Information note

Expert workshop on the Technology Mechanism, as requested by decision 1/CP.16, paragraph 129.

Mandate

- 1. By its decision 1/CP.16, the Conference of the Parties established a work programme for the Ad Hoc Working Group on Long-term Cooperative Action under the Convention (AWG/LCA) on technology development and transfer and underlined the importance of continued dialogue among Parties through the AWG-LCA, including on the following matters, with a view to taking a decision at its seventeenth session, in order to make the Technology Mechanism fully operational in 2012:
 - (a) The relationship between the Technology Executive Committee and the Climate Technology Centre and Network, and their reporting lines;
 - (b) The governance structure and terms of reference for the Climate Technology Centre and Network and how the Climate Technology Centre will relate to the Network;
 - (c) The procedure for calls for proposals and the criteria to be used to evaluate and select the host of the Climate Technology Centre and Network;
 - (d) The potential links between the Technology Mechanism and the financial mechanism;
 - (e) Consideration of additional functions for the Technology Executive Committee and the Climate Technology Centre and Network;
- 2. By the same decision, the COP requested the AWG-LCA to convene an expert workshop, in conjunction with one of its sessions in 2011, on the above-mentioned matters, drawing upon the preliminary work undertaken by the Expert Group on Technology Transfer, and to report on the results of this workshop at that session.

General objectives and approach to the expert workshop

- 3. The objectives of the workshop are to deepen understanding among Parties, in dialogue with experts and relevant organizations, on how to operationalize the Technology Mechanism to support the implementation of the Cancun Agreements in order to generate ideas and seek clarity on outstanding issues to support further deliberations among Parties under the AWG-LCA, with a view to achieving a fully operational and effective Technology Mechanism in 2012.
- 4. Considering the various elements of the work programme, Parties may benefit from sequencing their consideration on elements that may require early clarity (items b and c) in order to inform the consideration among Parties under the AWG-LCA on the design of the Climate Technology Centre and Network which is essential for defining the relationship between the Technology Executive Committee and the Climate Technology Centre and Network and their reporting lines (item a). Regarding the issue of the potential links between the Technology Mechanism and the financial mechanism (item d), Parties may benefit from awaiting for further progress to be made on the matters related to finance including the work of the Transitional Committee on the design of a Green Climate Fund. The precise decision on the overall arrangement to make the Technology Mechanism fully operational in 2012 could be made in

Durban to ensure coherence with arrangements for other related areas including any additional functions for the Technology Executive Committee and the Climate Technology Centre and Network (item e). Accordingly, the workshop will be organized in a brainstorming mode and will cover all elements of the work programme but will focus in particular on items (b) and (c).

- 5. The workshop will be built around presentations by Parties so that substantive discussions will rely primarily on inputs from Parties, with experts and relevant organizations also invited to contribute to the discussions, including through moderated interactive panel sessions
- 6. All Parties are invited to contribute to the interactive exchange at the workshop. Workshop participants, in particular Parties who may be considering making presentations or specific interventions, are encouraged to consider the questions contained in paragraphs 13-15 below when preparing for their participation in the workshop.

Organization of work and expected outcome

- 7. The draft agenda for the workshop is presented in the annex. The workshop agenda has been structured to enable all relevant issues contained within the AWG-LCA work programme in 2011 on technology development and transfer to be covered in a focused manner.
- 8. A workshop report will be prepared for the fourteenth session of the AWG-LCA that will summarise the results of the workshop including key issues that may need to be addressed by the AWG-LCA in 2011, as well as any further technical work that may need to be completed, to enable the Technology Mechanism to become fully operational in 2012.
- 9. Parties who may be considering making presentations at the workshop are invited to make either brief and focused presentations (no more than 15 minutes) or short interventions (no more than three minutes). Parties intending to make presentations are invited to inform the secretariat in advance so that the presentations on related topics maybe grouped together.
- 10. Parties wishing to make presentations are invited to contact the secretariat at lcaworkshops@unfccc.int> as soon as possible but not later than 29 March 2011.

Date and venue

- 11. The fourteenth session of the AWG-LCA will be held from 5 to 8 April 2011 at the United Nations Conference Centre (UNCC) of the United Nations Economic and Social Commission for Asia and the Pacific (UNESCAP), Rajdamnern Nok Avenue, Bangkok 10200, Thailand.
- 12. The Expert Workshop on the Technology Mechanism will be held at UNESCAP from 4-5 April.

Suggested questions

- 13. With respect to paragraph 1(b) above:
 - (a) How should the governance structure of the Climate Technology Centre and Network be designed, and what should its terms of reference cover?
 - (b) How should the Climate Technology Centre and Network operate on a day-to-day basis?

- (c) What is the role of the Climate Technology Centre and Network in helping developing countries in the area of technology?
- (d) What should the Network look like, who should be included in it, and how can effective participation of relevant institutions in the network be ensured?
- (e) How many staff should the Climate Technology Centre house and from where?
- (f) What funding, beyond salaries and operating expenses, would be required for the Climate Technology Centre and Network?
- (g) What work will the Climate Technology Centre do and what work will the Network do?
- (h) Who will decide who becomes part of the Network?
- 14. With respect to paragraph 1(c) above:
 - (a) What information is necessary to be included in a call for proposals to host the Climate Technology Centre and Network that would emerge from COP 17?
 - (b) Should the Climate Technology Centre be established as part of an existing organization or independently? What are the relative advantages/disadvantages of each approach?
 - (c) If the Climate Technology Centre were to be housed in an existing organization, what criteria should govern the selection of such an organization?
 - (d) What criteria should govern the decision as to where to locate the Climate Technology Centre if it is established independently?
 - (e) How would calls for proposals to host the Climate Technology Centre be undertaken?
 - (f) How would proposals be evaluated and by whom?
- 15. With respect to paragraphs 1(a), (d) and (e) above:
 - (a) What should be the relationship between the Technology Executive Committee and the Climate Technology Centre and Network, and how should their reporting lines be drawn?
 - (b) What are the potential links between the Technology Mechanism and the financial mechanism?
 - (c) Are there additional functions the Technology Executive Committee and the Climate Technology Centre and Network might undertake?

Annex

4 April

Session 1: Introduction and Welcoming Remarks

10.00 - 10.20: Setting the scene

- Introductory remarks by the AWG-LCA Chair
- Introductory remarks by the Executive Secretary, UNFCCC
- Introductory remarks by the workshop facilitator

Session 2: Design of a fully operational Technology Mechanism in 2012

10.20 - 10.40: Operational modalities of the Technology Mechanism: Results of the preparatory work of the EGTT

- Presentation by Bruce Wilson, EGTT Chair for 2010
- General comments

10.40 - 13.00: Climate Technology Centre and Network: Governance structure and terms of reference

- Ideas and proposals from Parties Presentations from Parties
- Experience and lessons learned from relevant existing technology centres and network
 - Presentations by relevant organizations and initiatives
- General comments and discussion

Lunch break

15.00-18.00: Climate Technology Centre and Network: Selection process and criteria for evaluation

- Ideas and proposals from Parties

 Presentations from Parties

 (Open invitation for interested Parties)
- General comments and discussion
- Summary of the session by the workshop facilitator

5 April 2011

Session 3: Towards a fully operational Technology Mechanism

10.00-13.00: Linkages within the Technology Mechanism and with other institutional arrangements:

- Ideas and proposals from Parties:

 Presentations from Parties

 (Open invitation for interested Parties)
- General comments and discussion
- Summary of the session by the workshop facilitator

Wrap-up session: Concluding remarks by the AWG-LCA Chair

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