



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

Reference:	IAR/2009/GBR/1/1	State:	Final
Version number:	2.0	Date:	30/06/2010
Prepared by:	Anita Dahya, Ministry of Economic Development, New Zealand		
Reviewed by:	Chris Collins/PQA		07/05/2010
Approved by:	Vitaly Matsarski/UNFCCC,		30/06/2010

Circulation list

Name/Role	Organization	Info/Action
Anita Dahya	Ministry of Economic Development	Info
Chris Collins	SRA International	info
Kevin Williams	Environment Agency	Info

Document change record

Version	Date	Description
0.1	27/04/2010	First draft
1.0	07/05/2010	Reviewed draft – minor clarifications made. Draft to be sent to Party.
1.1	30/06/2010	Revised draft, public information considered. Note that Party did not report comments.
2.0	01/07/2010	Version 1.0 ready to be sent to Party.

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	United Kingdom of Great Britain and Northern Ireland	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	<p>Files submitted:</p> <p>[SEF] SEF_GB_2010_2_18-8-40 27-4-2010.xls</p> <p>[SEF]_GB_2010_2_18-8-40+27-4-2010.xls</p> <p>- [NIR] ukghgi-90-08_main_chapters_Issue3_Revised_25_05_2010.pdf and</p> <p>[NIR] ukghgi-90-08_main_chapters_Issue3_Revised_25+05+2010.pdf</p> <p>ukghgi-90-08 Annexes_Issue3_Revised_25_5_2010.pdf.</p> <p>UKGHGI 1990-2008_Cover Letter_UNFCCC submission.doc</p> <p>- [REPORTS] SIAR Reports 2009-GB v1.0.xls</p> <p>- [SYS REPORTS] CITL Test Plan for Version 4.1.doc</p> <p>- [SYS REPORTS] Release Notes version 4.0.16.pdf</p> <p>- [SYS REPORTS] Release Notes version 4.1.16.pdf</p> <p>- [SYS REPORTS] Release Notes version 4.2.21.pdf</p> <p>-[SYS REPORTS] Test Report Version 4.1.pdf</p> <p>-[SYS REPORTS] Test Report Version 4.2.pdf</p>	<p>Information from the ITL Administrator:</p> <p>- [SEFCR] SEF_GB_2010_1_18-8-40 27-4-2010-CR.xls</p> <p>-[RRITL] SIAR_Reports_2009_GB_v1.1.xls</p> <p>- [SEFCR] SEF_GB_2010_2_18-8-40+27-4-2010-CR.xls</p>
P1.0.4	Previous annual review report reference	FCCC/ARR/2008/GBR (30/04/2009); and FCCC/ARR/2009/GBR (09/04/10)	

Table of Contents

1. Introduction.....	4
1.1. Overall assessment	4
2. Accounting of Kyoto Protocol units	5
3. National Registry Change	9
4. Public information	15
5. Previous Expert Review Team recommendations	22

1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes [] No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes [] No	The Party's SEF report [SEF] was made available to assessors by 23/04/2010. The Party resubmitted the SEF report on 27 April 2010 to correct a discrepancy between the Party and the ITL. Table 2(b) now correctly reflects that the Party did not undertake any Track 2 ERUs during the reported period.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input checked="" type="checkbox"/> Yes [] No	The ITL identified discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2).
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes [] No	The Party has reported all discrepancies that occurred during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-2).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).

Ref Nr	Requirement	Assessment	Comments
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes [] No	The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 16 Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	[] Yes <input checked="" type="checkbox"/> No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes [] No	The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17 Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes [] No	The Party reported that there were actions undertaken to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring. The actions and changes are addressed in Chapter 14 Information on Changes to National Register under section Previous Annual Review recommendations for the National Registry. There were no actions necessary to address questions of implementation pertaining to transactions.
15/CMP.1 annex I.E paragraph 18 CPR Calculation			

Ref Nr	Requirement	Assessment	Comments
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in Chapter 12 Information on Accounting of Kyoto Units, paragraph 12.5 Calculation of the Commitment Period Reserve.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes [] No	[<input checked="" type="checkbox"/>] Yes [] No	In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party states changes have been made to the name and contact information of the registry administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes [] No	[] Yes [<input checked="" type="checkbox"/>] No	In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party states that no changes have been made to its cooperation arrangements. .

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes [] No	<input checked="" type="checkbox"/> Yes [] No	In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party states that changes have been made to the database and capacity of its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.4	<p>15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards</p> <p>Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<p>In Chapter 14 Information on Changes in National Registry, paragraph 14.1 Table 14.1, the Party states that no changes have been made to the conformance to technical standards by its national registry.</p> <p>It is unclear as to whether the registry upgrade from version 4.1 to 4.2 which added a new web service for processing incoming and outgoing messages changed how the national registry conforms to the technical standards for data exchange between registry systems and the ITL. If such changes did arise then the Party should include relevant information in this section.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	<p>15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures</p> <p>Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?</p>	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	<p>15/CMP.1 annex II.E paragraph 32.(f) Change of Security</p> <p>Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?</p>	<input checked="" type="checkbox"/> Yes [] No	[] Yes <input checked="" type="checkbox"/> No	In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party states that no changes have been made to the security measures employed in its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.7	<p>15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information</p> <p>Has the Party reported a change to the list of publicly accessible information?</p>	[X] Yes [] No	[X] Yes [] No	<p>In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party implies that there have been changes to publicly available information by stating that “the following information is <i>now</i> deemed publicly available”. However, from the information provided it not sufficiently clear as to the nature and extent of changes that have been made.</p> <p>The Party should make a clear statement indicating what, if any, changes have been made to the list of publicly accessible information.</p>
P1.3.8	<p>15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address</p> <p>Has the Party reported a change to the Internet address of the national registry?</p>	[X] Yes [] No	[] Yes [X] No	<p>In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party states that no changes have been made to the internet address of its National Registry.</p>

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.9	<p>15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure</p> <p>Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party has included a statement clearly stating that it has made improvements to data integrity measures.
P1.3.10	<p>15/CMP.1 annex II.E paragraph 32.(j) Change of test results</p> <p>Has the Party reported a change to the results of any test procedures?</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In Chapter 14 Information on Changes in National Registry, paragraph 14.1, Table 14.1, the Party has listed test plans and test results for version 4.1 and 4.2 of the Greta Registry Software.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. The Party has indicated that representative identification information as required in paragraph 45 and 48 is held as confidential. It is unclear as to whether this relates only to the representative identifier (e.g. US9999) or all representative contact information.
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. Although, the account type is not clearly identified separately it can be inferred from the account name information provided on the public website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. However, the commitment period is not identifiable for the cancellation and retirement account from the publicly available information.
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. The Party has indicated that representative identification information as required in paragraph 45 and 48 is held as confidential. However, clarification is required as which elements of the representative identification information is considered confidential (e.g. UK-9999) as it appears that representative contact details is still available under the publicly available information.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. The Party has indicated that representative identification information as required in paragraph 45 and 48 is held as confidential. However, clarification is required as which elements of the representative identification information is considered confidential (e.g. UK-9999) as it appears that representative contact details is still available under the publicly available information.
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. No Article 6 project is reported as conversion to an ERU under an Article 6 project, as this did not occur in the specified period. The Party has taken a decision not to host any domestic JI projects.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. No Article 6 project is reported as conversion to an ERU under an Article 6 project, as this did not occur in the specified period. The Party has taken a decision not to host any domestic JI projects.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. No Article 6 project is reported as conversion to an ERU under an Article 6 project, as this did not occur in the specified period. The Party has taken a decision not to host any domestic JI projects.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. No Article 6 project is reported as conversion to an ERU under an Article 6 project, as this did not occur in the specified period. The Party has taken a decision not to host any domestic JI projects.
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. No Article 6 project is reported as conversion to an ERU under an Article 6 project, as this did not occur in the specified period. The Party has taken a decision not to host any domestic JI projects.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2.
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. This information is considered confidential and will therefore not be published until 1 st January year X+5 (as per Annex XVI of the EU Registries Regulation)
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2.
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. No Article 6 project is reported as conversion to an ERU under an Article 6 project, as this did not occur in the specified period. The Party has taken a decision not to host any domestic JI projects.
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. This information is considered confidential and will therefore not be published until 1 st January year X+5 (as per Annex XVI of the EU Registries Regulation)

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2.
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. This information is considered confidential and will therefore not be published until 1 st January year X+5 (as per Annex XVI of the EU Registries Regulation)
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. The Party states that no ERUs, CERs AAUs and RMUs have been cancelled on the basis of activities under Article 3, paragraphs 3 and 4 to date.
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. The Party states that no ERUs, CERs AAUs and RMUs have been cancelled following determination by the compliance committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 to date.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. However, the information provided publicly in the Summary of Kyoto Units Held in the UK National Registry and Other Kyoto Transactions on the number of units cancelled does not match the information reported in the Party's SEF report at the beginning of the period (Table1). The publicly available information states that 25,103,406 units have been cancelled whereas the SEF report indicates that 345,906 units have been cancelled. Further, the Summary of Kyoto Units Held in the UK National Registry and Other Kyoto Transactions was last updated on 1 st June 2008.
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. The Party states in paragraph 14.1 that no units have been retired during the reporting period. This statement is inconsistent with the Tables 2a and 4 of the Party's SEF report which indicates that a total of 265,508,431 have been retired during the reporting period.
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2. Carry over is not applicable at this time.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party provides reference to this publicly available information in Chapter 12, Information on Accounting of Kyoto Units, paragraph 12.4, table 12.2.

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	FCCC/ARR/2008/GBR: The ERT recommended that the United Kingdom include information on its commitment period reserve in its next annual submission. Refer section VIII Other Issues, 3. Commitment Period Reserve, paragraph 77.	<input checked="" type="checkbox"/> Yes [] No	The Party has included the Commitment Period Reserve in Chapter 12, Information on accounting of Kyoto units, paragraph 12.5.
P1.5.2	FCC/ARR/2009/GBR: The ERT recommended that the United Kingdom further improve the measures in place in its national registry with a view to minimising operator errors and ensuring reliable interoperability with other registry systems, including the ITL, in accordance with paragraph 115 of the annex to decision 22/CMP.1 and paragraph 25 of the annex to decision 24/CP.8 and with a view to reporting in its next annual submission on the changes made to the registry following the successful implementation and testing of those measures, including any relevant test plans and test reports. Refer section VIII Conclusions and Recommendations, paragraph 108(j).	<input checked="" type="checkbox"/> Yes [] No	The Party has included in paragraph 14.2, Changes in Response to Review Recommendations the actions it has taken to address the matters raised. The party has clearly noted those matters that are being worked on in conjunction with the UNFCCC CAB, the Defragmentation Working Group and its Registry system provider.
P.1.5.3	FCC/ARR/2009/GBR: The ERT recommended that the United Kingdom take appropriate action to reduce the number of out-of-sequence messages sent by its registry. Refer section VIII Conclusions and Recommendations, paragraph 108(k).	<input checked="" type="checkbox"/> Yes [] No	The Party has included in paragraph 14.2, Changes in Response to Review Recommendations the actions it has taken to address this issue.

P.1.5.4	<p>FCC/ARR/2009/GBR:</p> <p>The ERT recommended that the United Kingdom enhance the user interface of the registry by providing the public information referred to in paragraphs 45, 46 and 48 of the annex to decision 13/CMP.1, and report, in next annual submission, on any changes made to that public information Refer section VIII Conclusions and Recommendations, paragraph 108(l).</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The Party has included in paragraph 14.2, Changes in Response to Review Recommendations the changes it has made to the list of publicly available information as listed paragraph 14.2, 32(g). From the information provided it is unclear as to how this recommendation has been fully addressed in relation to improving the user interface and clearly identifying on the public website which elements are confidential.</p>
---------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------