian Bureau of Statistics (Sydney Water services the cities of Sydney and Wollongong excluding Gosford and Wyong).

A comparison of the calculated values for protein per capita is presented in Table 8.27 below.

Table 8.27: Estimates of implied protein per capita for Sydney Water Corporation, 2008-2009

	Population	Protein per capita g/capita/day 2009
Sydney Water Estimated Population Served (Survey 2009)	4,262,840	98.3
ABS Population for Sydney and Wollongong (excluding Gosford and Wyong) in 2007	4,307,057	97.3
Inventory values used for residual population connected to the sewer	6,734,007	98.3

The estimated population serviced as reported by Sydney Water in DCC 2009b is less than the 2007 population reported by the Australian Bureau of Statistics. Sydney Water's estimate of population serviced excludes four of the smaller facilities and the unsewered population and is derived from forecast dwellings in the NSW Government's Metropolitan Development Program (MDP) for 2007/08. The protein per capita values calculated using the Sydney Water estimated population therefore provide a more appropriate estimate of the protein per capita value than those derived from the ABS population figures. Per capita protein consumption based on Sydney Water population serviced has been estimated as 98.3g/day for 2009 respectively which corresponds with the total per capita protein consumption value derived from NGERS data.

Nitrous oxide emissions are concentrated in rivers and estuaries where the processes for N_2O production can take place in both the water column and the sediments. N_2O emissions also arise from ocean waters in the continental shelf region; however, while these emissions may occur from human activity, they also occur naturally and are very difficult to isolate empirically.

A good understanding of how N_2O emissions occur in the continental shelf region and the influences of human activity on them is still being formed. Nitrous oxide formation is very dependent on regional conditions and chemistry and location of outfalls. Some studies have been undertaken which attempt to measure or characterise the N_2O in the continental shelf regions of Europe (Bange, 2006 and Barnes and Owens, 1998), Canada (Punshon and Moore, 2004) and North China (Zhang et al., 2008). A literature survey of four such studies determined an average emission rate for continental shelf/ oceanic coastal waters of 0.0018 kg N_2O -N/kg N discharged. The regions studied, however, are influenced by very different marine conditions to those in Australian waters and also do not consider the effects of treated wastewater discharges (Foley and Lant, 2007). The regional marine conditions are a major influence on the production of N_2O (Zhang et al., 2008). An appropriate method and emission factor for estimating N_2O emissions from wastewater discharged to coastal and continental shelf waters would require further research.

A reconciliation of the quantity of sludge transferred from wastewater treatment to landfills and the sludge entering the landfills has been undertaken. To estimate the sludge transferred from industrial wastewater treatment it is assumed that 40 per cent of the sludge removed from the wastewater is sent to landfill. The conversion of COD to wet sludge is calculated by assuming the volatile solids proportion of dry solids is in the range of 60 – 90 per cent and the dry content matter of wet sludge is 15 per cent. For domestic and commercial wastewater, the tonnes of nitrogen sent to landfill are converted to wet sludge using a nitrogen content range of 40,000 to 80,000 mgN per kg dry solids and a dry content matter of wet sludge of 15 per cent.

Using these assumptions an estimate of the minimum and maximum possible quantities of wet sludge sent to landfill has been calculated for 1990 to 2009. The range of estimates for each year was found to be very large. In 2009, the minimum quantity of wet sludge sent to landfill from wastewater treatment was 503 kt while the maximum quantity was estimated to be 1,009 kt. These values are significantly higher than the estimate of wet sludge disposed to landfills estimated under the solid waste sector (less than 200 kt). This comparison highlights the challenges in converting quantities of nitrogen and COD to a quantity of wet

sludge disposed to landfill. The assumptions and parameters such as nitrogen content of dry solids require further investigation to determine their suitability and exact magnitude.

The wastewater sector source categories are also covered by the general QA/QC of the greenhouse gas inventory in Section 1.6.

8.2.6 Recalculations Since the 2008 Inventory

8.2.6.1 Solid waste disposal on land

Recalculations have been performed for solid waste as a result of a revision to wood and paper disposal in the harvested wood products model for the years 2003 – 2008.

Table 8.28: Solid Waste: recalculation of CO₂-e emissions

	2010 Submission	2011 Submission	Change	Change
	Gg CO ₂ -e	Gg CO ₂ -e	Gg CO ₂ -e	%
6.A Solid Waste Disposal on Land				
1990	14,216	14,216	–	0.0%
1991	14,151	14,151	–	0.0%
1992	13,991	13,991	–	0.0%
1993	13,955	13,955	–	0.0%
1994	13,442	13,442	–	0.0%
1995	13,525	13,525	–	0.0%
1996	12,349	12,349	–	0.0%
1997	12,274	12,274	–	0.0%
1998	11,725	11,725	–	0.0%
1999	11,971	11,971	–	0.0%
2000	11,873	11,873	–	0.0%
2001	11,944	11,944	–	0.0%
2002	12,089	12,089	–	0.0%
2003	11,289	11,287	- 2	0.0%
2004	10,885	10,879	- 6	-0.1%
2005	10,650	10,641	- 9	-0.1%
2006	10,381	10,367	- 14	-0.1%
2007	10,707	10,685	- 22	-0.2%
2008	11,071	11,044	- 27	-0.2%

8.2.6.2 Wastewater handling

Recalculations have been performed for the whole time-series in wastewater handling as a result of the availability of facility-level data under NGERs. In order to ensure time-series consistency in the use of NGERs data, facility level activity data and model parameters have been back-cast to 1990 by facility in accordance with the decision tree in section 1.6.1 dealing with the use of facility-level data. In addition to recalculations as a result of the use of facility-specific data, the per-capita protein consumption values have been revised for the years 1994-2008 due to availability of updated data in AIHW 1999.

Table 8.29: 6.B Domestic and commercial wastewater: recalculation of CO₂-e emissions

	2010 Submission	2011 Submission	Change	Change
	Gg CO ₂ -e	Gg CO ₂ -e	Gg CO ₂ -e	%
6.B.2 Domestic and Commercial Wastewater				
1990	1,721	1,826	105	6.1%
1991	1,760	1,829	68	3.9%
1992	1,797	1,829	32	1.8%
1993	1,833	1,826	- 6	-0.3%
1994	1,865	1,813	- 52	-2.8%
1995	1,900	1,803	- 98	-5.1%
1996	1,941	1,807	- 134	-6.9%
1997	1,980	1,821	- 159	-8.0%
1998	2,017	1,818	- 199	-9.9%
1999	2,036	1,838	- 199	-9.8%
2000	2,053	1,867	- 186	-9.0%
2001	2,083	1,886	- 196	-9.4%
2002	2,110	1,912	- 198	-9.4%
2003	2,154	1,934	- 220	-10.2%
2004	2,178	1,956	- 222	-10.2%
2005	2,212	1,975	- 237	-10.7%
2006	2,252	2,009	- 243	-10.8%
2007	2,302	2,042	- 259	-11.3%
2008	2,373	2,092	- 281	-11.8%

Table 8.30: 6.B Industrial wastewater: recalculation of CO₂-e emissions

	2010 Submission	2011 Submission	Change	Change
	Gg CO ₂ -e	Gg CO ₂ -e	Gg CO ₂ -e	%
6.B.1 Industrial Wastewater				
1990	1,886	1889	2	0.1%
1991	1,853	1845	-8	-0.5%
1992	1,797	1782	-15	-0.8%
1993	1,728	1704	-24	-1.4%
1994	1,678	1642	-35	-2.1%
1995	1,578	1530	-48	-3.0%
1996	1,367	1401	34	2.5%
1997	1,284	1317	32	2.5%
1998	1,197	1233	36	3.0%
1999	1,141	1174	33	2.9%
2000	1,094	1125	31	2.9%
2001	1,206	1243	37	3.1%
2002	1,143	1178	36	3.1%
2003	1,033	1063	30	2.9%
2004	1,038	1081	43	4.1%
2005	912	952	41	4.5%
2006	837	932	95	11.4%
2007	948	962	15	1.5%
2008	931	947	15	1.7%

8.2.6.3 Waste incineration

Recalculations have been undertaken in incineration as a result of the use of NGERS data for clinical waste incineration. A per-capita incineration value derived for 2009 has been interpolated with the previously available per-capita value for 2005. This has resulted in a minor recalculation for the years 2006-2008.

Table 8.31: 6.C Incineration: recalculation of CO₂-e emissions

	2010 Submission	2011 Submission	Change	Change
	Gg CO ₂ -e	Gg CO ₂ -e	Gg CO ₂ -e	%
6.C Waste Incineration				
1990	85	85	-	0.0%
1991	85	85	-	0.0%
1992	85	85	-	0.0%
1993	85	85	-	0.0%
1994	86	86	-	0.0%
1995	91	91	-	0.0%
1996	66	66	-	0.0%
1997	28	28	-	0.0%
1998	28	28	-	0.0%
1999	29	29	-	0.0%
2000	28	28	-	0.0%
2001	28	28	-	0.0%
2002	28	28	-	0.0%
2003	28	28	-	0.0%
2004	28	28	-	0.0%
2005	28	28	-	0.0%
2006	29	29	0.1	0.4%
2007	29	29	0.2	0.7%
2008	29	29	0.3	1.0%

8.2.7 Source Specific Planned Improvements

8.2.7.1 Solid waste disposal on land

Australia plans to move towards the development of tier 3 methods for the estimation of emissions from solid waste disposal in future submissions. Underpinning this development will be the use of data as it becomes available under the NGER system combined with the development of new measurement systems operated by landfill operators and supplemented by ongoing research activities.

The availability of facility-level data collected under the NGER system will enable a facility-specific and spatially explicit approach to be adopted for the largest landfills which will supplement the current State-based approach. As an interim step, the largest 39 landfills, which receive an estimated 55 per cent of total waste, will be represented in the next inventory and will enable waste received to be tracked at a geospatial level. The method for collection of waste data received for the balance of landfills will not change, however, ensuring time series consistency is maintained. Methane capture estimates obtained under NGERS have been used in this submission.

Under NGERs, operators of landfills are encouraged to undertake audits of waste data received and to collect data on methane generation rates to enable the operator to determine a facility-specific 'k' value so that 'k' will reflect both localised climate and management conditions. Over time, this data will be used to ensure that the decay functions applied at individual landfills reflect both local climatic conditions and facility management practices. This data will replace the current approach where IPCC default values for particular climatic conditions are applied to whole states and territories where the conditions for a complete state or territory are based on climate data for the capital city of that state or territory. The current approach ignores differences in climate across individual states and generates anomalies for landfills close to borders and also ignores differences in management practices across the state or territory. The latter is particularly important as practices can vary considerably – for example, two in every five landfills practice leachate control which would significantly increase the value of 'k' at a landfill facility.

Initial testing of the methods at landfills has demonstrated the value of ensuring that local climate and management practices are explicitly taken into account. The method to be used to determine 'k' is provided in the *National Greenhouse and Energy Reporting (Measurement) Determination 2008*.

In the future, consideration is being given to the development of methods to be used in NGERs to enable measurements to be undertaken by landfill operators to better understand oxidation rates at Australian landfills and to obtain direct measurements of methane under continuous or periodic monitoring mechanisms. Draft methods for the measurement of oxidation rates have been prepared by GHD 2010 and consideration will be given to their elaboration in the future.

The field measurement program will be supported by additional research activities. Research is continuing into the DOC_f and decay values applicable to Australian waste types in Australia under both laboratory conditions and *in situ* across various regions of Australia. When finalised, the new empirical results will be reviewed for their appropriateness to Australian conditions and to the Australian national inventory.

Australia plans to improve the internal consistency of the estimation by refining the estimate of wet sludge transferred to landfill from wastewater treatment and then to use this data to calibrate the data used for the estimation of emissions from solid waste. The amount of wet sludge transferred to landfill from wastewater and the wet sludge entering landfills under the solid waste sector have been compared. This comparison indicates that the wet sludge entering landfill in the solid waste model is low. Clarification of the assumptions used to estimate the wet sludge from wastewater treatment is required, however, prior to recalculation of the estimates of emissions from solid waste disposal.

As part of the in-country review of Australia's 2008 national inventory, the Expert Review Team encouraged Australia to develop country-specific DOC values. This will be explored in 2011 to determine the best empirical approach to support the development of such values.

Similarly the ERT encouraged Australia to further investigate methane correction factors for the period prior to 1990. Australia plans to undertake this verification process subject to the availability of suitable historical data on waste management practice.

Finally, Australia plans to develop methods and emission factors for the estimation of emissions from the biological treatment of solid waste (for example, composting and anaerobic digestion). While this is considered an insignificant source and is not currently covered by an IPCC default method in the revised 1996 Guidelines or 2000 Good Practice Guidance, it is considered an emerging solid waste treatment pathway in Australia and one where a small amount of activity data has become available under NGERs.

Discussions with the biological treatment industry in Australia have highlighted the fact that there are a wide range of factors influencing the level of emissions from biological treatment such as waste composition and management practice. Ongoing consultation with industry experts will be necessary to yield appropriate country-specific emission factors that may be considered for use in future inventory submissions.

8.2.7.2 Wastewater handling

A limited subset of NGERS data has been used for industrial wastewater in this submission. The DCCEE plans to expand the use of NGERS facility data to additional industries for future submissions. The inventory will be built around facility-specific and spatially explicit data for the largest treatment plants. Improved data on a range of parameters will be collected under the NGER system including estimates of capture of methane, methane correction factors (the amount of effluent or sludge that undergoes anaerobic decomposition) and data on the quantity of COD in sludge. The quality of the inventory for the industrial wastewater sector will also continue to be similarly improved through the incorporation of facility information obtained under the NGER system.

The facility-specific approach will also be supported by the development of new methods and the results of international research. In particular, methods for the direct measurement of emissions at certain types of plants will be explored while Australian industry is actively participating in international research efforts designed to improve understanding of nitrous oxide emission processes.

As part of the in-country review of Australia's 2008 national inventory, the Expert Review Team recommended that Australia report N₂O emissions from the application of sludge to agricultural soils should be reported under the agriculture sector. It is anticipated that this re-allocation will be undertaken in the next inventory submission.

8.2.7.3 Waste incineration

As with wastewater handling, only a limited subset of NGERS data has been used for incineration in this submission. The DCCEE will review NGERS reports for the 2009-10 reporting period with a view to the potential inclusion of additional facility data for future inventory submissions.

9. OTHER (UNFCCC SECTOR 7)

Australia does not report any emissions under the UNFCCC category 7, 'Other'.

10. RECALCULATIONS AND IMPROVEMENTS

National greenhouse gas inventories have been produced for a comparatively short time, especially when compared with other major national statistics, such as gross domestic product. Emissions processes are pervasive and complex and, consequently, emissions estimation techniques and data sources for the Australian inventory are still evolving, particularly in some sectors. Internationally, this is also the case for the inventories of other countries. In addition, the IPCC guidelines on national inventory preparation themselves have been revised over time.

The development of improved estimation techniques is a resource intensive exercise and the IPCC encourages the allocation of development resources into priority areas. A number of recalculations have been undertaken for the 2009 inventory and these have been summarised in section 10.1-10.3 below. More generally, the development effort behind recalculations is undertaken in line with the *Inventory Improvement Plan* for the Australian inventory. This plan is aimed at reducing existing emission estimate uncertainties as much as possible, with development focused on key source categories, sources with high uncertainties and where implementation of new methods is feasible (for example, as a result of new data becoming available). The Australian improvement plan also seeks to respond to international expert reviews and revisions to international guidelines on inventory preparation. Some of the principal elements of the improvement programme are set out in section 10.4.

10.1 EXPLANATIONS AND JUSTIFICATIONS FOR RECALCULATIONS

Within the 1990–2008 time series there have been a number of sectors where recalculations have been undertaken. Details of these recalculations are given in the sectoral chapters and are summarised in Table 10.1. Principal reasons include revisions of activity data, the inclusion of additional sources of data or from refinements in the estimation methodology including in response to recommendations of previous UNFCCC expert reviews. To ensure the accuracy of the estimates, and to maintain consistency of the series through time, recalculations of past emission estimates are undertaken for all previous years.

Table 10.1: Reasons for the recalculations for the 2009 inventory (compared with the 2008 inventory)

Sector	Category	Reason for Recalculation
1.A Energy		
Stationary Combustion	1.A.1, 1.A.2	<p>Revision of ABARE national energy statistics for 2004-2008. Reallocation of diesel use in mining to the Transport sector for years 2004 to 2008. (from Non-ferrous metals, Food, beverage and tobacco and Pulp, paper and print)</p> <p>Improved understanding of fuel type use from NGERS data. NGERS data has provided actual fuel type use where previously the inventory had used aggregated fuel types as reported by ABARE in the AES, eg, the AES reports a fuel type as coal-byproducts, however NGERS has allowed this to be split into liquefied aromatic hydrocarbons and coal tar and the respective EFs to be applied. Similarly, the coal use in Coke production has been changed from applying a black coal EF of 90 Gg CO₂/PJ to a appropriate EF for coking coal of 91.8 Gg CO₂/PJ</p> <p>Change in EF for petroleum products nec – The EF for ppnec use as reported by ABARE in the AES was changed from 68.6 Gg CO₂ PJ to that of the IPCC 1997 default for refinery feedstocks and other oil (69.7 Gg CO₂/PJ) as it was considered more appropriate for the fuel types consumed.</p>
	1.A.2	<p>A revision to black coal consumed as a reductant in the production of synthetic rutile for 2007 and 2008 has been undertaken to account for the improved facility data.</p> <p>A revision to petroleum coke consumed as a reductant in the production of titanium dioxide production for 2007 and 2008 has been undertaken to account for the improved facility data.</p> <p>A revision to the quantities of natural gas consumed for non-energy purposes in the production of ammonia has been undertaken due to improved facility data.</p>
	1.A.4	Revision of ABARE national energy statistics mainly consisting of a reallocation of diesel use from Agriculture/Fisheries/Forestry to Transport.
	1.A.3	<p>From 1995-2008 the allocation of aviation turbine fuel between 1.A.3.a and 1.A.5.a has improved due to new data on fuel consumption reported by the Department of Defence.</p> <p>Revision of ABARE national energy statistics consisting of a reallocation of diesel use between the mining and transport sector resulted in a large increase in diesel fuel consumption allocated to the transport sector between 2003 and 2008. Also, for 2008 ABARE increased their estimate of ADO consumed in Railways and made some small updates to their estimates of black coal for 2007 and 2008 for Navigation.</p> <p>Emission factors, deterioration factors and the deterioration factor limit were updated from data outcomes from the NISE 2 report.</p>
Transport	1.A.5	From 1995-2008 the allocation of fuel between 1.A.3.a and 1.A.5.a has improved due to new data on fuel consumption reported by the Department of Defence
Fugitive Emissions	1.B.2	Exploration emissions have been reallocated from 1.B.2.a Oil to 1.B.2.b Natural gas due to NGERS data showing that the majority of exploration emissions were associated with natural gas exploration rather than oil exploration.

Sector	Category	Reason for Recalculation
2 Industrial Process		
	2.A	<p>Use of clinker production data from the Emissions Intensive Trade Exposed Industries Assistance Program for 2007 and 2008.</p> <p>Use of lime production data from the Emissions Intensive Trade Exposed Industries Assistance Program for 2007 and 2008 and emission factor data for 1990-2009.</p> <p>Use of carbonates consumption data from the Emissions Intensive Trade Exposed Industries Assistance Program for 2007 and 2008.</p> <p>Inclusion of emissions from additional carbonates users reporting under NGERS for 1990-2008.</p> <p>Use of soda ash use data from the Emissions Intensive Trade Exposed Industries Assistance Program for 2007 and 2008.</p> <p>Use of facility-specific coke oven coke CO₂ emission factor for 1990-2008 for soda ash production</p>
	2.B	<p>Revision to ammonia production natural gas consumption data – NGERS data has enabled a better split between the consumption of natural gas as feedstock and natural gas consumed for other purposes to be derived.</p> <p>Use of NGERS information on proportions of recovered CO₂ sold to the food and drink industry.</p> <p>Use of facility-specific nitric acid emission factors for facilities where emission factors had previously been unavailable.</p> <p>Use of titanium dioxide and synthetic rutile production data from the Emissions Intensive Trade Exposed Industries Assistance Program for 2007 and 2008.</p>
	2.C	Re-allocation of emissions from the consumption of reductants in the production of ferroalloys and other metals from stationary energy to industrial processes.
	2.D	Revision to the quantities of CO ₂ recovered from ammonia sold to the food and drink industry
	2.F	<p>Revision to motor vehicle stock and disposal estimates based on updated vehicle census data from the ABS.</p> <p>Use of NGERS data for the use of SF₆ in electricity supply and distribution for 1990-2009</p> <p>Inclusion of emissions from the use of SF₆ in other applications for the first time in the 2009 submission</p>
4 Agriculture		
	4. A-F	End of time-series recalculations due to 3 year averaging of reported emissions
	4.A,B, D	Update to preliminary milk production and other livestock numbers (2008). Update to market allocation for feedlot cattle (2007-2008)
5 LULUCF		
	5.A.1	Updated activity data for harvested native forests. Revision of fuelwood consumed for 2008 due to revised activity data. Other native forests areas revised following updated forest extent data.
	5.A.2	Grassland converted to forest land areas revised following annual update of the forest extent data.
	5.B.1	There were no methodological changes to the estimation of cropland remaining cropland
	5.B.2	Revisions due to improved management data for forest land converted to cropland and grassland. Improved attribution of forest cover change where fire had occurred. Revised areas for forest land converted to cropland and grassland due to updated forest extent data.
	5.C.1	Revised areas for grassland remaining grassland due to updated forest and shrub extent data. Updated yield data for 2009. Revision due to improved yield mapping.

Sector	Category	Reason for Recalculation
	5.C.2	Revisions due to improved management data for forest land converted to cropland and grassland. Improved attribution of forest cover change where fire had occurred. Revised areas for forest land converted to cropland and grassland due to updated forest extent data.
	5.G	HWP – Revision to activity data used to determine the disposal of HWP stocks
6 Waste		
	6.A	Revisions to the estimates of wood and paper disposal from the harvested wood products model for the years 2003-2008
	6.B	Use of facility-level activity data and model parameters derived from NGERS for 1990-2008. Revision to the per-capita protein consumption rate for the years 1994-2007
	6.C	Recalculations have been undertaken in incineration as a result of the use of NGERS data for clinical waste incineration. A per-capita incineration value derived for 2009 has been interpolated with the previously available per-capita value for 2005. This has resulted in a minor recalculation for the years 2006-2008.

^(a) Recalculation in response to UNFCCC ERT recommendations.

10.2 IMPLICATIONS FOR EMISSION LEVELS

The net impact of the recalculations on emission levels was relatively small for the sectors excluding LULUCF leading to a decrease in the estimate of total emissions excluding LULUCF of -0.06 Mt or -0.0% in 1990 and an increase in emissions of 1.30 Mt or 0.2% in 2008 compared with estimates presented in the *National Inventory Report 2008* (see Table 10.2). The changes associated with the LULUCF sector were more significant with a decrease in the estimate of total emissions of 3.04 Mt or 0.7% in 1990 and an increase in emissions of 2.27 Mt or 0.4% in 2008.

Table 10.2: Recalculations for the 2009 inventory by sector (compared with the 2008 inventory): 1990, 2005-2008

Sector	1990 Mt	2005 Mt	2006 Mt	2007 Mt	2008 Mt
1.A Fuel Combustion	-0.26	-0.24	0.40	1.31	1.02
1.A.1, 2, 4, 5 Stationary Energy	-0.29	-2.19	-1.78	-1.43	-3.00
1.A.3 Transport	0.03	1.95	2.18	2.75	4.02
1.B Fugitives	0.00	0.00	0.00	0.00	0.00
2 Industrial Processes	0.09	0.43	-0.52	-0.29	0.05
4 Agriculture	0.00	0.00	0.00	0.03	0.52
6 Waste	0.11	-0.21	-0.16	-0.27	-0.29
Total recalculation (excluding LULUCF)	-0.06	-0.01	-0.28	0.78	1.30
5 Land use, land use change and forestry	-2.98	2.69	0.93	2.93	0.97
Total recalculation (including LULUCF)	-3.04	2.67	0.65	3.71	2.27

10.3 IMPLICATIONS FOR EMISSION TRENDS, INCLUDING TIME SERIES CONSISTENCY

The net effect of the recalculations on aggregate emission trends for the sectors excluding LULUCF is small as the recalculations have been applied throughout the time series 1990 to 2008. The full time series of estimated recalculations is set out in Table 10.3. The recalculations for LULUCF have also been applied consistently throughout the time series although the net effect on emissions is much more variable in terms of the magnitude and direction of the changes given the nature of the data.

Table 10.3: Estimated recalculations for the 2009 inventory; 1990-2008

Year	Net Emissions Excluding LULUCF				Net Emissions Including LULUCF			
	Previous Estimate	Current Estimate	Difference		Previous Estimate	Current Estimate	Difference	
	Mt CO ₂ -e	Mt CO ₂ -e	Mt	%	Mt CO ₂ -e	Mt CO ₂ -e	Mt	%
1990	418.4	418.3	-0.1	0.0	464.5	461.5	-3.0	-0.7
1991	419.5	419.5	-0.1	0.0	588.0	585.9	-2.0	-0.3
1992	424.3	424.2	-0.2	0.0	550.9	548.8	-2.2	-0.4
1993	426.9	426.6	-0.2	-0.1	405.6	403.7	-1.9	-0.5
1994	428.6	428.3	-0.3	-0.1	409.9	408.6	-1.3	-0.3
1995	441.0	440.7	-0.3	-0.1	549.4	545.5	-4.0	-0.7
1996	447.1	446.9	-0.3	-0.1	448.4	445.2	-3.3	-0.7
1997	458.6	458.3	-0.3	-0.1	449.2	449.0	-0.2	0.0
1998	473.0	472.7	-0.3	-0.1	609.1	600.0	-9.1	-1.5
1999	483.2	483.2	0.0	0.0	493.5	493.7	0.2	0.0
2000	496.2	496.1	-0.1	0.0	493.7	482.6	-11.1	-2.2
2001	507.3	507.6	0.3	0.1	460.5	465.1	4.6	1.0
2002	508.9	509.2	0.3	0.1	847.4	842.2	-5.3	-0.6
2003	517.5	517.8	0.3	0.1	680.9	684.9	4.0	0.6
2004	526.0	525.8	-0.2	0.0	331.4	330.4	-1.0	-0.3
2005	527.7	527.7	0.0	0.0	569.9	572.6	2.7	0.5
2006	533.3	533.1	-0.3	-0.1	580.6	581.3	0.6	0.1
2007	541.3	542.1	0.8	0.1	880.9	884.6	3.7	0.4
2008	549.5	550.8	1.3	0.2	618.1	620.3	2.3	0.4

Source: Previous estimate – DCC 2010a.

10.4 RECALCULATIONS, INCLUDING IN RESPONSE TO THE REVIEW PROCESS, AND PLANNED IMPROVEMENTS TO THE INVENTORY

Priorities for the inventory development process have been set out in the *National Inventory Systems Inventory Improvement Plan* and have been informed by analysis of key sources and key trends; by analysis of the level of uncertainty surrounding existing emission estimates; and the comments received from previous international reviews of Australia's inventory.

As part of the national inventory development process all issues raised by the UNFCCC ERT review teams are assessed for their implications for the national inventory. A full set of UNFCCC ERT recommendations, and Australia's responses to these recommendations, are included in Annex 6.

More generally, planned improvements for the inventory will focus on the development of tier 3 methods which allow for spatial and facility-level differences in emissions to be incorporated into the inventory; enhancement of quality control tools in the preparation of the inventory; research into new measurement techniques and incentives for increased investment in measurement of emissions and key data at facilities around the country.

New empirical research is being undertaken for the Agriculture and LULUCF sectors, particularly in the agricultural soils, savanna burning and enteric fermentation categories, which will also be assessed for incorporation into the national inventory methods for the next submission.

10.4.1 Planned Improvements – National Inventory Systems

A second area of development for the inventory concerns the continued enhancement of the national inventory system.

10.4.1.1 Investment in Quality Control Systems

In 2011, the DCCEE will invest in a new quality control framework that will attempt to provide a systematic approach to the assessment of new information on emissions as it emerges over time.

In relation to NGERs, a systematic assessment of all new facility-specific information received will be undertaken to test the quality of existing tier 2 country-specific parameters. New information will be assessed against predetermined criteria for applicability (as explained in section 1.4.1). As a test of the quality of the existing parameters, the new information will either verify values currently used in the inventory or be used to update the parameters.

It is planned for these systems to be extended to other sectors over time.

The DCCEE will also continue to invest in the integration of new quality control tools within the AGEIS system. These tools include completion of the systematic carbon balance assessments; automated comparability tests with the inventories of other parties and development of tier 2 proxy methods where tier 3 methods have been implemented (e.g. coal mining). Similarly, the DCCEE will invest in enhanced quality control and output reporting systems for the Land Use, Land Use Change and Forestry sector.

10.4.1.2 Improvements to Data Collection – National Greenhouse and Energy Reporting System

The National Greenhouse and Energy Reporting (NGER) system commenced operation in July 2008 and marks a substantial advance in the national inventory system. The first reports were submitted by companies in October 2009 and this data is being used to progressively update the data sources used in the Energy, Industrial Process and Waste sectors. From a systems point of view, the principal benefits of the NGERs for the national inventory include:

- (a) establishment of a systematic, mandatory data collection system at facility level for all facilities that exceed a certain threshold;
- (b) streamlined data collection processes – existing multiple collection processes undertaken by various agencies of the Australian Government have been streamlined into a single collection process;
- (c) facility level data are now available to the DCCEE for the purposes of preparing the inventory by February each year – this allows a significant enhancement of the timeliness of previous collection processes;
- (d) improved data quality from reporters reflecting compliance and public disclosure provisions of the NGER Act; and
- (e) improved sectoral estimates for those sectors where existing data collection processes may have experienced limited coverage in the past – consequently, some small reallocation of emissions between sectors has been observed in this year's inventory.

For each IPCC sector, the principal benefits of NGERs will differ depending on the current data collection processes. A summary of the expected relative benefits of NGERs for various IPCC sectors is provided in the table below.

Table 10.4: Principal benefits of the NGERs data for the inventory, by IPCC sector

Category	Systematic data collection	Streamlined data collection	Improved timeliness	Improved data quality	Improved sectoral estimates
1. Energy					
1.A Fuel Combustion					
1.A.1a Electricity		Yes	Yes	Yes	
1.A.1b Petroleum refining		Yes	Yes	Yes	Yes
1.A.1c Coke production		Yes	Yes	Yes	Yes
1.A.2 Manufacturing		Yes	Yes	Yes	Yes
1.A.3 Transport					
1.A.4 Other sectors		Yes	Yes	Yes	Yes
1.A.5 Other		Yes	Yes	Yes	Yes
1.B Fugitive emissions					
1.B.1 Coal Mining	Yes		Yes	Yes	
1.B.2 Oil & Gas	Yes		Yes	Yes	
2 Industrial Processes					
2.A Mineral products		Yes	Yes	Yes	Yes
2.B Chemical products		Yes	Yes	Yes	
2.C Metal products		Yes	Yes	Yes	
2.D Other		Yes	Yes	Yes	
2.E HFC production					
2.F HFC consumption					
3 Solvents					
4 Agriculture					
5 LULUCF					
6 Waste					
6.A Solid waste		Yes	Yes	Yes	
6.B Wastewater	Yes		Yes	Yes	
6.C Waste incineration	Yes		Yes	Yes	

10.4.1.3 Investment in Improved Measurement Techniques

The quality of the inventory hinges on the quality of the measurement techniques employed by private entities to estimate important data. These techniques are mostly described in the *National Greenhouse and Energy Measurement Determination 2008*. In sectors where measured data is subject to relatively high uncertainties, or where the relationship between measurements and emission estimates is relatively uncertain, investment in research into new measurement techniques, in particular in the coal mining and waste sectors, is being undertaken as detailed in chapters 3 and 8.

Similarly, given the operation of the National Greenhouse Accounts framework, and given the integration of NGER facility and inventory estimation methods, a review of NGER measurement methods that is being conducted over the course of 2010-2011 also has the potential to enhance the quality of future national inventory estimates.

10.4.1.4 Investment in National Empirical Research

Publicly funded research into emissions is necessary where private measurement costs are relatively high or where private data are unlikely to be sufficiently representative of a broader set of emitters. The principal fields of interest include aspects of emissions from agriculture, forestry and the combustion of liquid fuels.

Investment is being undertaken in national empirical studies to underpin updated national methods or parameters within methods. These research efforts are determined by assessments of key sources (tier 2) and as responses to ERT recommendations. These major efforts focus on three areas: energy, agriculture and forestry.

Energy

In the energy sector it is planned for studies to be conducted to provide updated assessments of the quality of the carbon content of liquid fuels in Australia; to develop refinements to the road transport model and emission factors obtained from the NISE2 study; and a re-appraisal of emission factors applicable to the combustion of wood by residential heaters.

Agriculture

Inventory development will focus on the incorporation of results from recent applied research within Australia and the refinement of methods to facilitate mitigation actions within the sector.

Currently empirical research in Australia is focussed on developing a better understanding of emissions from enteric fermentation and nitrous oxide emissions from soils. The research program is funded by the Department of Agriculture, Forestry and Fisheries and results will become increasingly available from 2012.

The research program into enteric fermentation has two major areas of research: the use of and testing of measurement techniques to provide fundamental emissions data from livestock and the manipulation of animal parameters (feed quality, feed additives, genetics, and rumen microbial populations) to achieve abatement. In addition to the animal this program also considers research activities involving better management of manure and urine to reduce emissions. Some data has been collected in 2010, more will be obtained in 2011. Final conclusions and more complete assessments of management practice data is not expected until 2012.

This program builds on a large volume of data collected since 2003 using continuous chambers across a range of crops and crop practices. The program expands the work to include a greater comparison of management practices and nitrous oxide emissions and the use of products such as nitrification inhibitors to reduce overall emission during the cropping cycle. The collection of data using continuous chambers over the complete 12 month cycle has been shown to provide high quality data sets for inventory purposes. Some of this data has already been submitted for inclusion into the IPCC EFDB.

Empirical relationships underpinning estimation of emissions from savanna burning have also been the subject of research conducted by the CSIRO aimed at investigating the impacts of changes to the seasonal pattern of fire management regimes in the Top End of Australia.

National methods will be reviewed in light of the results from this research to examine the extent to which existing empirical relationships should be updated; the extent to which model structures might be refined to better allow for spatial and temporal variations in the relationships; and to modify existing relationships to allow for the impact of changes to management practices.

Land Use, Land Use Change and Forestry

A final key area of development is in the land use, land use change and forestry sector. Areas of research include soil carbon and forestry.

New empirical research funded by the Department of Agriculture, Forestry and Fisheries (DAFF) is focussed on collecting data in relation to soil carbon with particular emphasis on gaining a better understanding of the effects of various management practices on soil carbon levels. The program is

led by CSIRO and incorporates expertise from Universities and State Government departments across Australia. The project is divided into State specific activities: For example extensive grazing systems are being studied in NT and Queensland; Cropping systems are being studied in NSW and WA, and SA. Data delivery to DAFF is expected to start in early 2011 and continue through 2011 with completion of project in 2012.

Inventory improvement in response to several issues regarding the LULUCF sector as raised by the Expert Review Teams in the 2010 in-country review include developments in relation to:

- collation, collection and analysis of additional validation and verification data for forest biomass and agricultural soils;
- improvements in the reporting of areas in the land area matrices for lands subject to non-anthropogenic shifts between forest and grass cover;
- development of a time series of sparse woody vegetation cover for the Grassland category; and
- fully spatial application of the NCAS modelling system for pre-1990 plantations.

Mapping of sparse woody vegetation across Australia will be undertaken to improve the consistency over time of this series and, in combination with research into fire dynamics, will be used to improve estimates of emissions from savanna burning.

CSIRO is also conducting research into the growth dynamics of environmental plantings (for uses other than wood production) in order to review and update existing relationships. Work is expected to be completed by the end of 2012.

10.4.2 Updates to Method Selection

The selection of methods for emissions estimation for the inventory is in part undertaken to balance the costs of measurement with the expected benefits for the national inventory as a whole. For any particular sector, the lower the cost of accurate measurement, the more measurement activity might be expected to be undertaken. The expected benefits from additional measurement activity will depend on the existing uncertainties attached to existing methods and the size of the source. The estimated uncertainties and the relative size of sources are reported in Annex 7. Key sources are estimated to identify the relative size of sources (tier 1 analysis) (see Annex 1) and this analysis can be combined with uncertainty estimates to identify the relative contribution to national inventory estimate uncertainty.

Estimates of a source's uncertainty are not usually enough to identify the expected payoffs from additional measurement activity since, for example, biological sources are inherently more uncertain than uncertainties attached, for example, to fuel combustion sources. The expected benefit from additional measurement activity relate to the way that the new information can correct for a particular source of error within the category.

Under NGERS systems have been established to provide the framework to encourage the private measurement of key emissions data. Sources covered by NGERS include energy (fuel combustion), energy (fugitive emissions), industrial processes and waste. In general (but not always) the private costs of additional measurement for these sources are relatively low.

As indicated in the Quality Control Plan (see 10.4.1.3) this new information provides a source of frequent, accessible new information that can be used to test the quality of existing methods.

Private measurement of key parameters is mandatory for electricity and underground coal mine industries and for PFC emissions from the aluminium industry. For other sectors within the NGERS framework, reporters may elect to undertake additional measurement activity. Each year, as new data or information is collected under NGERS, the selection of methods will be reviewed. At this stage there is a presumption that the inventory will transition to tier 3 methods over time. However, data preconditions must be met for the disaggregated tier 3 structure to be implemented.

Table 10.5: Summary of planned uses of NGERs data for Australia's national inventory, by IPCC sector, 2010, 2011 submissions

Category		Facility – level activity data	Tier 2/3	Verification test for tier 2 parameters	Completeness/ sectoral improvement	Improved uncertainty estimates
1 Energy						
1.A.1a	Electricity (coal)	Implemented	Implemented	Yes	No	Yes
1.A.1a	Electricity (gas)	Implemented	Implemented	Yes	No	Yes
1.A.1a	Electricity (liquid)	Implemented	Potentially	Potentially	No	Yes
1.A.1b	Petroleum refining	Implemented	Potentially	Potentially	Yes	Yes
1.A.1c	Coke production	Potentially	Potentially	Potentially	No	Yes
1.A.2	Manufacturing	Potentially	Potentially	Potentially	No	Yes
1.A.3	Transport	No	No	No	No	No
1.A.4	Other sectors	No	No	Potentially	No	No
1.A.5	Other	No	No	Potentially	No	No
1.B.1	Coal Mining	Partially implemented	Partially implemented	Potentially	No	Yes
1.B.2	Oil & Gas	Partially implemented	Potentially	Potentially	No	Yes
2 Industrial processes						
2.A.1	Cement	Implemented	Potentially	Potentially	No	Yes
2.A.2	Lime	Implemented	Potentially	Potentially	No	Yes
2.A.3	Limestone and Dolomite use	Implemented	Potentially	Potentially	Yes	Yes
2.A.4	Soda ash production and use	Implemented	Implemented	NA	No	Yes
2.B.1	Ammonia	Implemented	Potentially	Potentially	No	Yes
2.B.2	Nitric acid	Implemented	Implemented	NA	No	Yes
2.B.5	Synthetic rutile and titanium dioxide	Implemented	Potentially	Potentially	No	Yes
2.C.1	Iron and steel	Potentially	Potentially	Potentially	No	Yes
2.C.2	Ferro-alloy metals	Implemented	Potentially	Potentially	No	Yes
2.C.3	Aluminium	Implemented	Potentially	Potentially	No	Yes
2.C.4	Other metals	Implemented	Potentially	Potentially	No	Yes
2.E	HFC production	No	No	No	No	No
2.F	HFC consumption	No	No	No	No	No
2.F	SF ₆ consumption	Implemented	Potentially	Potentially	Yes	No
3 Solvents		No	No	No	No	No
4 Agriculture		No	No	No	No	No
5 LULUCF		No	No	No	No	No
6 Waste						
6.A	Solid waste	No	Potentially	Potentially	No	Yes
6.B.1	Domestic and Commercial Wastewater	No	Potentially	Potentially	No	Yes
6.B.2	Industrial Wastewater	Partially implemented	Potentially	Potentially	Yes	Yes
6.C	Waste incineration	Yes	Potentially	Yes	Yes	Yes

Note: For activity data, 'implemented' means that data have been included in the national inventory calculations but unless the completeness column is also 'yes' the data do not change the total national activity data which is taken from alternative sources. This step is necessary, however, to be able to implement facility-specific emission factors at a later time. For emission factors, 'potentially' means that new NGERs data is assessed each year in accordance with prescribed pre-conditions to test whether the method selection should be raised from tier 2 to tier 3 or the mixed tier 2/3. For the verification column, 'potentially' means that new NGERs data is assessed each year in accordance with prescribed preconditions to test whether the parameters for the tier 2 component of the method are verified by the new data or whether the parameters should be revised or calibrated with the new data.

More generally, new information will be generated by publicly funded research programs or other sources that will provide opportunities to test the validity not only of existing parameters but also in relation to method selected or model structure. Decisions may be taken to consider changes to the method selected or to the model structure if new data shows that existing tier 2 model parameters require update or calibration under certain circumstances. For example, assuming that all data preconditions can be met and that a monitoring system can be implemented, restricted circumstances might mean that new parameters are appropriate under certain conditions only, or for particular regions only.

In addition, the new information might support a change to the model structure in order to permit the estimation of emissions taking into account either private or government mitigation actions – assuming data preconditions can be met and that a monitoring system can be implemented.

PART 2:
**Supplementary Information
Required Under Article 7.1 of
the Kyoto Protocol**

11. KYOTO PROTOCOL LULUCF

The supplementary information in this Chapter is provided in accordance with Decisions 15/CMP.1 (FCCC/KP/CMP/2005/8/Add.2) and 15/CP.10 (FCCC/CP/2004/10/Add.2). Australia will use annual accounting for activities under Article 3.3.

11.1 GENERAL INFORMATION

11.1.1 Definition of forest and other criteria

Australia has chosen the following definition of a forest, which matches the definition used for UNFCCC reporting (see section 7.3.2.1):

- tree height of at least 2 metres;
- tree crown cover of 20 per cent or more; and,
- a minimum area of 0.2 hectares.

Table 11.1: Selection of parameters for defining ‘Forest’ under the Kyoto Protocol

Parameter	Range	Selected value
Minimum land area	0.05 – 1 ha	0.2
Minimum crown cover	10 – 30 %	20
Minimum height	2 – 5 m	2

11.1.2 Elected activities under Article 3.4

Australia has not elected any activities under Article 3.4.

11.1.3 Description of how the definitions of each activity under Article 3.3 and 3.4 have been implemented and applied consistently over time

The area of forest that meets the forest definition, specified in section 11.1.1, is mapped using Landsat remote sensing data in a spatially and temporally consistent manner from 1972 to present. With the addition of each new Landsat coverage the entire time-series is re-analysed, ensuring that the stream of activity data is consistent both spatially and temporally. This time-series consistent wall-to-wall monitoring also ensures that there is clear separation in reporting of *afforestation*, *reforestation* and *deforestation* lands. The methods of mapping forest extent and change in extent are outlined in Chapter 7 (Appendix 7.A) of the NIR.

Table 11.2: Summary of pools and emissions reported under Article 3.3 and elected activities under Article 3.4

Activity		Change in carbon pool reported ^(a)					Greenhouse gas sources reported ^(b)						
		Above-ground biomass	Below-ground biomass	Litter	Dead wood ^(e)	Soil	Fertilization ^(c)	Drainage of soils under forest management	Disturbance associated with land-use conversion to croplands	Liming	Biomass burning ^(d)		
							N ₂ O	N ₂ O	N ₂ O	CO ₂	CO ₂	CH ₄	N ₂ O
Article 3.3 activities	Afforestation and Reforestation	R	R	R	R	R	IE			R	IE	R	R
	Deforestation	R	R	R	R	R			R	NO	IE	R,IE	R,IE
Article 3.4 activities	Forest Management	NA	NA	NA	NA	NA	NA	NA		NA	NA	NA	NA
	Cropland Management	NA	NA	NA	NA	NA			NA	NA	NA	NA	NA
	Grazing Land Management	NA	NA	NA	NA	NA				NA	NA	NA	NA
	Revegetation	NA	NA	NA	NA	NA				NA	NA	NA	NA

(a) Indicates whether carbon pool is R (reported), NR (not reported), IE (included elsewhere) or NO (not occurring), for each relevant activity under Article 3.3 or elected activity under Article 3.4. NA (not applicable) indicates activities that have not been elected under Article 3.4.

(b) Indicates whether greenhouse gas source is R (reported), NE (not estimated), IE (included elsewhere) or NO (not occurring). NA (not applicable) indicates activities that have not been elected under Article 3.4.

(c) N₂O emissions from fertilization are reported in the Agriculture sector.

(d) CO₂ emissions from biomass burning are included under changes in carbon stocks.

11.1.4 Precedence conditions and hierarchy among Article 3.4 activities

Not applicable as Australia has not elected any Article 3.4 activities.

11.2 LAND-RELATED INFORMATION

11.2.1 Spatial assessment unit used for determining the area of Article 3.3 lands

Australia uses the individual pixel (nominally 25 m x 25 m or approximately 0.0625 ha), as its analytic unit for the determination of forest (20% crown cover). Australia uses a spatially and temporally consistent forest cover assessment from the NCAS Landsat archive to map areas of forest and forest cover change through time. A full description of the forest cover assessment is provided in Appendix 7.A.

11.2.2 Methodology used to develop the land transition matrix

The land transition matrix is developed using the forest extent data of the National Carbon Accounting System (NCAS). The data used is the same as that used for the UNFCCC reporting categories (Table 7.4 in section 7.4) but only includes areas subject to afforestation/reforestation or deforestation since 1 January, 1990.

Table 11.3: Land transition matrix for the current inventory year ^{(a), (b), (c)}

From previous inventory year	To current inventory	Article 3.3 activities		Article 3.4 activities					Total area at the beginning of the current inventory year ^(e)
		Afforestation and Reforestation	Deforestation	Forest Management (if elected)	Cropland Management (if elected)	Grazing Land Management (if elected)	Revegetation (if elected)	Other ^(d)	
Article 3.3 activities	Afforestation and Reforestation	1,082.83	0.00						1,082.83
	Deforestation		6,144.28						6,144.28
	Forest Management (if elected)		NA	NA					NA
Article 3.4 activities	Cropland Management (if elected)	NA	NA		NA	NA	NA		NA
	Grazing Land Management (if elected)	NA	NA		NA	NA	NA		NA
	Revegetation (if elected)	NA			NA	NA	NA		NA
Other ^(d)		39.27	131.99	NA	NA	NA	NA	761,601.63	761,772.89
Total area at the end of the current inventory year		1,122.10	6,276.27	NA	NA	NA	NA	761,601.63	769,000.00

(a) This table reports land area and changes in land area subject to the various activities in the inventory year. For each activity it reports area change between the previous year and the current inventory year.

(b) Some of the transitions in the matrix are not possible and the cells concerned have been shaded.

(c) In accordance with section 4.2.3.2 of the IPCC good practice guidance for LULUCF, the value of the reported area subject to the various activities under Article 3.3 and 3.4 for the inventory year are that on 31 December of that year.

(d) "Other" includes the total area of the country that has not been reported under an Article 3.3 or an elected Article 3.4 activity.

(e) The value in the cell of row "Total area at the end of the current inventory year" corresponds to the total land area of a country and is constant for all years.

11.2.3 Identification of geographical locations

The exact geographic location of each unit of land entering the *afforestation/reforestation* and *deforestation* accounts is mapped at 25 m resolution using continental coverages of Landsat data.

Australia's ability to track consistently through time individual units of land down to 0.2 ha results in millions of reportable units of deforestation and afforestation/reforestation. For the purpose of reporting under Article 3.3 the areas of reforestation and deforestation are summed into larger reporting units. This is achieved by co-locating the areas of change on maps that represent logical identification codes. The initial divisions are the Australian states and territories. For *afforestation/reforestation* the areas are then reported by 3 broad types of forest: softwood, hardwood and native. These labels are obtained from more detailed analysis of the Landsat data (see Appendices 7.A and 7.D). Each of these is then further divided into areas subject to harvest during the first commitment period to allow future reporting of the harvest sub-rule. For *deforestation* the units of land are identified by the Major Vegetation Groups (MVG) (see Appendix 7.A). The MVG classifications provide a description of the type of forest being cleared.

11.3 ACTIVITY-SPECIFIC INFORMATION

11.3.1 Methods for carbon stock changes and GHG emissions and removal estimates

11.3.1.1 Description of the methodologies and underlying assumptions

Australia applies a full Tier 3, Approach 3 system to estimate emissions and removals under Article 3.3. These are the same methods as used to report under the UNFCCC inventory, but use additional data and policy rule settings to meet the particular requirements of the Kyoto Protocol and Chapter 4 of the 2003 IPCC *Good Practice Guidance for Land Use, Land Use Change and Forestry* (IPCC, 2003). These additional features are detailed in the following sections.

Table 11.4: Summary of methodologies and emission factors – Article 3.3 Kyoto Protocol Land Use Change activities

Greenhouse Gas Source And Sink	CO ₂		CH ₄		N ₂ O	
	Method applied	EF	Method applied	EF	Method applied	EF
Article 3.3 activities						
Afforestation/Reforestation						
C stock changes	T3	M				
Biomass burning ^(a)	IE	IE	CS	CS	CS	CS
Liming	T1	CS				
Deforestation						
C stock changes	T3	M				
Biomass burning ^(a)	IE	IE	CS	CS	CS	CS

(a) CO₂ emissions and removals associated with biomass burning are included in the C stock changes

EF = emission factor, CS = country specific, M = Model, NO = not occurring, IE=included elsewhere, T1 = Tier 1 and T3 = Tier 3.

Deforestation

For *deforestation*, Australia applies the same Tier 3, Approach 3 system as that used to report under the UNFCCC inventory (see Appendices 7.A and 7.F) but with additional data to meet the requirements of the Kyoto Protocol. Consistent with the methods outlined under section 4.2.6.2 (page 4.57) of the 2003 IPCC *Good Practice Guidance for LULUCF*, the Kyoto Protocol *deforestation* account only includes areas of clearing that:

1. meet or exceed the size of the country's minimum forest area (i.e., 0.05 to 1 ha);
2. have met the definition of forest on 31 December, 1989; and,
3. have ceased to meet the definition of forest at some time after 1 January 1990 as the result of direct human-induced deforestation.

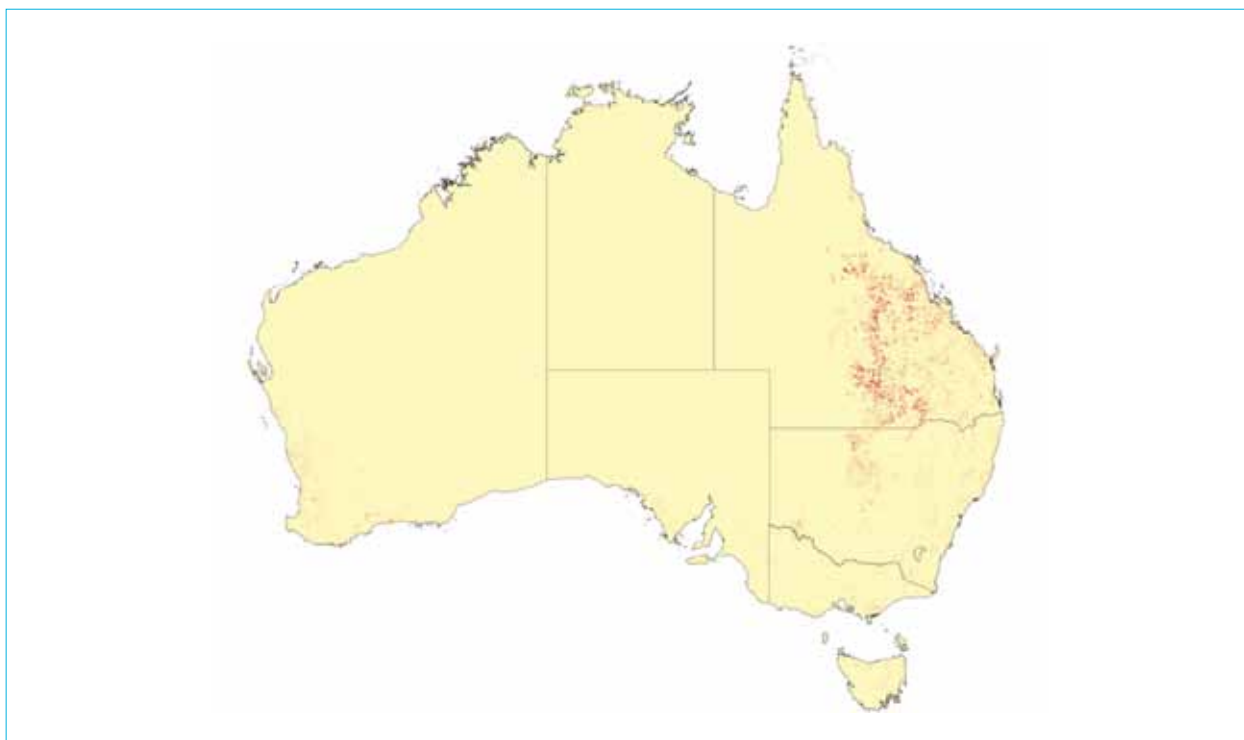
To ensure that only lands that were forest in 1990 are included in the *deforestation* account, the 1990 forest extent layer (created from satellite data available at the end of 1989) derived from the NCAS remote sensing program is used as a base map to mask areas of non-forest in 1990. All *deforestation* activities are therefore determined with reference to this base map as described in Chapter 4 of the 2003 IPCC *Good Practice Guidance for LULUCF*, (section 4.1.1, page 4.11 and section 4.2.6.2, page 4.57). Only forest areas that were present in 1990 and cleared after 1990 are included in the emissions estimates to meet these requirements. The location of land included in the *deforestation* account for 2009 is shown in Figure 11.1.

The 1990 criteria applied for the purposes of reporting *deforestation* under Article 3.3 of the Kyoto Protocol leads to some differences between the Kyoto *deforestation* account and the UNFCCC *forest land converted to cropland* and *grassland* estimates. These differences are due to the exclusion from the *deforestation* account of:

- the ongoing emissions and removals from land cleared prior to 1990 that has remained cleared (non-forested); and,
- areas of land which were not forest in 1990 but have subsequently naturally regrown (i.e., not directly human induced and therefore not included as *afforestation/reforestation*) and then re-cleared as part of cyclic regrowth and reclearing cycles.

Changes in carbon stock associated with biomass burning (primarily slash burning following clearing) are determined using the Tier 3 model and are included under the net change in litter carbon stocks and are not reported separately. Non-CO₂ emissions associated with biomass burning are estimated using the amount of C mass emitted and country specific emissions factors. The C mass emitted due to biomass burning is estimated using the Tier 3 model.

Figure 11.1: Location (in red) of land included in the deforestation account for 2009

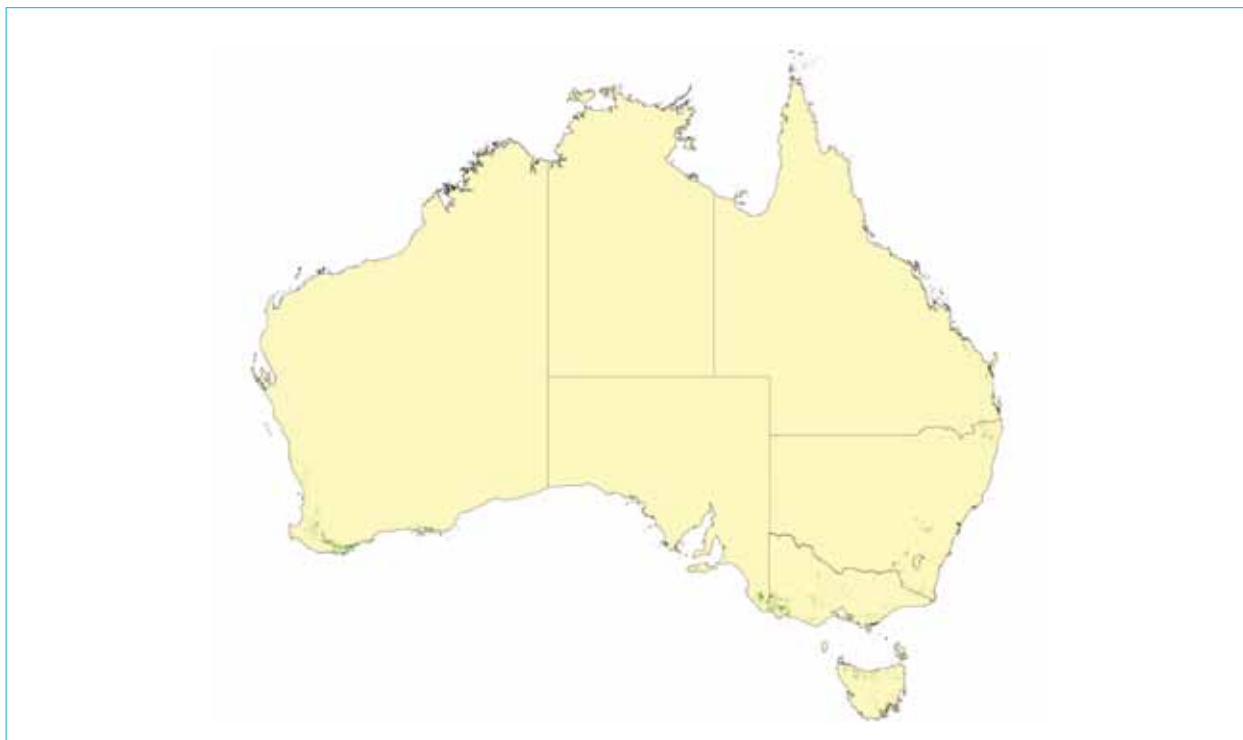


Afforestation & Reforestation

For *afforestation/reforestation*, Australia applied the same Tier 3, Approach 3 method as that used to report emissions and removals from *land converted to forest land* under the UNFCCC inventory (see Appendix 7.A and 7.D). The use of the Tier 3, Approach 3 system means that the combined reporting of *afforestation* and *reforestation* does not affect the area of land reported or estimates of the emissions and removals.

To ensure that only lands that were non-forest at 31 December 1989 are included in the *afforestation/reforestation* account, the 1990 base map derived from the NCAS remote sensing program is used to mask out areas of existing forest. All *afforestation/reforestation* activities are therefore determined with reference to this base map. Only areas afforested/reforested on or after 1990 according to the base map are included in the emissions estimates. The location of land included in the *reforestation* account for 2009 is shown in Figure 11.2.

Figure 11.2: Location (in green) of land included in the *afforestation/reforestation* account for 2009



To ensure that only direct human-induced change is reported for Article 3.3 activities tenures which are protected from human-induced change, e.g. national parks and reserves, are masked out from the detected forest change prior to analysis as are lands affected by fire. In addition, a process of attribution is carried out (see section 7.A.2.4, Appendix 7.A) to assign a cause to the change mapped using remote sensing. To prevent the inclusion of false change in the accounts land areas affected by fire are masked out during the attribution process, except where a direct human induced land use change occurs after fire. This manual process of attribution involves expert assessment (visually and analytically) of the remotely sensed areas of change. Change is attributed as either natural (e.g., natural regrowth and dieback) or human induced. This is determined by studying each area of change for factors including the planting geometry, consistency of cover and temporal pattern of change. This ensures that only direct human-induced change is included under Article 3.3.

To implement the harvested forest sub-rule all areas of *afforestation/reforestation* are categorised as either harvested or not harvested (2003 GPG; 4.55). Harvested areas are those areas which have been harvested since 1 January 2008. All other afforested/reforested (since 1990) lands are considered as not harvested. Australia has taken harvesting to be a direct human activity which removes stem wood from the forest. This includes both thinning (removal of a proportion of trees) and complete harvest (clearfell) but does not include silvicultural activities such as branch pruning. The area subject to harvesting is estimated from regionally and species specific management information.

CO₂ emissions associated with burning of harvest residues are calculated using the Tier 3 model and are included in the change in litter carbon stocks. Non-CO₂ emissions are estimated using the amount of C mass emitted and country specific emissions factors. The C mass emitted due to biomass burning is estimated using the Tier 3 model. CO₂ and non-CO₂ emissions due to wildfires are calculated using the methods described in Appendix 7.E. The CO₂ emissions from wildfires and CO₂ removals from recovery

are included in the change in dead organic matter. No CO₂ recovery is estimated following wildfires on harvested forests as it is assumed that these fires will lead to salvage harvesting and re-establishment and therefore these CO₂ removals are already included in the C stock changes.

Liming

Emissions from liming (CaCO₃) activities in Australia are only estimated for hardwood plantations. A survey conducted for the DCCEE by GHD Australia found that liming activity in the softwood plantation sector does not occur (GHD, 2009b). The survey provided both qualitative (based on industry practice with findings on the scale of the activity, i.e. limited, rare and widespread) and quantitative (gross amounts purchased by plantation companies and application rates) information. GHD Australia discussed liming management practices with forest plantation companies throughout Australia in a two-stage process; the first stage identifying the usage of lime and the second stage assessing the quantities of lime used in post-1990 plantations, generally related to first rotation stands.

Based on the information collected from the forest plantation companies the rate of lime application to post-1990 hardwood plantations is assumed to be 1.5 t ha⁻¹. The lime is applied at establishment and in 2009 the areas of new hardwood plantations was 31,682 ha as determined through the NCAS remote sensing program.

11.3.1.2 Justification for omitting pools or GHG emissions and removals

Australia has not omitted any carbon pools.

11.3.1.3 Factoring out of indirect and natural GHG emissions and removals

Australia does not factor out indirect, natural and pre-1990 effects on GHG emissions and removals. Australia explicitly accounts for natural variability in emissions and removals through the application of a process-based Tier 3, Approach 3 modelling approach. Indirect emissions due to increased N deposition are considered insignificant in Australia given the large land mass and very small areas of highly concentrated population, intensive agriculture and industry.

11.3.1.4 Changes in data and methods since previous submission

The NCAS Landsat data has been updated to include the most recent satellite data. This is consistent with the annual update process for the NCAS remote sensing program and results in minor recalculations throughout the time-series. This process is detailed in Appendix 7.A.

The methods applied for *afforestation*, *reforestation* and *deforestation* in this submission are the same as those applied in the previous submission.

11.3.1.5 Uncertainty estimates and quality control

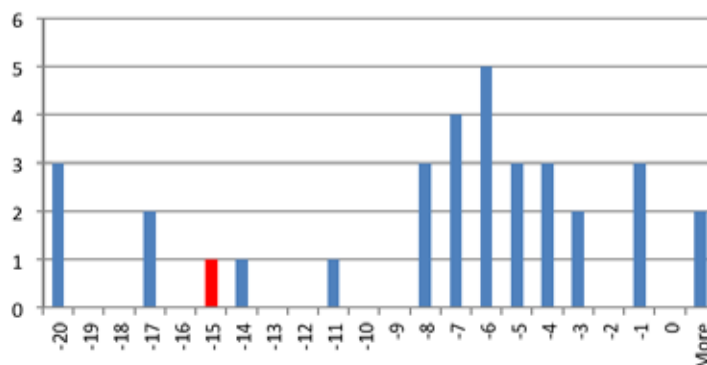
As the same methods and data are used to estimate emissions and removals due to *afforestation/reforestation* and *deforestation* as are used for the associated UNFCCC categories (*land converted to forest land* and *forest land converted to grassland and cropland* respectively), the uncertainty estimates of +/- 10% for CO₂ and +/- 20% for non-CO₂ also apply to the reporting of the Kyoto Protocol activities. The only exception is the uncertainty for non-CO₂ emissions due to wildfire (not prescribed burning) reported under *afforestation/reforestation* which are estimated at -46 to +77% for CH₄ and -47 to +88% for N₂O. This reflects the use of average debris loads used for wildfire (section 7.12) to estimate C mass emitted due to fire. However, as the amount of emissions due to wildfires under *afforestation/reforestation* is extremely small (< 0.1% of total removals) this uncertainty does not affect the overall uncertainty for *afforestation/reforestation*. Non-CO₂ emissions due to slash burning following harvest are based on estimates of the C mass of dead organic matter derived directly from the Tier 3 model and the uncertainty is estimated at +/- 20%.

The Tier 3 model used to develop these estimates is a full mass-balance carbon cycle model that accounts for the flow of carbon from the atmosphere to the plant which then flows through to the soil and debris (see Appendix 7.A and Attachment 7.A.1). Carbon can only be sequestered from the atmosphere via photosynthesis, held in a pool, transferred to another pool or emitted back to the atmosphere. Hence the estimate of emissions and removals for each pool is reliant on the flow of carbon from the previous pool and the rate of loss from the existing pool. This mass balance approach means that the +/- 10%

uncertainty is therefore applicable to all the pools reported (above and belowground biomass, litter, deadwood and soil). This differs from other methods commonly used to estimate emissions and removals in the LULUCF sector (in particular Tier 1 and Tier 2 methods) which use separate models for each pool and therefore require individual estimates of uncertainty. Details of sensitivity and uncertainty analyses carried out on the NCAS model are provided in Appendix 7.J.

Comparisons of implied emission factors and activity data with international data sources are conducted systematically for the Australian inventory. The implied emission factor per hectare is reported with the distribution of the implied emission factors of other Annex-1 parties.

Figure 11.3: Grassland converted to Forestland implied emission factors for Annex I countries and Australia



11.3.1.6 Information on other methodological issues

Australia has no other methodological issues.

11.3.1.7 The year of the onset of an activity, if after 2008.

The onset of monitoring *afforestation/reforestation* and *deforestation* activities commenced in 1990. Each activity is tracked in a detailed spatially explicit way and reported annually. Monitoring of *afforestation/reforestation* and *deforestation* activities is being conducted annually using the fully spatial Approach 3 methods as outlined in Appendix 7.A. The use of the spatially and temporally consistent land cover change data, combined with detailed attribution ensures that all activities meet the definition of direct human induced and allows for the separation of these activities to prevent double counting of lands. Furthermore, the density of the time series (annual acquisition since 2004) allows activities to be assigned to a specific year with a high degree of confidence.

11.4 ARTICLE 3.3

11.4.1 Information that demonstrates that Article 3.3 activities began on or after 1 January 1990 and before 31 December 2012 and are direct human-induced

Using a time series of Landsat imagery, Article 3.3 activities are monitored through time, to the present day. This enables Australia to demonstrate the date at which the Article 3.3 activities began.

In Australia many areas of forest have regrown after previous clearing or abandonment of lands. In other areas natural dieback and recovery occur. To ensure that only direct human-induced change is reported for Article 3.3 activities tenures which are protected from human-induced change, e.g. national parks and reserves, are masked out from the detected forest change prior to analysis, as are lands affected by fire. In addition, a process of attribution is carried out (see section 7.A.2.4, Appendix 7.A) to assign a cause to the change mapped using remote sensing through time. To prevent the inclusion of false change in the accounts land areas affected by fire are masked out during the attribution process, except where a direct human induced land use change occurs after fire. This manual process of attribution involves expert

assessment (visually and analytically) of the remotely sensed areas of change. Change is attributed as either natural (i.e., regrowth and dieback) or human induced. This is determined by studying the geometry and temporal pattern of change. This ensures that only direct human-induced change is included under Article 3.3.

11.4.2 Information on how harvesting or forest disturbance that is followed by the re-establishment of forest is distinguished from deforestation

The key factors that lead to temporary change in forest cover in Australia are climate, fire and forest harvesting. Australia has a full time-series of land cover change mapping dating back to 1972 and therefore can readily identify forest areas that have undergone natural disturbance and regrowth as opposed to direct human-induced deforestation. The length of the time series and frequency of national forest mapping allows Australia to detect with a high degree of certainty the permanency and cause of change in forest cover. This certainty is further enhanced through the use of time series statistical methods (the Conditional Probability Network, CPN), land tenure mapping, manual attribution and mapping of fire affected areas. These methods are fully described in Appendix 7.A.

To distinguish between forest cover loss due to fire and *deforestation*, maps of areas affected by fire (fire scar mapping) are overlayed on forest change to ensure that only areas subject to direct human induced deforestation are accounted for. Other types of disturbance which affect forest cover, such as prolonged drought, as also excluded during the attribution process. Two processes are used to ensure that areas of forest that are temporarily de-stocked due to forest harvesting are excluded from the *afforestation/reforestation* and *deforestation* estimates:

1. application of masks that identify tenures in which forest harvesting is known to occur (State forests). This includes both native and non-endemic plantations; and,
2. a detailed attribution process which excludes areas of land cover change that are identified as forest harvesting by studying the time-series data to ensure that a land use change has occurred.

These processes are applied consistently across all Article 3.3 activities.

11.4.3 Information on the size and geographical location of forest areas that have lost forest cover but which are not yet classified as deforested

Areas of deforestation and afforestation/reforestation are only added to the accounts once it is shown with confidence that the land has been deforested or afforested/reforested as a result of human-induced activities. As new data are added to the time series the certainty that deforestation and afforestation/reforestation occurred increases. This results in a small recalculation of these accounts (< 4%) each year and this is monitored through the continuing annual acquisition of remotely sensed data.

The mixture of climate (e.g., drought), grazing, fire, natural dieback and regrowth of forests identified are linked to specific land areas and the regional differences in these processes gives rise to variability in forest cover over time. The typical fluctuations in those areas of forest cover that occur around the prescribed canopy cover definition of a forest (20% in Australia) are accounted for under the UNFCCC *other native forests* category (Appendix 7.E). They are not counted as either *deforestation* or *afforestation/reforestation*.

11.5 ARTICLE 3.4

Not applicable as Australia has not elected Article 3.4 activities.

11.6 OTHER INFORMATION

11.6.1 Key category analysis

The key category analysis for Article 3.3 activities are reported in Annex 1 and in Table 11.5.

Table 11.5: Summary overview for key categories for land use, land use change and forestry activities under the Kyoto Protocol

Key Categories of Emissions and Removals	Gas	Criteria used for Key Category Identification			
		Associated category in UNFCCC inventory is key	Category contribution is greater than the smallest category considered key in the UNFCCC inventory (including LULUCF)	Other	Comments
Afforestation/Reforestation	CO ₂	Land converted to forest	YES	NA	UNFCCC category is key, category is greater than smallest UNFCCC key category
Deforestation	CO ₂	Land converted to cropland Land converted grassland	YES	NA	UNFCCC category is key, category is greater than smallest UNFCCC key category
Deforestation	CH ₄	Land converted to cropland	NO	NA	UNFCCC category is key

11.7 INFORMATION RELATING TO ARTICLE 6

Australia has not approved any Joint Implementation activities (Article 6). Therefore Australia does not identify any Article 3.3 activities as subject to Article 6.

12. INFORMATION ON ACCOUNTING OF KYOTO UNITS

12.1 SUMMARY OF INFORMATION REPORTED IN THE STANDARD ELECTRONIC FORMAT TABLES

Annex I Parties are required to report from its national registry holdings and transactions of Kyoto units in the previous calendar year. In accordance with Decision 15/CMP.1 annex I.E paragraph 11 this information has been submitted in the standard electronic format (SEF) tables (Tables 12.1 to 12.6).

Table 12.1: SEF Table 1, Total quantities of Kyoto Protocol units by account type at beginning of reported year

Account type	Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Party holding accounts	2957579143	NO	NO	NO	NO	NO
Entity holding accounts	NO	NO	NO	NO	NO	NO
Article 3.3/3.4 net source cancellation accounts	NO	NO	NO	NO		
Non-compliance cancellation accounts	NO	NO	NO	NO		
Other cancellation accounts	NO	NO	NO	NO	NO	NO
Retirement account	NO	NO	NO	NO	NO	NO
tCER replacement account for expiry	NO	NO	NO	NO	NO	
ICER replacement account for expiry	NO	NO	NO	NO		
ICER replacement account for reversal of storage	NO	NO	NO	NO		NO
ICER replacement account for non-submission of certification report	NO	NO	NO	NO		NO
Total	2957579143	NO	NO	NO	NO	NO

Table 12.2: SEF Table 2(a), Annual internal transactions

Transaction type	Additions						Subtractions					
	Unit type						Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Article 6 issuance and conversion												
Party-verified projects		NO					NO		NO			
Independently verified projects		NO					NO		NO			
Article 3.3 and 3.4 issuance or cancellation												
3.3 Afforestation and reforestation			NO				NO	NO	NO	NO		
3.3 Deforestation			NO				NO	NO	NO	NO		
3.4 Forest management			NO				NO	NO	NO	NO		
3.4 Cropland management			NO				NO	NO	NO	NO		
3.4 Grazing land management			NO				NO	NO	NO	NO		
3.4 Revegetation			NO				NO	NO	NO	NO		
Article 12 afforestation and reforestation												
Replacement of expired tCERs							NO	NO	NO	NO	NO	
Replacement of expired ICERs							NO	NO	NO	NO		
Replacement for reversal of storage							NO	NO	NO	NO		NO
Replacement for non-submission of certification report							NO	NO	NO	NO		NO
Other cancellation							NO	NO	NO	NO	NO	NO
Sub-total		NO	NO				NO	NO	NO	NO	NO	NO

Transaction type	Retirement					
	Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Retirement	NO	NO	NO	NO	NO	NO

Table 12.3: SEF Table 2(b), Annual external transactions

	Additions						Subtractions					
	Unit type						Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Transfers and acquisitions												
GB	NO	NO	NO	1	NO	NO	NO	NO	NO	1	NO	NO
Sub-total	NO	NO	NO	1	NO	NO	NO	NO	NO	1	NO	NO

Additional information

Independently verified ERUs								NO				
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Table 12.4: SEF Table 2(c), Total annual transactions

Total (Sum of tables 2a and 2b)	NO	NO	NO	1	NO	NO	NO	NO	NO	1	NO	NO
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Table 12.5: SEF Table 3, Expiry, cancellation and replacement

Transaction or event type	Expiry, cancellation and requirement to replace		Replacement					
	Unit type		Unit type					
	tCERs	ICERs	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Temporary CERs (tCERs)								
Expired in retirement and replacement accounts	NO							
Replacement of expired tCERs			NO	NO	NO	NO	NO	
Expired in holding accounts	NO							
Cancellation of tCERs expired in holding accounts	NO							
Long-term CERs (ICERs)								
Expired in retirement and replacement accounts		NO						
Replacement of expired ICERs			NO	NO	NO	NO		
Expired in holding accounts		NO						
Cancellation of ICERs expired in holding accounts		NO						
Subject to replacement for reversal of storage		NO						
Replacement for reversal of storage			NO	NO	NO	NO		NO
Subject to replacement for non-submission of certification report		NO						
Replacement for non-submission of certification report			NO	NO	NO	NO		NO
Total			NO	NO	NO	NO	NO	NO

Table 12.6: SEF Table 4, Total quantities of Kyoto Protocol units by account type at end of reported year

Account type	Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Party holding accounts	2957579143	NO	NO	NO	NO	NO
Entity holding accounts	NO	NO	NO	NO	NO	NO
Article 3.3/3.4 net source cancellation accounts	NO	NO	NO	NO		
Non-compliance cancellation accounts	NO	NO	NO	NO		
Other cancellation accounts	NO	NO	NO	NO	NO	NO
Retirement account	NO	NO	NO	NO	NO	NO
tCER replacement account for expiry	NO	NO	NO	NO	NO	
ICER replacement account for expiry	NO	NO	NO	NO		
ICER replacement account for reversal of storage	NO	NO	NO	NO		NO
ICER replacement account for non-submission of certification report	NO	NO	NO	NO		NO
Total	2957579143	NO	NO	NO	NO	NO

Table 12.7: SEF Table 5(a), Summary information on additions and subtractions

	Additions						Subtractions					
	Unit type						Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Starting values	2957579143											
Issuance pursuant to Article 3.7 and 3.8							NO	NO	NO	NO		
Non-compliance cancellation												
Carry-over	NO	NO		NO								
Sub-total	2957579143	NO		NO			NO	NO	NO	NO		
Annual transactions												
Year 0 (2007)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
Year 1 (2008)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
Year 2 (2009)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
Year 3 (2010)	NO	NO	NO	1	NO	NO	NO	NO	NO	1	NO	NO
Year 4 (2011)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
Year 5 (2012)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
Year 6 (2013)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
Year 7 (2014)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
Year 8 (2015)	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO	NO
Sub-total	NO	NO	NO	1	NO	NO	NO	NO	NO	1	NO	NO
Total	2957579143	NO	NO	1	NO	NO	NO	NO	NO	1	NO	NO

Table 12.8: SEF Table 5(b), Summary information on replacement

	Requirement for replacement		Replacement					
	Unit type		Unit type					
	tCERs	ICERs	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Previous CPs			NO	NO	NO	NO	NO	NO
Year 1 (2008)		NO	NO	NO	NO	NO	NO	NO
Year 2 (2009)		NO	NO	NO	NO	NO	NO	NO
Year 3 (2010)		NO	NO	NO	NO	NO	NO	NO
Year 4 (2011)		NO	NO	NO	NO	NO	NO	NO
Year 5 (2012)	NO	NO	NO	NO	NO	NO	NO	NO
Year 6 (2013)	NO	NO	NO	NO	NO	NO	NO	NO
Year 7 (2014)	NO	NO	NO	NO	NO	NO	NO	NO
Year 8 (2015)	NO	NO	NO	NO	NO	NO	NO	NO
Total	NO	NO	NO	NO	NO	NO	NO	NO

Table 12.9: SEF Table 5(c), Summary information on retirement

Year	Retirement					
	Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs
Year 1 (2008)	NO	NO	NO	NO	NO	NO
Year 2 (2009)	NO	NO	NO	NO	NO	NO
Year 3 (2010)	NO	NO	NO	NO	NO	NO
Year 4 (2011)	NO	NO	NO	NO	NO	NO
Year 5 (2012)	NO	NO	NO	NO	NO	NO
Year 6 (2013)	NO	NO	NO	NO	NO	NO
Year 7 (2014)	NO	NO	NO	NO	NO	NO
Year 8 (2015)	NO	NO	NO	NO	NO	NO
Total	NO	NO	NO	NO	NO	NO

Table 12.10: SEF Table 6(a), Memo item: Corrective transactions relating to additions and subtraction

	Additions						Subtractions					
	Unit type						Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs	AAUs	ERUs	RMUs	CERs	tCERs	ICERs

Table 12.11: SEF Table 6(b), Memo item: corrective transactions relating to replacement

	Requirement for replacement		Replacement					
	Unit type		Unit type					
	tCERs	ICERs	AAUs	ERUs	RMUs	CERs	tCERs	ICERs

Table 12.12: SEF Table 6(c), Memo item: Corrective transactions relating to retirement

	Retirement					
	Unit type					
	AAUs	ERUs	RMUs	CERs	tCERs	ICERs

12.2 DISCREPANCIES AND NOTIFICATIONS

Decision 15/CMP.1 annex I.E paragraphs 12-17 require Annex I Parties to report on various possible discrepancies and notification. Australia's discrepancies and notifications are summarised in Table 12.7.

Table 12.13: Accounting of Kyoto Protocol Units

Annual Submission Item	Report
15/CMP.1 annex I.E paragraph 11: Standard electronic format (SEF)	See section 12.1. The SEF tables have been submitted to the UNFCCC.
15/CMP.1 annex I.E paragraph 12: List of discrepant transaction	Australia had no discrepant transaction for the reporting period.
15/CMP.1 annex I.E paragraph 13 & 14: List of CDM notifications	Australia did not receive any CDM notifications.
15/CMP.1 annex I.E paragraph 15: List of non-replacements	Australia had no non-replacements.
15/CMP.1 annex I.E paragraph 16: List of invalid units	Australia had no invalid units.
15/CMP.1 annex I.E paragraph 17: Actions and changes to address discrepancies	None required.
15/CMP.1 annex I.E paragraph 18: Commitment period reserve calculation	See section 12.4

12.3 PUBLICALLY ACCESSIBLE INFORMATION

Public information is available at <https://nationalregistry.climatechange.gov.au> under the Public Reports facility. A full description of the information that is available is in Annex 8.

12.4 CALCULATION OF THE COMMITMENT PERIOD RESERVE

The Annex to Decision 11/CMP.1 (paragraph 6) specifies that: ‘each Party included in Annex I shall maintain, in its national registry, a commitment period reserve which should not drop below 90 per cent of the Party’s assigned amount calculated pursuant to Article 3, paragraphs 7 and 8 of the Kyoto Protocol, or 100 per cent of five times its most recently reviewed inventory, whichever is lowest’.

Australia’s commitment period reserve is 2,661,821,229 tonnes CO₂ equivalent calculated as 90% of Australia’s assigned amount.

12.5 KP-LULUCF ACCOUNTING

Australia has elected to account for the Kyoto Protocol Article 3.3 LULUCF activities on an annual basis. Table 12.8 shows the accounting quantity for 2008.

Table 12.14: Information table on accounting for activities under articles 3.3 and 3.4 of the Kyoto Protocol

Greenhouse Gas Source and Sink Activities	BY ^(d)	Net emissions/removals			Accounting Parameters ^(f)	Accounting Quantity ^(g)
		2008	2009	Total ^(e)		
		(Gg CO ₂ equivalent)				
A. Article 3.3 activities						
A.1. Afforestation and Reforestation						
A.1.1. Units of land not harvested since the beginning of the commitment period ^(a)		-23,705.24	-22,589.01	-46,294.25		-46,294.25
A.1.2. Units of land harvested since the beginning of the commitment period ^(a)						0.00
ACT_Softwood		-0.25	-0.86			0.00
NSW_Hardwood		124.54	126.99			0.00
NSW_Softwood		640.85	557.54			0.00
NT_Hardwood		220.54	341.85			0.00
QLD_Hardwood		0.61	4.69			0.00
QLD_Softwood		99.54	61.34			0.00
SA_Hardwood		138.11	191.98			0.00
SA_Softwood		129.94	77.90			0.00
TAS_Hardwood		561.57	676.13			0.00
TAS_Softwood		180.10	155.53			0.00
VIC_Hardwood		915.95	1238.95			0.00
VIC_Softwood		237.3	193.29			0.00
WA_Hardwood		3522.00	3789.03			0.00
WA_Softwood		164.49	163.09			0.00
A.2. Deforestation		52,476.65	41,338.44	93,815.09		93,815.09
B. Article 3.4 activities						
B.1. Forest Management (if elected)		NA	NA	NA		NA
3.3 offset ^(b)					NA	NA
FM cap ^(c)					NA	NA
B.2. Cropland Management (if elected)	0.00	NA	NA	NA	0.00	0.00
B.3. Grazing Land Management (if elected)	0.00	NA	NA	NA	0.00	0.00
B.4. Revegetation (if elected)	0.00	NA	NA	NA	0.00	0.00

- (a) In accordance with paragraph 4 of the annex to decision 16/CMP.1, debits resulting from harvesting during the first commitment period following Afforestation and Reforestation since 1990 shall not be greater than credits accounted for on that unit of land
- (b) In accordance with paragraph 10 of the annex to decision 16/CMP.1, for the first commitment period, a Party included in Annex I that incurs a net source of emissions under the provisions of Article 3.3 may account for anthropogenic greenhouse gas emissions by sources and removals by sinks in areas under Forest Management under Article 3.4, up to a level that is equal to the net source of emissions under the provisions of Article 3.3, but not greater than 9.0 megatonnes of carbon times five, if the total anthropogenic greenhouse gas emissions by sources and removals by sinks in the managed forest since 1990 is equal to, or larger than, the net source of emissions incurred under Article 3.3. Australia has not elected Forest Management.
- (c) In accordance with paragraph 11 of the annex to decision 16/CMP.1, for the first commitment period only, additions to and subtractions from the assigned amount of a Party resulting from Forest Management under Article 3.4, after the application of paragraph 10 of the annex to decision 16/CMP.1 and resulting from Forest Management project activities undertaken under Article 6, shall not exceed the value inscribed in the appendix of the annex to decision 16/CMP.1, times five. Australia has not elected Forest Management.
- (d) Net emissions and removals in the Party's base year, as established by decision 9/CP.2
- (e) Cumulative net emissions and removals for all years of the commitment period reported in the current submission
- (f) The values in the cells "3.3 offset" and "FM cap" are absolute values.
- (g) The accounting quantity is the total quantity of units to be added to or subtracted from a Party's assigned amount for a particular activity in accordance with the provisions of Article 7.4 of the Kyoto Protocol.

13. CHANGES TO THE NATIONAL SYSTEM

Decision 15/CMP.1 annex I.F paragraph 21 requires Parties to include in the National Inventory Report information on any changes that have occurred in its national system compared with its last submission.

Since the 2010 inventory submission there have been some changes to the arrangements for approving the inventory, the process for inventory compilation and the QA/QC activities undertaken (see Table 13.1 for more details).

Table 13.1: Change to the national system

Reporting Item	Annual Report
15/CMP.1 annex II.E paragraph 30 (a) Change of name or contact information	No change in this submission.
15/CMP.1 annex II.E paragraph 30 (b) Change of roles and responsibilities as well as change of the institutional, legal and procedural arrangements	No change in this submission.
15/CMP.1 annex II.E paragraph 30 (c) Changes in the process of inventory compilation	A significant systematic change to the process of inventory compilation has begun to be implemented in this inventory due to the use of data obtained under the National Greenhouse and Energy Reporting System (NGERS). Recalculations flowing from this change have been identified in the relevant chapters.
15/CMP.1 annex II.E paragraph 30 (d) Change of process for key category identification and archiving	No change in this submission
15/CMP.1 annex II.E paragraph 30 (e) Change of process for recalculations	No change in this submission
15/CMP.1 annex II.E paragraph 30 (f) Changes with regard to QA/QC plan, QA/QC activities and procedures	Since the 2010 inventory submission additional QA/QC activities and procedures have been implemented as identified in the relevant chapters.
15/CMP.1 annex II.E paragraph 30 (g) Change of procedures for the official consideration and approval of the inventory	Since the 2010 submission the responsibility for approving the inventory for submission to the UNFCCC has been devolved from the Minister for Climate Change, Energy Efficiency and Waster to the Secretary of the Department of Climate Change and Energy Efficiency.

14. CHANGES TO THE NATIONAL REGISTRY

Under the Kyoto Protocol, Parties are required to put in place a registry to report annually on acquisition, holding, transfer, cancellation, withdrawal and carryover of assigned amount units, removal units, emission reduction units and certified emission reductions during the previous year. A full description of Australia's registry system is presented in Annex 8.

Decision 15/CMP.1 annex I.G paragraph 22 requires Parties to include in the National Inventory Report information on any changes that have occurred in its national registry compared with its last submission.

Table 14.1: Change to the national registry

Reporting Item	Annual Report
15/CMP.1 annex II.E paragraph 32 (a)	No change in this submission
Change of name or contact	
15/CMP.1 annex II.E paragraph 32 (b)	No change in this submission
Change of cooperation arrangement	
15/CMP.1 annex II.E paragraph 32 (c)	No change in this submission
Change to database or the capacity of National Registry	
15/CMP.1 annex II.E paragraph 32 (d)	No change in this submission
Change of conformance to technical standards	
15/CMP.1 annex II.E paragraph 32 (e)	No change in this submission
Change of discrepancies procedures	
15/CMP.1 annex II.E paragraph 32 (f)	No change in this submission
Change of Security	
15/CMP.1 annex II.E paragraph 32 (g)	Changes have been made in response to the SIAR recommendations.
Change of list of publicly available information	
15/CMP.1 annex II.E paragraph 32 (h)	No change in this submission
Change of Internet address	
15/CMP.1 annex II.E paragraph 32 (i)	No change in this submission
Change of data integrity measure	
15/CMP.1 annex II.E paragraph 32 (j)	No change in this submission
Change of test results	
Response to previous Annual Review recommendations	The 2010 SIAR recommended a number of changes to the publicly available information of the national Registry. These changes were implemented in March 2011..

15. MINIMISATION OF ADVERSE IMPACTS IN ACCORDANCE WITH ARTICLE 3.14

Australia is pleased to provide an update of its last submission and supplementary information on how Australia is striving, under Article 3, paragraph 14, of the Kyoto Protocol, to implement its commitments mentioned in Article 3, paragraph 1, of the Kyoto Protocol in such a way as to minimize adverse social, environmental and economic impacts on developing country Parties, particularly those identified in Article 4, paragraphs 8 and 9, of the Convention.

Overview

The Australian Government responds to climate change through a range of national policies and measures. The Department of Climate Change and Energy Efficiency is the department with principal responsibility for developing and coordinating these national policies and measures which it does in consultation with a number of other federal government departments and agencies (the Department of Resources, Energy and Tourism, the Department of Agriculture, Fisheries and Forestry, the Department of the Prime Minister and Cabinet, the Treasury, the Department of Foreign Affairs and Trade and the Australian Agency for Overseas Development), with state and local governments, and with interested community groups.

Since the last report on this item, the Australian Government has established the MPCCC (Multi-Party Climate Change Committee) to systematically consider the costs and benefits of introducing a carbon price into the domestic economy.

Measures taken to respond to climate change have the potential to impact all Parties. Australian policies and measures which risk imposing social, environmental or economic impacts on developing country Parties, particularly those identified in Article 4, paragraphs 8 and 9, of the Convention, undergo rigorous and transparent evaluation. Australia's development policies are developed with full consideration of potential consequences on recipients of development assistance. Stakeholders have the opportunity to comment on how possible new policies might affect them.

As with other major energy exporters, Australia's exports are susceptible to fluctuations in demand. We are apprised of the importance of diversifying our economy and building economic resilience, and we are sensitive to the need for developing countries, particularly those within the reach of Australia's aid program, to do the same. We consider that this places countries in a much better position to adapt to trends in the global economy. Australia supports a number of programs to assist vulnerable countries to build economic resilience.

Minimisation of impacts of response measures against specified criteria are outlined below.

- (a) The progressive reduction or phasing out of market imperfections, fiscal incentives, tax and duty exemptions and subsidies in all greenhouse-gas-emitting sectors, taking into account the need for energy price reforms to reflect market prices and externalities

Australia has a renewable energy target and has committed to introduce a price on carbon. All Australian states and territories have agreed under the Australian Energy Market Agreement (AEMA) to phase out retail price regulation for electricity and natural gas where effective competition is agreed between a jurisdiction and the Australian Energy Market Commission or to increase competition where it does not exist. Further, governments agreed that retail price regulation will allow for the pass-through of the costs of Australia's Renewable Energy Target.

Generally speaking, Australia has a market-based energy system and is undertaking a substantial reform program aimed at increasing transparency and flexibility in the wholesale and retail energy market, which allows prices to reflect costs as these change over time. Recent reforms include the introduction of a short-term trading market for natural gas complementing the existing spot market for electricity. As prices are able to reflect costs, Australia's energy system presents no distortions to international trade in energy, including with developing countries.

Australia is also conducting a large-scale demonstration of smart grid technology through the *Smart Grid Smart City* project, and intends to share the lessons of this project with other countries through the International Smart Grid Action Network (established under the US-led Clean Energy Ministerial process) and other international fora.

(b) Removing subsidies associated with the use of environmentally unsound and unsafe technologies

Refer previous entry.

(c) Cooperating in the technological development of non-energy uses of fossil fuels, and supporting developing country Parties to this end

No specific policies directed towards support for the technological development on non-energy uses of fossil fuels are currently under consideration.

(d) Cooperating in the development, diffusion, and transfer of less-greenhouse-gas-emitting advanced fossil-fuel technologies, and/or technologies, relating to fossil fuels, that capture and store greenhouse gases, and encouraging their wider use; and facilitating the participation of the least developed countries and other non-Annex I Parties in this effort Australia has cooperated in the development, diffusion and transfer of environmentally cleaner fossil fuel technology through the following processes (updating information provided in our last submission):

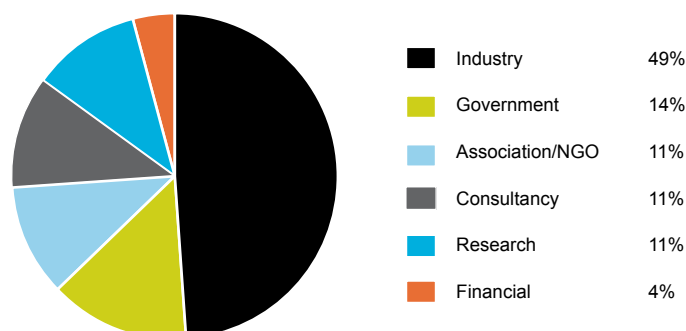
Global Carbon Capture and Storage Institute

The Global Carbon Capture and Storage Institute (GCCSI) was announced by the Australian Government in 2008, and has funding of AUD\$355 million to June 2013. The GCCSI is an important measure taken by Australia that will assist carbon intensive economies, including developing countries with carbon intensive economies, reduce their exposure to the impact of the implementation of response measures.

The GCCSI will help deliver the G8's goal of developing at least 20 fully integrated industrial-scale demonstration projects around the world. CCS technology is vital to assist countries reduce the carbon intensity of their economic base, and therefore their vulnerability to global efforts to reduce carbon emissions. The GCCSI connects parties around the world to solve problems, address issues and learn from each other to accelerate the deployment of CCS projects by providing a fact-based advocacy for CCS, assisting projects, and sharing knowledge.

Since its official opening in April 2009, the GCCSI has attracted strong and widespread support from governments, corporations, industry bodies and research organisations from key markets around the globe, and has built a diversified membership profile that represents a healthy cross-section of these international stakeholders. There are currently 277 participating organisations with more than 255 legal members. The GCCSI's members account for over 80 per cent of the world's carbon dioxide emissions from energy and industrial sources

Figure 15.1: GCCSI membership



A key role for the GCCSI is as a fact-based advocate for CCS. To this end it has attended UNFCCC meetings in Tianjin/China and Cancun/Mexico where briefing papers on CCS were provided to help inform discussions. A specific example is a CCS-Ready paper, building upon the GCCSI led discussions with the International Energy Agency (IEA), Carbon Sequestration Leadership Forum (CSLF) and other key stakeholders, and which has been used by several countries (including Australia and South Africa) to help define the way forward for future regulation and so prepare the way for the subsequent introduction of CCS.

The GCCSI has also been involved in a simulation exercise involving a (fictitious) large integrated CCS project going through the full regulatory process in Scotland. This successful 'trial run' is now being developed by the GCCSI as a generic tool kit that can be customised for other countries to use in trialling their regulatory approval processes.

Monitoring of large scale integrated CCS projects by the GCCSI has shown that there is an overall increase in numbers worldwide but over 80% remain in the early stages of definition with very few additional projects being implemented. These results have been used as the basis of the report by the IEA and CSLF to the G8 meeting in Canada in June 2010.

The GCCSI has engaged directly with large scale integrated CCS projects worldwide through its Project Support Program where the aim is to 'kick start' projects through addressing specific barriers that the projects face, and at the same time accruing knowledge products and experiences that can help other projects that face similar issues. So far 7 formal contracts have been agreed with a geographical distribution of 3 projects in North America, 2 in Europe and 2 in Australia. Another 4 projects are under consideration which will substantially complete the power generation portfolio for actions within developed countries.

Recognising the importance of public acceptance for CCS, a public engagement strategy for projects has been established which builds upon the successful one-to-one pilot comprising a detailed assessment of the ROAD project in Rotterdam. This approach will be further trialled with the Romanian Turceni Project and the Australian CarbonNet Project, both being supported by the GCCSI as part of its Project Support Program action.

Within developing countries, in particular Asia, the GCCSI has worked with the Asian Development Bank and the World Bank to encourage CCS projects through specific CCS scoping studies. Visits to industrial sites have been undertaken to identify where the GCCSI can contribute to the development of projects in China, now that it has become a Legal Member of the GCCSI.

To encourage projects in the heavy industrial sector, the GCCSI has worked with UNIDO to develop a global technology roadmap for CCS projects, primarily in the cement, steel and aluminium sectors.

A flow of knowledge products from the Project Support Program has started and these are being incorporated into the knowledge sharing program. They have included case studies of specific projects, FEED studies, and how the choice of technology provider was made. An update of the 'stock take' of CCS projects worldwide has also been undertaken as part of the IEA/CSLF report to the G8 in June 2010. The GCCSI has also played a substantial role in helping to establish the CCUS (Carbon Capture Use and Storage) Action Group as part of the Major Economies Forum initiative and is now involved in the implementation phase through the Global Partnership.

Carbon Sequestration Leadership Forum (CSLF)

The Carbon Sequestration Leadership Forum (CSLF) is a Ministerial-level international climate change initiative that is focused on cooperation to develop and apply technologies for the separation and capture of carbon dioxide for its transport and long- term safe storage. The purpose of the CSLF is to make these carbon capture and storage technologies broadly available internationally, and to identify and address wider issues relating to its deployment. This could include promoting the appropriate technical, political, and regulatory environments for the development of such technology.

Australia is a foundation member of the CSLF, which has a membership comprising twenty one countries and the European Commission. The CSLF has worked to inform its members on appropriate technical, political, and regulatory environments that will allow the development of CCS technology with the additional focus of building capacity in developing countries. Australia has been actively involved in the CSLF since it was formed in June 2003 and is a member of a number of CSLF task forces.

Asia Pacific Partnership on Clean Development and Climate (APP)

Australia assists other countries in the Asia Pacific region, both developed and developing, to reduce the carbon intensity of their goods and services through the Asia-Pacific Partnership on Clean Development and Climate (APP). The APP was founded in January 2006 and brings together Australia, Canada, China, India, Japan, Republic of South Korea and the United States to address the challenges of climate change, energy security and air pollution in a way that encourages economic development and reduces poverty.

Through collaboration, the APP aims to reduce the carbon intensity of products and services in different sectors, including in power generation. This reduces the exposure of countries using low emissions technologies to any implicit carbon price. The APP focuses on project-based initiatives that bring the private and public sectors together to accelerate the development, deployment and transfer of cleaner, more efficient technologies.

The APP membership represents around half of the world's emissions, energy use, GDP and population and engages the key greenhouse gas emitting countries in the Asia Pacific region. With its focus on the development, deployment and transfer of cleaner, more efficient technologies, the APP is also unprecedented in the way business, government and researchers have agreed to work together.

The Australian Government was instrumental in the establishment of the APP in 2006. It has been a major financier of APP projects, committing funding of AUD\$100 million over five years (2006-2011). Australian funding is now fully committed, with actual expenditure to date at almost AUD\$62 million, over 54 APP projects across all eight Task Forces:

- Aluminium: chaired by Australia, co-chaired by United States of America
- Buildings and Appliances: chaired by Republic of Korea, co-chaired by United States of America
- Cement: chaired by Japan, co-chaired by Canada
- Cleaner Fossil Energy: chaired by Australia, co-chaired by China
- Coal Mining: chaired by United States of America, co-chaired by India
- Power Generation and Transmission: chaired by United States of America, co-chaired by China
- Renewable Energy and Distributed Generation: chaired by Canada, co-chaired by Australia
- Steel: chaired by Japan, co-chaired by India.

Global Methane Initiative

The Methane to Markets Partnership involving 38 member countries was re-launched as the Global Methane Initiative (the Initiative) at the Ministerial Meeting held in Mexico City on 1 October 2010. The Initiative aims to encourage, through collaboration, the development and use of low emissions technology and services in different sectors. Projects under the Initiative will accelerate deployment of methane emission-reducing technologies and practices, stimulating economic growth and energy security in Partner countries and helping them to minimise exposure to measures taken to mitigate climate change. Since re-launching as the Global Methane Initiative, members are now addressing methane abatement as well as commercial use of fugitive emissions, and targeting additional emission sources such as wastewater. Two successful expos have been held in China in 2007 and India in 2010 to demonstrate methane technologies, practices and projects.

The Initiative now has 34 members, including all of the 10 largest methane emitters in the world (Australia is the 10th largest methane emitter). A large number of its members are developing countries with a broad geographical spread, including Argentina, Brazil, Chile, China, Colombia, the Dominican Republic, Ecuador, Ethiopia, Ghana, India, Indonesia, Mexico, Mongolia, Nicaragua, Nigeria, Pakistan, Peru, the Philippines, Republic of Korea, Thailand and Vietnam.

In the five years since its inception, the former M2M has brokered or initiated 170 projects which are currently reducing 27 Mt CO₂-e, which will rise to 63 Mt CO₂-e when the projects are fully implemented.

Australia was one of the 14 founding members of the former M2M and nominated members to all four subcommittees. The Initiative is a cross-portfolio issue covering responsibilities of the Department of Resources, Energy and Tourism (RET), the Department of Agriculture Fisheries and Forestry (DAFF) and the Department of Climate Change and Energy Efficiency (DCCEE).

The Steering Committee is the key decision making body responsible for determining the new direction, policies and procedures of the Initiative. The eighth steering committee meeting was held in Mexico from 29-30 September 2010 in conjunction with the Ministerial meeting establishing the Initiative.

Australia has facilitating the participation of the least developed countries and other non-Annex I Parties in these processes through the involvement of developing country Parties as listed above.

- (e) Strengthening the capacity of developing country Parties identified in Article 4, paragraphs 8 and 9, of the Convention for improving efficiency in upstream and downstream activities relating to fossil fuels, taking into consideration the need to improve the environmental efficiency of these activities.

The response under paragraph (d) above addresses this point.

- (f) Assisting developing country Parties which are highly dependent on the export and consumption of fossil fuels in diversifying their economies.

Another important way for countries to reduce their exposure to measures taken to address climate change is to diversify their economy to use less emissions-intensive goods and services. Australia is actively involved in a number of initiatives to help developing countries build their trade resilience and diversify their economy. This support will help place countries in a much better position to adapt to trends in the global economy arising from responses taken to mitigate climate change.

Improving access to clean and affordable energy services in the Pacific

Australia has committed up to AUD\$25 million over four years, from 2009-10, to improve access to clean and affordable energy services in the Pacific. This commitment aims to assist Pacific island countries reduce reliance on imported fuel and vulnerability to fluctuating international fuel prices, and access cleaner, more secure and reliable sources of energy. This is an important part of building the capacity of developing country economies to respond to the impacts of measures taken to mitigate climate change.

Key components of the clean and affordable energy commitment include:

- assisting Pacific countries to better manage their energy resources through robust, whole of energy sector planning and implementation; and
- exploring options to broaden the base of energy sources to reduce dependence on carbon-intensive energy supplies including renewable energy.

An example of progress to date under the clean and affordable energy initiative includes assisting the Government of Tonga to develop an Energy Road Map (2010–2020), charting the course for reduced fossil fuel dependence and expanded access to reliable energy services for the population. The Road Map, agreed by the Government of Tonga and development partners in 2010, identifies appropriate renewable energy options for implementation in Tonga such as solar, as well as improved supply and demand side energy efficiency measures for reduced reliance on imported fuel.

These measures are being implemented over the coming years by the Government of Tonga in coordination with development partners. The Road Map will also have the effect of reducing the impact on Tonga of any increase in the price of carbon-intensive energy supplies arising from measures taken to address climate change.

Private sector development in the Pacific

Australia is assisting Pacific island countries build greater economic resilience and the ability to adjust to climate change response measures through sustainable private sector development and greater financial inclusion. A strong, sustainable private sector is essential to reducing poverty and increasing employment and income opportunities. Australia works in partnership with multilateral banks such as the International Financial Corporation (IFC) and the Asian Development Bank (ADB) on targeted programs such as access to finance, business regulatory reform, tourism and business technology to strengthen and stimulate small and medium enterprises and to help broaden the growth of the local economy.

Australia is providing AUD\$23 million to the IFC's Private Enterprise Partnership and the ADB's Private Sector Development Initiative. These programs focus on access to finance, business enabling environment reforms and tourism and enterprise development. Improving access to finance ensures that capital is available and can be accessed by small to medium enterprises at a reasonable cost. This includes promoting competition, promoting sustainable financial services and improving efficiencies to lower costs and increase the geographic spread of services. These activities can play a role in reducing dependence on fuel for transport, whose cost can be affected by measures taken by other countries to address climate change.

Improving the business enabling environment can serve to improve the conditions for doing business in the Pacific. The IFC's Business Enabling Environment (BEE) Program is a cross-cutting program that looks at policy issues and regulations that affect business.

Support for the tourism sector is aimed at building this important sector by building institutional capacity and strengthening the regulatory environment to support the development of tourism businesses.

In addition to supporting the private sector, Australia recognises the importance of greater financial inclusion so that households or customers can access basic financial services. The Pacific Microfinance Initiative with the IFC totalling AUD\$9.5 million is aimed at ensuring that basic financial services can be accessed by all sections of the community. The ability for people to save and borrow provides a greater resilience for consumers and households.

ANNEX 1: KEY CATEGORY ANALYSIS

A1.1 CONVENTION ACCOUNTING

A *key category* has a significant influence on a country's total inventory of direct greenhouse gases in terms of absolute level of emissions, the trend in emissions, or both. Australia has identified the key sources for the UNFCCC inventory using the Tier 1 level and trend assessments as recommended in the *IPCC Good Practice for LULUCF* (IPCC 2003). This approach identifies sources that contribute to 95% of the total emissions or 95% of the trend of the inventory in absolute terms.

When the LULUCF sector is included in the analysis, Australia has identified *public electricity (solid fuel)*, *grassland remaining grassland*, *land converted to grassland* as the most significant of the key categories (i.e. contributing more than 10% of the level or trend) in 2009. The full results for the 2009 key source analysis are reported in Tables A.1.1 to A.1.3.

When the LULUCF sector is excluded from the analysis the most significant key categories in 2009 are *public electricity (solid fuel)*, *road transportation (liquid fuels)* and *enteric fermentation (sheep)*. The results of this latter analysis are presented in Tables A.1.4 to A.1.6.

The Australian analysis has been undertaken using a relatively high degree of disaggregation of sources, which permits a greater degree of understanding of Australia's key categories. Past analyses by the UNFCCC secretariat of Australian data, using higher levels of aggregation common in the analyses undertaken by other countries, have not produced any important distinctions.

A1.2 KYOTO PROTOCOL LULUCF ACTIVITIES

The concept of key categories is also used for choosing the good practice estimation methods for emissions and removals due to activities under Articles 3.3 and 3.4 of the Kyoto Protocol. The KP-LULUCF key categories have been identified as outlined in the *IPCC Good Practice for LULUCF* (IPCC 2003).

For the Article 3.3 activities Australia has identified both deforestation and afforestation/reforestation as key categories. The results in the format of Table NIR 3 are presented in Table A.1.7

Table A.1.1: Key categories for Australia's 2009 inventory-level assessment including LULUCF

A		B	C	D	E	F
IPCC Source Category		Gas	Base Year Estimate	Current Year Estimate	Level Assessment	Cumulative Total
1.A.1.a	Public Electricity and Heat Production \ Solid Fuels	CO ₂	117909	184408	0.24	0.24
5.C.1	Grassland remaining Grassland	CO ₂	19066	92586	0.12	0.36
1.A.3.b	Road Transportation \ Liquid Fuels	CO ₂	53153	70029	0.09	0.45
4.A.1	Enteric Fermentation \ Cattle	CH ₄	39017	43895	0.06	0.50
5.C.2	Land converted to Grassland	CO ₂	105304	43407	0.06	0.56
5.A.1	Forest Land remaining Forest Land	CO ₂	43896	42258	0.05	0.61
5.B.1	Cropland remaining Cropland	CO ₂	22877	25777	0.03	0.65
1.A.1.a	Public Electricity and Heat Production \ Gaseous Fuels	CO ₂	8239	18937	0.02	0.67
1.B.1.a.1.1	Fugitives \ Coal Mining/Underground	CH ₄	13948	16293	0.02	0.69
5.A.2	Land converted to Forest Land	CO ₂	163	15012	0.02	0.71
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Gaseous Fuels	CO ₂	4593	11463	0.01	0.72
6.A.1	Managed Waste Disposal on Land	CH ₄	14216	11024	0.01	0.74
4.A.3	Enteric Fermentation \ Sheep	CH ₄	24595	10544	0.01	0.75
1.B.1.a.2.1	Fugitives \ Coal Mining \ Surface mines	CH ₄	3385	9140	0.01	0.76
4.E	Prescribed Burning of Savannas	CH ₄	4643	8530	0.01	0.77
1.A.4.b	Residential \ Gaseous Fuels	CO ₂	4613	7169	0.01	0.78
1.A.2.b	Non-Ferrous Metals \ Gaseous Fuels	CO ₂	4140	7049	0.01	0.79
1.A.4.c	Agriculture \ Forestry \ Fisheries \ Liquid Fuels	CO ₂	3372	6106	0.01	0.80
2.C.1.4	Iron and Steel \ Coke	CO ₂	9018	6020	0.01	0.81
1.A.3.a	Civil Aviation \ Liquid Fuels	CO ₂	2895	5943	0.01	0.82
1.A.2.f	Other (please specify) \ Mining \ Liquid Fuels	CO ₂	1741	5219	0.01	0.82
1.A.2.b	Non-Ferrous Metals \ Solid Fuels	CO ₂	3845	4815	0.01	0.83
1.A.1.b	Petroleum Refining \ Liquid Fuels	CO ₂	5243	4434	0.01	0.83
5.G	Other (Harvested Wood Products)	CO ₂	5044	4270	0.01	0.84
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-134a	0	4180	0.01	0.85
2.A.1	Cement Production	CO ₂	3463	3829	0.00	0.85
4.D.2	Pasture, Range and Paddock Manure	N ₂ O	4881	3647	0.00	0.86
4.E	Prescribed Burning of Savannas	N ₂ O	1966	3617	0.00	0.86
1.B.2.c.1.2	Venting and Flaring, Venting	CO ₂	1966	3598	0.00	0.86
4.D.3.1	Atmospheric Deposition	N ₂ O	3244	3491	0.00	0.87
2.B	Chemical Industry	N ₂ O	1035	3369	0.00	0.87
2.C.3	Aluminium Production	CO ₂	2021	3136	0.00	0.88
1.A.2.f	Other (please specify) \ Mineral industry \ Gaseous Fuels	CO ₂	2950	3080	0.00	0.88
1.A.2.b	Non-Ferrous Metals \ Liquid Fuels	CO ₂	2822	3067	0.00	0.89
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Liquid Fuels	CO ₂	958	3048	0.00	0.89
1.B.2.b.4	Fugitives \ Natural Gas \ Distribution	CH ₄	4093	3006	0.00	0.89
1.A.2.c	Chemicals \ Liquid Fuels	CO ₂	3263	2972	0.00	0.90
1.A.2.f	Other (please specify) \ Mineral industry \ Solid Fuels	CO ₂	2168	2710	0.00	0.90
4.D.1.1	Synthetic Fertilizers	N ₂ O	1530	2608	0.00	0.90

A		B	C	D	E	F
IPCC Source Category		Gas	Base Year Estimate	Current Year Estimate	Level Assessment	Cumulative Total
1.A.1.a	Public Electricity and Heat Production \ Liquid Fuels	CO ₂	2864	2506	0.00	0.91
1.A.4.a	Commercial \ Institutional \ Gaseous Fuels	CO ₂	1811	2411	0.00	0.91
1.A.3.c	Railways \ Liquid Fuels	CO ₂	1728	2367	0.00	0.91
4.D.3.2	Nitrogen Leaching and Run-off	N ₂ O	2477	2365	0.00	0.92
1.A.4.a	Commercial/Institutional \ Liquid Fuels	CO ₂	1233	2357	0.00	0.92
2.B	Chemical Industry	CO ₂	599	1921	0.00	0.92
1.A.2.e	Food Processing, Beverages and Tobacco \ Gaseous Fuels	CO ₂	1246	1780	0.00	0.92
1.A.2.c	Chemicals \ Gaseous Fuels	CO ₂	1441	1736	0.00	0.93
1.A.3.b	Road Transportation \ Liquid Fuels	N ₂ O	683	1689	0.00	0.93
5.A.1	Forest Land remaining Forest Land	CH ₄	1383	1660	0.00	0.93
1.B.2.c.2.2	Fugitives/Oil and Natural Gas/Natural Gas/Flaring	CO ₂	3601	1648	0.00	0.93
6.B.2.1	Domestic and Commercial (w/o human sewage) \ Sludge	CH ₄	1347	1610	0.00	0.93
1.A.2.f	Other (please specify) \ Construction \ Liquid Fuels	CO ₂	2809	1590	0.00	0.94
1.A.2.f	Other (please specify) \ Mining \ Gaseous Fuels	CO ₂	46	1567	0.00	0.94
2.A.3	Limestone and Dolomite Use	CO ₂	1300	1525	0.00	0.94
1.B.1.c	Fugitives \ Coal mining \ Decommissioned Mines	CH ₄	356	1460	0.00	0.94
1.A.2.a	Iron and Steel \ Solid Fuels	CO ₂	1196	1429	0.00	0.94
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-125	0	1388	0.00	0.95
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Solid Fuels	CO ₂	2353	1313	0.00	0.95
2.B	Chemical Industry	CO ₂	407	1256	0.00	0.95

Table A.1.2: Key categories for Australia's 2009 inventory—trend assessment including LULUCF

A		B	C	D	E	F	G
IPCC Source Categories		Gas	1990 Emissions	2009 Emissions	Trend Assessment	% Contribution to Trend	Cumulative Total of Column F
5.C.1	Grassland remaining Grassland	CO ₂	19066	92586	0.15	0.26	0.26
5.C.2	Land converted to Grassland	CO ₂	105304	43407	0.12	0.20	0.46
1.A.1.a	Public Electricity and Heat Production \ Solid Fuels	CO ₂	117909	184408	0.04	0.07	0.53
5.B.2	Land converted to Cropland	CO ₂	21882	216	0.04	0.06	0.59
4.A.3	Enteric Fermentation \ Sheep	CH ₄	24595	10544	0.03	0.05	0.64
5.A.2	Land converted to Forest Land	CO ₂	163	15012	0.02	0.03	0.67
5.A.1	Forest Land remaining Forest Land	CO ₂	43896	42258	0.02	0.03	0.70
1.A.1.a	Public Electricity and Heat Production \ Gaseous Fuels	CO ₂	8239	18937	0.01	0.02	0.72
6.A.1	Managed Waste Disposal on Land	CH ₄	14216	11024	0.01	0.02	0.74
4.A.1	Enteric Fermentation \ Cattle	CH ₄	39017	43895	0.01	0.01	0.75
2.C.1.4	Iron and Steel\Coke	CO ₂	9018	6020	0.01	0.01	0.77
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Gaseous Fuels	CO ₂	4593	11463	0.01	0.01	0.78
1.B.1.a.2.1	Fugitives\Coal Mining\Surface mines	CH ₄	3385	9140	0.01	0.01	0.79
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-134a	0	4180	0.01	0.01	0.80
2.C.3	Aluminium Production	CF ₄	3337	263	0.01	0.01	0.81
5.B.1	Cropland remaining Cropland	CO ₂	22877	25777	0.01	0.01	0.82
1.B.2.c.2.2	Fugitives/Oil and Natural Gas/Natural Gas/Flaring	CO ₂	3601	1648	0.00	0.01	0.82
1.A.2.f	Other (please specify) \ Mining \ Liquid Fuels	CO ₂	1741	5219	0.00	0.01	0.83
4.D.2	Pasture, Range and Paddock Manure	N ₂ O	4881	3647	0.00	0.01	0.83
4.E	Prescribed Burning of Savannas	CH ₄	4643	8530	0.00	0.01	0.84
5.C.2	Land converted to Grassland	CH ₄	2573	914	0.00	0.01	0.85
1.A.1.b	Petroleum Refining \ Liquid Fuels	CO ₂	5243	4434	0.00	0.01	0.85
1.B.2.b.4	Fugitives\Natural Gas\Distribution	CH ₄	4093	3006	0.00	0.01	0.86
5.G	Other (Harvested Wood Products)	CO ₂	5044	4270	0.00	0.00	0.86
1.A.3.a	Civil Aviation \ Liquid Fuels	CO ₂	2895	5943	0.00	0.00	0.87
1.A.2.f	Other (please specify) \ Construction \ Liquid Fuels	CO ₂	2809	1590	0.00	0.00	0.87
2.B	Chemical Industry	N ₂ O	1035	3369	0.00	0.00	0.87
1.B.1.a.1.1	Fugitives/Coal Mining/Underground	CH ₄	13948	16293	0.00	0.00	0.88
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Liquid Fuels	CO ₂	958	3048	0.00	0.00	0.88
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Solid Fuels	CO ₂	2353	1313	0.00	0.00	0.89
1.A.4.c	Agriculture/Forestry/Fisheries \ Liquid Fuels	CO ₂	3372	6106	0.00	0.00	0.89
1.A.2.b	Non-Ferrous Metals \ Gaseous Fuels	CO ₂	4140	7049	0.00	0.00	0.89
1.B.2.c.1.2	Venting and Flaring, Venting	CH ₄	1734	657	0.00	0.00	0.90
1.A.2.f	Other (please specify) \ Mining \ Gaseous Fuels	CO ₂	46	1567	0.00	0.00	0.90
2.E.1.1	Production of HCFC-22	HFC-23	1126	0	0.00	0.00	0.90
6.B.1	Industrial Wastewater \ Wastewater	CH ₄	1815	932	0.00	0.00	0.91

A		B	C	D	E	F	G
IPCC Source Categories		Gas	1990 Emissions	2009 Emissions	Trend Assessment	% Contribution to Trend	Cumulative Total of Column F
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-125	0	1388	0.00	0.00	0.91
1.A.4.b	Residential \ Biomass	CH ₄	1712	855	0.00	0.00	0.91
1.A.3.d	Navigation \ Liquid Fuels \ Residual Oil	CO ₂	1368	452	0.00	0.00	0.92
1.A.2.c	Chemicals \ Liquid Fuels	CO ₂	3263	2972	0.00	0.00	0.92
1.A.1.a	Public Electricity and Heat Production \ Liquid Fuels	CO ₂	2864	2506	0.00	0.00	0.92
1.A.4.b	Residential \ Gaseous Fuels	CO ₂	4613	7169	0.00	0.00	0.92
1.B.2.c.2.2	Fugitives/Oil and Natural Gas/Natural Gas/Flaring	CH ₄	944	61	0.00	0.00	0.93
2.B	Chemical Industry	CO ₂	599	1921	0.00	0.00	0.93
4.E	Prescribed Burning of Savannas	N ₂ O	1966	3617	0.00	0.00	0.93
1.B.2.c.1.2	Venting and Flaring, Venting	CO ₂	1966	3598	0.00	0.00	0.93
1.A.2.c	Chemicals \ Solid Fuels	CO ₂	1079	364	0.00	0.00	0.94
1.A.3.b	Road Transportation \ Liquid Fuels	CO ₂	53153	70029	0.00	0.00	0.94
1.B.1.c	Fugitives \ Coal mining \ Decommissioned Mines	CH ₄	356	1460	0.00	0.00	0.94
1.B.2.c.2.1	Fugitives \ Oil and Natural Gas/Oil/Flaring	CO ₂	0	973	0.00	0.00	0.94
5.G	Agricultural Liming	CO ₂	170	1073	0.00	0.00	0.94
4.D.3.2	Nitrogen Leaching and Run-off	N ₂ O	2477	2365	0.00	0.00	0.95
1.A.3.b	Road Transportation \ Liquid Fuels	N ₂ O	683	1689	0.00	0.00	0.95
1.A.4.a	Commercial/Institutional \ Liquid Fuels	CO ₂	1233	2357	0.00	0.00	0.95

Table A.1.3: Key categories for Australia's 2009 inventory—summary including LULUCF

A		B	C	D
IPCC Source Categories		Direct Greenhouse Gas	Key Source Category Flag	If Column C is Yes, Criteria for Identification
1.A.1.a	Public Electricity and Heat Production \ Solid Fuels	CO ₂	YES	Level, Trend
1.A.1.a	Public Electricity and Heat Production \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.1.a	Public Electricity and Heat Production \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.1.b	Petroleum Refining \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Solid Fuels	CO ₂	YES	Level, Trend
1.A.2.a	Iron and Steel \ Solid Fuels	CO ₂	YES	Level
1.A.2.b	Non-Ferrous Metals \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.2.b	Non-Ferrous Metals \ Solid Fuels	CO ₂	YES	Level
1.A.2.b	Non-Ferrous Metals \ Liquid Fuels	CO ₂	YES	Level
1.A.2.c	Chemicals \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.2.c	Chemicals \ Solid Fuels	CO ₂	YES	Trend
1.A.2.c	Chemicals \ Gaseous Fuels	CO ₂	YES	Level
1.A.2.e	Food Processing, Beverages and Tobacco \ Gaseous Fuels	CO ₂	YES	Level
1.A.2.f	Other (please specify) \ Construction \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.2.f	Other (please specify) \ Mineral industry \ Gaseous Fuels	CO ₂	YES	Level
1.A.2.f	Other (please specify) \ Mineral industry \ Solid Fuels	CO ₂	YES	Level
1.A.2.f	Other (please specify) \ Mining \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.2.f	Other (please specify) \ Mining \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.3.a	Civil Aviation \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.3.b	Road Transportation \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.3.b	Road Transportation \ Liquid Fuels	N ₂ O	YES	Level, Trend
1.A.3.c	Railways \ Liquid Fuels	CO ₂	YES	Level
1.A.3.d	Navigation \ Liquid Fuels \ Fuel Oils	CO ₂	YES	Trend
1.A.4.a	Commercial Institutional \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.4.a	Commercial Institutional \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.4.b	Residential \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.4.b	Residential \ Biomass Fuels	CH ₄	YES	Trend
1.A.4.c	Agriculture Forestry Fisheries \ Liquid Fuels	CO ₂	YES	Level, Trend
1.B.1.a.1.1	Fugitives \ Coal Mining\Underground	CH ₄	YES	Level, Trend
1.B.1.a.2.1	Fugitives \ Coal Mining\Surface mines	CH ₄	YES	Level, Trend
1.B.1.c	Fugitives \ Coal mining \ Decommissioned Mines	CH ₄	YES	Level, Trend
1.B.2.b.4	Fugitives\Natural Gas\Distribution	CH ₄	YES	Level, Trend
1.B.2.c.1.2	Fugitives \ Oil and Natural Gas\Venting	CO ₂	YES	Level
1.B.2.c.1.2	Fugitives \ Oil and Natural Gas\Venting	CH ₄	YES	Trend
1.B.2.c.2.1	Fugitives \ Oil and Natural Gas/Natural Gas/Flaring	CO ₂	YES	Level, Trend

A		B	C	D
IPCC Source Categories		Direct Greenhouse Gas	Key Source Category Flag	If Column C is Yes, Criteria for Identification
1.B.2.c.2.2	Fugitives \ Oil and Natural Gas \ Natural Gas \ Flaring	CH ₄	YES	Trend
1.B.2.c.2.2	Fugitives \ Oil and Natural Gas \ Oil \ Flaring	CO ₂	YES	Trend
2.A.1	Cement Production	CO ₂	YES	Level
2.A.3	Limestone and Dolomite Use	CO ₂	YES	Level
2.B	Chemical Industry	CO ₂	YES	Level, Trend
2.B	Chemical Industry	N ₂ O	YES	Level, Trend
2.C.1.4	Iron and Steel \ Coke	CO ₂	YES	Level, Trend
2.C.3	Aluminium Production	CO ₂	YES	Level
2.C.3	Aluminium Production	CF ₄	YES	Trend
2.E.1.1	Production of HCFC-22	HFC-23	YES	Trend
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-134a	YES	Level, Trend
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-125	YES	Level, Trend
4.A.1	Enteric Fermentation \ Cattle	CH ₄	YES	Level, Trend
4.A.3	Enteric Fermentation \ Sheep	CH ₄	YES	Level, Trend
4.D.1.1	Synthetic Fertilizers	N ₂ O	YES	Level
4.D.2	Pasture, Range and Paddock Manure	N ₂ O	YES	Level, Trend
4.D.3.1	Atmospheric Deposition	N ₂ O	YES	Level
4.D.3.2	Nitrogen Leaching and Run-off	N ₂ O	YES	Level, Trend
4.E	Prescribed Burning of Savannas	CH ₄	YES	Level, Trend
4.E	Prescribed Burning of Savannas	N ₂ O	YES	Level, Trend
5.A.1	Forest Land remaining Forest Land	CO ₂	YES	Level, Trend
5.A.1	Forest Land remaining Forest Land	CH ₄	YES	Level
5.A.2	Land converted to Forest Land	CO ₂	YES	Level, Trend
5.B.1	Cropland remaining Cropland	CO ₂	YES	Level, Trend
5.B.2	Land converted to Cropland	CO ₂	YES	Level, Trend
5.C.1	Grassland remaining Grassland	CO ₂	YES	Level, Trend
5.C.2	Land converted to Grassland	CO ₂	YES	Level, Trend
5.C.2	Land converted to Grassland	CH ₄	YES	Trend
5.G	Harvested Wood Products	CO ₂	YES	Level, Trend
5.G	Agricultural Liming	CO ₂	YES	Trend
6.A.1	Managed Waste Disposal on Land	CH ₄	YES	Level, Trend
6.B.1	Industrial Wastewater \ Wastewater	CH ₄	YES	Trend
6.B.2.1	Domestic and Commercial (w/o human sewage) \ Sludge	CH ₄	YES	Level

Table A.1.4: Key categories for Australia's 2009 inventory-level assessment excluding LULUCF

A		B	C	D	E	F
IPCC Source Category		Gas	Base Year Estimate	Current Year Estimate	Level Assessment	Cumulative Total
1.A.1.a	Public Electricity and Heat Production \ Solid Fuels	CO ₂	117909	184408	0.34	0.34
1.A.3.b	Road Transportation \ Liquid Fuels	CO ₂	53153	70029	0.13	0.47
4.A.1	Enteric Fermentation \ Cattle	CH ₄	39017	43895	0.08	0.55
1.A.1.a	Public Electricity and Heat Production \ Gaseous Fuels	CO ₂	8239	18937	0.03	0.58
1.B.1.a.1.1	Fugitives/Coal Mining/Underground	CH ₄	13948	16293	0.03	0.61
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Gaseous Fuels	CO ₂	4593	11463	0.02	0.63
6.A.1	Managed Waste Disposal on Land	CH ₄	14216	11024	0.02	0.65
4.A.3	Enteric Fermentation \ Sheep	CH ₄	24595	10544	0.02	0.67
1.B.1.a.2.1	Fugitives \ Coal Mining \ Surface mines	CH ₄	3385	9140	0.02	0.69
4.E	Prescribed Burning of Savannas	CH ₄	4643	8530	0.02	0.70
1.A.4.b	Residential \ Gaseous Fuels	CO ₂	4613	7169	0.01	0.72
1.A.2.b	Non-Ferrous Metals \ Gaseous Fuels	CO ₂	4140	7049	0.01	0.73
1.A.4.c	Agriculture \ Forestry \ Fisheries \ Liquid Fuels	CO ₂	3372	6106	0.01	0.74
2.C.1.4	Iron and Steel\Coke	CO ₂	9018	6020	0.01	0.75
1.A.3.a	Civil Aviation \ Liquid Fuels	CO ₂	2895	5943	0.01	0.76
1.A.2.f	Other (please specify) \ Mining \ Liquid Fuels	CO ₂	1741	5219	0.01	0.77
1.A.2.b	Non-Ferrous Metals \ Solid Fuels	CO ₂	3845	4815	0.01	0.78
1.A.1.b	Petroleum Refining \ Liquid Fuels	CO ₂	5243	4434	0.01	0.79
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-134a	0	4180	0.01	0.80
2.A.1	Cement Production	CO ₂	3463	3829	0.01	0.80
4.D.2	Pasture, Range and Paddock Manure	N ₂ O	4881	3647	0.01	0.81
4.E	Prescribed Burning of Savannas	N ₂ O	1966	3617	0.01	0.82
1.B.2.c.1.2	Venting and Flaring, Venting	CO ₂	1966	3598	0.01	0.82
4.D.3.1	Atmospheric Deposition	N ₂ O	3244	3491	0.01	0.83
2.B	Chemical Industry	N ₂ O	1035	3369	0.01	0.84
2.C.3	Aluminium Production	CO ₂	2021	3136	0.01	0.84
1.A.2.f	Other (please specify) \ Mineral industry \ Gaseous Fuels	CO ₂	2950	3080	0.01	0.85
1.A.2.b	Non-Ferrous Metals \ Liquid Fuels	CO ₂	2822	3067	0.01	0.85
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Liquid Fuels	CO ₂	958	3048	0.01	0.86
1.B.2.b.4	Fugitives \ Natural Gas\Distribution	CH ₄	4093	3006	0.01	0.86
1.A.2.c	Chemicals \ Liquid Fuels	CO ₂	3263	2972	0.01	0.87
1.A.2.f	Other (please specify) \ Mineral industry \ Solid Fuels	CO ₂	2168	2710	0.00	0.87
4.D.1.1	Synthetic Fertilizers	N ₂ O	1530	2608	0.00	0.88
1.A.1.a	Public Electricity and Heat Production \ Liquid Fuels	CO ₂	2864	2506	0.00	0.88
1.A.4.a	Commercial/Institutional \ Gaseous Fuels	CO ₂	1811	2411	0.00	0.89
1.A.3.c	Railways \ Liquid Fuels	CO ₂	1728	2367	0.00	0.89
4.D.3.2	Nitrogen Leaching and Run-off	N ₂ O	2477	2365	0.00	0.90
1.A.4.a	Commercial/Institutional \ Liquid Fuels	CO ₂	1233	2357	0.00	0.90

A		B	C	D	E	F
IPCC Source Category		Gas	Base Year Estimate	Current Year Estimate	Level Assessment	Cumulative Total
2.B	Chemical Industry	CO ₂	599	1921	0.00	0.90
1.A.2.e	Food Processing, Beverages and Tobacco \ Gaseous Fuels	CO ₂	1246	1780	0.00	0.91
1.A.2.c	Chemicals \ Gaseous Fuels	CO ₂	1441	1736	0.00	0.91
1.A.3.b	Road Transportation \ Liquid Fuels	N ₂ O	683	1689	0.00	0.91
1.B.2.c.2.2	Fugitives/Oil and Natural Gas/Natural Gas/Flaring	CO ₂	3601	1648	0.00	0.92
6.B.2.1	Domestic and Commercial (w/o human sewage) \ Sludge	CH ₄	1347	1610	0.00	0.92
1.A.2.f	Other (please specify) \ Construction \ Liquid Fuels	CO ₂	2809	1590	0.00	0.92
1.A.2.f	Other (please specify) \ Mining \ Gaseous Fuels	CO ₂	46	1567	0.00	0.93
2.A.3	Limestone and Dolomite Use	CO ₂	1300	1525	0.00	0.93
1.B.1.c	Fugitives\ Coal mining \ Decommissioned Mines	CH ₄	356	1460	0.00	0.93
1.A.2.a	Iron and Steel \ Solid Fuels	CO ₂	1196	1429	0.00	0.93
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-125	0	1388	0.00	0.94
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Solid Fuels	CO ₂	2353	1313	0.00	0.94
2.B	Chemical Industry	CO ₂	407	1256	0.00	0.94
1.A.2.a	Iron and Steel \ Gaseous Fuels	CO ₂	1383	1243	0.00	0.94
1.A.4.b	Residential \ Liquid Fuels	CO ₂	1317	1172	0.00	0.95
4.B.8	Manure Management \ Swine	CH ₄	1050	1132	0.00	0.95
1.A.2.d	Pulp, Paper and Print \ Gaseous Fuels	CO ₂	817	1090	0.00	0.95

Table A.1.5: Key categories for Australia's 2009 inventory—trend assessment excluding LULUCF

A		B	C	D	E	F	G
IPCC Source Categories		Gas	1990 Emissions	2009 Emissions	Trend Assessment	% Contribution to Trend	Cumulative Total of Column F
1.A.1.a	Public Electricity and Heat Production \ Solid Fuels	CO ₂	117909	184408	0.04	0.17	0.17
4.A.3	Enteric Fermentation \ Sheep	CH ₄	24595	10544	0.03	0.12	0.30
1.A.1.a	Public Electricity and Heat Production \ Gaseous Fuels	CO ₂	8239	18937	0.01	0.05	0.35
6.A.1	Managed Waste Disposal on Land	CH ₄	14216	11024	0.01	0.04	0.39
4.A.1	Enteric Fermentation \ Cattle	CH ₄	39017	43895	0.01	0.04	0.43
2.C.1.4	Iron and Steel\Coke	CO ₂	9018	6020	0.01	0.03	0.46
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Gaseous Fuels	CO ₂	4593	11463	0.01	0.03	0.49
1.B.1.a.2.1	Fugitives\Coal Mining\Surface mines	CH ₄	3385	9140	0.01	0.03	0.52
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-134a	0	4180	0.01	0.02	0.54
2.C.3	Aluminium Production	CF ₄	3337	263	0.01	0.02	0.57
1.B.2.c.2.2	Fugitives/Oil and Natural Gas/Natural Gas/Flaring	CO ₂	3601	1648	0.00	0.02	0.59
1.A.2.f	Other (please specify) \ Mining \ Liquid Fuels	CO ₂	1741	5219	0.00	0.02	0.60
4.D.2	Pasture, Range and Paddock Manure	N ₂ O	4881	3647	0.00	0.02	0.62
4.E	Prescribed Burning of Savannas	CH ₄	4643	8530	0.00	0.01	0.63
1.A.1.b	Petroleum Refining \ Liquid Fuels	CO ₂	5243	4434	0.00	0.01	0.65
1.B.2.b.4	Fugitives\Natural Gas\Distribution	CH ₄	4093	3006	0.00	0.01	0.66
1.A.3.a	Civil Aviation \ Liquid Fuels	CO ₂	2895	5943	0.00	0.01	0.67
1.A.2.f	Other (please specify) \ Construction \ Liquid Fuels	CO ₂	2809	1590	0.00	0.01	0.68
2.B	Chemical Industry	N ₂ O	1035	3369	0.00	0.01	0.70
1.B.1.a.1.1	Fugitives/Coal Mining/Underground	CH ₄	13948	16293	0.00	0.01	0.71
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Liquid Fuels	CO ₂	958	3048	0.00	0.01	0.72
1.A.1.c	Manufacture of Solid Fuels and Other Energy Industries \ Solid Fuels	CO ₂	2353	1313	0.00	0.01	0.73
1.A.4.c	Agriculture/Forestry/Fisheries \ Liquid Fuels	CO ₂	3372	6106	0.00	0.01	0.74
1.A.2.b	Non-Ferrous Metals \ Gaseous Fuels	CO ₂	4140	7049	0.00	0.01	0.75
1.B.2.c.1.2	Venting and Flaring, Venting	CH ₄	1734	657	0.00	0.01	0.76
1.A.2.f	Other (please specify) \ Mining \ Gaseous Fuels	CO ₂	46	1567	0.00	0.01	0.76
2.E.1.1	Production of HCFC-22	HFC-23	1126	0	0.00	0.01	0.77
6.B.1	Industrial Wastewater \ Wastewater	CH ₄	1815	932	0.00	0.01	0.78
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-125	0	1388	0.00	0.01	0.79
1.A.4.b	Residential \ Biomass	CH ₄	1712	855	0.00	0.01	0.80
1.A.3.d	Navigation \ Liquid Fuels \ Residual Oil	CO ₂	1368	452	0.00	0.01	0.80
1.A.2.c	Chemicals \ Liquid Fuels	CO ₂	3263	2972	0.00	0.01	0.81
1.A.1.a	Public Electricity and Heat Production \ Liquid Fuels	CO ₂	2864	2506	0.00	0.01	0.82
1.B.2.c.2.2	Fugitives/Oil and Natural Gas/Natural Gas/Flaring	CH ₄	944	61	0.00	0.01	0.83
1.A.4.b	Residential \ Gaseous Fuels	CO ₂	4613	7169	0.00	0.01	0.83

A		B	C	D	E	F	G
IPCC Source Categories		Gas	1990 Emissions	2009 Emissions	Trend Assessment	% Contribution to Trend	Cumulative Total of Column F
2.B	Chemical Industry	CO ₂	599	1921	0.00	0.01	0.84
4.E	Prescribed Burning of Savannas	N ₂ O	1966	3617	0.00	0.01	0.84
1.A.2.c	Chemicals \ Solid Fuels	CO ₂	1079	364	0.00	0.01	0.85
1.B.2.c.1.2	Venting and Flaring, Venting	CO ₂	1966	3598	0.00	0.01	0.86
1.B.1.c	Fugitives\ Coal mining \ Decommissioned Mines	CH ₄	356	1460	0.00	0.01	0.86
1.B.2.c.2.1	Fugitives/Oil and Natural Gas/Oil/Flaring	CO ₂	0	973	0.00	0.01	0.87
4.D.3.2	Nitrogen Leaching and Run-off	N ₂ O	2477	2365	0.00	0.00	0.87
1.A.3.b	Road Transportation \ Liquid Fuels	N ₂ O	683	1689	0.00	0.00	0.88
1.A.2.f	Other (please specify) \ Mineral industry \ Gaseous Fuels	CO ₂	2950	3080	0.00	0.00	0.88
2.C.3	Aluminium Production	C2F6	613	45	0.00	0.00	0.89
1.A.2.f	Other (please specify) \ Other non-specified \ Gaseous Fuels	CO ₂	1046	614	0.00	0.00	0.89
1.A.4.a	Commercial/Institutional \ Liquid Fuels	CO ₂	1233	2357	0.00	0.00	0.90
4.D.3.1	Atmospheric Deposition	N ₂ O	3244	3491	0.00	0.00	0.90
2.B	Chemical Industry	CO ₂	407	1256	0.00	0.00	0.90
4.B.13	Manure Management \ Solid storage and dry lot	N ₂ O	202	984	0.00	0.00	0.91
1.A.2.e	Food Processing, Beverages and Tobacco \ Solid Fuels	CO ₂	1190	856	0.00	0.00	0.91
2.A.1	Cement Production	CO ₂	3463	3829	0.00	0.00	0.92
1.A.3.b	Road Transportation \ Liquid Fuels	CO ₂	53153	70029	0.00	0.00	0.92
1.A.3.d	Navigation \ Liquid Fuels \ Gas/Diesel Oil	CO ₂	302	1014	0.00	0.00	0.92
1.A.2.b	Non-Ferrous Metals \ Liquid Fuels	CO ₂	2822	3067	0.00	0.00	0.93
4.D.1.1	Synthetic Fertilizers	N ₂ O	1530	2608	0.00	0.00	0.93
4.C.1.1	Continuously Flooded	CH ₄	490	46	0.00	0.00	0.93
1.A.2.a	Iron and Steel \ Gaseous Fuels	CO ₂	1383	1243	0.00	0.00	0.94
1.A.4.b	Residential \ Liquid Fuels	CO ₂	1317	1172	0.00	0.00	0.94
1.A.4.a	Commercial/Institutional \ Solid Fuels	CO ₂	512	136	0.00	0.00	0.94
1.A.2.d	Pulp, Paper and Print \ Solid Fuels	CO ₂	334	953	0.00	0.00	0.95
2.C.3	Aluminium Production	CO ₂	2021	3136	0.00	0.00	0.95

Table A.1.6: Key categories for Australia's 2009 inventory—summary excluding LULUCF

A		B	C	D
IPCC Source Categories		Gas	Key Source Category Flag	If Column C is Yes, Criteria for Identification
1.A.1.a	Public Electricity and Heat Production \ Solid Fuels	CO ₂	YES	Level, Trend
1.A.1.A	Public Electricity and Heat Production \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.1.A	Public Electricity and Heat Production \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.1.B	Petroleum Refining \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.1.C	Manufacture of Solid Fuels and Other Energy Industries \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.1.C	Manufacture of Solid Fuels and Other Energy Industries \ Solid Fuels	CO ₂	YES	Level, Trend
1.A.1.C	Manufacture of Solid Fuels and Other Energy Industries \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.2.A	Iron and Steel \ Solid Fuels	CO ₂	YES	Level
1.A.2.A	Iron and Steel \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.2.B	Non-Ferrous Metals \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.2.B	Non-Ferrous Metals \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.2.B	Non-Ferrous Metals \ Solid Fuels	CO ₂	YES	Level
1.A.2.C	Chemicals \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.2.C	Chemicals \ Solid Fuels	CO ₂	YES	Trend
1.A.2.C	Chemicals \ Gaseous Fuels	CO ₂	YES	Level
1.A.2.D	Pulp, Paper and Print \ Solid Fuels	CO ₂	YES	Trend
1.A.2.D	Pulp, Paper and Print \ Gaseous Fuels	CO ₂	YES	Level
1.A.2.E	Food Processing, Beverages and Tobacco \ Solid Fuels	CO ₂	YES	Trend
1.A.2.E	Food Processing, Beverages and Tobacco \ Gaseous Fuels	CO ₂	YES	Level
1.A.2.f	Other (please specify) \ Construction \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.2.f	Other (please specify) \ Mineral industry \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.2.f	Other (please specify) \ Mineral industry \ Solid Fuels	CO ₂	YES	Level
1.A.2.f	Other (please specify) \ Mining \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.2.f	Other (please specify) \ Mining \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.2.f	Other (please specify) \ Other non-specified \ Gaseous Fuels	CO ₂	YES	Trend
1.A.3.a	Civil Aviation \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.3.b	Road Transportation \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.3.b	Road Transportation \ Liquid Fuels	N ₂ O	YES	Level, Trend
1.A.3.c	Railways \ Liquid Fuels	CO ₂	YES	Level
1.A.3.d	Navigation \ Liquid Fuels\ Fuel Oils	CO ₂	YES	Trend
1.A.4.a	Commercial Institutional \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.4.a	Commercial Institutional \ Liquid Fuels	CO ₂	YES	Level, Trend
1.A.4.a	Commercial Institutional \ Solid Fuels	CO ₂	YES	Trend
1.A.4.b	Residential \ Biomass	CH ₄	YES	Trend
1.A.4.b	Residential \ Gaseous Fuels	CO ₂	YES	Level, Trend
1.A.4.b	Residential \ Liquid Fuels	CO ₂	YES	Trend
1.A.4.c	Agriculture Forestry Fisheries \ Liquid Fuels	CO ₂	YES	Level, Trend

A		B	C	D
IPCC Source Categories		Gas	Key Source Category Flag	If Column C is Yes, Criteria for Identification
1.A.5.b	Mobile \ Military use \ Liquid Fuels	CO ₂	YES	Level, Trend
1.B.1.a	Fugitives\Coal Mining\Underground	CH ₄	YES	Level, Trend
1.B.1.a	Fugitives\Coal Mining\Surface mines	CH ₄	YES	Level, Trend
1.B.1.c	Fugitives\ Coal mining \ Decommissioned Mines	CH ₄	YES	Level, Trend
1.B.2.b	Fugitives\Natural Gas\Distribution	CH ₄	YES	Level, Trend
1.B.2.C.1.2	Fugitives\Oil and Natural Gas\Venting	CH ₄	YES	Trend
1.B.2.C.1.2	Fugitives\Oil and Natural Gas\Venting	CO ₂	YES	Level, Trend
1.B.2.c.2.1	Fugitives/Oil and Natural Gas/Natural Gas/Flaring	CO ₂	YES	Level, Trend
1.B.2.c.2.2	Fugitives/Oil and Natural Gas/Natural Gas/Flaring	CH ₄	YES	Trend
1.B.2.c.2.2	Fugitives/Oil and Natural Gas/Oil/Flaring	CO ₂	YES	Trend
2.A.1	Cement Production	CO ₂	YES	Level, Trend
2.A.3	Limestone and Dolomite Use	CO ₂	YES	Level
2.B	Chemical Industry	CO ₂	YES	Level, Trend
2.B	Chemical Industry	N ₂ O	YES	Level, Trend
2.C.1	Iron and Steel\Coke	CO ₂	YES	Level, Trend
2.C.3	Aluminium Production	CO ₂	YES	Level, Trend
2.C.3	Aluminium Production	CF ₄	YES	Trend
2.C.3	Aluminium Production	C ₂ F ₆	YES	Trend
2.E.1	Production of HCFC-22	HFC-23	YES	Trend
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-134a	YES	Level, Trend
2.F.1	Refrigeration and Air Conditioning Equipment	HFC-125	YES	Level, Trend
4.A.1	Enteric Fermentation \ Cattle	CH ₄	YES	Level, Trend
4.A.3	Enteric Fermentation \ Sheep	CH ₄	YES	Level, Trend
4.B.8	Manure Management \ Swine	CH ₄	YES	Level
4.B.13	Manure Management \ Solid storage and dry lot	N ₂ O	YES	Trend
4.C	Rice Cultivation	CH ₄	YES	Trend
4.D.1.1	Synthetic Fertilizers	N ₂ O	YES	Level, Trend
4.D.2	Pasture, Range and Paddock Manure	N ₂ O	YES	Level, Trend
4.D.3.1	Atmospheric Deposition	N ₂ O	YES	Level, Trend
4.D.3.2	Nitrogen leaching and runoff	N ₂ O	YES	Level, Trend
4.E	Prescribed Burning of Savannas	CH ₄	YES	Level, Trend
4.E	Prescribed Burning of Savannas	N ₂ O	YES	Level, Trend
6.A.1	Managed Waste Disposal on Land	CH ₄	YES	Level, Trend
6.B.1	Industrial Wastewater \ Wastewater	CH ₄	YES	Trend
6.B.2.1	Domestic and Commercial (w/o human sewage) \ Sludge	CH ₄	YES	Level

Table A.1.7: Summary overview for key categories for Land use, Land-use Change and Forestry activities under the Kyoto Protocol – 2009

Criteria used for Key Category Identification					
Key Categories of Emissions and Removals	Gas	Associated category in UNFCCC inventory is key	Category contribution is greater than the smallest category considered key in the UNFCCC inventory (including LULUCF)	Other	Comments
Afforestation/Reforestation	CO ₂	Land converted to forest	YES	NA	UNFCCC category is key, category is greater than smallest UNFCCC key category
Deforestation	CO ₂	Land converted to cropland Land converted grassland	YES	NA	UNFCCC category is key, category is greater than smallest UNFCCC key category
Deforestation	CH ₄	Land converted to cropland	NO	NA	UNFCCC category is key

ANNEX 2: METHODOLOGY AND DATA FOR ESTIMATING CARBON DIOXIDE EMISSIONS FROM FOSSIL FUEL COMBUSTION

The Australian methodology and data descriptions for the estimation of this inventory have been documented in chapter 3.

ANNEX 3: OTHER DETAILED METHODOLOGICAL DESCRIPTIONS

The Australian methodology for the estimation of this inventory is documented in the relevant chapters.

ANNEX 4: CARBON DIOXIDE REFERENCE APPROACH FOR THE ENERGY SECTOR

Estimation of CO₂ Using the IPCC Reference Approach

The reference approach estimates CO₂ emissions from *fuel combustion activities* (covering both *stationary energy* and *transport*). It is calculated using a top-down approach based on national energy statistics for production, imports, exports and stock change. Data are obtained from the ABARE Australian national energy statistics balance, supplemented by specific sectoral data where available. The Australian Petroleum Statistics are used as a basis for the liquid fossil fuel data. The ABARE Australian national energy statistics balance is shown below in Table A.4.1.

Comparison of Australian Methodology with IPCC Reference Approach

Total CO₂ emissions estimated using Australia's National approach methodology are 373.2 Mt. Total CO₂ emissions estimated using the reference approach are 373.0 Mt – this is a 0.04% difference between the two methods.

Table A.4.1: Australian Energy Statistics

A1 Australian energy supply and disposal, 2008-09 - energy units																			
	Black coal	Brown coal	BKB briquettes	Met. coke	Coal by-products	Natural gas CSM	Crude oil and ORF	Propane, butane LPG	Refined products	Liquid/gas biofuels	Biomass wood	Biomass bagasse	Wind electricity	Solar electricity	Hydro-electricity	Total electricity	Solar hotwater	Uranium	Total
	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ	PJ
Supply																			
Primary indigenous	8903.9	668.2				1915.9	1028.1	104.1		23.8	102.0	110.1	13.7	0.6	44.3		8.2	4846.1	
plus all imports						238.3	944.4	25.7	695.6										17769.0
less all exports ^a	7410.7	0.0				838.3	617.1	64.2	125.8									4753.6	13809.6
less stock changes																			
and discrepancies	-118.6	17.6	0.0	9.6	-0.4	82.4	-82.8	11.4	79.0									92.5	90.7
Total domestic availability	1611.8	650.6	0.0	-9.6	0.4	1233.4	1438.2	54.2	490.8	23.8	102.0	110.1	13.7	0.6	44.3		8.2		5772.6
less conversions																			
Coke ovens	102.1			-73.2	-18.6				0.7							0.1			11.1
Briquetting		5.3	-2.7						0.0							0.2			2.8
Petroleum refining					0.2	20.6	1480.6	-38.0	-1442.7							6.8			27.6
Gas manufacturing					0.4			-2.3								0.0			-1.9
Electricity generation ^b	1360.9	645.3	0.9		2.8	367.7	3.3	0.1	31.4	15.4	18.1		13.7	0.6	44.3	-890.7			1613.7
Other conversion ^c				54.1	-18.4		-49.1	-6.8	14.3							-48.8			-54.6
Fuel use in conversion						22.8		2.3	111.1							130.4			266.6
Final domestic availability ^d	148.8	0.0	1.8	9.4	34.4	821.9	3.3	98.9	1775.9	8.4	83.9	110.1				801.9	8.2		3907.1
Disposal																			
Agriculture						0.1		1.8	86.9							6.2			95.1
Mining	5.5		0.1	0.3	1.1	244.9	2.0	1.7	114.3	0.0						73.7			443.6
Food beverages, textiles	10.0		0.2			40.1	0.5	1.1	1.9	0.9	4.7	110.1				30.2			199.9
Wood, paper and printing	10.8					21.3		0.8	0.6		18.6					21.7			73.9
Chemical	2.1		0.6	0.8	4.0	86.1		9.5	58.7							15.1			177.0
Iron and steel	17.9			1.4	28.6	21.2		0.5	1.9							28.4			100.0
Non-ferrous metals	67.4			6.7	0.3	139.3	0.8	0.6	58.8		2.4					176.4			452.7
Other industry	29.5	0.0		0.3	0.3	77.0		5.7	6.1	1.2	0.9					24.5			145.5
Construction						3.1		0.2	22.2							0.3			25.8
Road transport						1.8		59.5	1003.3	5.6									1070.2
Rail transport						0.0		0.0	34.1							8.8			43.0
Air transport ^a								0.0	230.3										230.3
Water transport ^a	4.8					0.1			60.3										65.2
Commercial and services	0.7		0.8			47.1		3.4	31.3	0.7	0.3					202.8	0.3		287.4
Residential			0.0			139.8		14.1	1.2		57.0					213.7	8.0		433.8
Lubricants, bitumen, solvents									63.8										63.8
Gross final energy																			
disposal	148.8	0.0	1.8	9.4	34.4	821.9	3.3	98.9	1775.9	8.4	83.9	110.1				801.9	8.2		3907.1
Total may not add due to rounding																			
^a Includes air and water transport bunker fuels.																			
^b Grid connected power stations only, except for Total electricity.																			
^c Includes return streams to refineries from the petrochemical industry; consumption of coke in blast furnaces; blast furnace gas manufacture; electricity produced through cogeneration and lignite tar in char manufacture.																			
^d After conversion sector use and losses. Equals gross final energy disposal which is the final disposal of energy within the end use sectors.																			
Because it is not possible to separate the fuels used to produce embedded electricity, those fuels are included in the industry in which production occurs although the electricity produced is included under Total electricity against Electricity generation and Other conversion.																			

ANNEX 5: ASSESSMENT OF COMPLETENESS

The UNFCCC Guidelines require inventory compilers to assess inventories for the level of completeness of national inventories. The sources of greenhouse gas emissions are many and diverse and, in general, are not directly observable without considerable cost. Many emission sources are minor and resource intensive to estimate. Consequently, all national inventories have minor omissions which, for transparency, need to be identified. This section addresses the completeness of key activity datasets, such as the consumption of fossil fuels, and the completeness of the coverage of emissions and removals sources for the Australian inventory.

Completeness of Activity Data

The emission estimates were reviewed for internal consistency and completeness through the application of mass balance approaches to ensure the reconciliation of carbon supplies and carbon uses within the economy for fossil fuels, carbonates and biomass entering the economy. Details have been provided in the respective sectoral chapters. An overview of the mitigation strategies and control measures adopted, monitoring mechanisms employed and quality objectives or targets results specified is provided in Annex 6.

Omitted Emission Sources

The UNFCCC reporting guidelines provide standard reporting templates that are designed to accommodate the circumstances of as many countries as possible. The reporting templates are not always closely aligned with Australia's circumstances. Consequently, in Australia's reporting tables there are a number of categories where the term "not occurring" has been reported for certain cells because of an absence of a certain economic activity. An example is *adipic acid* production, which does not occur in Australia.

Nonetheless, there are a small number of emission sources which are believed to be minor and which are reported as 'not estimated' either because of a lack of data or because the emission processes are not well enough understood to permit the development of reliable methodologies. In these instances, default methodologies are not specified by the IPCC due to limited understanding internationally of these processes.

With each new inventory, a number of emission sources and removals have been added to the national inventory, resources permitting, as the remaining outstanding sources are generally minor while at the same time resource-intensive to estimate.

In this inventory, two minor new sources have been added. These sources include emissions from:

- (i) Miscellaneous uses of SF₆.
- (ii) Reallocation of CO₂ from the use of reductants in the production of ferro-alloys and other metals, from Stationary Energy to Industrial Processes.

CO₂ from Burning of Coal Deposits and Waste Piles (1B1)

The spontaneous combustion of waste piles is a known source of CO₂ emissions. Research undertaken on the measurement of this emission source has not yet been able to develop any reliable approach to the estimation of this emission source. Similarly, neither the 1996 IPCC Guidelines nor the 2006 IPCC Guidelines include a default methodology that could be applied in the absence of information on this source.

ANNEX 6: ADDITIONAL INFORMATION: QUALITY CONTROLS INCLUDING AUSTRALIA'S NATIONAL CARBON BALANCE

A6.1 ADDITIONAL INFORMATION ON THE QA/QC PLAN

The management of the QA/QC activities relating to the inventory are undertaken by the National Inventory Team within DCCEE and detailed in the *National Greenhouse Accounts: Quality Assurance-Quality Control Plan*. An overview of the quality control system is provided in chapter 1 while sector-specific information on quality control activities has been included in the QA/QC sections of each chapter. This Annex provides additional information and, in particular, provides information in relation to three aspects of the quality control system: i) a detailed description of the quality control measures in place; ii) results of the carbon balance for the economy; and iii) a description of Australia's responses to the recommendations contained in the previous UNFCCC ERT report.

The objectives of the national inventory quality system are to support the provision of emission estimates that meet the UNFCCC criteria of accuracy; time series consistency; transparency, completeness and comparability of estimates with those of other parties.

Key risks to the attainment of the defined quality objectives are identified at each level of inventory preparation including the measurement of data at the facility level; the collation of activity and other input data by DCCEE and other agencies; and the process of emissions estimation.

Specified mitigation strategies, measures and routine actions are deployed to control the identified risks.

These strategies range from utilisation of data measurements governed by existing national measurement systems such as the National Measurement Act or various taxation acts to the use of automated quality control tools embedded in the Australian Greenhouse Emissions Information System (AGEIS). Principal mitigation strategies and control measures are set out in Table A6.1.

Monitoring of the quality measures and evaluation of the results are critical to the goal of maintaining the system's effectiveness. In particular, control measures include the use of mass balance checks for all years to assess completeness and accuracy. All carbon entering the market economy is accounted for—either as emissions or stored in products or stored in wastes. Carbon balances for fuels, biomass, carbonates and synthetic gases consumption have been constructed and the results presented as Australia's National Carbon Balance in Table A6.2.

In response to a recommendation by the previous UNFCCC ERT report, a model has been developed to demonstrate the flows of fugitive methane and carbon dioxide associated with underground coal mines. The model shown in Figure 6.A.2 also demonstrates the effectiveness of methane capture for electricity generation in reducing the net fugitive emissions – capturing over 17% of the gross methane generated from underground coal mining.

External review of the inventory is a critical part of the process of ensuring the quality of the estimates. In principle, the Australian inventory is subject to audit by the Australian National Audit Office (ANAO), and a performance audit was conducted by the ANAO in 2009-10. In addition, each year the inventory is reviewed by international experts organised as part of the UNFCCC expert review team process. In Tables 6.A.3a to 6.A.3e, the recommendations of previous UNFCCC ERT reports have been included for increased transparency and a summary of Australia's responses included. These tables provide a tool for tracking the management of the ERT recommendations and suggestions.

Table A6.1: Summary of principal mitigation strategies and quality control measures

Measure No.	Quality objective	Mitigation strategy or control measure	Target	Monitoring mechanism	2006 IPCC Guidelines Vol 1 cross reference
Measurement					
1.A.1	Accuracy, completeness and time series consistency	National emissions reporting system subject to national measurement system and Australian regulations and international standards as specified in the NGER Measurement Determination 2008	Compliance	DCCEE	6.7.2.2, page 6.16
1.A.2	Accuracy	Data submitted under NGERs subject to DCCEE Greenhouse and Energy Reporting Office validation unit activities	Compliance	DCCEE	6.7.2.2, page 6.16
1.B.1	Comparability	Integration of national and facility estimation methods within National Greenhouse Accounts Framework	Compliance	DCCEE	6.7.1.2 page 6.12
1.D.1	Transparency	Company level data published by the Greenhouse and Energy Data Officer (GEDO) under the NGER Act 2007	Compliance	DCCEE	6.5, page 6.8
Collated data used for national emissions estimation					
2.A.1	Accuracy	Comparison of energy data with independent sources of activity data	<2%	AGEIS Automated Report	6.7.2.1, page 6.15
2.A.2	Accuracy	External consultants operate QC protocol	Compliance	National Inventory Team	6.4, page 6.16
2.A.3	Accuracy	Quality control systems for external data providers	Compliance	Agency governance boards	6.4, page 6.16
2.B.1	Completeness	Application of standardised rules for use of facility level data in national inventory	Compliance	National Inventory Team	Table 6.1, page 6.11; section 6.7.2.1, page 6.15
2.B.2 (i)	Completeness	Reconciliation of estimates of carbon in fuel supplies to the Australian economy and carbon contained in emissions; or stored in products; or non-oxidised; or in permanent storage	<1%	National Inventory Team	Table 6.1, page 6.11; section 6.7.2.1, page 6.15
2.B.2 (ii)	Completeness	Reconciliation of estimates of carbon in carbonate supplies to the Australian economy and carbon contained in emissions; or stored in products; or waste residues or in permanent storage	<10%	AGEIS Automated Report	Table 6.1, page 6.11; section 6.7.2.1, page 6.15
2.B.2 (iii)	Completeness	Reconciliation of estimates of carbon in biomass supplies to the Australian economy and carbon contained in emissions or stored in products or waste residues or in permanent storage	<1%	AGEIS Automated Report	Table 6.1, page 6.11; section 6.7.2.1, page 6.15
2.B.2 (iv)	Completeness	Reconciliation of estimates of carbon in wastewater to the Australian economy and carbon contained in emissions or stored in products or waste residues or in permanent storage	<1%	AGEIS Automated Report	Table 6.1, page 6.11; section 6.7.2.1, page 6.15

Measure No.	Quality objective	Mitigation strategy or control measure	Target	Monitoring mechanism	2006 IPCC Guidelines Vol 1 cross reference
2.B.2 (v)	Completeness	Reconciliation of estimates of nitrogen in wastewater to the Australian economy and nitrogen contained in emissions or stored in products or other by-products	<1%	AGEIS Automated Report *	Table 6.1, page 6.11; section 6.7.2.1, page 6.15
2.B.2 (vi)	Completeness	Reconciliation of estimates of carbon in synthetic gases supplied to the Australian economy and synthetic gases contained in emissions or stored in products or destroyed	<1%	National Inventory Team	Table 6.1, page 6.11; section 6.7.2.1, page 6.15
National Emissions Estimation					
3.A.1	Accuracy	Emission estimation methodologies should be consistent with IPCC Good Practice and comparable with international practice	Compliance	NGGI Committee	IPCC Good Practice Guidance
3.A.2 (i)	Accuracy	AGEIS development in accordance with COBIT	Compliance	AGEIS Strategic Plan	AGEIS implementation report
3.A.2 (ii)	Accuracy	AGEIS operation in accordance with COBIT	Compliance	AGEIS Strategic Plan	AGEIS implementation report
3.A.2 (iii)	Accuracy	Allocation of separate staff roles and responsibilities	Compliance	AGEIS Strategic Plan	6.4, page 6.7
3.A.3	Accuracy	Validation of selected AGEIS estimates by sectoral experts	<0.01%	National Inventory Team	6.7.3, page 6.16
3.A.4	Accuracy	The estimated uncertainty of the overall inventory should decline over time	Compliance	National Inventory Team	6.9, page 6.18
3.B.1 (i)	Completeness	Reconciliation of fuel data submitted into the AGEIS and carbon contained in emissions or stored in products or non-oxidised or permanent storage	<0.001%	AGEIS Automated Report	Table 6.1, page 6.10; 6.7.3 page 6.16
3.B.1 (ii)	Completeness	Reconciliation of carbonate data submitted into the AGEIS and carbon contained in emissions or stored in products or waste residues or in permanent storage	<0.001%	AGEIS Automated Report	Table 6.1, page 6.10; 6.7.3 page 6.16
3.B.1 (iii)	Completeness	Reconciliation of biomass data submitted into the AGEIS and carbon contained in emissions or stored in products or waste residues or in permanent storage	<0.001%	AGEIS Automated Report	Table 6.1, page 6.10; 6.7.3 page 6.16
3.B.1 (iv)	Completeness	Reconciliation of carbon in synthetic gases in data submitted into the AGEIS and carbon contained in emissions or stored in products or destroyed	<0.001%	AGEIS Automated Report *	Table 6.1, page 6.10; 6.7.3 page 6.16
3.B.2 (i)	Completeness	Reconciliation of National Inventory with aggregate of State and Territory inventories	<0.2%	AGEIS Automated Report	Table 6.1, page 6.10; 6.7.3 page 6.16

Measure No.	Quality objective	Mitigation strategy or control measure	Target	Monitoring mechanism	2006 IPCC Guidelines Vol 1 cross reference
3.B.2 (ii)	Completeness	Reconciliation of the National Greenhouse Gas Inventory with the National Inventory by Economic Sector	<0.001%	AGEIS Automated Report	6.7.2.1, page 6.14
3.B.3	Completeness	Number of emission sources 'not estimated', for which IPCC methods exist, comparable with international practice	Compliance	DCCEE assessment of UNFCCC ERT report	6.7.2.1, page 6.14
3.B.4	Completeness	Number of significant completeness issues should reduce over time	Compliance	DCCEE assessment of UNFCCC ERT report	6.8, page 6.18
3.C.1	Comparability	Implied emission factors for key variables should not be significantly different to those of other UNFCCC reporting parties	Compliance	AGEIS Automated Report	6.8, page 6.18
3.C.3	Comparability	Recalculation percentages for the national inventory Annex A sectors should not be significantly different to those of other UNFCCC reporting parties over time	Compliance	AGEIS automated report	6.8, page 6.18
3.C.4	Comparability	Implied emission factors for key variables should not be significantly different to those of available plant-specific data	Compliance	AGEIS Automated Report	6.7.1.2, page 6.13
3.D.1	Time series	Analysis by category for time series consistency	Compliance	AGEIS automated report	Table 6.1, page 6.11
3.D.2	Time series	The number of significant time-series consistency issues raised by the UNFCCC ERT, and agreed by the DCCEE, should reduce over time	Compliance	DCCEE assessment of UNFCCC ERT report	Table 6.1, page 6.11
3.E.1	Transparency	Publication of assumptions, methodologies, data sources and emission estimates in the National Inventory Report and related products	Compliance	National Inventory Team	6.5, page 6.8
3.E.2	Transparency	Publication of the AGEIS emissions database on the DCCEE website	Compliance	National Inventory Team	6.5, page 6.

* Planned for AGEIS implementation 2011-12.

A6.2 AUSTRALIA'S NATIONAL CARBON BALANCE

Table A6.2: Australia's National Carbon Balance 2009

Supply	Kt C	Uses	Kt C
Fossil fuel consumption ^(a)	110,264	Emissions	
Carbonate consumption ^(a)	2,088	1.A Combustion emissions (fossil fuels)	101,733
Hydrofluorocarbon consumption ^(d)	2,875	1.B Fugitive emissions	216
		2.A Industrial process fossil fuel emissions	3,494
		Memo: International bunker fuels	3,240
		2.A Mineral product carbonate emissions	2,077
Biomass consumption		2.F Hydrofluorocarbon emissions ^(d)	1,662
Wood and paper products ^(a)	4,391	Memo: Combustion emissions (wood products and waste)	758
Bagasse, ethanol, biogas ^(b)	3,145	Memo: Combustion emissions (bagasse, ethanol, biogas)	3,087
Firewood ^(b)	1,247	Memo: Combustion emissions (residential wood)	1,212
		6.A Landfill emissions (methane and carbon dioxide)	1,196
Waste disposal (food, garden, textiles, rubber – landfill) ^(c)	1,257	Aerobic treatment processes (paper, wood and wood waste)	163
		Increment to product stocks	
		Petrochemical and steel products	853
		Carbonate products	2
		Hydrofluorocarbon products ^(d)	1,117
		Biomass finished products	1154
		Biomass fibre recycled	1477
		Increment to waste stocks and residues	
		Carbon dioxide captured for permanent storage	0
		Non-oxidised carbon	782
		Carbonate wastes	9
		Landfill	913
		Miscellaneous	
		Hydrofluorocarbons destroyed	96
		Residual	24
TOTAL SUPPLY	125,266	TOTAL USES	125,266

Notes: (a) entering market; (b) final consumption; (c) entering waste stream; (d) Based on carbon dioxide equivalents.

Australia's National Carbon Balance records the supply of carbon entering the market economy through the most important channels and tracks the uses or fates of that carbon allocated amongst greenhouse emissions, increments to the stock of carbon in products and increments to the stock of carbon in waste residues. Of the 125,226 kt C of carbon entering the market economy, 118,339 kt C is estimated to result in greenhouse gas emissions; 4,603 kt C is estimated to result in increments of the carbon stock in products and 1,704 kt C is estimated to result in increments to carbon stored in waste product and residues.

Assessments of the total amount of carbon in stock are more difficult to assess and depend critically on starting assumptions. Bearing this in mind, it is estimated that there is approximately 100 Mt of carbon stored in harvested wood products in Australia and about the same amount again stored in landfills. The latter estimate relies on the relatively strong assumption that all landfills have been maintained in order to fulfil anaerobic conditions. If the alternative assumption was adopted, such that it was assumed that all landfills were eventually exposed to aerobic conditions, then the amount of carbon stored in landfills would tend to zero over very long time periods.

The National Carbon Balance is also used as a quality control tool. The Australian inventory utilises a very large number of disaggregated data inputs for energy-related emission calculations (~ 15 000 per year). Consequently, a carbon balance is undertaken to compare carbon input to carbon output for all years. The carbon input represents the carbon embodied within the total quantity of energy and non-energy fuels which have been consumed in a year, and are entered into the AGEIS for calculation. The carbon output represents the distribution of the carbon utilised throughout the economy, as determined by the output of the calculations within the AGEIS. The carbon output is distributed as either emissions from fuel combustion, emissions from the use of fossil fuels as reductants, non-energy uses (e.g. feedstocks, bitumen, coal oils and tar), use of biomass sources of energy and international bunkers. While the predominant outcome of carbon entering the economy is emissions, a small portion of the carbon is stored in carbon-containing products or non-oxidised as ash. A flow chart detailing the results of the carbon balance for 2009 is at Figure A.6.1.

Results from the carbon balance have shown that all carbon is effectively accounted for. For 2009, all carbon has been accounted for down to 0.003% (3/1,000 of a percent). This discrepancy relates to carbon contained in carbon dioxide from biofuels, within the memo items. Further work will continue on resolving this discrepancy.

The carbon balance analysis effectively tests the integrity of the calculations within the AGEIS by checking that all carbon consumed is accounted for and has been used to uncover several errors within data entries and the emission calculation process. Although the errors were of a very minor nature, they were of the type that is difficult to trace without systematic QC tools.

Figure A.6.1: Carbon balance flow chart showing carbon inputs and distribution of outputs for 2009

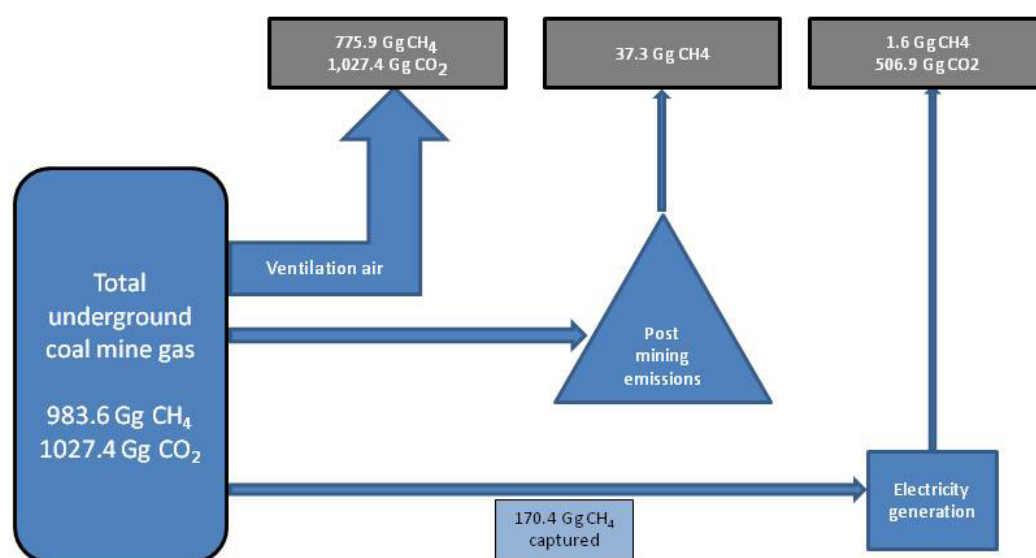
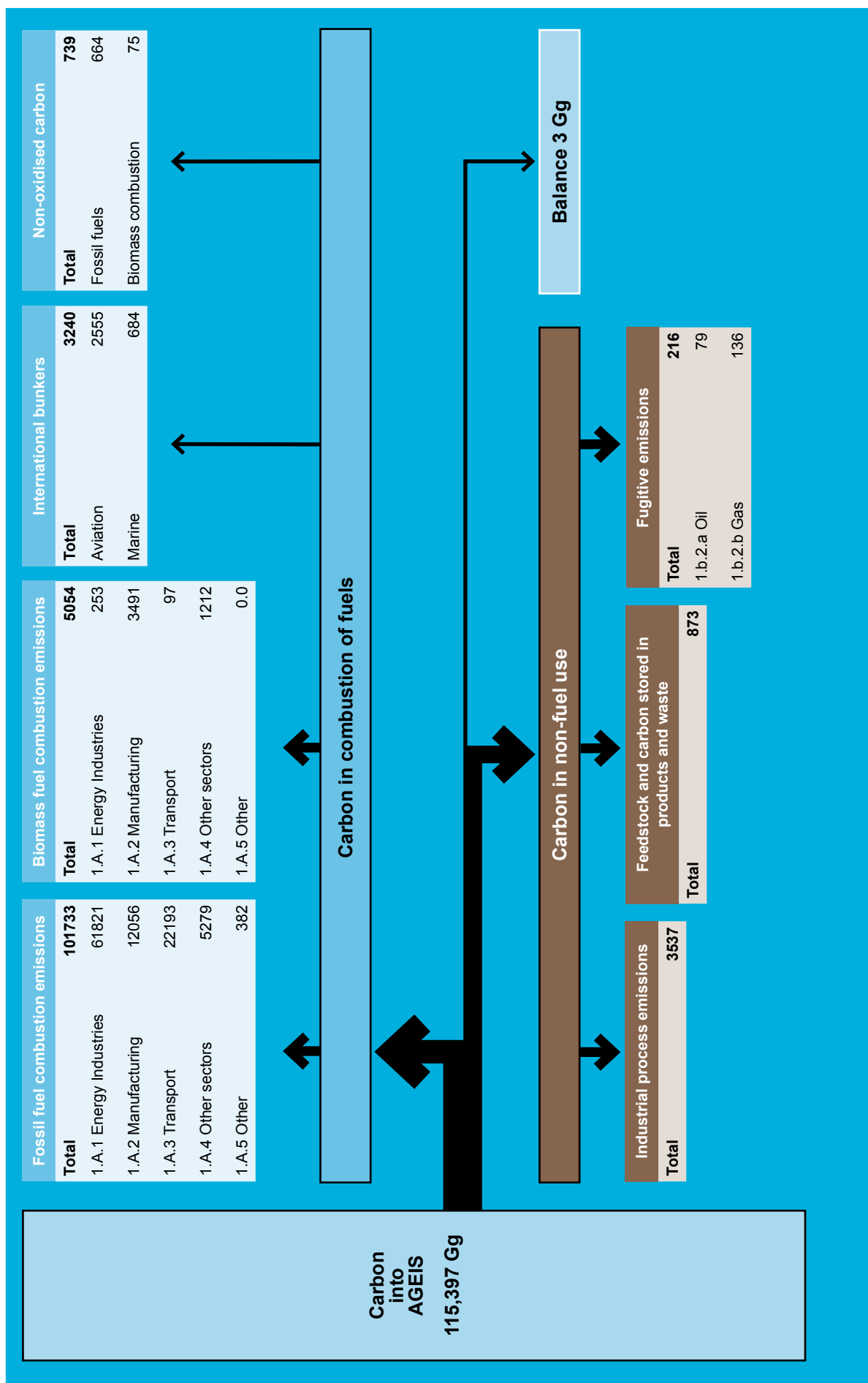


Figure A.6.2: Fugitive gas balance flow chart for underground mines, 2009



A6.3 SUMMARY OF RESPONSES TO UNFCCC ERT RECOMMENDATIONS AND COMMENTS

Table A.6.3a: Summary of responses to UNFCCC ERT recommendations: energy and cross cutting

Sector	Report ref ^(a)	ERT Recommendation	Response	Implementation
CC	37	(a) The provision of a tier 2 uncertainty analysis.	Accept subject to available resources.	Will be included in the Inventory improvement plan 2011-12
CC	37	(b) The provision of more precise descriptions of methodologies that differ from those of the IPCC;	Accept.	2011 NIR submission
CC	37	(c) Strengthening of the elements of the national system relating to timeliness of reporting	Accept. Some streamlining of approval processes has been implemented to improve timeliness of submission.	2011 NIR submission
CC	37	(d) In the transition to the use of data from the NGERs (in the energy, industrial processes and waste sectors), ensuring that steps are taken to preserve continuity (including vital knowledge and experience) and ensuring time-series consistency;	Accept. Continuity arrangements were implemented in the preparation of this submission.	2011 NIR submission –see sections 3.8.3, 3.9.3, 4.3.8, 4.4.7, 4.5.6 and 8.2.4.
CC	37	(e) The provision of an update on the implementation of recommendations from previous reviews;	Accept. In each NIR submission since 2010 we have provided this table in Annex 6 which outlines our response to current and previous ERT recommendations.	2011 NIR submission – Annex 6
CC	37	(f) The enhancement of transparency in most sectors and in relation to information on Article 3, paragraph 14, of the Kyoto Protocol.	Accept.	2011 NIR submission.
1.B	42	Australia has reported fugitive CO ₂ emissions from surface coal mining and CO ₂ and CH ₄ emissions from all post-coal mining activities as not estimated, citing “no data or IPCC methodology available” as the reasons. However, tier 3 AD are generally available for all coal mines in Australia. The ERT encourages Australia to estimate these emissions based on suitable methodologies, e.g. available in literature, and to report these emissions in its next annual submission	Review – Methods for the estimation of carbon dioxide emissions from open cut mines are included in the NGER Measurement Determination. However, the cost of estimation is currently prohibitive (several hundreds of thousands of dollars per mine). Additional research into methodology development is being undertaken to enable cost effective emissions estimates to be derived. The results of this research will not be available for two years. Implementation in mines will occur prior to extraction, and will also take time to implement.	Subject to review
1.B	42	If considered necessary, the ERT encourages Australia to estimate country-specific EFs based on CH ₄ and CO ₂ levels in a mine before opening it for coal extraction.	Accept. Include in implementation plan.	Will be included in the Inventory improvement plan 2011-12
1.A	44	The ERT therefore recommends that Australia conduct and report on improved uncertainty estimates for its inventory in its next annual submission. This could also be useful for ensuring optimum resource allocation in the national system.	New data on uncertainty will be available from NGERs data in time for the 2012 submission.	2012 NIR submission

Sector	Report ref ^(a)	ERT Recommendation	Response	Implementation
1.A	48	In response to a question from the ERT, the ABARE representative informed the ERT that ABARE is already examining this issue and is developing a plan to collect these data. The ERT encourages Australia to collect these data on a regular basis.	Accept. Implement in the next NIR.	2012 NIR submission
1.A	49	Although there is no direct evidence that these factors are not correct for current usage, the ERT encourages Australia to conduct fresh estimates of these EFs in order to bring them closer to those of other fuels, including through the use of NGERS reporting by refineries.	As NGERS data becomes available these factors may be updated.	Will be included in the Inventory improvement plan 2011-12
Ref. Approach	50	The ERT recommends that Australia include this fuel use (from exports to Australian territories) in its next annual submission.	Accept	2011 NIR submission. Included in the Reference Approach. See Vol 3 annex 4 and CRF table 1.A(b)
1.A.3	52	In the interest of improving transparency, the ERT recommends that Australia make a clear statement in its next NIR regarding its definition for the split between domestic and bunker fuel use, especially when considering a journey as international one which departs from a port in Australia, stops at another port in Australia and only picks up more passengers or freight, and then finally departs Australia.	Accept	2011 NIR submission – see section 3.5.2
1.A	53	The ERT recommends that Australia include these bunker fuels in the reference approach in its next annual submission.	Accept	2011 CRF submission. See Vol 3 annex 4 and CRF table 1.A(b)
1.A	56	The Party indicated that the plant-level representation of national emissions from auto producers in different subcategories is reported within the respective subcategory under manufacturing industries and construction. This is not in line with the IPCC good practice guidance and the ERT therefore recommends that Australia report these emissions under public electricity and heat production in its next annual submission. NGERS data could be useful in facilitating this reallocation.	Review. The 1996 Revised IPCC Guidelines state that 'emissions from autoproduction are attributed to the industrial or commercial branches in which the generation activity occurs. Emissions reported under "Public Electricity and Heat" should be those from main power producers only.' This is the approach adopted in Australia's national inventory. It is noted that the 2006 IPCC guidelines take a similar approach.	NGERS data will be kept under review.

Sector	Report ref ^(a)	ERT Recommendation	Response	Implementation
1.A.3.b	58	The ERT recommends that Australia use the information in this report to calibrate the model. The ERT also recommends that Australia examine model assumptions such as average fuel consumption rates of various vehicle types over the years, cold-start percentages, EFs, average trip length, urban, non-urban activity shares and vintage vehicle performance curves to improve the accuracy of road transportation emission estimates.	Accept. Improvements in the model parameters have been undertaken in this year's inventory (box 3.1 of the NIR refers). Further review of model parameters are planned for the next inventory submission.	2011 NIR – box 3.1 refers. 2012 NIR submission, section 3.5.6 refers.
1.A	59	The ERT also encourages Australia to include reporting by the refineries on oil product specifications, such as energy content, chemical composition and carbon content, in their reporting through the NGRS. This would help to improve oil product EFs used by Australia.	It is desirable to collect more data on fuel specifications. This issue will be examined in the context of future updates to the NGER Measurement Determination	Will be included in the Inventory improvement plan 2011-12
1.A.5	60	Activity data between domestic marine and military navigation are split 60:40 per cent according to the Energy Workbook (1998). Although there is no direct evidence that this distribution is not correct for current usage, the ERT encourages Australia to check and confirm that this assumption is also valid for later years.	Accept	2011 NIR submission – section 3.7.2
1.B	61	Coal mining: The ERT therefore encourages Australia to develop a model to establish a carbon balance between inputs (CH ₄ and CO ₂ produced) and carbon output through recovered, utilized, flared and vented gases in order to provide a more accurate assessment in its next annual submission.	Accept	2011 NIR submission Vol 3 Annex 6 and Figure A.6.2
1.A	62	For some subcategories (e.g. combustion in the agriculture/forestry/fisheries), the ERT notes that there is some difficulty in separating fuel used in stationary equipment from fuel used in mobile machinery. The ERT therefore recommends that Australia estimate these emissions following the IPCC good practice guidance.	Review. We do not agree that we have not used IPCC GPG for this category. IPCC good practice is to derive the energy use of stationary equipment and mobile machinery separately given the different non-CO ₂ emission factors. Australia does this in the National Inventory, the method is discussed in section 3.2.2 and 3.5.1 of the NIR (based on splits obtained from surveys undertaken in the late 1990s). Section 3.5.1 also provides an estimate of emissions from mobile machinery included in the stationary energy total. The existing allocation of energy and emissions is completed in accordance with the national energy statistics and has been retained for policy and continuity purposes and because of the high level of uncertainty that would exist in separating the activity data.	NGERS data will be kept under review.

Sector	Report ref ^(a)	ERT Recommendation	Response	Implementation
1.A	63	These include utilizing NGERS data for more comprehensive reporting of stationary combustion emissions, implementing the energy balance/tracking system with AGEIS, utilizing NGERS data to improve the allocation of fuel use between the energy and industrial processes sectors and further investigation into the CH ₄ EF from petrol and diesel for road transportation.	Identified by Party	<p>Implementation of the energy balance/tracking system in AGEIS has commenced and will be in place by the 2012 submission.</p> <p>NGERS data has been utilised in the 2011 NIR to improve the allocation of fuel use between energy and industrial processes – see Vol 1, section 3.2.5.</p> <p>A project has commenced to further investigate CH₄ EFs from petrol and diesel road transportation. The results will be incorporated in the 2012 NIR.</p>
1.A	64	The ERT recommends that Australia utilize the NGERS database with care, caution and insight, since the possibilities are immense	Accept	Implementation of NGERS data has commenced in the 2011 NIR submission
1.A.3.b	64	The ERT also recommends that Australia check the assumptions used in the road transportation model.	Accept. Improvements in the model parameters have been undertaken in this year's inventory (box 3.1 of the NIR refers). Further review of model parameters are planned for the next inventory submission.	<p>2011 NIR – box 3.1 refers.</p> <p>2012 NIR submission, section 3.5.6 refers.</p>
Recommendations from previous reviews				
1A and 2C	37 (i) ^(a)	Cross cutting issues identified for improvement: Correctly allocating emissions from coal use between the energy and industrial processes sectors.	Accept. Reallocated coal use associated with ferro-alloy production in the 2011 submission through use of NGER data. Will investigate for the 2012 submission, reallocating the use of pulverised coal as a reducing agent in the iron and steel sector to the industrial processes sector. However this will be dependent on data available via NGER.	2011 NIR submission and 2012 submission

(a) ARR 2009

Table A.6.3b: Summary of responses to UNFCCC ERT recommendations: Industrial processes

Sector	Report ref ^(a)	ERT Recommendation	Response	Implementation
2A, 2B, 2C	72	In the transition to the use of NGERS data, the ERT encourages Australia to develop an arrangement to ensure continuity so that the transition will not disrupt timeseries consistency and so that vital knowledge and experience will not be lost.	Accept. Arrangements to ensure continuity were adopted. See discussion of QA/QC in the industrial processes section of volume 1 of the NIR.	2011 NIR submission
2B	74	The ERT recommends that Australia further explore, in particular, the possibility of reporting ammonia production separately.	Aggregation of emissions from the chemical industry is still required in order to preserve confidentiality. Implied emission factors by sub-source have been included in section 4.4 of NIR Volume 1 to improve transparency and facilitate review.	2011 NIR submission
2B	75	Ammonia: The ERT reiterates the recommendation made in previous reviews to allocate the use of natural gas as feedstock to the industrial processes sector and energy use to the energy sector. The ERT encourages Australia to explore whether new data collected via the NGERS could facilitate this reallocation.	Accept. Data collected under NGERS has enabled this split to be made. Refer to sections 4.48 and 4.4.9 of NIR volume 1.	2011 NIR submission
2C	77	The ERT reiterates the recommendation made in previous reviews that Australia reallocate the coal used as a reducing agent to the industrial processes sector. The ERT encourages Australia to determine whether new data collected via the NGERS could facilitate this reallocation.	Re-allocations of emissions from the use of reductants in the production of ferro-alloys and other metals have been undertaken based on NGERS data. Refer to sections 4.5.2 and 4.5.5 of NIR volume 1. The use of black coal in iron and steel production has not yet been re-allocated pending further research.	2011 NIR submission
2F	78	HFCS: The ERT encourages Australia to further increase transparency by exploring the possibility of reporting data for individual species for the other relevant subcategories (foam blowing, fire extinguishers and solvents) and by applying notation keys as appropriate.	Data are not currently available on the speciation of gases used in the production of foams, fire extinguishers and solvents.	Implementation contingent on availability of speciation data
	79	The ERT encourages Australia to present data in the NIR underpinning this explanation, for example by including information such as the amounts produced annually with different fractional purities.	The Confidentiality of in-house lime production prevents Australia from reporting commercial and in-house lime production separately. Further discussion in of lime production emission factors is provided in section 4.3.9 of NIR volume 1.	2011 NIR submission
2F	84	The ERT recommends that Australia assess and use, as appropriate, NGERS data to estimate and revise SF6 emissions from electrical equipment in its 2011 annual submission, and that Australia explain and justify the recalculations in its NIR.	Accept. Refer to section 4.8.2 of NIR Volume 1.	2011 NIR submission

Sector	Report ref ^(a)	ERT Recommendation	Response	Implementation
2B, 2C	85	The mandatory NGERS reporting and systematised data collection system is expected to provide improved information on AD and EFs. Australia will assess whether this will allow feedstock-/reductant-based approaches rather than production-based ones. NGERS data will also be assessed to determine the possibilities for further disaggregation of emissions and improved allocation between the industrial processes and energy sectors.	Identified by party	2011 NIR submission
2B	87	Since confidentiality continues to be an issue in the reporting of data in the industrial processes sector, the ERT encourages Australia to explore ways to include any clarifying information for individual confidential categories in the NIR, such as to include EFs or IEFs for individual confidential categories. This would increase transparency by enabling comparison with other reporting countries and would also facilitate future reviews.	Accept. Australia has included discussion of implied emission factors in confidential subsectors to enhance transparency and facilitate review. Refer to section 4.4 of NIR volume 1.	2011 NIR submission
All	88	In the transition to the use of NGERS data, the ERT encourages Australia to develop an arrangement to ensure continuity in order to prevent the transition from disrupting timeseries consistency and from vital knowledge and experience being lost.	Accept. Continuity arrangements were implemented for this submission. See discussion of QA/QC in the industrial processes section of volume 1 of the NIR.	2011 NIR submission

(a) ARR 2009

Table A.6.3c: Summary of responses to UNFCCC ERT recommendations: Agriculture

Sector	Report ref	ERT Recommendation	Response	Implementation
4	ARR 2010 90	AD are derived using data from different governmental (e.g. ABS) and private (e.g. industrial associations) organizations. The agriculture sector inventory is complete and covers all sources of emissions, having been compiled on a state-by-state basis to better reflect the large physical, climate and management differences between states and territories. The ERT commends Australia for its effort to explain these differences but continues to encourage Australia to further explain how these differences impact the determination of the emission parameters.	Accept: Additional information included in NIR explicitly stating that some states are considered temperate and others warm hence significant differences in MCFs	2011 NIR submission – section 6.3.2 and 6.4.2
4	ARR 2009 69, 73	The ERT encourages Australia to update its uncertainty analysis using data from the latest research in EFs for the agriculture sector and to provide additional information to support the expert opinions.	Accept. For review: Need to review uncertainty distributions and include recent methodology revisions	Implementation contingent on Review
4A	ARR 2010 91, 94, 95	The ERT noted that many of the studies are relatively old (over 10 years). The ERT strongly recommends that Australia explain in its next annual submission how it plans to update such studies. Regarding research on Tropical EF: The ERT commends the efforts made by Australia and recommends that the Party provide an update of the results in the next annual submission	Accept:	Additional information provided in the planned improvements section
4B	ARR 2008 45 ARR 2009 69,71 ARR 2010 100	Australia calculated N ₂ O emissions from dairy cattle, with protein intake from dairy calves not included due to the early removal of calves from the herd. In response to a question raised by the ERT during the course of the review, Australia indicated that it intends to review the age at which calves are removed from the herd for its next annual inventory submission. The 2009/2010 ERTs reiterated the recommendation made during the previous reviews that Australia implement changes or report on progress made.	Accept. Current method assumes that calves are on pasture from birth. Most dairy calves are removed from cows within days and placed on milk replacement and supplements until weaned. If this approach is implemented it will result in a small increase in N ₂ O emissions but will also result in a reduction in enteric fermentation. Review documented under QA/QC section.	Review implemented and reported in 2010 submission New method to be implemented 2012 submission

Sector	Report ref	ERT Recommendation	Response	Implementation
4B	2007 IRR	The N-excretion rates applied by Australia for horses (39.5 kg N/head/year) and mules/asses (13.2 kg N/head/year) differ substantially from each other and from the IPCC default EF (25 kg N/head/year) for both categories. The ERT recommends that Australia review the N-excretion rates for horse, mules/asses and apply them consistently in its next inventory submission	Accept: Additional text included in NIR to clarify that the scaling values for N excretion rates for other livestock are based on comparative sizes of the animals. Review undertaken and results are documented under the QAQC section.	2011 NIR submission – section 6.4.4
	86			
	ARR 2009			
	75			
	ARR 2010	The 2009/2010 ERTs reiterated the recommendation made during the previous reviews that Australia include further information to support the scaling factors applied and review rates for horse and mules/asses.		
	100			
4E	ARR 2009	The ERT recommends that Australia include information in the NIR to support the expert judgement that all savannas in Queensland can be treated as grassland.	Accept. Method has been reviewed and the data from this review will underpin new methods for estimating emissions from savanna burning, including the emission factors, vegetation classes, fuel loads and burning efficiencies.	New method to be implemented in 2012 NIR. Section 6.7.6 refers.
	76			
	ARR 2010	The ERT commends Australia for its efforts to provide additional information in relation to burning efficiencies, as requested in previous reviews. During the review, Australia explained that .Additional measurements of burning efficiency have recently been undertaken in northern Australia. These studies indicate that there can be significant differences in burning efficiency between early and late season burn. Australia is currently investigating how to implement these results for the 2011 submission. The studies will also revise the Queensland fuel loads and vegetation classifications. The ERT welcomes this effort and recommends that Australia update its next annual submission accordingly.		
	99			

Table A.6.3d: Summary of responses to UNFCCC ERT recommendations: Waste

Sector	Report ref ^(a)	ERT Recommendation	Response	Implementation
6A	123	GHG emissions from biological recycling processes (e.g. composting) of solid waste were not reported as there is no methodology available in the Revised 1996 IPCC Guidelines and the IPCC good practice guidance. The ERT encourages Australia to explore ways of estimating the GHG emissions from the biological treatment of solid waste using country-specific and/or other available methodologies.	This issues will be examined in light of data that may become available under NGERS	Included in improvement plan. (section 8.2.7.1)
6A	125	The ERT strongly encourages Australia to develop country-specific DOC values.	To undertake this exercise would be a resource-intensive research project. Will be pursued subject to available resources.	Included in improvement plan. (see section 8.2.7.1)
6A	125	The ERT strongly encourages Australia to develop country-specific methane generation constant (k) values	Accept. Data may become available through NGERS.	Included in improvement plan. (section 8.2.7.1)
6A	125	The ERT also encourages Australia to improve the data quality of the past landfilled amounts to develop a functional relationship between waste generation rates and drivers (e.g. waste management policies, population, GDP and income) by applying statistical regression techniques.	Implement in next submission subject to availability of suitable data.	2012 NIR submission
6A	125	The ERT further encourages Australia to verify the methane conversion factor (MCF) values for the years prior to 1990 as it is probable that unmanaged landfill practices were carried out during those years.	Accept that additional data is required to be able to determine MCF values for year s prior to 1990 while noting the difficulties of obtaining this data.	Included in improvement plan. (section 8.2.7.1)
6B	127	Wastewater: The ERT recommends that Australia provide additional information on key parameters, such as MCF values and BOD loadings, in the NIR in accordance with the CRF tables and that it develop better QA/QC procedures to prevent mistakes such as those found in the CRF tables.	Accept. Refer to section 8.2.2.2 of NIR Volume 3.	2011 NIR submission
6B	130	N ₂ O emissions from the application of sludge to agricultural soils should be reported under the agriculture sector. The ERT recommends that Australia report N ₂ O emissions from the application of sludge to agricultural soils in the agriculture sector in order to improve comparability.		Included in improvement plan. (section 8.2.7.2)

Sector	Report ref ^(a)	ERT Recommendation	Response	Implementation
6C	131	Waste incineration: Although Australia has resolved certain transparency issues (regarding references for data sources and proportions of waste of fossil fuel origin) raised by the previous ERT, the information in the NIR is not transparent in relation to the methods used to derive EFs for MSW and clinical waste. The ERT recommends that Australia provide this information in the next annual submission.	Accept. Refer to section 8.2.3 of NIR Volume 3.	2011 NIR submission
	132	Australia plans to move towards the development of a tier 3 method to estimate emissions from solid waste disposal on land in the next annual submission. The NGERS will play a major role in supplying facility-level data. New measurement systems operated by landfill operators and supplemented by ongoing research activities will be combined with NGERS data to improve data quality in the next annual submission.	Identified by party	Included in improvement plan – section 8.2.7.1
	134-135	<p>Australia plans to introduce a tier 3/tier 2 method to estimate CH₄ emissions from domestic and commercial wastewater in the next annual submission. NGERS data will be used to improve the estimates of facility-specific data and to estimate country-specific parameters.</p> <p>Australia plans to adopt the NGERS framework, which should improve the availability and quality of data on the incineration of waste.</p>	Identified by party	2011 NIR Submission – section 8.2.2 and section 8.2.3

(a) ARR 2009

Table A.6.3e: Summary of responses to UNFCCC ERT recommendations: Land Use Land Use Change and Forestry

Sector	Report ref	ERT Recommendation	Response	Implementation
	106	During the review, the ERT was informed that Australia is considering the separation of forest land converted to settlements from forestland converted to grassland. The ERT recommends that Australia implement this separation in the next annual submission.	Review	Ongoing research to identify and map urban areas through time is being undertaken. Included in improvement plan
	107	In response to recommendations made by previous ERTs, Australia improved the documentation relating to the tier 3 approach and provided, for the first time, a comparison of the results from the tier 3 model with a tier 2 approach for the conversion categories. The ERT acknowledges the efforts made by Australia and recommends that Australia describe in a transparent manner the tier 2 approach used in its next annual submission (e.g. by explaining the method applied, AD and parameters).	Accept	Further detail included in 2011 NIR submission
	108	Australia improved the transparency of its reporting by including land-use matrices for every year from 1990 to 2008. However, the ERT noted that the annual land-area matrices provided in the NIR and the land areas reported in the CRF tables were not consistent. Australia chose 50 years as the transition period for land-use conversion but this was not fully applied in its disaggregation of land use into the land-use remaining and land-use conversion subcategories, which is inconsistent with the IPCC good practice guidance for LULUCF. The ERT recommends that Australia improve the consistency of its reporting in its next annual submission.	Accept	2011 NIR Submission – improvement in quality control processes for land-use matrices. Improved disaggregation of land-use included in improvement plan
	109	The ERT recommends that Australia increase the transparency of its recalculations by describing any significant changes associated with its recalculations in the next annual submission.	Accept.	2011 NIR submission

Sector	Report ref	ERT Recommendation	Response	Implementation
	110	<p>The ERT recommends that, for any area of managed land, Australia carefully assess if the gain or loss of forest cover due to climate variation is to be considered permanent and that Australia consistently apply the following criteria in the CRF tables and the NIR:</p> <p>(a) Areas of managed rangelands and pasture land where, due to climate variation, the tree crown cover permanently exceeds the forest threshold can no longer be considered grassland: they should be reported as a separate subdivision (e.g. natural forest expansion on grassland) under the subcategory land conversion to forest land;</p> <p>(b) Areas of managed forests where, due to climate variation, the tree crown cover is permanently below (i.e. it is not expected to exceed) the forest threshold can no longer be considered forest land: they should be reported as a separate subdivision under the subcategory forest land converted to a new land use (e.g. grassland)</p>	Review	Included in improvement plan
	112	The ERT recommends that Australia disaggregate in the CRF tables the causes of conversions to forest land (e.g. due to climate-driven gain of forest cover or due to plantations) and the causes of conversions from forest land (e.g. due to climate driven loss of forest cover or due to harvest or other causes).	Review.	Included in improvement plan
	113	The ERT noted that Australia assumes no change in the soil carbon stock in forest land remaining forest land, following the tier 1 approach of the IPCC good practice guidance for LULUCF. Since forest land remaining forest land is a key category, the ERT encourages Australia to move to higher tiers in its next annual submission.	Review. Australia aims to implement higher tier modelling of soil carbon in all forest land remaining forest land subcategories.	Incorporated into the inventory improvement plan.
	114	The ERT noted an inconsistency in the data of area converted to forest between table 7.D5 of the NIR and the CRF tables, and recommends that Australia ensure full consistency between the NIR and the CRF tables in its next annual submission.	Accept	2011 NIR submission

Sector	Report ref	ERT Recommendation	Response	Implementation
	115	The ERT recommends that Australia disaggregate by crop type in CRF table 5.B.1 cropland remaining cropland, and document in a transparent manner in the NIR the method used to estimate CO ₂ emissions and removals due to transition among crop types.	Review. Australia will investigate disaggregation of croplands and will document the methods in future submissions.	Future NIR submissions.
	116	The ERT recommends that Australia, in its next annual submission, disaggregate by grassland type, including grass and shrub transitions, in CRF table 5.C grassland remaining grassland.	Accept	Australia is currently developing new methods to account for emissions and removals due to transitions between grass and shrub. Incorporated into improvement plan.
	117	During the review, Australia explained that precise information on the conversion of land prior to 1972 is not available. The ERT acknowledged this explanation and recommends that Australia include an explanation for this in its 2011 inventory submission.	Accept	2011 NIR submission
	118	For some years, Australia has reported an increase in carbon stock in mineral soil for forest land converted to cropland. In response to the ERT's question, Australia explained that cropland converted from forest land is primarily a crop-pasture system with a high input of dead organic matter. The ERT reiterates the recommendation made by the previous ERT that Australia provide additional documentation in the NIR to justify this pattern in its next annual submission.	Accept	2011 NIR submission
	119	For transparency purposes, the ERT encourages Australia to include in future NIRs the time series of emission estimates from fires, disaggregated by gas, by land-use category, and by subdivision (e.g. .harvested native forests., .post-1990 plantations. and .other native forests.) and separated from removals due to subsequent forest recovery.	Australia currently reports fire emissions disaggregated by gas and land-use category. Australia's wildfire data is not available spatially, consequently it is currently not possible to disaggregate by subdivision.	

Sector	Report ref	ERT Recommendation	Response	Implementation
	121	Australia uses a very complex set of models and approaches in its LULUCF inventory. The ERT, while acknowledging the improvements made regarding the documentation on the QA/QC procedures for the LULUCF sector, considers that further efforts (e.g. increased transparency of model outputs and additional verification activities) are needed to allow future ERTs to fully evaluate the model outputs.	Accept	2011 NIR submission (and further ongoing development in future submissions and support for ERTs).

Table A.6.3f: Summary of responses to UNFCCC ERT recommendations: Article 3.3 Activities

Sector	Report ref	ERT Recommendation	Response	Implementation
	137	The ERT encourages Australia to provide in the next annual submission a quantitative assessment of forest areas that have lost forest cover but which are not yet classified as deforested.	Review. This item will be considered for future submissions in light of availability of resources.	An analysis of forest cover change that is uncertain human induced will be presented in future submissions.
	139	For transparency purposes, the ERT encourages Australia to provide in the next annual submission the following information: (a) With regard to afforestation and reforestation activities, additional information on the share of thinning and final harvest in the emission estimates from lands harvested since the beginning of the commitment period; (b) With regard to deforestation activities, the non-CO ₂ emission estimates from wildfires, currently reported under the agriculture sector.	Partially accept.	a) This will be documented in future submissions b) Australia's savanna fire data is not spatially explicit, therefore it is not possible to separately report fire emissions on grassland remaining grassland and forest land converted to grassland.

Sector	Report ref	ERT Recommendation	Response	Implementation
		In order to increase the transparency of the inventory and to assist future ERTs to assess the outputs of the model, the ERT:		(a) Definition of these terms provided in the 2011 NIR submission. Australia will continue to document model verification activities and provide further explanation of the tier 2 comparison models in the 2011 and future NIR submissions.
		(a) Recommends that Australia define the terms used for its verification activities (e.g., calibration., validation., verification., model evaluation.) in its next annual submission and that Australia more clearly describe and document the range of activities and the various steps carried out to verify the various components of the model in the context of the continuous improvements. approach, including a more complete explanation of the tier 2 method applied;		(b) Further information on existing verification activities and comparisons has been provided in the 2011 and further information will be available in future NIR submissions.
	144	(b) Strongly recommends that Australia carry out additional verification activities, such as a comparison of the model's output with existing field data, the collection of additional field data, verification by independent bodies and a discussion of the differences in the results with other remote sensing programmes carried out by individual states (e.g. Queensland and New South Wales). The ERT further recommends that Australia include in its next annual submission a plan to implement these additional verification activities;		(c) Current and ongoing model development is enabling Australia to report on a greater range of outputs. The results will be reported in future submissions to assist in the review process.
		(c) Recommends that Australia further increase the flexibility of the FullCAM model with regard to the possibility of producing specific parameters and intermediate outputs that could be useful to assess the model's results (e.g. emissions per year of conversion and final land use).		

ANNEX 7: UNCERTAINTY ANALYSIS

Uncertainty is inherent within any kind of estimation—be it an estimate of the national greenhouse gas emissions, or the national gross domestic product. While it is in some cases possible to continuously monitor emissions, it is not usually practical or economic to do so. This leads to estimations based on samples or studies being used which carry a degree of additional uncertainty attached to them. Uncertainty also arises from the limitations of the measuring instruments, and over the complexities of the modelling of key relationships between observed variables and emissions.

The purpose of estimating the uncertainty attached to emissions estimates is principally to provide information on where inventory resources should be allocated to maximise the future improvements to inventory quality.

Assessing uncertainty is, itself, a difficult exercise, especially in the absence of quantitative data. Australia has conducted an uncertainty analysis for the individual sectors in line with the IPCC *Good Practice* guidelines. Monte Carlo and Latin Hypercube approaches were used to estimate emission uncertainty in some sectors, which is equivalent to the IPCC Tier 2 methodology.

The estimates have been mainly prepared by the judgement of the sectoral expert consultants. However, the estimates of uncertainty for the Australian inventory have been reviewed in 2005 by independent experts under protocols developed by the Australian CSIRO Atmospheric Research Division. The CSIRO report confirmed, with one or two exceptions, the quantitative judgements made in relation to uncertainty of inventory estimates and provide a strong basis for confidence in the assessments reported in this chapter.

The uncertainties for individual sectors are reported in more detail below. The estimated uncertainties tend to be low for carbon dioxide from energy consumption as well as from some industrial process emissions. Uncertainty surrounding estimates from these sources are typically as low as $\pm 4\text{--}5\%$. Uncertainty surrounding estimates of emissions are higher for agriculture, land use change and forestry, reflecting inherently high uncertainty due to the very nature of the processes involved (e.g. biological processes). A medium band of uncertainty applies to estimates from fugitive emissions, most industrial processes and non-CO₂ gases in the energy sector. The ranges presented are broadly consistent with the typical uncertainty ranges expected for each sector, as identified in the IPCC *Good Practice Report*.

The estimates of uncertainty surrounding the emissions estimates for individual sectors may be combined to present an estimate of the overall uncertainty for the inventory as a whole. Following the recommendations of the IPCC Good Practice Guidance, the emission estimates across the energy sector have been aggregated because of the hidden dependencies that exist between sectoral activity levels as a result of the constraint of overall consumption and since aggregate fuel consumption is more accurately known than the consumption in individual sectors. The results of the application of the IPCC Tier 1 approach to estimating the uncertainty of the inventory as a whole, which identifies separately estimates of uncertainty for both activity and emission factors where available, and which does not account for correlations between variables (unlike some of the sectoral analyses), are presented in Table A7.1.

As indicated in the IPCC *Good Practice Guidance* the Tier 1 approach is valid as long as a number of restrictive assumptions are met. An alternative, more flexible approach, which relies on Monte Carlo analysis and a more detailed specification of the sources of uncertainty, is currently under consideration for development by the DCCEE for use in future national inventory reports. This analysis would be equivalent to the IPCC Tier 2 approach and would take into consideration a number of refinements proposed by the CSIRO independent review.

The Tier 1 results presented in Table A7.1 show the estimated uncertainty surrounding the aggregate inventory estimate for 2009 to be $\pm 5.7\%$. The reported estimated uncertainty for the trend in emissions is $\pm 8.2\%$. This estimate has been calculated on the assumption that the total uncertainty for parts of agriculture, land use, land use change and forestry, and the waste sectors are uncorrelated through time.

Much of the uncertainty for the UNFCCC inventory derives from the LULUCF sector. The uncertainty for the aggregate inventory excluding LULUCF is estimated at $\pm 2.2\%$ and the uncertainty in the trend is estimated $\pm 2.0\%$ (Table A7.2).

Table A7.1: General reporting table for uncertainty (IPCC Good Practice Guidance Reporting Table 6.1) including LULUCF

A	B	C	D	E	F	G	H	I	J	K	L	M	Q									
IPCC Source category	Gas	Base year emissions Gg CO ₂ e	Year t emissions Gg CO ₂ e	Activity data Uncertainty		Emission factor uncertainty		Combined uncertainty		Uncertainty in total inventory		Type A Sensitivity		Type B Sensitivity		Uncertainty in trend of EF		Uncertainty in trend of activity data		Uncertainty in trend of total emissions		Footnote ref no.
				%	2009 Gg CO ₂ e	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%	
1.A Solid fossil fuels	CO ₂	131753	198019	2	5	5.39	1.778	0.058	0.429	0.290	1.2	1.2	1,2									
	CH ₄	28	41	2	5	5.39	0.000	0.000	0.000	0.000	0.0	0.0	1									
	N ₂ O	442	677	2	20	20.10	0.023	0.000	0.001	0.004	0.0	0.0	1									
1.A Liquid fossil fuels	CO ₂	88132	116039	2	3	3.61	0.698	0.003	0.251	0.010	0.7	0.7	1									
	CH ₄	694	537	2	40	40.05	0.036	-0.001	0.001	-0.032	0.0	0.0	1									
	N ₂ O	822	1886	2	60	60.03	0.189	0.002	0.004	0.106	0.0	0.1	1									
1.A Gaseous fossil fuels	CO ₂	32915	59116	2	3	3.61	0.355	0.035	0.128	0.106	0.4	0.4	1									
	CH ₄	29	182	2	5	5.39	0.002	0.000	0.000	0.002	0.0	0.0	1									
	N ₂ O	20	37	2	20	20.10	0.001	0.000	0.000	0.000	0.0	0.0	1									
1.A Biomass fuels	CH ₄	1730	947	0	20	20.00	0.032	-0.003	0.002	-0.056	0.0	0.1	8									
	N ₂ O	186	221	0	20	20.00	0.007	0.000	0.000	-0.001	0.0	0.0	8									
	CO ₂	1122	1027	5	20	20.62	0.035	-0.001	0.002	-0.019	0.0	0.0	1,3									
1.B.1 Fugitives coal mining	CH ₄	18165	27676	5	20	20.62	0.951	0.009	0.060	0.176	0.4	0.5	1,3									
1.B.2 Fugitives oil	CO ₂	393	292	5	5	7.07	0.003	0.000	0.001	-0.002	0.0	0.0	1,4									
1.B.2 Fugitives Natural gas	CO ₂	22	45	10	3	10.44	0.001	0.000	0.000	0.000	0.0	0.0	1,4									
1.B.2 Fugitives venting & flaring	CO ₂	5568	6219	5	5	7.07	0.073	-0.002	0.013	-0.011	0.1	0.1	1,4									
1.B.2 Fugitives oil	CH ₄	65	80	5	5	7.07	0.001	0.000	0.000	0.000	0.0	0.0	1,4									
1.B.2 Fugitives Natural gas	CH ₄	4216	3320	10	3	10.44	0.058	-0.005	0.007	-0.014	0.1	0.1	1,4									
1.B.2 Fugitives venting & flaring	CH ₄	2678	961	5	5	7.07	0.011	-0.005	0.002	-0.027	0.0	0.0	1,4									
1.B.2 Fugitives oil	N ₂ O	4	3	2	20	20.10	0.000	0.000	0.000	0.000	0.0	0.0	1									
1.B.2 Fugitives Natural gas	N ₂ O	0	0	2	20	20.10	0.000	0.000	0.000	0.000	0.0	0.0	1									
1.B.2 Fugitives venting & flaring	N ₂ O	32	29	2	20	20.10	0.001	0.000	0.000	-0.001	0.0	0.0	1									

A	B	C	D	E	F	G	H	I	J	K	L	M	Q									
IPCC Source category	Gas	Base year emissions	Year t emissions	Activity data Uncertainty		Emission factor uncertainty		Combined uncertainty		Uncertainty in total inventory		Type A Sensitivity		Type B Sensitivity		Uncertainty in trend of EF		Uncertainty in trend of activity data		Uncertainty in trend of total emissions		footnote ref no.
		1990 Gg CO ₂ e	2009 Gg CO ₂ e	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%		
2.A.1 Cement clinker production	CO ₂	3463	3829	2.5	2.5	2.5	0.023	-0.001	0.008	-0.004	0.0	0.0	5									
	CO ₂	775	1152	2.5	2.5	3.54	0.007	0.000	0.002	0.001	0.0	0.0	5									
	CO ₂	1300	1525	4	2.5	4.72	0.012	0.000	0.003	-0.001	0.0	0.0	5									
2.A.3 Other Limestone and Dolomite Consumption	CO ₂	1009	3180	5	5	7.07	0.037	0.004	0.007	0.020	0.0	0.1	5									
	CH ₄	9	12	5	5	7.07	0.000	0.000	0.000	0.000	0.0	0.0	5									
	N ₂ O	1035	3369	5	5	7.07	0.040	0.004	0.007	0.022	0.1	0.1	6									
2.C.1 Steel	CO ₂	9018	6020	2.5	5	5.59	0.056	-0.012	0.013	-0.062	0.0	0.1	5									
	CH ₄	59	50	2	5	5.39	0.000	0.000	0.000	0.000	0.0	0.0	5									
	N ₂ O	22	14	2	20	20.10	0.000	0.000	0.000	-0.001	0.0	0.0	6									
2.C.3 Aluminium	CO ₂	2021	3136	2.5	2.5	3.54	0.018	0.001	0.007	0.003	0.0	0.0	5									
	PFCs	3950	308	0	27	27.00	0.014	-0.010	0.001	-0.282	0.0	0.3	5									
	CO ₂	316	324	2.5	5	5.59	0.003	0.000	0.001	-0.001	0.0	0.0	5									
2.C.5 Other	CO ₂	217	217	2.5	5	5.59	0.002	0.000	0.000	-0.001	0.0	0.0	5									
	CO ₂	90	170	0	2.5	2.50	0.001	0.000	0.000	0.000	0.0	0.0	6									
	HFCs	0	6250	0	27	27.00	0.281	0.014	0.014	0.366	0.0	0.4	5									
2.E Production of HFCs	SF ₆	56	60	0	27	27.00	0.003	0.000	0.000	-0.001	0.0	0.0	5									
	HFCs	1126	0	0	27	27.00	0.000	-0.003	0.000	-0.086	0.0	0.1	5									
	SF ₆	56	0	0	27	27.00	0.000	0.000	0.000	-0.004	0.0	0.0	5									
4.A Enteric fermentation	CH ₄	63919	54736	0	5.5	5.50	0.502	-0.061	0.119	0.000	0.0	0.0	6									
	CH ₄	1540	1752	0	10.5	10.50	0.031	-0.001	0.004	0.000	0.0	0.0	6									
	N ₂ O	524	1564	0	10.3	10.30	0.027	0.002	0.003	0.020	0.0	0.0	6									
4.C Rice Cultivation	CH ₄	490	46	5	10	11.18	0.001	-0.001	0.000	-0.013	0.0	0.0	7									

A	B	C	D	E	F	G	H	I	J	K	L	M	Q
IPCC Source category	Gas	Base year emissions	Year t emissions	Activity data Uncert'y	Emission factor uncertainty	Combined uncertainty	Uncert'y in total inventory	Type A Sensit'y	Type B Sensit'y	Uncert'y in trend of EF	Uncert'y in trend of activity data	Uncert'y in trend of total emissions	footnote ref no.
		1990 Gg CO ₂ e	2009 Gg CO ₂ e	%	%	%	%	%	%	%	%	%	
4.D Agricultural Soils	N ₂ O	13438	14191	0	52	52.00	1.230	-0.007	0.031	-0.369	0.0	0.4	7
4.E Burning of Savannas	CH ₄	4643	8530	50	15	52.20	0.742	0.005	0.018	0.081	1.3	1.3	7
4.E Burning of Savannas	N ₂ O	1966	3617	50	15	52.20	0.315	0.002	0.008	0.035	0.6	0.6	7
4.F Agricultural Residues	CH ₄	193	214	5	20	20.62	0.007	0.000	0.000	-0.002	0.0	0.0	7
4.F Agricultural Residues	N ₂ O	99	95	5	20	20.62	0.003	0.000	0.000	-0.001	0.0	0.0	7
5.A.1 Forest land remaining forest land	CO ₂	-43896	-42258	0	30	30.00	-2.114	0.032	-0.092	0.962	0.0	1.0	8
	CH ₄	1383	1660	0	77	77.00	0.213	0.000	0.004	-0.023	0.0	0.0	8
	N ₂ O	377	453	0	88	88.00	0.066	0.000	0.001	-0.007	0.0	0.0	8
	CO ₂	-163	-15012	0	10	10.00	-0.250	-0.032	-0.033	-0.321	0.0	0.3	8
5.A.2 Land Converted to Forest land	CO ₂	-163	-15012	0	10	10.00	-0.250	-0.032	-0.033	-0.321	0.0	0.3	8
5.B.1 Cropland Remaining Cropland	CO ₂	-22877	-25777	0	30	30.00	-1.289	0.009	-0.056	0.257	0.0	0.3	8
5.B.2.1 Forest Land Converted to Croplands	CO ₂	21882	-216	0	10	10.00	-0.004	-0.062	0.000	-0.621	0.0	0.6	8
	CH ₄	899	526	0	20	20.00	0.018	-0.001	0.001	-0.028	0.0	0.0	8
	N ₂ O	317	203	0	20	20.00	0.007	0.000	0.000	-0.009	0.0	0.0	8
	CO ₂	-19066	92586	0	30	30.00	4.631	0.254	0.201	7.632	0.0	7.6	8
5.C.1 Grassland Remaining Grassland	CO ₂	-19066	92586	0	30	30.00	4.631	0.254	0.201	7.632	0.0	7.6	8
5.B.2.1 Forest Land Converted to Grasslands	CO ₂	105304	43407	0	10	10.00	0.724	-0.202	0.094	-2.020	0.0	2.0	8
	CH ₄	2573	914	0	20	20.00	0.030	-0.005	0.002	-0.105	0.0	0.1	8
	N ₂ O	703	250	0	20	20.00	0.008	-0.001	0.001	-0.029	0.0	0.0	8

A	B	C	D	E	F	G	H	I	J	K	L	M	Q
IPCC Source category	Gas	Base year emissions	Year t emissions	Activity data Uncert'y	Emission factor uncertainty	Combined uncertainty	Uncert'y in total inventory	Type A Sensit'y	Type B Sensit'y	Uncert'y in trend of EF	Uncert'y in trend of activity data	Uncert'y in trend of total emissions	footnote ref no.
		1990 Gg CO ₂ e	2009 Gg CO ₂ e	%	%	%	%	%	%	%	%	%	
5.G Other	CO ₂	-4874	-3197	0	20	20.00	-0.107	0.007	-0.007	0.136	0.0	0.1	8
	N ₂ O	586	431	0	20	20.00	0.014	-0.001	0.001	-0.014	0.0	0.0	9
6.A Solid Waste	CH ₄	14216	11024	0	3.25	3.25	0.060	-0.016	0.024	-0.052	0.0	0.1	5
6.B Wastewater handling	CH ₄	3372	2592	0	50	50.00	0.216	-0.004	0.006	-0.194	0.0	0.2	5
6.B Wastewater handling	N ₂ O	343	429	0	50	50.00	0.036	0.000	0.001	-0.002	0.0	0.0	5
6.C Waste incineration	CO ₂	73	30	0	50	50.00	0.002	0.000	0.000	-0.007	0.0	0.0	5
6.C Waste incineration	N ₂ O	12	0	0	50	50.00	0.000	0.000	0.000	-0.002	0.0	0.0	6
Total Emissions		461514	599763										
Total Uncertainties							5.7					8.2	

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Table A7.2: General reporting table for uncertainty (IPCC Good Practice Guidance Reporting Table 6.1) excluding LULUCF

A	B	C	D	E	F	G	H	I	J	K	L	M	Q										
IPCC Source category	Gas	Base year emissions		Year t emissions	Activity data Uncertainty		Emission factor uncertainty		Combined uncertainty		Uncertainty in total inventory		Type A Sensitivity		Type B Sensitivity		Uncertainty in trend of EF		Uncertainty in trend of activity data		Uncertainty in trend of total emissions		footnote ref no.
		1990 Gg CO ₂ e	2009 Gg CO ₂ e		%	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%	
1.A Solid fossil fuels	CO ₂	131753	198019	2	5	5.39	1.954	0.062	0.473	0.311	1.3	1.4	1,2										
	CH ₄	28	41	2	5	5.39	0.000	0.000	0.000	0.000	0.0	0.0	1										
	N ₂ O	442	677	2	20	20.10	0.025	0.000	0.002	0.005	0.0	0.0	1										
1.A Liquid fossil fuels	CO ₂	88132	116039	2	3	3.61	0.767	0.003	0.277	0.008	0.8	0.8	1										
	CH ₄	694	537	2	40	40.05	0.039	-0.001	0.001	-0.035	0.0	0.0	1										
	N ₂ O	822	1886	2	60	60.03	0.207	0.002	0.005	0.117	0.0	0.1	1										
1.A Gaseous fossil fuels	CO ₂	32915	59116	2	3	3.61	0.391	0.039	0.141	0.116	0.4	0.4	1										
	CH ₄	29	182	2	5	5.39	0.002	0.000	0.000	0.002	0.0	0.0	1										
	N ₂ O	20	37	2	20	20.10	0.001	0.000	0.000	0.000	0.0	0.0	1										
1.A Biomass fuels	CH ₄	1730	947	0	20	20.00	0.035	-0.003	0.002	-0.063	0.0	0.1	8										
	N ₂ O	186	221	0	20	20.00	0.008	0.000	0.001	-0.001	0.0	0.0	8										
	CO ₂	1122	1027	5	20	20.62	0.039	-0.001	0.002	-0.021	0.0	0.0	1,3										
1.B.1 Fugitives coal mining	CH ₄	18165	27676	5	20	20.62	1.045	0.010	0.066	0.190	0.5	0.5	1,3										
1.B.2 Fugitives oil	CO ₂	393	292	5	5	7.07	0.004	-0.001	0.001	-0.003	0.0	0.0	1,4										
1.B.2 Fugitives Natural gas	CO ₂	22	45	10	3	10.44	0.001	0.000	0.000	0.000	0.0	0.0	1,4										
1.B.2 Fugitives venting & flaring	CO ₂	5568	6219	5	5	7.07	0.081	-0.002	0.015	-0.012	0.1	0.1	1,4										
1.B.2 Fugitives oil	CH ₄	65	80	5	5	7.07	0.001	0.000	0.000	0.000	0.0	0.0	1,4										
1.B.2 Fugitives Natural gas	CH ₄	4216	3320	10	3	10.44	0.064	-0.005	0.008	-0.016	0.1	0.1	1,4										
1.B.2 Fugitives venting & flaring	CH ₄	2678	961	5	5	7.07	0.012	-0.006	0.002	-0.030	0.0	0.0	1,4										
1.B.2 Fugitives oil	N ₂ O	4	3	2	20	20.10	0.000	0.000	0.000	0.000	0.0	0.0	1										
1.B.2 Fugitives Natural gas	N ₂ O	0	0	2	20	20.10	0.000	0.000	0.000	0.000	0.0	0.0	1										

A	B	C	D	E	F	G	H	I	J	K	L	M	Q
IPCC Source category	Gas	Base year emissions	Year t emissions	Activity data Uncertainty	Emission factor uncertainty	Combined uncertainty	Uncertainty in total inventory	Type A Sensitivity	Type B Sensitivity	Uncertainty in trend of EF	Uncertainty in trend of activity data	Uncertainty in trend of total emissions	footnote ref no.
		1990 Gg CO ₂ e	2009 Gg CO ₂ e	%	%	%	%	%	%	%	%	%	
		%	%	%	%	%	%	%	%	%	%	%	
1.B.2 Fugitives venting & flaring	N ₂ O	32	29	2	20	20.10	0.001	0.000	0.000	-0.001	0.0	0.0	1
2.A.1 Cement clinker production	CO ₂	3463	3829	2.5	2.5	3.54	0.025	-0.002	0.009	-0.004	0.0	0.0	5
2.A.2 Lime production	CO ₂	775	1152	2.5	2.5	3.54	0.007	0.000	0.003	0.001	0.0	0.0	5
2.A.3 Other Limestone and Dolomite Consumption	CO ₂	1300	1525	4	2.5	4.72	0.013	0.000	0.004	-0.001	0.0	0.0	5
2.B Chemicals	CO ₂	1009	3180	5	5	7.07	0.041	0.004	0.008	0.022	0.1	0.1	5
	CH ₄	9	12	5	5	7.07	0.000	0.000	0.000	0.000	0.0	0.0	5
	N ₂ O	1035	3369	5	5	7.07	0.044	0.005	0.008	0.024	0.1	0.1	6
2.C.1 Steel	CO ₂	9018	6020	2.5	5	5.59	0.062	-0.014	0.014	-0.069	0.1	0.1	5
2.C.1 Steel	CH ₄	59	50	2	5	5.39	0.000	0.000	0.000	0.000	0.0	0.0	5
2.C.1 Steel	N ₂ O	22	14	2	20	20.10	0.001	0.000	0.000	-0.001	0.0	0.0	6
2.C.3 Aluminium	CO ₂	2021	3136	2.5	2.5	3.54	0.020	0.001	0.007	0.003	0.0	0.0	5
2.C.3 Aluminium	PFCs	3950	308	0	27	27.00	0.015	-0.012	0.001	-0.313	0.0	0.3	5
2.C.2 Ferroalloys	CO ₂	316	324	2.5	5	5.59	0.003	0.000	0.001	-0.001	0.0	0.0	5
2.C.5 Other	CO ₂	217	217	2.5	5	5.59	0.002	0.000	0.001	-0.001	0.0	0.0	5
2.D Food and drink	CO ₂	90	170	0	2.5	2.50	0.001	0.000	0.000	0.000	0.0	0.0	6
2.F Consumption of HFCs	HFCs	0	6250	0	27	27.00	0.309	0.015	0.015	0.403	0.0	0.4	5
2.F Consumption of SF6	SF ₆	56	60	0	27	27.00	0.003	0.000	0.000	-0.001	0.0	0.0	5
2.E Production of HFCs	HFCs	1126	0	0	27	27.00	0.000	-0.004	0.000	-0.095	0.0	0.1	5
2.E Production of SF6	SF ₆	56	0	0	27	27.00	0.000	0.000	0.000	-0.005	0.0	0.0	5
4.A Enteric fermentation	CH ₄	63919	54736	0	5.5	5.50	0.552	-0.068	0.131	0.000	0.0	0.0	6
4.B Manure management	CH ₄	1540	1752	0	10.5	10.50	0.034	-0.001	0.004	0.000	0.0	0.0	6
4.B Manure management	N ₂ O	524	1564	0	10.3	10.30	0.030	0.002	0.004	0.022	0.0	0.0	6

A	B	C	D	E	F	G	H	I	J	K	L	M	Q	
IPCC Source category	Gas													
		Base year emissions	2009 Gg CO ₂ e	Activity data Uncertainty		Emission factor uncertainty		Combined uncertainty		Type A Sensitivity		Type B Sensitivity		footnote ref no.
				%	%	%	%	%	%	%	%	%	%	
	4.C Rice Cultivation	CH ₄	490	46	5	10	11.18	0.001	-0.001	0.000	-0.014	0.0	0.0	7
	4.D Agricultural Soils	N ₂ O	13438	14191	0	52	52.00	1.352	-0.008	0.034	-0.415	0.0	0.4	7
	4.E Burning of Savannas	CH ₄	4643	8530	50	15	52.20	0.816	0.006	0.020	0.089	1.4	1.4	7
	4.E Burning of Savannas	N ₂ O	1966	3617	50	15	52.20	0.346	0.003	0.009	0.038	0.6	0.6	7
	4.F Agricultural Residues	CH ₄	193	214	5	20	20.62	0.008	0.000	0.001	-0.002	0.0	0.0	7
	4.F Agricultural Residues	N ₂ O	99	95	5	20	20.62	0.004	0.000	0.000	-0.002	0.0	0.0	7
	6.A Solid Waste	CH ₄	14216	11024	0	3.25	3.25	0.066	-0.018	0.026	-0.058	0.0	0.1	5
6.B Wastewater handling	CH ₄	3372	2592	0	50	50.00	0.237	-0.004	0.006	-0.216	0.0	0.2	5	
6.B Wastewater handling	N ₂ O	343	429	0	50	50.00	0.039	0.000	0.001	-0.002	0.0	0.0	5	
6.C Waste incineration	CO ₂	73	30	0	50	50.00	0.003	0.000	0.000	-0.008	0.0	0.0	5	
6.C Waste incineration	N ₂ O	12	0	0	50	50.00	0.000	0.000	0.000	-0.002	0.0	0.0	6	
Total Emissions	418366 545793													
Total Uncertainties	2.2 2.0													

Energy Strategies; 2. George Wilkenfeld & Associates; 3. Dr David Williams, CSIRO; 4 Australian Petroleum Production & Exploration Association; 5 Burnbank Consulting; 6 Dr Mark Howden, CSIRO; 7. Dr Carl Meyer, CSIRO; 8. Dr Gary Richards, Department of Climate Change and Energy Efficiency.

Energy

Stationary Energy

Uncertainty analyses were conducted for emissions from three sectors: 1.A.1.a. *Electricity*, 1.A.1.b. *Petroleum refining* and 1.A.1.c. *Manufacture of solid fuels and other energy industries* (Table A7.3). The overall uncertainty in estimated emissions from *electricity generation* was $\pm 5\%$. The highest uncertainty was for N_2O emissions, with an associated uncertainty of up to $\pm 16\%$. However, as emissions of N_2O (and CH_4) account for only a small fraction, 0.4%, of the subsector's total emissions, there is a negligible impact on overall uncertainty for this sector.

Table A7.3: Quantified uncertainty values for key stationary energy subcategories

Greenhouse gas source and sink category	Uncertainty (%) ^(a)			
	CO ₂	CH ₄	N ₂ O	Total CO ₂ -e
1. ENERGY				
A Fuel combustion activities				
1.A.1.a Electricity	± 5	± 9	± 15	± 5
Black coal	± 6	± 9	± 15	± 6
Brown coal	± 4	± 9	± 15	± 4
Petroleum	± 4	± 9	± 7	± 4
Natural gas	± 4	± 9	± 16	± 4
Biomass	NA	± 9	± 4	± 4
Biogas	NA	± 9	± 16	± 4
1.A.1.b Petroleum refining	± 4	± 9	± 12	± 4
Petroleum	± 4	± 9	± 12	± 4
Gas	± 4	± 9	± 12	± 4
1.A.1.c Manufacture of solid fuels and other energy industries	± 4	± 9	± 12	± 4
Coal	± 4	± 9	± 12	± 4
Petroleum	± 4	± 9	± 12	± 4
Gas	± 4	± 9	± 12	± 4

(a) Uncertainty reported at 95% confidence limits estimated using Latin Hypercube (a type of Monte Carlo) analysis

Overall uncertainty associated with emissions estimates from both 1.A.1.b. *Petroleum refining* and 1.A.1.c. *Manufacture of solid fuels and other energy industries* sectors was $\pm 4\%$. Again, the uncertainty associated with emissions of N_2O and CH_4 has negligible impact on overall uncertainty. An uncertainty analysis on minor, mobile source categories of the *stationary energy* sector gave uncertainty values ranging from $\pm 16.4\%$ to $\pm 24.5\%$ for CO₂, from $\pm 25.4\%$ to $\pm 63.9\%$ for CH₄, and $\pm 44.7\%$ to $\pm 64.2\%$ for N₂O.

Table A7.4: Quantified uncertainty values for mobile source categories

Greenhouse gas source and sink category	Uncertainty (%) ^(a)		
	CO ₂	CH ₄	N ₂ O
1.A.4. Other sectors			
b. Residential			
Lawn mowers	± 24.5	± 45.2	± 46.3
1.A.5. Other			
b. Mobile	± 16.4	± 25.4	± 44.7
Military transport—land	± 18.5	± 32.9	± 54.6
Military transport—water	± 24.4	± 63.9	± 62.7
Military transport—aviation	± 24.0	± 47.2	± 64.2

(a) Uncertainty reported at 95% confidence limits estimated using Monte Carlo analysis.

Transport

Monte Carlo analyses were conducted for all subsectors and fuel types. The uncertainty distributions for emission factors and activity data were developed on the basis of expert judgment.

The total estimated uncertainties in the *transport* subsector were $\pm 4\%$ for CO_2 , $\pm 24\%$ for CH_4 , and $\pm 42\%$ for N_2O . Uncertainties in the emissions from individual source categories ranged from $\pm 1\%$ to $\pm 24\%$ for CO_2 , $\pm 23\%$ to $\pm 59\%$ for CH_4 , and $\pm 32\%$ to $\pm 63\%$ for N_2O . The largest source of uncertainty is in the emission factors.

The estimates also reflect the relatively higher uncertainty attached to the emission estimates for particular vehicle types, which are drawn from ABS data and its survey of motor vehicle use, than for the sector as a whole. This outcome reflects the dependency between activity variables; and because overall transport fuel consumption is more accurately known than the individual segments.

Table A7.5: Emissions and quantified uncertainty values for key transport subcategories

Greenhouse gas source and sink category	Uncertainty (%) ^(a)		
	CO_2	CH_4	N_2O
1.A.3. Transport	± 4	± 24	± 42
	± 4	± 23	± 41
a. Civil aviation	± 9	± 52	± 52
b. Road transport	± 4	± 25	± 42
i. Passenger cars	± 6	± 31	± 44
ii. Light trucks	± 7	± 38	± 41
iii. Medium trucks	± 9	± 41	± 60
iv. Heavy trucks	± 10	± 44	± 61
v. Buses	± 8	± 36	± 53
vi. Motorcycles	± 10	± 43	± 61
c. Railways	± 5	± 39	± 39
d. Navigation	± 8	± 59	± 32
e. Other transportation	± 24	± 46	± 63
International bunkers			
Aviation	± 10	± 58	± 59
Marine	± 4	± 47	± 52

(a) Uncertainty reported at 95% confidence limits.

Fugitives

The overall uncertainty for *fugitive* emissions was estimated to be $\pm 11\%$ (Table A7.6). The estimated uncertainty for *solid fuels* CH_4 was $\pm 19\%$. Uncertainties in oil and natural gas emissions were estimated to be $\pm 4\%$ for CO_2 , $\pm 5\%$ for CH_4 and $\pm 4\%$ for N_2O .

Table A7.6: Quantified uncertainty values for key fugitive emissions subcategories

Greenhouse gas source and sink category	Uncertainty (%) ^(a)			
	CO ₂	CH ₄	N ₂ O	CO ₂ -e
1. ENERGY				
B. Fugitive emissions	±4	±14	±4	±11
1.B.1. Solid fuels	NE	±19	NE	±19
1B1ai Underground mines	NE	±21	NE	±21
Underground activities	NE	±21	NE	±21
Post mining	NE	±17	NE	±17
1.B.1.a.i.i. Surface mining	NE	±17	NE	±17
1.B.2. Oil and natural gas	±4	±5	±4	±4
1.B.2.a. Oil	±8	±5	±8	±7
1.B.2.b. Natural gas	±9	±9	NA	±9
1.B.2.c. Venting and flaring	±4	±4	±4	±4

(a) Uncertainty reported at 95% confidence limits estimated using Latin Hypercube analysis.

Industrial Processes

An analysis of uncertainty was conducted using the methods recommended in the *Revised 1996 IPCC Guidelines* and random sampling techniques described in the *IPCC Good Practice* report (Latin Hypercube simulations). Uncertainty estimates of the components of each emission estimate (activity levels and emission factors) are based on expert judgement.

As the IPCC Tier 1 approach is not suitable for assessing uncertainty where approximately normal distribution assumptions cannot be sustained, an analysis was undertaken using Latin Hypercube techniques. These techniques can take into account asymmetric probability distributions associated with emission factors. For example, as the average emission factor for PFCs tends to the minimum limit that is understood to be technically feasible, the probability of the emission factor being lower than estimated is less than the probability of it being higher than estimated.

The Latin Hypercube analysis gave an uncertainty of ±5% (Table A7.7). The uncertainty in the *industrial processes* subsectors ranged from ±4% to ±20%.

Table A7.7: Quantified uncertainty values for key industrial processes subsectors using different techniques

Uncertainties and distribution ^(a)			Emission factors – uncertainties and distributions								
Source	Production/ use	Distribution	CO ₂	Distribution	CH ₄	Distribution	N ₂ O	Distribution	CF ₄	Distribution	C ₂ F ₆
Cement clinker	±5.00	Normal	±4.99	Normal	NA	NA	NA	NA	NA	NA	NA
Cement kiln dust	±7.01	Normal	±5.01	Normal	NA	NA	NA	NA	NA	NA	NA
Cement total organic carbon	NA	Normal	±5.00	Normal	NA	NA	NA	NA	NA	NA	NA
Commercial lime	±5.00	Normal	±4.99	Normal	NA	NA	NA	NA	NA	NA	NA
In-house lime	±4.01	Normal	±5.01	Normal	NA	NA	NA	NA	NA	NA	NA
Limestone use	±8.01	Normal	±5.00	Normal	NA	NA	NA	NA	NA	NA	NA
Dolomite use	±8.00	Normal	±4.99	Normal	NA	NA	NA	NA	NA	NA	NA
Soda ash production	±5.00	Normal	NA	Stoichiometry	NA	NA	NA	NA	NA	NA	NA
Soda ash use	±5.00	Normal	NA	Stoichiometry	NA	NA	NA	NA	NA	NA	NA
Magnesia	±5.00	Normal	±5.00	Normal	NA	NA	NA	NA	NA	NA	NA
Ammonia	±7.02	Normal	±5.00	Normal	NA	NA	NA	NA	NA	NA	NA
Nitric acid	±10.00	Normal	NA	NA	NA	NA	±9.99	Normal	NA	NA	NA
Nitrous oxide	±5.00	Normal	NA	NA	NA	NA	NA	NA	NA	NA	NA
Synthetic rutile	±5.00	Normal	±5.00	Normal	NA	NA	NA	NA	NA	NA	NA
Titanium dioxide	±20.00	Normal	±5.00	Normal	NA	NA	NA	NA	NA	NA	NA
Iron and steel	±5.01	Normal	±2.05	Triangular	±3.82	Triangular	±16.33	Triangular	NA	NA	NA
Hot briquetted iron	±5.00	Normal	±4.08	Triangular	±4.34	Triangular	±9.07	Triangular	NA	NA	NA
Aluminium	±5.01	Normal	±5.00	Normal	NA	NA	NA	NA	±16.22	Triangular	Function of CF ₄
Total emissions	26019.09										
Aggregate uncertainty	±2.88										

(a) Uncertainty reported at 95% confidence limits assuming approximately normal distributions. Source: Burnbank Consulting.

Agriculture

Livestock

An uncertainty analysis was undertaken for the *livestock* subsectors, addressing both CH₄ and N₂O emissions. Uncertainty distributions were developed for the inputs and the relationships used in the inventory. Where possible, uncertainties were based on quantitative analysis of probability distributions. Nevertheless, many of the distributions remain based on expert judgement. For many biological variables there are limits to the likely minimum and maximum values, and these constrain the distributions. For example, feed intakes have maximum values that are defined by the physiology of the livestock and the characteristics of the feed. Minimum values of feed intake relate to productivity and survival below which the industry wouldn't attempt to operate.

The estimated uncertainty in *enteric fermentation* emissions ranged from –5.1% to +5.9% (Table A7.8) while the uncertainty in the *manure management* emissions was in the order of 10%. For total CO₂-e emissions from *livestock* the uncertainty was estimated to be –5.3% to +6.1%. The uncertainty in the reported cattle numbers was the most significant contributor to the overall uncertainty.

Recent measurements of methane emissions from sheep on high-quality pastures and cattle on grain diets in Australia show that the inventory procedure produces accurate estimates of methane emission rates. However, further work is needed to reduce uncertainties relating to feed intakes, methane emissions from sheep on low-quality pasture, methane emissions from beef cattle, and emissions from manure under a range of conditions.

Table A7.8: Relative uncertainty in emission estimates for the livestock subsector

Greenhouse gas source and sink categories	Uncertainty (%) ^(a)	
	CH ₄	N ₂ O
A. Enteric fermentation	–5.1 to +5.9	
B. Manure management	–9.8 to +11.1	–10.1 to +10.6

(a) Uncertainty reported at 95% confidence limits estimated using Monte Carlo analysis.

Other Agriculture

Estimates of uncertainties in the emissions for the *other agriculture* subsectors were determined using a Latin Hypercube analysis (Table A7.9). Ideally, the probability distributions of the input variables would be determined by statistical analysis of real data. However, in the current analysis, suitable data sets were not available and the probability distributions were defined using expert judgement. The uncertainty in emission factors and associated parameters were determined from surveys of the published international literature, with emphasis on local Australian measurements. All variables are considered to be independent except fuel load and burning efficiency, which were positively correlated. The activity data with the greatest uncertainties are the areas of savanna fires. These are collated from a large and dispersed number of state government organisations with a wide range of data quality protocols.

There is large relative uncertainty in the emission estimates from all subcategories, including approximately –40 to +60% for methane in the *field burning of residues* subsector and approximately –50 to +100% for nitrous oxide from *agricultural soils*. By way of comparison, estimates presented in the IPCC *Good Practice* guidelines indicate uncertainties of up to +55% and +500% for these sectors respectively as being likely to be typical. Significantly, in all subsectors, most of this uncertainty was derived from the uncertainties in emission factors and associated parameters. Uncertainty in the activity data was a relatively minor contributor to overall uncertainty. Partly this is a result of using three-year averages of annual activity data. The effect of averaging is to significantly reduce the sensitivity of the emissions estimates to uncertainty in the value for any individual year. In most cases, the uncertainty ranges are distributed asymmetrically around the estimates because, while emission factors usually have well constrained minima, their maxima are generally unconstrained.

Table A7.9: Relative uncertainty in emission estimates for other agriculture subsectors

Greenhouse gas source and sink categories	Uncertainty (%) ^(a)	
	CH ₄	N ₂ O
4. AGRICULTURE		
C. Rice cultivation	–20 to 23	
1. Irrigated	–20 to 23	
D. Agricultural soils		–46 to 97
1. Direct soil emissions		–30 to 40
2. Animal production		–53 to 90
3. Indirect		–67 to 156
E. Prescribed burning of savannas	–52 to 80	–55 to 94
F. Field Burning of agricultural residues	–41 to 58	–39 to 56
1. Cereals	–45 to 68	–45 to 69
2. Pulse	–59 to 100	–60 to 98
3. Tuber and root	NO	NO
4. Sugar cane	–42 to 62	–46 to 74
5. Other	–57 to 96	–59 to 104

(a) Uncertainty reported at 95% confidence limits estimated using Latin Hypercube.

Land Use, Land Use Change and Forestry

Australia's National Carbon Accounting System (NCAS) uses Tier 3 methods (ecosystem model) of emissions estimation and an Approach 3 (full spatial enumeration) method of representing land (IPCC 2003). Unlike the Tier 1 and Tier 2 methods, Tier 3 uses complex modelling to estimate emissions in a way that fully represents both annual and spatial variability. Tier 3 and Approach 3 methods were chosen because the causes of most emissions in Australia (forest conversion) are from rare events (a small fraction of the forest estate). Tier 3 methods allow more complex forms of sensitivity and uncertainty analysis, and in concert with verification activities give an ability to identify any potential bias.

The verification processes focus on the detailed checking of land areas and modelled emissions estimates. That is, the testing of the NCAS results is typically against actual measures that have a 'certain' outcome. The benefits of verification by direct measurement are, first, the detailed data derived can be used to determine the model and land area estimation performances in general (e.g., by region, soil type, vegetation type) and in detail, for example, by carbon pool (e.g., litter, fast turnover soil organic matter). Second, having actual measures allows for continuous improvement whereby the verification data can subsequently be used to enhance calibration, which is then tested again in subsequent verification. This ensures a growing base of data for model calibration while also ensuring that calibration and verification data remain independent.

Extensive independent verification programs of the land cover change and plantation mapping via remote sensing techniques have been continuously applied throughout the time-series updates. The methods applied to verification of the land cover change results are published in the NCAS Technical Reports (Lowell et al., 2003 and Jones et al., 2004) and in peer review literature (Lowell et al., 2005). This program initially relied on verification against historic air photographs, and more recently, by using very high resolution satellite data (1m). The verification of the plantations mapping (MBAC Consulting *in prep.*) was based on on-site field inspection. This alternative approach was used because it was able to provide a definite date of planting (from signage or company records) and could accurately provide parameters such as species, stocking rate, condition etc. that could not be derived with certainty from remote techniques. This program was based on several hundred sites throughout Australia, selected to be representative of geographic regions, plantation types and plantation ages.

The direct measurement of forest biomass is rare, and as destructive sampling is required, no time-series growth data based on whole mass measurement is available. However, through the use of allometric equations from measurable forest stand parameters of basal area, height etc. it is possible to model total stand biomass. As these measures are widely used in a forest inventory, there is a wealth of industry data available at both a single point in time and time-series (permanent plot) measurements against which growth and biomass estimates have been verified. In addition, research site data comparisons and select whole-stand mass measurements have been applied. The benefits of comparisons with research data has been that additional to commonly available stand biomass estimates are data on site conditions and management. Because of the cost and logistical difficulty in actually measuring total stand biomass, the approach taken was to destructively sample and weigh forest plots of a single species across a productivity gradient (Ximenes et al., 2005). This approach could then test both the biomass predictions and replication of the gradient in forest productivity and carrying capacity by model estimates.

Much like the verification activities for forest biomass, a tiered approach was applied to the verification of modelled soil carbon change estimates. Most geographically widespread and representative data were taken from paired site samples, before and after land use change. The change in total soil organic carbon was compared to modelled estimates. Soil fractionations were also completed to test the model performance in predicting turnover in various soil carbon pools. Wherever possible, models were also compared to research site data (Skjemstad and Spouncer 2002). This again had the benefit of multiple pool, time-series measurements for comparison, along with the recorded impacts of detailed site condition and management.

The methods of uncertainty analysis described by the IPCC Good Practice Guidance 2003 are typically designed for Tier 1 and Tier 2 emissions factor based approaches. More complex methods for dealing with potential error propagation and inter-correlation of parameter uncertainties needs to be applied to the process model forms of inventory used in Tier 3. However, the fundamental approach of using *Monte Carlo* forms of analysis for both sensitivity and uncertainty analysis remains relevant and are applied.

The sensitivity and uncertainty analysis of the NCAS are used to determine:

- that the best estimate (most likely outcome) is not subject to bias;
- the parameter sensitivity, in order to understand the drivers of uncertainty and guide improvement programs and verification priorities; and,
- to determine the probability distribution of possible outcomes.

The sensitivity and uncertainty analyses undertaken are described in detail in each of the methods Appendices 7.B, 7.C and 7.D. To enable these analyses a *Monte Carlo* analysis capability has been integrated into the modelling framework and is routinely applied.

Uncertainty analyses using *Monte Carlo* techniques are also supplemented by the determination of accuracies of spatial data through verification programs. Verification can also be used to identify if there is any potential bias in the spatial inputs to the emissions modelling.

Table A7.10: Estimation of uncertainties in components of the land use change and forestry subsectors

Greenhouse gas source and sink categories	Uncertainty (%)		
	CO ₂	CH ₄	N ₂ O
A.1 Forest land remaining forest land	± 30	-46 +77	-47 +88
A.2 Land converted to forest land	± 10		
B.1/C.1 Cropland/Grassland remaining	± 30		
B. 2/C.2 Forest land converted to Cropland/Grassland	± 10	± 20	± 20

Waste

Estimates for uncertainty for emissions from solid waste disposal were estimated by Burnbank Consulting. The full implications of non-linearities in the solid waste methodology are still to be satisfactorily explored, however, and further work into the solid waste estimates are likely in future.

Table A7.11: Relative uncertainty in emission estimates for key waste subsectors

Greenhouse gas source and sink categories	Uncertainty (%)				
	CH ₄	N ₂ O	NO _x	CO	NMVOC
6. Waste					
A. Solid waste disposal on land a	± 3.25	NA	NA	NA	NA
B. Wastewater	± 50				
C. Incineration	NA				

a Source Burnbank Consulting 2007

Table A7.12: Specific distributions, parameters and results: Solid Waste

Variable	Distribution and parameters	2sd	M-2sd	M+2sd	2sd/M	M-/2.5 %	M+/97.5 %
Emission Generated / 2004 – ACT		0.70	12.85	14.26	5.19%	1.00	1.00
Emission Generated / 2004 – NSW		16.55	277.85	310.95	5.62%	1.00	1.00
Emission Generated / 2004 – NT		0.26	4.63	5.14	5.26%	1.00	1.00
Emission Generated / 2004 – QLD		7.84	163.61	179.30	4.57%	1.00	1.00
Emission Generated / 2004 – SA		4.92	45.95	55.79	9.68%	1.00	1.00
Emission Generated / 2004 – TAS		1.72	15.75	19.19	9.84%	1.00	1.01
Emission Generated / 2004 – VIC		16.57	163.14	196.29	9.22%	1.00	1.00
Emission Generated / 2004 – WA		8.94	78.13	96.01	10.27%	1.00	1.00
Emissions Generated – Australia		26.59	792.84	846.03	3.25%	1.00	1.00
DOCfood	Normal (0.15,0.05*0.15)	0.01	0.13	0.16	10.00%	1.00	1.00
DOCpaper&text / DOCpaper&text	Normal (0.4,0.05*0.4)	0.04	0.36	0.44	10.00%	1.00	1.00
DOgarden / DOgarden	Normal (0.17,0.05*0.17)	0.02	0.15	0.19	10.00%	1.00	1.00
DOCwood / DOCwood	Normal (0.43,0.05*0.43)	0.04	0.39	0.47	10.00%	1.00	1.00
Standard Mix – MSW-food	Triangle (0.15,0.21,0.27)	0.05	0.16	0.26	23.33%	0.99	1.01
Standard Mix – MSW-p&t / Standard Mix – MSW-p&t	Triangle (0.07,0.11,0.15)	0.03	0.08	0.14	29.69%	0.98	1.01
Standard Mix – MSW-gg / Standard Mix – MSW-gg	Triangle (0.14,0.19,0.24)	0.04	0.15	0.23	21.49%	0.99	1.01

Variable	Distribution and parameters	2sd	M-2sd	M+2sd	2sd/M	M-/2.5 %	M+/97.5 %
Standard Mix – MSW-wood / Standard Mix – MSW-wood	Triangle (0.02,0.03,0.04)	0.01	0.02	0.04	27.22%	0.98	1.01
Standard Mix – MSW-other / Standard Mix – MSW-other	Triangle (0.38,0.46,0.54)	0.07	0.39	0.53	14.20%	0.99	1.01
DDOC	Normal(0.5,0.1*0.5)	0.10	0.40	0.60	20.00%	1.00	1.00
Half-life	Triangle (3,4,6)	1.25	3.09	5.58	28.78%	0.94	0.99
Half-life	Triangle (10,12,14)	1.63	10.37	13.63	13.61%	0.99	1.01
Half-life	Triangle (6,7,9)	1.25	6.09	8.58	17.01%	0.97	1.00
Half-life	Triangle (17,23,35)	7.48	17.52	32.48	29.93%	0.94	0.99
Time Delay	Normal(7,0.28*7)	3.92	3.08	10.92	55.99%	0.98	1.01
Half-life	Triangle (3,4,6)	1.25	3.09	5.58	28.78%	0.94	0.99
Half-life	Triangle (10,12,14)	1.63	10.37	13.63	13.61%	0.99	1.01
Half-life	Triangle (6,7,9)	1.25	6.09	8.58	17.01%	0.97	1.00
Half-life	Triangle (17,23,35)	7.48	17.52	32.48	29.93%	0.94	0.99
Time Delay	Normal(7,0.28*7)	3.92	3.08	10.92	56.00%	0.98	1.01
Half-life	Triangle (1,2,4)	1.25	1.09	3.58	53.45%	0.85	0.99
Half-life	Triangle (8,10,12)	1.63	8.37	11.63	16.33%	0.99	1.01
Half-life	Triangle (3,4,5)	0.82	3.18	4.82	20.41%	0.99	1.01
Half-life	Triangle (14,20,23)	3.74	15.26	22.74	19.69%	1.01	1.03
Time Delay	Normal(7,0.28*7)	3.92	3.08	10.92	55.99%	0.98	1.01
Half-life	Triangle (1,2,4)	1.25	1.09	3.58	53.45%	0.85	0.99
Half-life	Triangle (8,10,12)	1.63	8.37	11.63	16.33%	0.99	1.01
Half-life	Triangle (3,4,5)	0.82	3.18	4.82	20.41%	0.99	1.01
Half-life	Triangle (14,20,23)	3.74	15.26	22.74	19.69%	1.01	1.03
Time Delay	Normal(7,0.28*7)	3.92	3.08	10.92	56.00%	0.98	1.01
Half-life	Triangle (9,12,14)	2.05	9.61	13.72	17.61%	1.00	1.02
Half-life	Triangle (14,17,23)	3.74	14.26	21.74	20.79%	0.96	1.00
Half-life	Triangle (12,14,17)	2.05	12.28	16.39	14.34%	0.98	1.00
Half-life	Triangle (23,35,69)	19.48	22.85	61.82	46.02%	0.86	0.99
Time Delay	Normal(7,0.28*7)	3.92	3.08	10.92	55.99%	0.98	1.01
Half-life	Triangle (9,12,14)	2.05	9.61	13.72	17.61%	1.00	1.02
Half-life	Triangle (14,17,23)	3.74	14.26	21.74	20.79%	0.96	1.00
Half-life	Triangle (12,14,17)	2.05	12.28	16.39	14.34%	0.98	1.00
Half-life	Triangle (23,35,69)	19.48	22.85	61.82	46.02%	0.86	0.99
Time Delay	Normal(7,0.28*7)	3.92	3.08	10.92	56.00%	0.98	1.01
Half-life	Triangle (9,12,14)	2.05	9.61	13.72	17.61%	1.00	1.02
Half-life	Triangle (14,17,23)	3.74	14.26	21.74	20.79%	0.96	1.00
Half-life	Triangle (12,14,17)	2.05	12.28	16.39	14.34%	0.98	1.00
Half-life	Triangle (23,35,69)	19.48	22.85	61.82	46.02%	0.86	0.99
Time Delay	Normal(7,0.28*7)	3.92	3.08	10.92	55.99%	0.98	1.01
Half-life	Triangle (9,12,14)	2.05	9.61	13.72	17.61%	1.00	1.02
Half-life	Triangle (14,17,23)	3.74	14.26	21.74	20.79%	0.96	1.00
Half-life	Triangle (12,14,17)	2.05	12.28	16.39	14.34%	0.98	1.00
Half-life	Triangle (23,35,69)	19.48	22.85	61.82	46.02%	0.86	0.99
Time Delay	Normal(7,0.28*7)	3.92	3.08	10.92	55.99%	0.98	1.01

Source: Burnbank Consulting (2007)

ANNEX 8: DESCRIPTION OF AUSTRALIA'S NATIONAL REGISTRY

The description of Australia's national registry follows the reporting guidance set down in Decision 15/CMP.1, part II (Reporting of supplementary information under Article 7, paragraph 1, E. National registries) under the Kyoto Protocol.

Name and contact information of the registry administrator designated by the Party to maintain the national registry

Shaun Calvert
Registry Administrator
Department of Climate Change and Energy Efficiency
GPO Box 854
CANBERRA ACT 2601
Tel: +61 2 6159 7757
Email: shaun.calvert@climatechange.gov.au

Names of any other party with which the party cooperates by maintaining their respective registries in a consolidated system

The Australian National Registry is not operated in a consolidated system with any other party's registry.

A description of the database structure and capacity of the national registry

The following is an extract from the Software Specifications for the Australian National Registry – the Australian National Registry of Emissions Units (ANREU).

SQL Server Database

The ANREU database is a Microsoft SQL Server 2005 database. The vast majority of the system's business logic is contained in stored procedures, views, and functions contained in the database instance. The ANREU has a complex system of metadata used to control many aspects of the system's configuration. Much of this metadata can be managed through the Registry Management Application (RMA) tool, from a desktop with network access to the database hosting environment.

SQL Server 2005 Reporting Services

SQL Server 2005 Reporting Services (SSRS) runs on the IIS Web Server (described below) and is configured with data sources that point to the ANREU SQL Server 2005 database. SSRS provides reporting functionality to the ANREU web application. The report content for the web application reports is controlled through metadata, which is managed through the Registry Management Application (RMA) tool, from a desktop with network access to the database hosting environment.

In addition to the ANREU web application reports, SSRS hosts two administrative reports that are available through the RMA. These are the CPR Level report and the Kyoto report for submission to the UN. The CPR Level report allows the Registry Administrator to see the status of the registry with respect to the required commitment period reserve. The commitment period reserve is the minimum quantity of Kyoto units that the registry must hold at any given time in order to limit the scope of non-compliance. The Kyoto report provides automatic generation of the required annual reports for Kyoto parties (COP 10). The report is generated using the standard electronic format (SEF) of submission under Article 7.1 of the Kyoto Protocol. SSRS also provides an ad-hoc reporting capability intended for administrators that is accessible through the RMA tool.

IIS Web Server

The ANREU is primarily accessed through a web application. The web server used is Microsoft IIS, which communicates with the ColdFusion 8 application server.

ColdFusion MX 8 Application Server

The ANREU web application is developed in ColdFusion 8. The files comprising the ANREU ColdFusion application are distributed as a file tree. The ColdFusion application server runs as a service on the designated machine also hosting the IIS web server.

Hardware Specifications

The ANREU application has been deployed to the web hosting environment provided by AussieHQ. Each instance (production, standby, dev/test, etc.) of the ANREU application has been deployed in a clustered Microsoft SQL 2005 environment where each node meets the Microsoft recommended specifications of a 1 GHz Pentium III-compatible processor or higher and 1 GB or more of RAM. If deployed in an Active/Active cluster each node must be able to provide full failover of another node's SQL instance. Hardware which is to provide load balancing for the web application must support sticky sessions. Each web server must meet the recommended specification of a 1 GHz Pentium III-compatible processor and have 1.5 GB or more of RAM. The web servers will be used to run IIS, ColdFusion, and the ITL related web services.

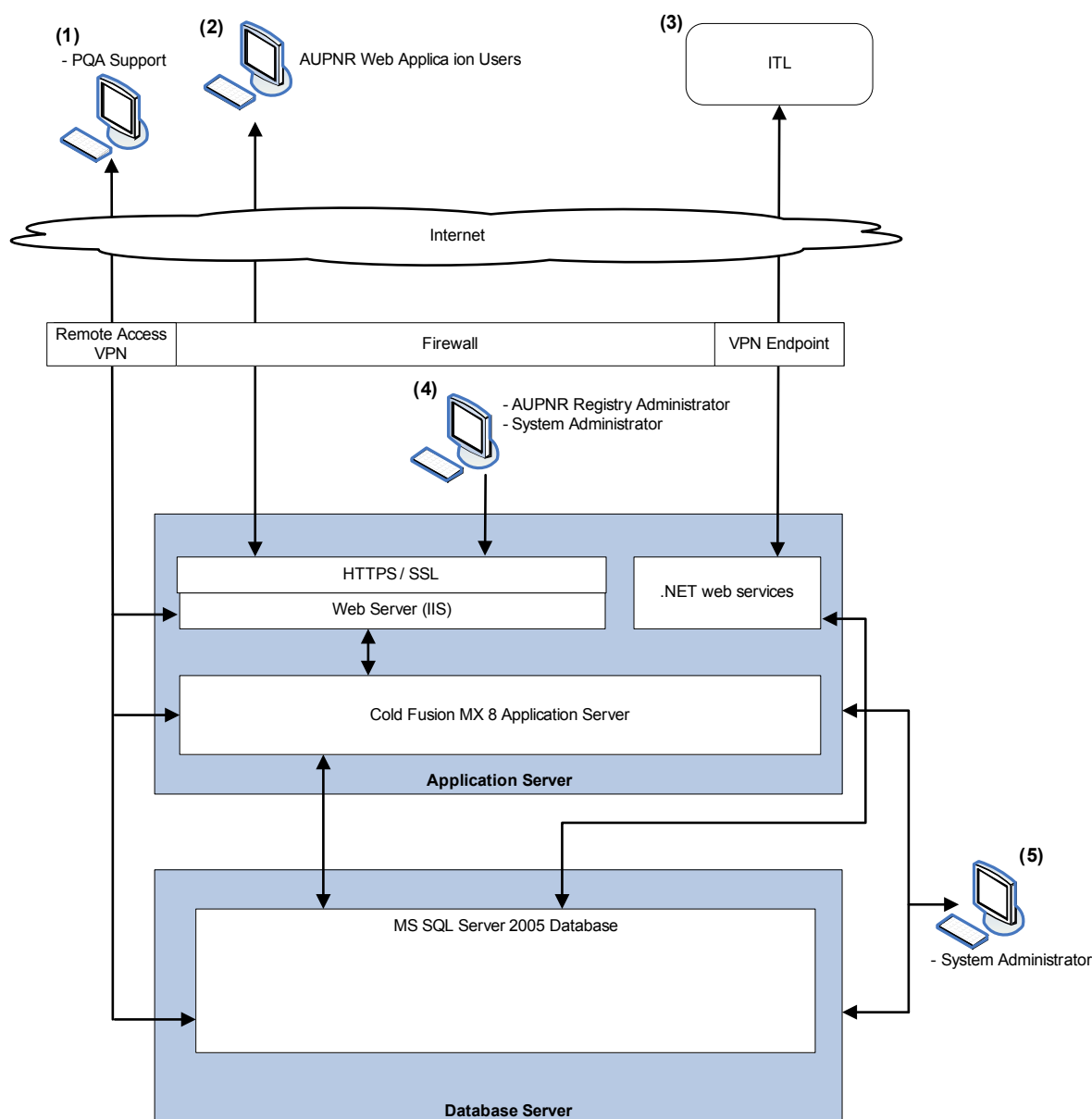
Software Specifications

The ANREU consists of the following software components.

- Internet Information Services (IIS) 6.0 or higher
- ColdFusion 8
- SQL Server 2005
- SQL Server 2005 Reporting Services
- Microsoft Messaging Queue components
- NET 2.0 Framework
- NET Web Services (C#)
- NET ITLListenerService (C#)
- Registry Management Application (RMA)

Communications with the UNFCCC International Transaction log are managed by software components deployed in IIS.

Figure A.8.1: ANREU Logical Network Topology (Production Environment)



A description of how the national registry conforms to the technical standards for the purpose of ensuring the accurate, transparent and efficient exchange of data between national registries, the clean development registry and the independent transaction log, including (i) to (vi) below

The Australian National Registry system contains the functionality to perform issuance, conversion, external transfer, (voluntary) cancellation, retirement and Reconciliation processes using XML messages and web-services as specified in V1.1 of the UN DES document.

In addition, it also contains: 24 Hour Clean-up, Transaction Status enquiry, Time Synchronisation, Data Logging requirements (including, Transaction Log, Reconciliation Log, Internal Audit Log and Message Archive) and the different identifier formats as specified in the UN DES document.

(i) A description of the formats used in the national registry for account numbers, serial numbers for ERUs, CERs, AAUs, and RMUs, including project identifiers and transaction numbers

The formats used in the Australian National Registry are as specified in the DES 1.1 Annex F — Definition of identifiers.

(ii) A list, and the electronic format, of the information transmitted electronically when transferring ERUs, CERs, AAUs, and/or RMUs to other registries

The formats used in the Australian National Registry to transmit information to other registries are specified in the DES 1.1.

(iii) A list, and the electronic format, of the information transmitted electronically when acquiring ERUs, CERs, AAUs, and/or RMUs from other national registries or the CDM registry

The formats used in the Australian National Registry to acknowledge the messages transmitted to other registries are specified in the DES 1.1.

(iv) A list, and the electronic format, of the information transmitted electronically from the national registry to the independent transaction log when issuing, transferring, acquiring, cancelling and retiring ERUs, CERs, AAUs, and/or RMUs

Information will be transmitted to the ITL in the message formats specified in the UN DES Version 1.1.

(v) An explanation of the procedures employed in the national registry to prevent discrepancies in the issuance, transfer, acquisition, cancellation and retirement of ERUs, CERs, AAUs, and/or RMUs

In order to minimise discrepancies between the Australian National Registry and the ITL, the following approach has been adopted:

- Communications between the registry and the ITL are via web-services using XML messages — as specified in the DES 1.1. These web services, XML message format and the processing sequence are checked by the registry to ensure the compliance with DES 1.1;
- The registry validates data entries against the formats of information as specified in Annex F of the DES 1.1;
- The registry implements internal controls in accordance with the checks performed by the ITL — as documented in Annex E of the DES 1.1.
- All units that are involved in a transaction shall be earmarked internally within the registry; thereby preventing the units from being involved in another transaction until a response has been received from the ITL and the current transaction has been completed;
- The web service that sends the message to the ITL for processing will ensure that a message received acknowledgement is received from the ITL before completing the submission of the message. Where no acknowledgement message has been received following a number of retries, the web-service would terminate the submission and roll back any changes made to the unit blocks that were involved;
- Where a 24 hour clean-up message is received from the ITL, the existing web service would rolling back any pending transactions and the units that were involved, thereby preventing any discrepancies in the unit blocks between the registry and the ITL;
- Finally, if an unforeseen failure were to occur, the data discrepancies between our registry and the ITL can be corrected via a manual intervention function within our registry. Following this, reconciliation will be performed to validate that the data is in sync between the registry and the ITL. If a discrepancy reoccurs in the registry, the following measures will be applied:
 - Identification, and registration of the discrepancy;
 - Identification of the source of the discrepancy (DES, registry specifications, erroneous programming code);
 - Elaboration of a resolution plan and testing plan;
 - Correction and testing of the software;
 - Release and deployment of the corrected software.

(vi) An overview of the security measures employed in the national registry to deter unauthorised manipulations and minimize operator error

For the Australian National Registry the following security measures have been implemented. In addition the Department of Climate Change and Energy Efficiency is undertaking an external security review of the Registry to ascertain key security risks and to ensure compliance with the Australian Government Authentication Framework (AGAF).

Identification and Authentication

Access to the registry is allowed via a personal username and password – allocated as a part of a Registration process performed by the Department of Climate Change and Energy Efficiency.

Access control

Users of the ANREU are divided into three security groups. These groups control the access and security at the application level. A user's login information is assigned to a user group, which determines what the user can and cannot do within the system.

The Registry supports the following user groups

System Administrator

The System Administrator group has global authority throughout the Registry. This user is responsible not only for the day-to-day functionality of the system, but also for administrative support. This may include user management, managing and setting batch jobs, and reviewing audit and transaction logs. This person is responsible for maintaining the technical environment of the Australian National Registry, including all hardware, software, and network concerns. This includes scheduling regular data backups and restoring data in the event of a system.

Program Manager/Australian National Registry Administrator

The registry administrator, or program manager role, represents the person or persons responsible for all policy-based operations of the registry. This person will have access to all functionality that can be provided through the Registry interfaces, but will not have direct access to the database tables and the web application server. Should the need arise to access these resources, the registry administrator must coordinate with the system administrator. The registry administrator is responsible for such policy-based activities as account creation, approval of forwarding instructions, monitoring notifications and messages logs, and coordinating with the ITL for reconciliations.

Industry User/Account Holders

Provisions are made for account holders to have access to the registry web application. The Registry provides the capability to create users with restricted levels of access by which users would only be permitted to access data relevant to their own holdings and activities. These permissions can be configured using the system administration functions.

Access protection

In order to prevent operator errors, our registry software incorporates validations on all user inputs to ensure that only valid details are submitted for processing; The registry displays confirmation of user input to help the user to spot any errors that had been made and implements an internal approval process (input of relevant password details) for secondary approval for relevant operations before submitting the details to the ITL for processing.

A list of the information publicly accessible through the user interface to the national registry

Non-confidential information has been made accessible to the public in line with the requirements of 13/CMP.1 annex II.E on the National Registry website under the Public Reports menu.

Up to date information on accounts as required by paragraph 45 has been included under Public Reports > Accounts. No ERUs have been issued to date so no information is available.

Information available to the public includes:

- (a) Account name: the holder of the account;
- (b) Account type: the type of account;
- (c) Commitment period;
- (d) Representative identifier, representative name and contact information: full name, mailing address, telephone number, and email address of the representative of the account holder. Facsimile number is also published if it has been supplied.

Information relating to projects as required by paragraph 46 has been included under Public Reports > Projects. No ERUs have been issued to date so no information is available.

Holding and transaction information as required by paragraph 47 is published as described below:

- (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year is available under Public Reports > Accounts for each account
- (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8 is available at Public Reports > Registry Holding and Transaction Summary
- (c) The total quantity of ERUs issued on the basis of Article 6 projects is available at Public Reports > Registry Holding and Transaction Summary
- (d) The total quantity of ERUs, CERs, AAUs, and RMUs acquired from other registries and the identity of the transferring accounts and registries is available at Public Reports > Transactions.
- (e) The total quantity of RMUs issued on the basis of each activity under Article 3 paragraphs 3 and 4 is available at Public Reports > Registry Holding and Transaction Summary
- (f) The total quantity of ERUs, CERs, AAUs, and RMUs transferred to other registries and the identity of the acquiring accounts and registries is available at Public Reports > Transactions.
- (g) The total quantity of ERUs, CERs, AAUs, and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4 is available at Public Reports > Holding and transaction summary.
- (h) The total quantity of ERUs, CERs, AAUs, and RMUs cancelled following determination by the Compliance Committee that the party is not in compliance with its commitment under Article 3, paragraph 1 is available at Public Reports > Holding and transaction summary.
- (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled is available at Public Reports > Holding and transaction summary.
- (j) The total quantity of ERUs, CERs, AAUs and RMUs retired is available at Public Reports > Holding and transaction summary
- (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period is available at Public Reports > Holding and transaction summary.
- (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account.

An explanation of how to access information through the user interface of the national registry

Access to the Australian National Registry is available through the internet at nationalregistry.climatechange.gov.au – and has 2 main components: – Access to Public Information (through the Public Reports facility), or designated Users can Logon to the system using their allocated Usernames and Passwords.

Measures to safeguard, maintain and recover data in the event of a disaster

The servers (main and backup sites) that host the Australian National Registry are in physically secure data centres fitted with secure access control systems. All data centres are fitted with smoke detection and automatic fire suppression systems. Anti-virus software upgrades are downloaded and installed autonomously on to the servers as soon as they are released.

A full backup of each database and an hourly transaction log backup during business hours take place every day with the back-up media being held at an offsite third party secure storage facility. The database content will also be replicated at a minimum of 30 minute intervals to a secondary data centre location when the clustering environment is implemented. This will serve as the hosting platform for Disaster Recovery.

In the event of a disaster a decision will be taken (between the Department of Climate Change and Energy Efficiency and the IT contract supplier) to invoke disaster recovery. This will involve:

- Stopping all transactions to the main platform.
- Ensuring that the committed transactions are replicated to the DR site.
- Switching all external interaction with the main site over to the secondary location.

The IT contract supplier is committed to resuming the service for the Department operators within 8 hours of the decision being made.

Results of previous test procedures

Australia's independent assessment report is available from the UNFCCC website <http://unfccc.int/resource/docs/2008/iar/aus01.pdf>.

ANNEX 9: GLOSSARY

Accounting quantity	<p>The accounting quantity for the Kyoto Protocol <i>land use, land use change and forestry</i> activities represents the addition to or subtraction from a Party's assigned amount for a given year of the commitment period. A net removal will be added to the assigned amount while a net source will be subtracted from the assigned amount.</p> <p>For the afforestation/reforestation activities the accounting quantity must take into consideration the harvested forest sub-rule of the Kyoto Protocol (paragraph 4 of the annex to decision 16/CMP.1). Under this accounting rule "debits resulting from harvesting during the first commitment period following afforestation and reforestation since 1990 shall not be greater than credits accounted for on that unit of land". In other words, whenever emissions on harvested land units are greater than the removals on those land units, a net balance of zero is assumed for those units of land.</p>
Activity	A process that generates greenhouse gas emissions or uptake. In some sectors it refers to the level of production or manufacture for a given process or category.
Afforestation	Afforestation is the direct human-induced conversion of land that has not been forested land for a period of at least 50 years to forested land through planting, seeding and/or human-induced promotion of natural seed sources. Under the Kyoto Protocol afforestation is limited to afforestation activities occurring on those lands that did not contain forest on 31 December 1989.
Automotive Diesel Oil (ADO)	A middle distillate petroleum product used as a fuel in high-speed diesel engines. It is mostly consumed in the road and rail transport sectors and agriculture, mining and construction sectors.
Anaerobic	A process relying on bacteria that can live without oxygen.
Anthropogenic	Resulting from human activities. In the inventory, <i>anthropogenic emissions</i> are distinguished from <i>natural emissions</i> .
Bagasse	The fibrous residue of the sugar cane milling process which is used as a fuel in sugar mills.
Briquettes	A composition fuel manufactured from brown coal, which is crushed, dried and moulded under high pressure without the addition of binders.
Clinker	An intermediate product from which cement is made.
Coke	The solid product obtained from the carbonisation of suitable types of coal at high temperature. It is low in moisture and volatile matter and is mainly used in the iron and steel industry as an energy source and chemical agent. Semi-coke or coke obtained by carbonisation at low temperatures is included in this category.
Deforestation	Deforestation is the direct human-induced conversion of forested land to non-forested land. Under the Kyoto Protocol deforestation is limited to deforestation activities that have occurred since 1990 on land that was forest on 1 January 1990.
Dolomite	A naturally occurring mineral ($\text{CaCO}_3 \cdot \text{mg CO}_3$) which can be used to produce lime, iron and steel.
Emission Factor	The quantity of greenhouse gases emitted per unit of some specified activity.
Emission Intensity	The total emissions divided by the total energy content of the fuels or the total energy used in a sector. The overall emissions intensity of coal used in Australia, for example, is determined by the quantity and emission factors for each of the many types and grades of coal used.
Enteric Fermentation	The process in animals by which gases, including methane, are produced as a by-product of microbial fermentation associated with digestion of feed.

Feedlot	A confined yard area with watering and feeding facilities where livestock (mainly beef cattle) are completely handfed for the purpose of production. It does not include the feeding or penning of cattle for weaning, dipping or similar husbandry purposes or for drought or other emergency feeding, or at a slaughtering place or in recognised saleyards.
Feedstocks	Products derived from crude oil and destined for further processing in the refining industry, other than blending. Products include those imported for refinery intake and those returned from the petrochemical industry to the refining industry, such as naphtha.
Flaring	The process of combusting unwanted or excess gases at a crude oil or gas production site, a gas processing plant or an oil refinery.
Forest	Parties are required to select single minimum values for land area, tree crown cover and tree height. The NCAS when assessing Australia's land use change emissions uses a criteria of 20% tree crown cover, 2 metre minimum tree height, and a minimum of 0.2 hectares in land area for inclusion. These minimum criteria are within the ranges outlined in the Marrakech Accords.
Fuel Oil	Covers all residual (heavy) fuel oils including those obtained by blending.
Fugitive Emissions	Generally deliberate but not fully controlled emissions that typically result from leaks, including those from pump seals, pipe flanges and valve stems. Fugitive emissions also include methane emitted from coal mine seams. During petroleum storage tank filling, venting loss of vapour is a fugitive emission.
Global Warming Potential (GWP)	Represents the relative warming effect of a unit mass of a gas compared with the same mass of CO ₂ over a specific period. Multiplying the actual amount of gas emitted by the GWP gives the CO ₂ -equivalent emissions.
Greenhouse Gases	Gases that contribute to global warming, including carbon dioxide (CO ₂), methane (CH ₄), nitrous oxide (N ₂ O), perfluorocarbons (PFCs), hydrofluorocarbons (HFCs) and sulphur hexafluoride (SF ₆). In addition, the photochemically important gases—NMVOCs, oxides of nitrogen (NO _x) and carbon monoxide (CO)—are also considered. NMVOC, NO _x and CO are not direct greenhouse gases. However, they contribute indirectly to the greenhouse effect by influencing the rate at which ozone and other greenhouse gases are produced and destroyed in the atmosphere.
Hydrofluorocarbons (HFCs)	Used as substitutes for chlorofluorocarbons (CFCs) and hydrochlorofluorocarbons (HCFCs).
Industrial Diesel Fuel (IDF)	A petroleum product primarily consumed in the rail and water transport sectors.
Initial Assigned Amount	Represents Australia's emissions target for the first commitment period of the Kyoto Protocol (before adjustments are made for purchases of net credits from international sources). The initial assigned amount is calculated as 108% of the base year emissions and is established as 591.5 Mt CO ₂ -e a year for each year of the first commitment period 2008-2012.
Intergovernmental Panel on Climate Change (IPCC)	The international body responsible for assessing the state of knowledge about climate change. The IPCC increases international awareness of climate change science and provides guidance to the international community on issues related to climate change response.
Key Category	The IPCC <i>Good Practice</i> report (IPCC 2000) introduces the concept of key categories for prioritising the inventory development process. A key category has a significant influence on a country's total inventory of direct greenhouse gases in terms of absolute level of emissions, the trend in emissions, or both. The tier 1 key category analysis identifies categories that contribute to 95% of the total emissions or 95% of the trend of the inventory in absolute terms. Tier 2 analysis identified categories that contribute to 90% of total uncertainty in the inventory.

Kyoto Protocol	The Kyoto Protocol to the convention on climate change was developed through the UNFCCC negotiating process. The protocol was negotiated in Kyoto, Japan, in 1997. It sets binding greenhouse gas emissions targets for UNFCCC developed country parties that ratify the agreement.
Liquefied Petroleum Gas (LPG)	A light hydrocarbon fraction of the paraffin series. It occurs naturally, associated with crude oil and natural gas in many oil and gas deposits, and is also produced in the course of petroleum refinery processes. LPG consists of propane (C_3H_8) and butane (C_4H_{10}), or a mixture of the two. In Australia, LPG as marketed contains more propane than butane.
Lubricants	Hydrocarbons that are rich in paraffin and not used as fuels. They are obtained by vacuum distillation of oil residues.
Military Transport	Includes all activity by military land vehicles, aircraft and ships.
National Carbon Accounting System	An integrated suite of models that estimate emissions from biomass, litter and soil carbon in a geographic information system framework with the support of resource inventories, field studies and remote sensing to assess land cover change.
Natural Gas	Consists primarily of methane (around 9%, with traces of other gaseous hydrocarbons, as well as nitrogen and carbon dioxide) occurring naturally in underground deposits. As a transport fuel it is generally used in compressed or liquefied form.
Navigation	All civilian (non-military) marine transport of passengers and freight. Domestic marine transport consists of coastal shipping (freight and cruises), interstate and urban ferry services, commercial fishing, and small pleasure craft movements. International shipping using marine bunker fuel purchased in Australia is reported but not included in the national inventory emissions total.
NMVOC	Non-methane volatile organic compounds such as alkanes, alkenes and alkynes, aromatic compounds and carbonyls that are gases at standard temperature and pressure (i.e. Boiling points below 200°C) and normally 10 or less carbon atoms per molecule; excludes chlorofluorocarbons (CFCs).
PFC	Perfluorocarbons, chemical compounds containing carbon and fluorine atoms only (e.g. CF_4 and C_2F_6).
Prescribed Burning	The intentional burning of forests to reduce the amount of combustible material present and thereby reduce the risk of wildfires. In Australia this is known as 'fuel reduction burning'.
Process Emission	The gas released as a result of chemical or physical transformation of materials from one form to another.
Reference approach	A 'top-down' tier 1 IPCC methodology for estimating CO_2 emissions from fuel combustion activities (1.a).
Reforestation	The direct human-induced conversion of non-forested land to forested land through planting, seeding and/or human-induced promotion of natural seed sources, on land that was forested but that has been converted to non-forested land. For the first commitment period, reforestation activities will be limited to reforestation occurring on those lands that did not contain forest on 31 December 1989.
Savanna	A grassland ecosystem with associated woody shrub and/or tree overstorey, the latter with projective foliage cover comprising less than 30% of the area. The IPCC category of 'savanna' is extended to include all non-agricultural grassland ecosystem types that experience burning in Australia.
Sink	Any process or activity that removes a greenhouse gas, an aerosol or a precursor of a greenhouse gas from the atmosphere. It includes chemical transformations in the atmosphere and uptake of the gases from the atmosphere by the underlying land and ocean surfaces.

Solid Waste	Waste from various activities; includes <i>municipal solid waste</i> (waste from domestic premises and council activities largely associated with servicing residential areas; such as street sweepings, street tree lopping, parks and gardens and litter bins), <i>commercial and industrial waste</i> , and <i>building and demolition waste</i> .
Solvent	An organic liquid used for cleaning or to dissolve materials.
Source	Any process or activity that releases a greenhouse gas, an aerosol or a precursor of a greenhouse gas into the atmosphere.
Tier	The IPCC methods for estimating emissions and removals are divided into ‘tiers’ encompassing different levels of activity and technology detail. Tier 1 methods are generally very simple (activity multiplied by default emissions factor) and require less data and expertise than the most complicated tier 3 methods. Tier 2 and 3 methods generally require more detailed country-specific information on things such as technology type or livestock characteristics. The concept of tiers is also used to describe different levels of key source analysis, uncertainty analysis, and quality assurance and quality control activities.
Town Gas	Includes all manufactured gases that are typically reticulated to consumers, including synthetic natural gas, reformed natural gas, tempered LPG, and tempered natural gas.
Uncertainty	Uncertainty is a parameter associated with the result of measurement that characterises the dispersion of values that could be reasonably attributed to the measured quantity (e.g. The sample variance or coefficient of variation). In general inventory terms, uncertainty refers to the lack of certainty (in inventory components) resulting from any causal factor such as unidentified sources and sinks, lack of transparency etc.
United Nations Framework Convention on Climate Change (UNFCCC)	Entered into force in 1994. Parties to the convention have agreed to work towards achieving the ultimate aim of stabilising ‘greenhouse gas concentrations in the atmosphere at a level that would prevent dangerous anthropogenic interference with the climate system’.
Venting	The process of releasing gas into the atmosphere without combustion. This may be done either at the production site or at the refinery or stripping plants. It is done to dispose of non-commercial gas or to relieve system pressure.

ANNEX 10: REFERENCES

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