

## Draft elements for APA agenda item 4

**“Further guidance in relation to the adaptation communication, including, inter alia, as a component of NDCs, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement”**

### Informal note by the co-facilitators

13 November 2017

*These draft elements have been prepared by the co-facilitators of the negotiations on this agenda item under their own responsibility, on the basis of the deliberations by Parties at this session and the views they have submitted. These elements are preliminary and should not be considered as final in any way; they are offered as a basis for work and do not prejudge further work or prevent Parties from expressing their views at any time. It is recognized that the outcome of deliberations on this item will form part of the overall outcome under the Paris Agreement Work Programme.*

#### **Option 1: NDC-specific guidance for an adaptation communication**

#### **Option 2: No NDC-specific guidance for an adaptation communication**

#### **General guidance for an adaptation communication<sup>1</sup>**

##### **1. Preamble/introduction**

- References to relevant UNFCCC provisions
- References to relevant Paris Agreement provisions, including its Articles 2, 3, 7, 9, 10, 12, 13, and 14
- Importance of the adaptation communication for achieving the global goal on adaptation
- Role of the adaptation communication for achieving mitigation and adaptation goals under the Paris Agreement
- Define linkages, in particular the role of adaptation communication in the global stocktake, as well as the relationship with the transparency framework
- Importance of flexibility
- An adaptation communication is not mandatory
- An adaptation communication should not pose an additional burden
- An adaptation communication should not be a basis for comparisons between Parties
- ...

##### **2. Option 1: Guiding principles**

- Common but different responsibilities and respective capabilities in light of different national circumstances
- Equity
- National context, country-drivenness and respect for national sovereignty
- Context of sustainable development and poverty eradication
- No creating additional burden on developing country Parties
- No comparison between developing country Parties nor review
- Flexibility in terms of the reporting vehicle

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<sup>1</sup> Parties are still considering the structure of the outcome on this item.

- Recognizing the specific needs and special circumstances of developing country Parties, especially those that are particularly vulnerable to the adverse effects of climate change, as provided for in the Convention
- Links between adaptation, as well as global temperature goals and levels of mitigation
- Importance of support and international cooperation
- Language of Paris Agreement Article 7.5
- ...

**Option 2: No heading**

**3. Option 1: Operational paragraphs**

- Adopting, applying, reviewing, and updating the guidance
- Timing to start applying the guidance
- Modalities for submitting and updating an adaptation communication
- Invitation to Parties to submit experiences on the use of the guidance
- Relevant cross-cutting issues
- Support for preparation of adaptation communications
- ...

**Option 2: No heading**

**4. Option 1: Purpose / Objective**

- Communicate national adaptation priorities, implementation and support needs, and plans and actions
- Contribute and inform progress towards the global goal for adaptation, and inform the review of overall progress towards that goal
- Provide input to the global stocktake
- Recognition of adaptation efforts
- Strengthen visibility and profile of adaptation / parity with mitigation
- Enhance and catalyse actions and support for developing countries
- Facilitate clarity, transparency and understanding of adaptation actions and support provided
- Enhance understanding of adaptation needs
- Help countries build and retain capacity
- Enhance learning and understanding of adaptation by sharing lessons, experiences, evidence and good practices
- Inform future decisions under the UNFCCC on adaptation
- ...

**Option 2: Purpose / Objective**

A. Purpose of this guidance

- Assist Parties in submitting and updating an adaptation communication
- Assist Parties in communicating information referred to in Article 7.10 (priorities, implementation and support needs, plans and actions)
- Facilitate learning, cooperation, and support
- ...

B. Purpose of an adaptation communication

- Communicate national adaptation priorities, implementation and support needs, and plans and actions
- Contribute and inform progress towards the global goal for adaptation
- Provide input to the global stocktake
- Recognition of adaptation efforts
- Strengthen visibility and profile of adaptation / parity with mitigation

- Enhance actions and support for developing countries
- Enhance learning and understanding of adaptation, sharing experiences and good practices
- ...

## 5. Option 1: Approach

- Explanation on how to apply the guidance
- Encouragement to use the below structure for an adaptation communication, considering guidance for existing vehicles
- Encouragement to use and identify relevant methodologies and guidance
- ...

## Option 2: No heading<sup>2</sup>

## 6. Option 1: Elements / content

### A. Common set of elements

- National circumstances
- Impacts, vulnerabilities and risk assessments (including future scenarios)
- Adaptation priorities, policies, plans, actions, strategies and/or programmes, as appropriate
- Adaptation support needs of developing country Parties
- Adaptation efforts of developing countries (for recognition)
- Communication of indicative projected levels of public financial resources to be provided by developed country Parties to developing country Parties, including finance, technology and capacity building
- ...

### B. Additional / opt in opt out

- Mitigation co-benefits resulting from adaptation actions
- Cooperative dimension at the national, regional and international level
- Progress on implementing adaptation actions and plans
- Economic diversification
- Adaptation efforts of developing countries (for recognition)
- Traditional knowledge or community based adaptation climate change and local communities' involvement
- Legal framework and institutional arrangements as appropriate
- ...

## Option 2: Elements / Content

- National circumstances, including population, levels of development, legal frameworks and institutions
- Expected impacts, risks and vulnerability and adaptive capacity, including future scenarios and risks
- National goals related to adaptation, resilience, and reducing vulnerability
- Adaptation priorities, plans, strategies, planned actions, resilience-building activities and expected results
- Economic diversification plans
- Co-benefits of adaptation
- Cooperation to enhance adaptation at national, regional and international levels
- Information on synergies with other international conventions
- Implementation and support needs, including the costs of meeting those adaptation needs
- Adaptation actions currently under implementation

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<sup>2</sup> Provisions related to approach could be reflected in section 2 or 4

- Implementation and results achieved
- Monitoring and evaluation, and approaches used
- Good practices, lessons learned and information sharing
- Barriers for implementation of adaptations, challenges, and gaps
- ...

## 7. **Option 1: Adaptation Elements Identified for NDCs**

- National circumstances
- Impacts, vulnerabilities and risk assessments (including future scenarios)
- Adaptation priorities, politics, plans, actions, strategies and/or programmes, if applicable
- Adaptation support needs of developing country Parties
- Communication of indicative projected levels of public financial resources to be provided developed country Parties to developing country Parties, including finance, technology, and capacity-building
- Contributions to adaptation
- Information on adaptation actions that result in mitigation co-benefits, if applicable
- Information on economic diversification efforts, if applicable
- Information on sustainable development efforts, if applicable
- Timeframe
- Means of implementation
- Traditional knowledge or community-based adaptation climate change and local communities involvement, if applicable
- ...

### **Option 2: No heading**

## 8. **Option 1: Vehicles / Communicating**

- According to the flexibility in Article 7.10 and 7.11 of the Paris Agreement: language of Article 7.11
- Provisions related to building on, and taking into account, current guidelines for existing vehicles
- Flexibility to use different vehicles at different times, or multiple vehicles
- Decision of supplementary information according to the vehicle, as appropriate
- Timing/frequency in relation to the vehicle
- Adaptation communication should be clearly identified, from beginning to end, within the vehicle, including by numbering the first and subsequent adaptation communication
- ...

### **Option 2: No heading<sup>3</sup>**

## 9. **Option 1: Linkages**

- Global stocktake, including:
  - The purpose of the adaptation communication in the global stocktake, including to enable recognition of efforts, enhance implementation, review adequacy and effectiveness of actions and support, and review overall progress towards the global goal on adaptation
  - Modalities for operationalizing the link between the adaptation communications with the global stocktake (including, i.a. a synthesis report by the secretariat, and

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<sup>3</sup> Provisions related to the flexibility of vehicles could be reflected in the preamble, and provisions for the use of different vehicles could be reflected under “approach”.

synchronizing the timing of adaptation communications with the global stocktake cycle), and providing a space for discussion this operationalization

- Transparency framework, including:
  - Importance of ensuring consistency of information, avoiding additional burdens on developing countries, and avoiding duplications
  - Reporting under Article 13.8 as a possible vehicle
  - Adaptation information reported by Parties should not be subject to review
- Finance, including:
  - Availability of support to prepare an adaptation communication
  - The relationship of the adaptation communication with arrangements for mechanisms for support
- Technology
- Capacity-building
- Registry, including:
  - Placeholder for the modalities being developed by the SBI
  - Relationship between mitigation and adaptation registries
  - Processing the adaptation communication after it has been recorded
- Work of the constituted bodies
- Other international processes, such as SDGs and the Sendai Framework
- ...

**Option 2: No heading<sup>4</sup>**

**10. Option 1: Support**

- For preparation and submission of the adaptation communication for developing countries
- For the implementation of the needs, priorities, plans and strategies for developing countries included in the adaptation communication according to Article 7, paragraph 13, of the Paris Agreement
- Finance, technology and capacity-building provided by developed country Parties to developing country Parties according to the modalities of provision of support to be developed
- Placeholder on the mandate of the AC/LEG/SCF on the mobilization of support
- ...

**Option 2: No heading<sup>5</sup>**

**11. Option 1: Other matters**

- Cross-cutting issues to be considered when developing an adaptation communication
- Definition and guidance note
- Any information that could be useful in clarifying adaptation communications
- ...

**Option 2: No heading**

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<sup>4</sup> Provisions related to linkages could be reflected in the preamble.

<sup>5</sup> Provisions related to support could be reflected in the preamble.