

## Ad-hoc Working Group on the Paris Agreement (APA)

*Third part of the first session, Bonn, 8-18 May 2017*

### Agenda item 5 – Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement

*Informal Note by the Co-Facilitators – final version*

#### Reflections of the Co-Facilitators on the discussion in the informal consultations

*Note: This informal note is our attempt to informally capture views expressed by Parties, including in their submissions. The informal note has been prepared under our own responsibility and thus has no formal status. The content of the informal note is not exhaustive and neither represents agreed views, ideas or text nor attempts to draw any conclusions on possible areas of convergence or divergence. This informal note does not prejudice Parties' views regarding the outcome of related agenda items. We also recognize that nothing is final until all the MPGs are final, and that nothing in this informal note prejudices Parties' views on the final outcome of the MPGs. The Co-facilitators recognize that there are varying views on the structure of the MPGs, including differentiation, and this informal note is not intended to prejudge the position of Parties on this issue. The Co-facilitators recognize that Articles 13.7 to 13.12 of the Paris Agreement vary in their legal nature (i.e., "shalls" and "shoulds") and that this will be reflected in the MPGs. The Co-facilitators also note that the MPGs will provide flexibility to those developing country Parties that need it in the light of their capacities. The Co-facilitators understand that Parties may modify the "headings and sub-headings" in their submissions, as appropriate, and that the "headings and sub-headings" do not represent negotiation text. The Co-facilitators note that there are varying views on the operationalization of the provisions in Article 13.3 and consideration of developing country Parties' transition to the enhanced transparency framework.*

#### I. Overview of the informal consultations on this item

1. During the third part of the first session of the Ad-hoc Working Group on the Paris Agreement (APA 1.3) at SB46 (May 2017), Parties continued the consideration of this agenda item.
2. The Co-facilitators, under their own responsibility and guidance of the APA Co-Chairs, prepared a tool containing possible "headings and sub-headings" (see annex), drawing from Parties' submissions and views expressed by Parties at the informal consultations. This annex does not prejudge the position of Parties on the structure of the MPGs.
3. Throughout the week, Parties discussed the following areas:
  - a) Overarching considerations of the modalities, procedures and guidelines (MPGs);
  - b) National inventory report on anthropogenic emissions by sources and removals by sinks of greenhouse gases;
  - c) Information necessary to track progress made in implementing and achieving nationally determined contributions under Article 4 of the Paris Agreement;
  - d) Information related to climate change impacts and adaptation under Article 7 of the Paris Agreement, as appropriate;
  - e) Information on financial, technology transfer and capacity-building support provided under Articles 9-11 of the Paris Agreement;
  - f) Information on financial, technology transfer and capacity-building support needed and received under Articles 9-11 of the Paris Agreement;
  - g) Technical expert review; and
  - h) Facilitative, multilateral consideration of progress.

4. Parties also agreed to forward to the APA Co-Chairs the next steps contained in Section II: targeted submissions and a pre-session workshop (see section II below for further details).

## **II. Next steps following APA 1.3**

5. Targeted submissions due by [XX, XX, 2017]<sup>1</sup> taking into account the possible “headings and sub-headings” contained in the annex to this informal note. In submitting their views, Parties are also invited to elaborate, as appropriate, the specific operational details under the possible “headings and sub-headings”.
6. A pre-session workshop focusing on issues covered in Parties' submissions, referred to in paragraph 5 above, and also including technical discussions on how cross-cutting issues listed in Article 13, including, *inter alia*, paragraphs 2 – 4, were considered in Parties' submissions.

---

<sup>1</sup> This date will be decided by the APA Co-Chairs as a part of their conclusions for this session. Parties requested the APA Co-Chairs to propose a deadline for the targeted submissions, taking into account the need to provide sufficient time for Parties to prepare their targeted submissions, as well as review other Parties' submissions in advance of APA 1.4.

## **Annex – Possible “headings and subheadings” of the modalities, procedures and guidelines**

*This annex is our attempt to informally capture views expressed by Parties, including in their submissions. The annex has been prepared under our own responsibility and thus has no formal status. The content of the annex is not exhaustive and neither represents agreed views, ideas or text nor attempts to draw any conclusions on possible areas of convergence or divergence. This annex does not prejudice Parties’ views regarding the outcome of related agenda items. We also recognize that nothing is final until all the MPGs are final, and that nothing in this annex prejudices Parties’ views on the final outcome of the MPGs. The Co-facilitators recognize that there are varying views on the structure of the MPGs, including differentiation, and this annex is not intended to prejudge the position of Parties on this issue. The Co-facilitators recognize that Articles 13.7 to 13.12 of the Paris Agreement vary in their legal nature (i.e., “shalls” and “shoulds”) and that this will be reflected in the MPGs. The Co-facilitators also note that the MPGs will provide flexibility to those developing country Parties that need it in the light of their capacities. The Co-facilitators understand that Parties may modify the “headings and sub-headings” in their submissions, as appropriate, and that the “headings and sub-headings” do not represent negotiation text. The Co-facilitators note that there are varying views on the operationalization of the provisions in Article 13.3 and consideration of developing country Parties’ transition to the enhanced transparency framework.*

[

### **Overarching considerations and guiding principles**

1. Objectives;
2. Guiding principles;
3. Structure/design of the MPGs;
4. Interlinkages with other transparency related items;
5. Building on and enhancing the transparency arrangements under the Convention, recognizing that the transparency arrangements under the Convention shall form part of the experience drawn upon for the development of the MPGs;
6. Flexibility to those developing countries that need it in the light of their capacities;
7. Facilitating improved reporting and transparency over time;
8. Avoiding duplication as well as undue burden on Parties and the secretariat;
9. Procedural aspects;
- xx. ....

*(Note: some of these overarching considerations may form part of the MPGs, while others will guide the work on the development of the MPGs)*

### **National inventory report on anthropogenic emissions by sources and removals by sinks of greenhouse gases**

1. Objectives and principles;
2. Definitions;
3. National circumstances and institutional arrangements:
  - a. Inventory planning, preparation and management;
4. Methods:
  - a) Methodologies, parameters and data;
  - b) Key category analysis;
  - c) Recalculations;

- d) Uncertainty assessment;
  - e) Assessment of completeness;
  - f) Quality assurance/quality control;
5. Metrics;
  6. Reporting guidance:
    - a) Information on methods;
    - b) Sectors and gases;
    - c) Time series;
    - d) Frequency;
  7. Constraints and capacity-building needs;
  8. Improvement plans;
  9. Submission process, and reporting formats and tables;
- xx ...

**Information necessary to track progress made in implementing and achieving its nationally determined contribution under Article 4 of the Paris Agreement**

1. Objectives and principles;
  2. National circumstances and institutional arrangements;
  3. Description of a Party's NDC under Article 4, including updates;
  4. Progress made in implementing its NDC under Article 4 to date;
    - a) Indicators to track progress made in implementing its NDC under Article 4;
  5. Progress made in achievement of the Party's NDC under Article 4 for the target year/period;
    - a) Indicators to track progress made in achieving its NDC under Article 4;
  6. Mitigation policies and measures, actions, and plans, and other actions with mitigation co-benefits, related to the implementation and achievement of an NDC under Article 4, including effects (historical and expected), barriers and costs;
  7. Summary of greenhouse gas emissions (GHG) and removals;
  8. Projections of GHG emissions and removals, as applicable;
  9. Information on Parties' accounting under Article 4, paragraphs 13 and 14;
  10. Information related to Article 6, as applicable;
  11. Other information, where applicable and appropriate;
  12. Capacity-building needs;
  13. Improvement plan;
  14. Reporting format;
- xx. ....

**Information related to climate change impacts and adaptation under Article 7 of the Paris Agreement, as appropriate**

1. Objectives and principles;
2. National circumstances and institutional arrangements;
3. Vulnerabilities, risks and impacts, and methodologies used;
4. Adaptation policies, strategies, plans and actions and efforts to mainstream adaptation into national policies and strategies;
5. Information related to loss and damage;
6. Adaptation priorities, barriers, costs and needs;
7. Progress on implementation of adaptation;
8. Monitoring and evaluation of adaptation actions and processes;
9. Cooperation, good practices, experiences, and lessons learned;
10. Effectiveness and sustainability of adaptation action;
11. Recognition of adaptation efforts;
12. Reporting formats;
- xx. ....

**Information on financial, technology transfer and capacity-building support provided under Articles 9–11 of the Paris Agreement**

1. Objectives and principles;
2. National circumstances and institutional arrangements:
  - a. Plans and strategies;
3. Underlying assumptions, definitions, and methodologies for financial, technology transfer and capacity-building support provided to developing country Parties under Articles 9–11 of the Paris Agreement;
4. Information on financial support provided and mobilized under Article 9 by developed country Parties to developing country Parties:<sup>2</sup>
  - a. Information on financial support provided under Article 9 by developed country Parties to developing country Parties;
  - b. Information on financial support mobilized under Article 9 by developed country Parties to developing country Parties;
5. Information on financial support provided and mobilized under Article 9 by other Parties that provide support to developing country Parties, on a voluntary basis:<sup>3</sup>
  - a. Information on financial support provided under Article 9 by other Parties that provide support to developing country Parties, on a voluntary basis;
  - b. Information on financial support mobilized under Article 9 by other Parties that provide support to developing country Parties, on a voluntary basis;

---

<sup>2</sup> To be consistent with the modalities for the accounting of financial resources provided and mobilized under 1/CP.21, paragraph 57.

<sup>3</sup> To be consistent with the modalities for the accounting of financial resources provided and mobilized under 1/CP.21, paragraph 57.

6. Information on technology development and transfer support provided under Article 10 by developed country Parties to developing country Parties;
7. Information on technology development and transfer support provided under Article 10 by other Parties that provide support to developing country Parties, on a voluntary basis;
8. Information on capacity-building support provided under Article 11 by developed country Parties to developing country Parties;
9. Information on capacity-building support provided under Article 11 by other Parties that provide support to developing country Parties, on a voluntary basis;
10. Reporting format;
- xx. ....

*(Note: specific elements that may apply to (4) - (10), as appropriate: recipient; objectives and descriptive information; amount; sector; type of support; status; timeframe; financial instrument; funding source; allocation channel; implementing institution; proof of how this support is new and additional; information pursuant to Article 9.5; criteria used to determine priority recipients; enabling policies to promote finance, technology development and transfer, and capacity-building; information on how developing country Parties' needs are taken into account; information to ensure no double counting; qualitative/quantitative information)*

#### **Information on financial, technology transfer and capacity-building support needed and received under Articles 9–11 of the Paris Agreement**

1. Objectives and principles;
2. National circumstances and institutional arrangements:
  - a. Priorities and country-driven strategies;
3. Underlying assumptions, definitions and methodologies related to tracking and reporting mitigation and adaptation support;
4. Information on financial support needed by developing country Parties under Article 9;
5. Information on financial support received by developing country Parties under Article 9;
6. Information on technology development and transfer support needed by developing country Parties under Article 10;
7. Information on technology development and transfer support received by developing country Parties under Article 10;
8. Information on capacity-building support needed by developing country Parties under Article 11;
9. Information on capacity-building support received by developing country Parties under Article 11;
10. Information on support received by developing country Parties for the implementation of Article 13;
11. Information on support received by developing country Parties for the building of transparency-related capacity;
12. Reporting format;
- xx. ....

*(Note: specific elements that may apply to one or all of (4) - (12): name and/or description of need; amount of support needed; type of support needed; expected timeframe of support needed; funding country or channel of support received; type of support received; objective and descriptive information on supported actions; instrument of support received; domestic implementing institutions of support received; status of support received and status of action; support gaps, use, impact and estimated results thereof of support received; sharing of experiences and lessons learned in tracking support; progress made on implementing capacity-building plans, policies, actions or measures; information to ensure no double counting; qualitative/quantitative information)*

### **Technical expert review**

1. Objectives, functions and purposes;
2. Principles;
3. Scope;
4. Information to be reviewed;
5. Format and steps, including those related to specific types of information reported under Article 13, and the role of Parties, and the roles and responsibilities of the technical expert review team and the secretariat;
6. Composition of the technical expert review team;
7. Frequency and timing;
8. Technical expert review report;
  - a. Structure and elements of a technical expert review report;
- xx. ....

### **Facilitative, multilateral consideration of progress**

1. Objectives, functions and purposes;
  2. Principles;
  3. Scope;
  4. Information to be considered;
  5. Format and steps, including events to be convened, the roles of Parties and the secretariat;
  6. Frequency and timing;
  7. Summary report content and format;
  - xx. ....
- ]