

**Elements of draft text under SBI agenda items 10 and 12 and SBSTA agenda item 4**  
**“Matters relating to the least developed countries”**  
**“Report of the Adaptation Committee”**

Revised informal note by the co-facilitators  
*Version 14.11.2017 13:30h*

*These draft elements have been prepared by the co-facilitators of the negotiations on these agenda items under their own responsibility, on the basis of the views expressed by Parties during the informal consultations and the recommendations provided by the Adaptation Committee and the Least Developed Countries Expert Group. The note only reflects some views of some Parties but not all views. These elements are preliminary and should not be considered as final in any way; they do not prejudice further work or prevent Parties from expressing their views in the future. It is recognized that the outcome of deliberations on these items will form part of the overall outcome under the Paris Agreement Work Programme.*

### **I. Mandate**

- Decision 1/CP.21, paragraph 41: *Requests* the Adaptation Committee and the Least Developed Countries Expert Group to jointly develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Article 7, paragraph 3, of the Agreement, and make recommendations for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session;
- Decision 1/CP.21, paragraph 45: *Also requests* the Adaptation Committee and the Least Developed Countries Expert Group, in collaboration with the Standing Committee on Finance and other relevant institutions, to develop methodologies, and make recommendations for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session on:
  - (a) Taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Article 2 of the Agreement;
  - (b) Reviewing the adequacy and effectiveness of adaptation and support referred to in Article 7, paragraph 14(c), of the Agreement.

### **II. Cross-cutting and overarching considerations**

All Parties welcome and appreciate the work undertaken by the AC and the LEG, in collaboration with the SCF and other relevant institutions, in response to the above mandates. Many Parties recognize the ambiguity and complexity of the mandates and see strong linkages with ongoing negotiations under the APA, including the adaptation communications, the transparency framework and the global stocktake. Many Parties see the above mandates forming part of a bigger adaptation package in support of the implementation of the Paris Agreement. Many Parties would like to have additional space under the subsidiary bodies to further improve the recommendations.

### **III. Elements**

#### **A. Recognition of adaptation efforts of developing countries**

##### Gaps identified during the discussion

- A clear distinction is needed between showcasing adaptation action and the recognition of adaptation efforts in the context of the global stocktake.

##### Recommendations by the AC and the LEG

1. To note the existing work of the AC and the LEG related to synthesizing information on specific adaptation themes and lessons learned and good practices;

2. To request the secretariat, under the guidance of the AC and the LEG:
  - (a) To prepare a synthesis report on the adaptation efforts of developing country Parties based on the most recent adaptation communications, national adaptation plans, national communications, nationally determined contributions, the events referred to in paragraph 3 below and the reports referred to in paragraph 4 below, and any other relevant reports prepared under the transparency framework;
  - (b) To organize a high-level event informed by the reports referred to in paragraphs 2(a) above and 4 below in order to provide developing country Parties with an opportunity to present their adaptation efforts, in a nationally determined manner, for recognition;
  - (c) To prepare a summary of the high-level event taking into account the information contained in the synthesis report referred to in paragraph 2(a) above and any other input to be determined by the CMA;
3. To make use of existing national, regional and global events, including NAP Expos and Adaptation Forums, to showcase the adaptation efforts of developing country Parties to adaptation practitioners;
4. To request the secretariat, under the guidance of the LEG and the AC and in collaboration with relevant entities under and outside the Convention, to prepare synthesis reports on specific adaptation themes, focusing on lessons learned and good practices in developing country Parties and drawing on the outcomes of the events and outputs referred to in paragraphs 2 and 3 above.

## **B. Facilitation of mobilization of support**

### Gaps identified during the discussion

- Need to refine and understand the mobilization of resources, keeping in mind broader perspectives;
- Focus to be on steps for the mobilization of support by developing countries;
- Temperature goal to be taken into account;
- Focus on the global level rather than at the individual level.

### Recommendations by the AC and the LEG

1. To invite developing country Parties to further enhance their enabling environments, policy frameworks, institutions and national public financial management systems with a view to improving access to international public support and to enhancing the involvement of the private sector;
2. To invite Parties, United Nations organizations, specialized agencies and other relevant organizations, as well as bilateral and multilateral agencies, to assist the least developed country Parties and other developing country Parties, drawing on the work of the AC, the LEG and other relevant bodies, as appropriate, in building and/or strengthening enabling environments, policy frameworks, institutions and national public financial management systems to mobilize support for adaptation, in particular capacity - building, including as part of the process to formulate and implement NAPs;
3. To invite developing country Parties to assess and prioritize their adaptation needs, including by taking into consideration methodologies for assessing adaptation needs;<sup>1</sup>
4. To invite Parties to continue engaging in adaptation planning processes and the implementation of actions, including the process to formulate and implement NAPs;
5. To invite developing country Parties to take advantage of the resources available through the operating entities of the Financial Mechanism to strengthen their institutional capacity to programme their priority climate actions as well as to track and report climate finance;
6. To invite Parties and relevant actors to ensure, as appropriate, that steps are taken to identify and subsequently remove perverse incentives, which could result in non-resilient investments and planning decisions;

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<sup>1</sup> Including those considered in response to decision 1/CP.21, paragraph 42(b). See also AC documents AC/2016/13, AC/2017/4 and AC/2017/12, available at <http://unfccc.int/10375.php>.

7. To request Parties to report on support provided and received in line with the reporting instruments and modalities being developed under the Paris Agreement.

### **C. Reviewing the adequacy and effectiveness of adaptation and support**

#### Gaps identified during the discussion

- A clearer differentiation is needed between the adequacy and effectiveness of adaptation and the adequacy and effectiveness of support;
- Effectiveness is to be understood in light of support provided by developed countries in response to developing countries' needs.

#### Recommendations by the AC and the LEG

1. To welcome the technical work undertaken by the AC and the LEG in collaboration with the SCF, including the desk review of existing methodologies and the synthesis of submissions;<sup>2</sup>
2. To note the constraints encountered by the AC and the LEG in developing methodologies, including the differences in national circumstances of adaptation, the difficulties in setting adaptation baselines and targets, and the lack of common metrics for measuring progress on adaptation;
3. To note that the current state of knowledge is not sufficient to address the mandate and requires time and effort to advance;
4. To recommend that further technical work be undertaken building on the existing work of the AC and the LEG and taking into consideration ongoing relevant work under and outside the Convention, by Parties, academia and others, with a view to developing methodologies for reviewing the adequacy and effectiveness of adaptation and support;
5. To recommend that the following contributions to the technical work referred to in paragraph 4 above be made by the AC and the LEG in collaboration with the SCF and relevant experts:
  - (a) Continuing the analysis of methodologies for reviewing the adequacy and effectiveness of adaptation and support, taking into consideration the submissions referred to in paragraph 6 below;
  - (b) Exchanging information on methodologies, including metrics, for reviewing the adequacy and effectiveness of adaptation and support, and making it available;
6. To recommend that Parties, organizations in the United Nations system and other relevant organizations, as well as bilateral and multilateral agencies, submit to the AC and the LEG information on gaps, challenges, opportunities and options associated with methodologies for reviewing the adequacy and effectiveness of adaptation and support.

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<sup>2</sup> See AC-LEG documents AC-LEG/2016/2, AC-LEG/2017/2 and AC-LEG/2017/3, available at <http://unfccc.int/9785.php>, for details on the technical work undertaken.