

*The following proposal has been submitted by an interested group of Parties (Australia, Brazil, China, European Union, LDCs, Marshall Is., Norway, Saudi Arabia, South Africa, United States) with a view to present clearer options for discussions under the spin off group on articles 3, 3bis and 3ter. It represents a work in progress, does not represent agreement on any individual provisions and is without prejudice to any Parties' individual positions.*

## Article 3.2

Option 1. Each Party [shall][should][other] regularly [prepare] communicate [maintain] [fulfil] [implement] [a][successive] nationally determined mitigation [contribution][commitment][component of the contribution referred to in Article 2 bis], which the Party [shall][should][other] implement.

Option 2. [Each Party][All Parties] [recognizing the principle of cbdrc] [shall][should][other] regularly [formulate] [prepare], [communicate] [submit], [maintain] [update] and [shall][should][other] [implement] [fulfil] [intended][nationally determined mitigation [commitments][contributions][actions]] [nationally determined mitigation commitments and/or contributions] [a nationally determined contribution with a mitigation component], [, which can be in the form of co-benefits resulting from [its] [the Party's] adaptation contributions and economic diversification plans] [programmes containing measures to mitigate climate change] [placeholder of context, pples, art 4, support, etc.] \*footnote: indicate linkage with art 2 bis general, legal issue, housing, timing. The placing of this para needs to be further discussed".

## ARTICLE 3.3

### MODULATORS OF INDIVIDUAL CCO (DIFFERENTIATION)

- Category based differentiation (1ter; 3.2 opt 3; 3.3 opt 2; 3bis; 11)
- Modality based differentiation (3.2 opt 3; 3.3. opt 1; 3.3 opt 2)
- Principle based differentiation (1bis; 2 opt 1; 3 opt 1; 5 opt 1; 9 bis; 13)
- Developed countries taking the lead (3 opt 1)
- LDC and SIDs differentiation (3ter)

### AMBITION

- Level of ambition, in light of CBDR-RC + circumstances (3 opt 1; 13)
- Progression of effort (3 opt 1; 3 opt 2; 4 ter) [no back-sliding]
- Progression of modalities (3 opt 1; 3 sexes);

**Option 1:** No text additional to 3.2 {*self differentiation*}.

**Option 2:** {*based on two categories*}

3.3 In accordance with Article 4, paragraph 2, of the Convention, developed country Parties and other Parties included in Annex I of the Convention shall undertake quantified economy-wide absolute emission reduction commitments/targets, which are comparable, measurable, reportable and verifiable, covering all GHGs and implemented domestically without any conditions;

3.3 bis In accordance with Article 4, paragraphs 1, 3, 4, 5 and 7, of the Convention, developing country Parties should undertake diversified enhanced mitigation actions/efforts in a measurable, reportable, and verifiable manner, in the context of sustainable development and supported and

enabled by the provision of adequate finance, technology and capacity-building by developed country Parties.

3.3 ter Developed country Parties' post-2020 economy-wide absolute emission reduction commitments/targets shall be progressively more ambitious over time.

3.3 quat Developing country Parties' post-2020 diversified enhanced mitigation actions/efforts will be more ambitious than compared to their pre-2020 actions under the Bali Action Plan, supported and enabled by enhanced finance, technology development and transfer and capacity-building by developed country Parties over time.

**Option 3:** {*add following to 3.2 option 1*}: [in accordance with][taking into account] its common but differentiated responsibilities and respective capabilities, in light of different national *circumstances* {*principle based differentiation*}

**Option 4** {*modulators to 3.2*}

3.3: Each Party that has previously [communicated] [implemented] absolute economy-wide emissions reduction or limitation targets should continue to do so and all Parties should aim to do so over time. {*modality based differentiation option 1 + progression of modalities*}

3.3alt: Developed countries [and other Parties [in a position][who determine] to do so] should take the lead in mitigation efforts, including by [communicating] [and implementing] absolute economy-wide emissions reduction [or limitation] targets and all other Parties should aim to do so over time. [*developed countries taking the lead + modality based differentiation option 2*]

[Parties may otherwise communicate quantified targets relative to business as usual, carbon intensity of GDP, or other reference point, or non-economy-wide actions.]

3.3bis Each Party's nationally determined mitigation [contribution][commitment][other] [shall][should][other] reflect a progression beyond the Party's previous efforts and highest possible ambition [*level of ambition + progression*]

[in accordance with][taking into account] its common but differentiated responsibilities and respective capabilities, in light of different national circumstances [*principle based differentiation*]

3.3bisAlt Each Party's nationally determined mitigation [contribution][commitment][other] [shall][should][other] reflect the highest possible ambition [*level of ambition*]  
[in accordance with][taking into account] its common but differentiated responsibilities and respective capabilities, in light of different national circumstances [*principle based differentiation*]

[in accordance with][taking into account] its common but differentiated responsibilities and respective capabilities, in light of different national circumstances [*principle based differentiation*]

3.x Notwithstanding Articles 3.2 and 3.3 above, Parties that are LDCs, SIDs may communicate their nationally determined mitigation [contribution][commitment][other] at their discretion, including information on strategies, plans and actions for low GHG emission development, reflecting their special circumstances. {*applies to all options for 3.3*}

#### **ARTICLE 3.X [PLACEHOLDER ON SUPPORT]**

- Support for developing countries for implementation (3 opt 2; 7 ; 12 opt 1, 2, and 3)
- Support for developing countries for reporting (references to article 12 of the Convention) (4 ; 13 quater)
- Relationship of NDC with support (3 opt 1 ; 3 opt 2 ; 3 bis ; 7 ; 12 opt 3)

#### **ARTICLE 3.X [PLACEHOLDER ON HOUSING/INSCRIBING]**

#### **ARTICLE 3.X**

##### **DESIGN FEATURES/ TECHNICAL PARAMETERS OF CCOs**

- Technical parameter (metrics) (3 opt1; 5 opt 1)
- Technical parameter (quantification) (3 opt 1; 3 bis)
- Technical parameter (reference value) (3 opt 1)
- Technical parameter (sector coverage) (3 opt 1)
- Technical parameter (sources coverage) (3 opt 1)
- Technical parameter (unconditionality) (3 opt1)

Each Party's nationally determined mitigation [contribution][commitment] [shall][should]

- (a) [Be quantified or quantifiable;]
- (b) [Be unconditional, at least in part;][ with developing countries able to specify additional levels of mitigation to be implemented with support;]
- (c) [Maximize adaptation co-benefits;]
- (d) [Prioritize actions that are immediately implementable, scalable and results oriented, including REDD+;].
- (e) [[Strive to] include all key categories of emissions by sources and removals by sinks;]
- (f) [Include any source, sink or activity that has been previously included;]
- (g) [Continue to include any sources, sink or activity that has been previously included;]
- (h) [Be based on reference values that are defined transparently and are consistent with environmental integrity;]
- (i) [Use common Intergovernmental Panel on Climate Change (IPCC) metrics, guidance and guidelines for the estimation of greenhouse gas emissions and removals as agreed by the CMA;]
- (j) [To the extent that it uses baselines, base these on real and verifiable data;]

- (k) [Be implemented in accordance with the provisions of the Convention;]
- (l) [Take into account the outcomes of the global stock-take and the process to facilitate implementation set out in Articles 10 and 11 respectively;]
- (m) [Parties should use joint mitigation and adaptation approach for the integral and sustainable management of forests as an alternative policy approach to results-based payments;]
- (n) [, which can be in the form of co-benefits resulting from [its] [the Party's] adaptation contributions and economic diversification plans ]
- (o) [Other.]