

DRAFT TEXT

on

APA1-3, agenda items 3-8

Version of 16 May 2017, 16:30

Draft conclusions proposed by the Co-Chairs

1. The Ad Hoc Working Group on the Paris Agreement (APA) noted that the Conference of the Parties (COP) at its twenty-second session:

(a) Requested the Subsidiary Body for Implementation (SBI), the Subsidiary Body for Scientific and Technological Advice (SBSTA), the APA and the constituted bodies under the Convention to accelerate their work on the work programme described in decision 1/CMA.1, paragraphs 5–7, and to forward the outcomes to COP 24 (December 2018) at the latest;¹

(b) Decided to conclude the work programme under the Paris Agreement as soon as possible and to forward the outcomes, at the latest, to the third part of the first session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement to be convened in conjunction with COP 24, for its consideration and adoption.²

2. The APA agreed to accelerate its work accordingly, and re-affirmed its commitment to working diligently and expeditiously to fulfil its mandate as soon as possible but no later than COP 24.

3. The APA took note of:

(a) The intersessional workshop on agenda item 5, “Modalities, procedures and guidelines for the transparency framework for action and support referred to in Article 13 of the Paris Agreement” and its report;³

(b) The pre-session round table on agenda item 3, “Further guidance in relation to the mitigation section of decision 1/CP.21”;

(c) The pre-session workshop on agenda item 4, “Further guidance in relation to the adaptation communication, including, inter alia, as a component of nationally determined contributions, referred to in Article 7, paragraphs 10 and 11, of the Paris Agreement”;

(d) The documents prepared for the session.⁴

4. The APA welcomed the more than one hundred submissions received from Parties⁵ and non-Party stakeholders⁶ for this session.

5. The APA agreed that the intersessional and pre-session events and documents referred to in paragraphs 3 and 4 above helped in facilitating a better understanding of, and clarifying Parties’ views on, the respective agenda items, and provided useful inputs for the negotiations under the APA. The APA noted that it is important to ensure effective participation of Parties in such events, and that the organization of events should enable such participation. The APA requested the APA Co-Chairs to release an informal note reflecting the views expressed at the pre-session workshop referred to in paragraph 3(c) above.

6. The APA noted that substantive progress has been achieved at this session in making a transition from conceptual discussions to focused technical work, including as appropriate

¹ Decision 1/CP.22, paragraph 10.

² Decision 1/CP.22, paragraph 12.

³ See document FCCC/APA/2017/INF.2 and Add.1.

⁴ FCCC/APA/2017/INF.1, FCCC/APA/2017/INF.2 and Add.1, and FCCC/APA/2017/INF.3.

⁵ Available at <http://unfccc.int/5900>.

⁶ Available at <http://unfccc.int/7478>.

on textual elements. The APA emphasized the need to make progress on all items in a coherent and balanced manner, and to ensure a coordinated and coherent approach regarding the related matters considered under the SBI and the SBSTA. The organization of the APA contact group on linkages with constituted bodies and of the informal joint plenary of the SBI, SBSTA and the APA during this session was appreciated, and the APA recommended that such events also be considered during future sessions.

7. The APA reiterated its commitment to continuing to work in an inclusive, transparent, Party-driven and efficient manner. To that end, it agreed to continue to apply at APA 1.4 (November 2017) the modalities for the organization of its work that were adopted at APA 1.1.⁷

8. The APA noted the progress made on substantive items on the APA agenda as reflected in the informal notes prepared, under their own responsibility, by the co-facilitators of the informal consultations on those items.⁸ It agreed that these notes⁹ will be helpful for the future work of the APA.

Further work required on individual items

9. With respect to the invitations to Parties for focused submission of their views on APA agenda items, as detailed in these conclusions below, the APA noted that the suggested focus areas of submissions use different terminology across items, reflecting the individual dynamics of the negotiations in each informal group. The APA agreed that as the negotiations proceed, more consistent terminology will be applied to further facilitate the transition to developing textual proposals and elements of draft texts for all items on the APA agenda.

10. With respect to agenda **item 3**, the APA:

(a) Taking into consideration the contents of the informal note prepared by the co-facilitators,¹⁰ invited Parties to make, by 15 September 2017, focused submissions,¹¹ including on the elements and issues outlined in that note, and on how these would be reflected in the guidance, without prejudice to further work under this agenda item;

(b) Requested the persons who facilitated discussions on these issues during the third part of the resumed first session of the APA in May 2017, with the support of the secretariat, to prepare, by 15 October 2017, a non-paper capturing convergence, divergence and options, where appropriate, based on Parties' views expressed in the submissions referred to in paragraph 9(a) above, without omitting, re-interpreting or prejudging Parties' views;

(c) [Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a round table to take place in conjunction with the fourth part of the resumed first session of the APA. The round table should be open to Parties and observer States only, and should be facilitated by the persons who will be designated to facilitate discussions on these issues during the fourth part of the resumed first session of the APA in November 2017. The round table should also provide an open and constructive environment to further advance the work under the three sub-items under this agenda item and take into consideration the non-paper referred to in paragraph 9(b) above.]

⁷ FCCC/APA/2016/2, paragraph 21.

⁸ The notes prepared by the co-facilitators attempt to informally capture the views expressed by some Parties to date on the respective agenda items and they do not necessarily reflect the views of all Parties. The notes are not intended to prejudge further work that Parties may wish to undertake or to prevent Parties from expressing other views they may have in the future. The views presented in the notes are not in any order of importance and they do not signify any consensus among Parties or imply the basis for any future negotiations.

⁹ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

¹⁰ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

¹¹ Parties should submit their views via the submissions portal at <<http://www.unfccc.int/5900>>.

11. With respect to agenda **item 4**, the APA
- (a) Invited Parties to make, by 15 September 2017, focused submissions on the proposals for elements and 'skeleton' outlines as contained in the informal note on this agenda item;¹²
 - (b) Requested the secretariat to prepare, by 1 October 2017, a technical paper addressing possible gaps for the development of new guidelines for adaptation communications ;
 - (c) [Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, open to Parties and observer States only, to facilitate work under this agenda item].
12. With respect to agenda **item 5**, the APA:
- (a) Invited Parties to make, by 30 September 2017, targeted submissions taking into account the possible “headings and sub-headings” contained in the annex to the informal note prepared by the co-facilitators for this item.¹³ In submitting their views, Parties are also invited to elaborate, as appropriate, the specific operational details under the possible “headings and sub-headings”;
 - (b) Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, open to Parties and observer States only, focusing on issues covered in Parties' submissions, referred to in paragraph 11(a) above, and also including technical discussions on how cross-cutting issues listed in Article 13, including, inter alia, paragraphs 2–4 were considered in Parties' submissions.
13. With respect to agenda **item 6**, the APA:
- (a) Invited Parties to make, by 30 September 2017, focused submissions on the possible elements and possible textual outline for the identification of the sources of input for and development of the modalities of the global stocktake, taking into account the contents of the informal note on this agenda item;¹⁴
 - (b) [Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, open to Parties and observer States only, to facilitate work under this agenda item.]
14. With respect to agenda **item 7**, the APA:
- (a) Invited Parties to make, by 15 September 2017, focused submissions as proposed in the annex to the informal note on this agenda item;¹⁵
 - (b) [Requested the secretariat to organize, under the guidance of the APA Co-Chairs, a pre-session round table, open to Parties and observer States only, to facilitate work under this agenda item.]
15. Recognizing the relevant and importance of linkages across substantive areas of APA's work, the APA agreed that the issues of adaptation and support will be considered in the relevant context of transparency and the global stocktake at the pre-session round tables referred to above in these conclusions.
16. With respect to each of the pre-session round tables referred to above in these conclusions, if Parties agree, the co-facilitators designated for those agenda items will prepare, on their own responsibility and under the guidance of the APA Co-Chairs, an

¹² Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

¹³ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

¹⁴ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

¹⁵ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

informal note reflecting the views expressed at the respective round table, and to make that note available to Parties as soon as possible thereafter.

17. With respect to agenda **item 8**, the APA took note of the views of Parties on preparing for the convening of the first session of the CMA, and

(a) Welcomed the substantive progress achieved in the negotiations on the Adaptation Fund at this session which is reflected in the informal note on this agenda item;¹⁶

(b) Requested the secretariat to compile and make available, by 15 September 2017, on its website a list of all previous decisions that have been taken on the Adaptation Fund that are touching on governance and institutional arrangements, safeguards and operating modalities;

(c) Further to the exchange of views by Parties on the relevant procedural steps, recommended to the COP, that, at its twenty-third session, it addresses the need for procedural clarity with regards to the draft decisions for consideration and adoption at CMA 1 on the following matters:¹⁷

(i) Progress and procedural steps to enable the forum on the implementation of response measures to serve the Paris Agreement further to decision 1/CP.21, paragraphs 33 and 34;

(ii) Procedural steps for the modalities for the recognition of adaptation efforts of developing country Parties under Article 7, paragraph 3, and decision 1/CP.21, paragraph 41.

18. The APA invited Parties to raise the matter referred to in paragraph 17 (c)(i) above during negotiations by the SBSTA and the SBI on the related issue. Parties expressed their understanding that the draft decision being prepared by these subsidiary bodies regarding paragraph 34 of decision 1/CP.21 is to include a provision to complete the procedural step of CMA1 deciding that the forum on the implementation of response measures shall serve the PA, consistent with Article 19 of the Paris Agreement.¹⁸

19. The APA agreed that for the matters referred to in paragraph 17 (c)(i) and (ii) above, should the COP, at its twenty-third session, address these matters no further consideration would be needed by the APA.

20. The APA agreed to continue its consideration of the remaining possible additional matters¹⁹ contained in the informal note issued by the Co-Chairs at the third part of the first resumed session of the APA.²⁰

21. The APA invited Parties to submit, by 15 October 2017, their views on how to progress work in the period after the fourth resumed session of the APA (November 2017)

¹⁶ Available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

¹⁷ See the informal note of the co-facilitators on this item, available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

¹⁸ See the informal note of the co-facilitators on this item, available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

¹⁹ These matters are: modalities for biennially communicating finance information on the provision of public financial resources to developing countries in accordance with Article 9, paragraph 5; initial guidance by the CMA to the operating entities of the Financial Mechanism (GCF & GEF) under Article 9, paragraph 8, and decision 1/CP.21, paragraphs 58 and 61 to 63; initial guidance by the CMA to the Least Developed Countries Fund (LDCF) and the Special Climate Change Fund (SCCF) in accordance with decision 1/CP.21, paragraph 58; guidance by the CMA on adjustment of existing Nationally Determined Contributions (NDCs) under Article 4, paragraph 11; and setting a new collective quantified goal on finance in accordance with decision 1/CP.21, paragraph 53.

²⁰ See the informal note of the co-facilitators on this item, available at http://unfccc.int/meetings/bonn_may_2017/in-session/items/10277.php.

in a coherent, balanced and coordinated manner, bearing in mind the related matters being considered by the SBI and the SBSTA.

22. For all items on the APA agenda where there is a call for the submission of views from Parties taking into consideration guiding questions or suggested focus areas, the APA emphasized that the questions or focus areas in no way restrict Parties from making submissions on any aspect of the issues on the APA agenda.

23. The APA reiterated its earlier invitation²¹ to Parties and admitted observer organizations to provide information, views and proposals on any work of the APA before each of its sessions.

24. The APA noted the intention of the APA Co-Chairs to release a reflections note to provide an overview of the outcomes of this session and suggest options for the way forward, based on the views and ideas that Parties put forward at, and expressed through their submissions for, this session. The note will aim to advance the work of the APA, without prejudice to any options or proposals that Parties may put forward in the future.

25. The APA took note of the estimated budgetary implications of the activities to be undertaken by the secretariat pursuant to the provisions contained in paragraphs X, X and X above.

26. The APA requested that the actions of the secretariat called for in these conclusions be undertaken subject to the availability of financial resources.

Annex. Pre-sessional workshops under the APA.

1. The figure below illustrates, indicatively, the organization of the pre-sessional round tables referred to in these conclusions. This approach to organization may be influenced by the availability and capacity of the venues for these events.

	Venue / room 1	Venue / room 2
Day 1 (Saturday, 4 November)	Round table on item 3	Round table on item 6
Day 2 (Sunday, 5 November)	Round table on item 5	Round table on item 7

Note: The issues of adaptation and support will be considered as part of these events where relevant.

²¹ FCCC/APA/2016/2, paragraph 23.