

**AD HOC WORKING GROUP ON LONG-TERM COOPERATIVE ACTION
UNDER THE CONVENTION
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**CONTACT GROUP ON
ENHANCED ACTION ON CAPACITY-BUILDING**

Revised annex VI to document FCCC/AWGLCA/2009/INF.2

Non-paper by the co-chairs¹

[Preamble

PP1 *Reaffirming* that capacity-building for developing countries is essential to enable developing country Parties to participate fully in, and to implement effectively their commitments under, the Convention,

PP2 *Recognizing* that capacity-building activities are related to all aspects of the Convention, including research and systematic observation and education, training and public awareness,

PP3 *Being especially guided* by Articles 4.1, 4.3, 4.4, 4.5, 4.7 and 4.8, in the context of Article 3, and Articles 5 and 6 of the Convention,

PP4 *Recalling* the provisions related to capacity-building for developing countries contained in relevant decisions adopted by the Conference of the Parties,

PP5 *Also recalling* the specific relevance of decision 2/CP.7, which contains in its annex the framework for capacity-building in developing countries,

[PP5 bis *Taking into account* that while the scope of capacity-building and correlated needs as contained in the annex to decision 2/CP.7 and the key factors identified in decision 2/CP.10 remain valid, there are emerging capacity needs arising from the outcome agreed at Copenhagen,]

PP6 *Further recalling* the paragraphs on capacity-building of Agenda 21 and the Programme for the Further Implementation of Agenda 21,

PP7 *Being deeply concerned* about the substantial gap between the capacity-building required for developing country Parties to fully contribute to the implementation of the Convention and the resources currently available to meet that need,

PP8 *Recalling* the Bali Action Plan adopted as decision 1/CP.13 by the COP at its thirteenth session,

* This non-paper supersedes non-papers No. 3, 10, 21, 24 and 40.

¹ The submission of the Republic of Belarus regarding countries with economies in transition has not been incorporated in this non-paper. Further consultations will be conducted.

PP9 *Acknowledging* that capacity-building is cross-cutting in nature and is fundamental to enable the full, effective and sustained implementation of the Convention through long-term cooperative action, now, up to and beyond 2012,

PP10 *Recognizing* that an agreed outcome resulting from the process launched by the Bali Action Plan will require enhanced action on capacity-building,

PP11 *Emphasizing* the need for a stand-alone section on enhanced action on capacity-building,]

[1. Objective and guiding principles

1. The objective of the enhanced action on capacity-building is to build, develop, strengthen, improve and enhance the capacities and capabilities of developing country Parties to contribute to the achievement of the full, effective and sustained implementation of the Convention.

2. Enhanced action on capacity-building [shall] [should] be guided by the provisions of the Convention and relevant decisions of the Conference of the Parties. Enhanced action on capacity-building [shall] [should] be, inter alia:

- (a) A continuous, progressive and iterative process;
- (b) Country-driven and consistent with national priorities and circumstances;
- (c) Participatory;
- (d) An integral part of enhanced action on the building blocks of the Bali Action Plan.]

[2. Scope of enhanced action on capacity-building

3. Capacity-building actions [shall] [should] be enhanced in order:

- (a) To fully implement the framework for capacity-building in developing countries as contained in the annex to decision 2/CP.7;
- (b) To build, develop, strengthen, improve and enhance, as appropriate, the capacity of developing country Parties in areas identified in the agreed outcome of the building blocks of the Bali Action Plan;
- (c) To support developing country Parties in formulating and implementing capacity-building projects, programmes and activities related to all aspects of the Convention;
- (d) To strengthen subnational, national or regional capacities, skills, capabilities and institutions to address emerging capacity-building needs, particularly those related to the enhanced implementation of the Convention.]

3. Implementation of capacity-building action and related institutional arrangements

4. Options for the chapeau

Option 1

[A specific financial mechanism shall be established to enhance action on capacity-building in developing countries within the scope defined in paragraph 3 above, including inter alia:]

Option 2

[Enhanced action on capacity-building should be supported by the operating entity(ies) of the financial mechanism of the Convention, and other bilateral and multilateral channels as appropriate, in partnership with national-level efforts.]

Option 3

[Support for the implementation of enhanced action on capacity-building action should be provided through appropriate means. International cooperation should be enhanced to support and develop the capacity of developing country Parties to address the new requirements arising from agreed Copenhagen outcome and the increased needs resulting from the full, effective and sustained implementation of the Convention, including, inter alia:]

Options for the list of action

Option 1

- (a) [[Empowering] [and] [strengthening] relevant institutions, including focal points and national coordinating bodies and organizations [in order to undertake enhanced] [functions and processes], at various levels [, taking into account the need for stand-alone capacity-building activities at various levels,] and strengthening endogenous capacities, skills and capabilities to contribute to the full, effective and sustained implementation of the Convention;
- (b) [Building, developing, strengthening and enhancing national and/or regional networks of information and knowledge, including local and indigenous knowledge, experiences, information and best practices of developing countries through, [inter alia] South–South and triangular cooperation;]
- (c) [Enhancing systematic observation, research and knowledge management [, including meteorological, hydrological and climatological services] with the aim of strengthening and using data for systematic observation, early warning, national and regional downscaling and modelling, disaster preparedness, vulnerability assessment and other climate services, including risk assessments of climate change impacts on ocean, coastal and terrestrial ecosystem variabilities;]
- (d) Developing tools and methods [to strengthen capacity for modelling and needs assessments related to adaptation, mitigation, capacities, financing and technologies];
- (e) Strengthening climate change communication, education, training and [public awareness] at all levels, including at the local and community levels, taking into account gender issues;
- (f) Encouraging and strengthening participatory and integrated approaches[, including the meaningful participation of various stakeholders,] taking climate change considerations into account to the extent feasible in relevant social, economic and environmental policies and actions;
- (g) Enhancing the capacity to plan, prepare and implement climate change actions, including the integration of such actions into relevant national strategies and plans;
- (h) Enhancing the capacity to monitor and report on climate change action, including for the preparation of national communications;

- (i) Strengthening structural and institutional capacity for economic diversification;
- (j) Supporting any other capacity-building needs in the course of full, effective and sustained implementation of the Convention.]

Option 2

[Action on capacity building should be enhanced in order to strengthen, as appropriate, sub-national, national or regional capacities, skills, capabilities and institutions as appropriate in order to address emerging capacity-building needs in the areas of adaptation, mitigation, and technology as outlined in the post-2012 agreed outcome.]

5. A technical panel on capacity-building shall be established to organize, coordinate, monitor, evaluate and retrofit the implementation of capacity-building activities and the support for adaptation and mitigation and the corresponding financing and technology development and transfer in developing countries. The technical panel may propose and implement mechanisms for sharing lessons learned, promoting South–South and triangular cooperation and disseminating information on successful capacity-building activities in developing countries. The technical panel shall also provide information and assessment on the fulfilment of commitments by developed country Parties to provide capacity-building support.

[4. Provision of financial resources for capacity-building²

6. Financial and technological support shall be provided in the context of Article 4.9 of the Convention in a transparent, expedited, direct access, sustainable and predictable manner under the overall guidance of the COP through

Option 1

a dedicated multilateral fund for capacity-building as referred to in paragraph 175, option 6.³

Option 2

a new financial mechanism to support mitigation, adaptation, technology transfer and capacity-building action as referred to in paragraphs 166 and 174, option 1.⁴

5. [Assessing delivery][Monitoring and review] of [capacity-building support and action][support for capacity-building actions]

7.

Option 1

[Support for capacity-building activities shall be measured through the use of agreed and effective performance indicators [and in units to be established as part of the review of the implementation of the capacity-building framework adopted under decision 2/CP.7], in order to ensure that the resources provided by developed country Parties are benefiting developing countries within the context of the agreed activities identified and undertaken through a bottom-up, country-driven process.]

² At the informal consultations conducted by the AWG-LCA Chair, it was agreed that proposals relating to the provision of financial resources be discussed in the contact group on finance.

³ FCCC/AWGLCA/2009/INF.1.

⁴ As footnote 2 above.

Option 2

[To facilitate the monitoring and review of commitments under the Copenhagen Agreement, all Parties shall report on progress made in enhancing capacity to address climate change, and on the support provided or received.]

Option 3

[To facilitate the monitoring and reporting of progress on capacity-building under an agreed outcome, Parties should regularly report on enhanced capacity-building using existing mechanisms, including national communications and submissions to the secretariat and others as agreed.]

8. [Provision of capacity-building support to developing country Parties, along with the delivery of financial support and technology transfer, shall be a legally binding obligation of developed country Parties included in Annex II to the Convention, with consequences for non-compliance.]
