



UNFCCC ITL Administrator

**Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness**

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|------------------------|--|---------------|------------|
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| Version | Date | Description |
|----------------|-------------|--|
| 0.1 | 03/05/2010 | Initial draft |
| 0.2 | 10/05/2010 | Review and suggested edits for clarity and consistency with assessment guidance |
| 1.0 | 11/05/2010 | Accepted suggested edits in v0.2 |
| 1.1 | 02/06/2010 | Update taking into account the SIAR consultation form part 1 and the SEF and NIR resubmissions |
| 2.0 | 11/06/2010 | Review |

Summary

| Ref Nr | Description | Value | Comments |
|--------|---|---|---|
| P1.0.1 | Party name | Denmark | |
| P1.0.2 | Reporting period | 2009 | |
| P1.0.3 | Submission under review | Files submitted: - [SEF] SEF_DK_2010_1_10-33-11+15-1-2010.xls - [NIR] NIR2010_submitted_15April2010.pdf - [SEFv2] SEF_DK_2010_2_14-29-40+17-5-2010.xls - [NIRv2] DK_NIR2010_27May2010_NIR.pdf - [RESPONSE1] SIAR Consultation Form on Draft Part 1 DNK.doc | Information from the ITL Administrator: - [SEFCR] SEF_DK_2010_1_10-33-11+15-1-2010-CR.xls - [RRITL] SIAR_Reports_2009_DK_v1.1.xls -[SEFCRv2] SEF_DK_2010_2_14-29-40+17-5-2010-CR |
| P1.0.4 | Previous annual review report reference | FCCC/ARR/2009/DNK (15/04/2010) | |

Table of Contents

| | |
|---|-----------|
| 1. Introduction..... | 4 |
| 1.1. Overall assessment | 4 |
| 2. Accounting of Kyoto Protocol units | 5 |
| 3. National Registry Change | 9 |
| 4. Public information | 11 |
| 5. Previous Expert Review Team recommendations | 20 |

1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

| Ref Nr | Requirement | Assessment |
|--------|---|---|
| P1.1.1 | Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| P1.1.2 | Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| P1.1.3 | Explanation of how the previous Annual Review recommendations have been addressed? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA |

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

| Ref Nr | Requirement | Assessment | Comments |
|--|--|--|--|
| 15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF) | | | |
| P1.2.1 | 14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report) | <input checked="" type="checkbox"/> Yes [] No | The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR]. |
| P1.2.2 | 15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so) | <input checked="" type="checkbox"/> Yes [] No | The Party's SEF report [SEF] was made available to assessors on 21/04/2010. An updated version of the Party's SEF report [SEFv2] was made available to assessors on 19/5/2010. The SEF comparison report [SEFCRv2] shows that there are no issue in the SEF report [SEFv2] submitted by the Party. |
| 15/CMP.1 annex I.E paragraph 12 Discrepant transactions | | | |
| P1.2.3 | Has the transaction log identified discrepancies in transactions proposed by the Party? | <input checked="" type="checkbox"/> Yes [] No | The ITL identified discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2). |
| P1.2.4 | Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1? | <input checked="" type="checkbox"/> Yes [] No | The list of discrepancies is included in [NIRv2] Annex 6, p. 841-979 as "Report – List of discrepancies identified by the ITL according to paragraph 12 of the annex to decision 15/CMP.1". |

| Ref Nr | Requirement | Assessment | Comments |
|--|--|---|---|
| 15/CMP.1 annex I.E paragraph 13 | | | |
| Reversal of storage notifications | | | |
| P1.2.5 | Has the transaction log issued reversal of storage notifications to the Party's national registry? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3). |
| P1.2.6 | Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period because there are no tCERs or ICERs in its national registry. The information has been verified and matches the ITL records (see [RRITL], report R-3). |
| 15/CMP.1 annex I.E paragraph 14 | | | |
| Non-certification notifications | | | |
| P1.2.7 | Has the transaction log issued non-certification notifications to the Party's national registry? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3). |
| P1.2.8 | Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The Party reported that no non-certification notifications were addressed to its national registry during the reported period because there are no tCERs or ICERs in its national registry. The information has been verified and matches the ITL records (see [RRITL], report R-3). |

| Ref Nr | Requirement | Assessment | Comments |
|---------------------------------|---|---|--|
| 15/CMP.1 annex I.E paragraph 15 | | | |
| Non-replacement | | | |
| P1.2.9 | Has the transaction log a record of non-replacement for this Party? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3). |
| P1.2.10 | Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The Party reported that no non-certification notifications were addressed to its national registry during the reported period because there are no tCERs or ICERs in its national registry. The information has been verified and matches the ITL records (see [RRITL], report R-3). |

| Ref Nr | Requirement | Assessment | Comments |
|---|--|---|---|
| 15/CMP.1 annex I.E paragraph 16 | | | |
| Inconsistencies from discrepant transactions | | | |
| P1.2.11 | Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled. |
| P1.2.12 | Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1. |
| 15/CMP.1 annex I.E paragraph 17 | | | |
| Actions and changes to address discrepancies | | | |
| P1.2.13 | Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The Party reported in [RESPONSE1] that is had not identified software issues that caused discrepancies between the ITL and the Party's registry. The Party reported that it had increased response times in order to prevent time outs. Apart from this the Party reported that no actions were taken to address discrepancies. |
| 15/CMP.1 annex I.E paragraph 18 | | | |
| CPR Calculation | | | |
| P1.2.14 | Has Party reported the calculation of its commitment period reserve? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The Party reported the calculation of its commitment period reserve (CPR) in [NIR], page 541, paragraph 5. |

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

| Ref Nr | Requirement | Submission complete? | Item changed during reported period? | Comments |
|--------|--|---|---|--|
| P1.3.1 | 15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |
| P1.3.2 | 15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |
| P1.3.3 | 15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |
| P1.3.4 | 15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |
| P1.3.5 | 15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |

| Ref Nr | Requirement | Submission complete? | Item changed during reported period? | Comments |
|---------------|--|---|---|--|
| P1.3.6 | 15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |
| P1.3.7 | 15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |
| P1.3.8 | 15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |
| P1.3.9 | 15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |
| P1.3.10 | 15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | In [NIR] p. 545, paragraph 2, the Party states that no changes have occurred in the national registry. |

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---|--|---|
| P1.4.1 | 13/CMP.1 Annex paragraph 45 Account information provided? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial | The Party declared in [NIR] p. 541, paragraph 3 and in [RESPONSE1] that the contact information of account representatives is not published because it is confidential according to EU law. However, on the public website this information is not classified as confidential. The Party must clearly identify each element of the information that they classify as confidential on the public website (please reference requirements 44.10 and 44.11 in "SIAR Publicly Available Information Guidance v4.3"). Also, the commitment period for cancellation or replacement accounts is not provided as reflected in P1.4.1.3 |
| P1.4.1.1 | 13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p. 541, paragraph 2. |
| P1.4.1.2 | 13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement) | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p. 541, paragraph 2. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---|--|---|
| P1.4.1.3 | 13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to its publicly available information in [NIR] p. 541, paragraph 2. However, the commitment period is missing for most cancellation, retirement and replacement accounts. In [RESPONSE1] the Party clarified that the next version of the registry software will specify the commitment period of each cancellation, retirement and replacement account. |
| P1.4.1.4 | 13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p. 541, paragraph 2. |
| P1.4.1.5 | 13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p. 541, paragraph 2. The representative name is publicly available. However, the contact information of the representatives is not available. In [NIR] p. 541, paragraph 3 and in [RESPONSE1] the Party states this contact information is not published because it requires the consent of the account holder according to EU law. However, on the public website this information is not classified as confidential. The Party must clearly identify each element of the information that they classify as confidential on the public website (please reference requirement 44.10 and 44.11 in "SIAR Publicly Available Information Guidance v4.3"). |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|---------------|--|--|---|--|
| P1.4.2 | 13/CMP.1 Annex paragraph 46 Article 6 project information provided? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | On the registry website there is an empty project list with no information on Article 6 projects. There is no statement that there have been no Article 6 projects on the public website (please reference requirement 44.9 in "SIAR Publicly Available Information Guidance v4.3"). In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify that no Article 6 projects have been implemented by Denmark. |
| P1.4.2.1 | 13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project | <input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | On the registry website there is an empty project list with no information on Article 6 projects. There is no statement that there have been no Article 6 projects. In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify that no Article 6 projects have been implemented by Denmark. |
| P1.4.2.2 | 13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | On the registry website there is an empty project list with no information on Article 6 projects. There is no statement that there have been no Article 6 projects. In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify that no Article 6 projects have been implemented by Denmark. |
| P1.4.2.3 | 13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | On the registry website there is an empty project list with no information on Article 6 projects. There is no statement that there have been no Article 6 projects. In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify that no Article 6 projects have been implemented by Denmark. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---|--|--|
| P1.4.2.4 | 13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1. | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | On the registry website there is an empty project list with no information on Article 6 projects. There is no statement that there have been no Article 6 projects. In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify that no Article 6 projects have been implemented by Denmark. |
| P1.4.3 | 13/CMP.1 Annex paragraph 47 Holding and transaction information provided? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial | <p>The Party provides reference to this publicly available information in [NIR] p.541 paragraphs 1 and 2. The Party's SEF has been published on the following website: http://www.ens.dk/en-US/ClimateAndCO2/emissiontrading/DKemissiontradingagency/Sider/Forside.aspx.</p> <p>On the website of the Danish registry https://www.kvoteregister.dk/ there is a report on account holdings and on transactions. However, these reports are mostly empty. There is no indication on the website that this information is considered to be confidential (please reference requirements 44.10 and 44.11 in "SIAR Publicly Available Information Guidance v4.3").</p> <p>In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify on the public website that information on account holdings and transaction history are considered confidential according to EU law until the year x+5.</p> |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---|--|---|
| P1.4.3.1 | 13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial | The SEF published on http://www.ens.dk/en-US/ClimateAndCO2/emissiontradingscheme/DKemissiontradingagency/Sider/Forside.aspx includes information on the total quantity of ERUs, CERs, AAUs and RMUs per account type in the beginning of the year, but not in each account. There is no indication that this information is considered to be confidential on the public website (please reference requirements 44.10 and 44.11 in "SIAR Publicly Available Information Guidance v4.3"). |
| P1.4.3.2 | 13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8 | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p.541 paragraphs 2. |
| P1.4.3.3 | 13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p.541 paragraphs 2. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|--|---|--|---|
| P1.4.3.4 | 13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial | On the website of the Danish registry (https://www.kvoteregister.dk/) there is information on the total quantity of ERU, CERs and AAUs acquired from other registries. However, the identity of the transferring accounts and registries is missing. There is no indication that this information is considered to be confidential on the public website (please reference requirements 44.10 and 44.11 in "SIAR Publicly Available Information Guidance v4.3"). In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify on the public website that information on account holdings and transaction history are considered confidential according to EU law until the year x+5. |
| P1.4.3.5 | 13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4 | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p.541 paragraphs 2. |
| P1.4.3.6 | 13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial | On the website of the Danish registry (https://www.kvoteregister.dk/) there is information on the total quantity of ERU, CERs and AAUs transferred to other registries. However, the identity of the acquiring accounts and registries is missing. There is no indication that this information is considered to be confidential on the public website (please reference requirements 44.10 and 44.11 in "SIAR Publicly Available Information Guidance v4.3"). |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|----------|---|---|--|---|
| P1.4.3.7 | 13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | On the website of the Danish registry (https://www.kvoteregister.dk/) there is an empty cancellation list with the information that there has been nothing found to display for 2009. There are rows for three types of cancellation. It is, however, not clear which row refers to cancellation according to decision 13/CMP.1 Annex paragraph 47 (g). In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify on the registry's public website which type of cancellation account each cancellation is related to according to decision 13/CMP.1 paragraph 47 (g), 47 (h) and 47 (i). |
| P1.4.3.8 | 13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | On the website of the Danish registry (https://www.kvoteregister.dk/) there is an empty cancellation list with the information that there has been nothing found to display for 2009. There are rows for three types of cancellation. It is, however, not clear which row refers to cancellation according to decision 13/CMP.1 Annex paragraph 47 (h). In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify on the registry's public website which type of cancellation account each cancellation is related to according to decision 13/CMP.1 paragraph 47 (g), 47 (h) and 47 (i). |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|-----------|---|---|--|---|
| P1.4.3.9 | 13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | On the website of the Danish registry (https://www.kvoteregister.dk/) there is an empty cancellation list with the information that there has been nothing found to display for 2009. There are rows for three types of cancellation. It is, however, not clear which row refers to cancellation according to decision 13/CMP.1 Annex paragraph 47 (i). In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify on the registry's public website which type of cancellation account each cancellation is related to according to decision 13/CMP.1 paragraph 47 (g), 47 (h) and 47 (i). |
| P1.4.3.10 | 13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p. 541, paragraph 2. |
| P1.4.3.11 | 13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial | The Party provides reference to this publicly available information in [NIR] p. 541, paragraph 2. |

| Ref Nr | Requirement | Submission complete | Has party identified this type of information confidential? | Comments |
|-----------|--|---|--|--|
| P1.4.3.12 | 13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial | <p>This information can neither be found on the website of the Danish registry (https://www.kvoteregister.dk/) nor on http://www.ens.dk/en-US/ClimateAndCO2/emissiontradingscheme/DKemissiontradingagency/Sider/Forside.aspx.</p> <p>There is no indication that this information is considered to be confidential on the public website (please reference requirements 44.10 and 44.11 in "SIAR Publicly Available Information Guidance v4.3").</p> <p>In [RESPONSE1] the Party commented that the registry software will be corrected in the next version to specify on the public website that information on holdings of ERUs, CERs, AAUs and RMUs in each account is considered confidential according to EU law until the year x.5.</p> |
| P1.4.4 | 13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹ | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | The Party provides reference to this publicly available information in [NIR] p. 541, paragraph 2. |

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

| Ref Nr | Recommendation from previous Annual Review report (with ref) | Has Party covered the recommendation in its submission? | Comment |
|--------|--|---|---|
| P1.5.1 | Paragraph 102 of the document FCCC/ARR/2009/DNK states the ERT took note of the recommendations in the SIAR that Denmark should enhance the user interface of its registry with regard to the public information on Article 6 projects referred to in paragraph 46 of the annex to decision 13/CMP.1. The SIAR also recommended that, if Denmark has not issued any emission reduction units, a clear statement to that effect be publicly available on its registry user interface. | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <p>The Party reported no change compared to the previous year and did not specifically report on the recommendation from the previous annual review report. See P1.3.7 and P1.4.2.</p> <p>The Party commented in [RESPONSE1] that the registry software will be corrected in the next version to specify on the public website that no Article 6 projects have been implemented in Denmark.</p> |

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| P1.5.2 | <p>Paragraph 104 of FCCC/ARR/2009/DNK states that the ERT noted the list of recommendations in the SIAR, pertaining to technical standards, that Denmark should implement in its national registry to ensure minimal operator errors and reliable interoperability with other registry systems, including the ITL, in accordance with paragraph 115 of the annex to decision 22/CMP.1 and paragraph 25 of the annex to decision 24/CP.8. The SIAR, remembering that the ITL recommended implementing the following measures as soon as possible, recommended that Denmark report on progress made in implementing those measures in its next annual submission, including any relevant implementation plans, test plans and test reports following the changes applied to its registry. The ERT reiterates the recommendations in the SIAR that the Party implement:</p> <p>(a) Additional or improved operational procedures to prevent users from proposing transactions to the ITL if the registry is not fully operational;</p> <p>(b) Automated internal validations should be performed on account type codes before messages are submitted to the ITL;</p> <p>(c) Automated internal validations should be performed on the acquiring and transferring registries before transactions are proposed to the ITL.</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> | <p>The Party covered the recommendation in [RESPONSE1].</p> |
| P1.5.3 | <p>Paragraph 105 of FCCC/ARR/2009/DNK states that the SIAR noted that the registry of Denmark fragments unit blocks at a rapid pace and that this could hamper the registry's ability to reconcile its holding position against the records of the ITL and its capacity to propose transactions successfully in the future. The ERT reiterates the recommendations in the SIAR that Denmark should put in place measures to mitigate and reduce the internal fragmentation of unit blocks.</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> | <p>The Party covered the recommendation in [RESPONSE1].</p> |

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| P1.5.4 | Paragraph 106 of FCCC/ARR/2009/DNK states that Denmark has not reported its commitment period reserve in its 2009 annual submission. In response to questions raised by the ERT during the review, the Party reported that its commitment period reserve has not changed since the initial report review (249,155,060 t CO2 eq), as it is based on the assigned amount and not the most recently reviewed inventory. The ERT agrees with this figure. The ERT recommends that Denmark include information on its commitment period reserve in its next annual submission. | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | The Party provides the calculation of the commitment period reserve in [NIR]. See P1.2.14. |
| P1.5.5 | Paragraphs 103 and 116 of the document FCCC/ARR/2009/DNK states that the information presented in the submission by Denmark in response to recommendations from previous reviews was not sufficient for the ERT to determine whether they have been fully resolved. In paragraph 103 the ERT recommends that Denmark provide, in its next annual submission, more detailed information on: steps taken to terminate transactions where a discrepancy is notified and to correct problems in the event of a failure to terminate the transaction, including information on whether there are automated processes in place to terminate discrepant transactions. | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | In [NIR], page 540, paragraph 7 the Party stated that all discrepancies have been solved by manual intervention by either the Danish registry or the CITL/ITL depending on which stage the transaction was in. The assessor assumes the Party has no additional information to report on whether there are automated processes in place to terminate discrepant transactions. |