



UNFCCC ITL Administrator

**Standard Independent Assessment Report  
Assessment Report  
Part 1 - Completeness**

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<b>Prepared by:</b>	John Bedard/SRA International, Inc.		
<b>Reviewed by:</b>	Heidi McKenna/Independent Consultant		
<b>Approved by:</b>	Markwin Pieters/ UNFCCC		

**Circulation list**

<b>Name/Role</b>	<b>Organization</b>	<b>Info/Action</b>
John Bedard / Assessor	SRA International, Inc.	Info
Heidi McKenna / Assessor Coordinator	SIAR Coordinator Consultant to the UNFCCC	Info
Sophia Nenova / Ivaylo Rangelov RSA Bulgaria	Executive Environmental Agency	Info

**Document change record**

<b>Version</b>	<b>Date</b>	<b>Description</b>
0.1	04/06/2012	Initial draft
1.0	05/06/2012	Finalized draft for party review and consultation
1.1	18/06/2012	Updated to include party comments to draft
2.0	18/06/2012	Approved final report

## Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	Bulgaria	
P1.0.2	Reporting period	2011	
P1.0.3	Submission under review	Files submitted: - [SEF] SEF_BG_2012_1_16-27-24 2-5-2012.xls - [SEF1] SEF_BG_2012_1_10-2-22 17-4-2012.xls - [NIR 1] Bulgarian National Inventory Report-final.pdf - [REPORTS] Not submitted - [RESPONSE 1] BG_Response on Part 1_SN.doc	Information from the ITL Administrator: - [SEFCR] SEF_BG_2012_1_16-27-24 2-5-2012_CR.xls - [SEFCR1] SEF_BG_2012_1_10-2-22 17-4-2012_CR.xls - [RRITL] SIAR_Reports_2011_BG_v1.xls
P1.0.4	Previous annual review report reference	FCCC/ARR/2010/BGR (29/11/2010)	FCCC/ARR/2011/BGR was not available at the time of this assessment.

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## 1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

### 1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No P1.2.4
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No P1.4.2.3, P1.4.3 to 1.4.3.12
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

## 2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 <b>Standard electronic format (SEF)</b>			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF1] was made available to assessors by 17/04/2012. After a resubmission was requested, the Party's correct SEF report [SEF] was made available to assessors by 04/05/2012.
15/CMP.1 annex I.E paragraph 12 <b>Discrepant transactions</b>			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The ITL identified discrepancies with transactions proposed by the Party during the reported period (see [RRITL], Report R-2) with DES Response Code 4003, 4010, and 5061.

Ref Nr	Requirement	Assessment	Comments
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] section 12.3, the Party reported that no discrepancies occurred during the reported period. <b>This information does not match ITL records (see [RRITL], report R-2) and the assessor could not locate R2-R5 report or similar information submitted by the Party</b>
15/CMP.1 annex I.E paragraph 13 <b>Reversal of storage notifications</b>			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] section 12.3 The Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).
15/CMP.1 annex I.E paragraph 14 <b>Non-certification notifications</b>			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] section 12.3 The Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 15			
<b>Non-replacement</b>			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] section 12.3 The Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3).

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 16			
<b>Inconsistencies from discrepant transactions</b>			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	[ ] Yes [ X ] No	The ITL did not identify discrepant transactions proposed by the Party that were not terminated or cancelled (see [RRITL], Report R-2).
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	[ X ] Yes [ ] No	In [NIR] section 12.3 The Party reported that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1.
15/CMP.1 annex I.E paragraph 17			
<b>Actions and changes to address discrepancies</b>			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	[ x ] Yes [ ] No	In [NIR] section 12.3 The Party reported that there were no actions necessary to undertake to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring. There were no actions necessary to address questions of implementation pertaining to transactions.
15/CMP.1 annex I.E paragraph 18			
<b>CPR Calculation</b>			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	[ X ] Yes [ ] No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR] Paragraph 12.5.



### 3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact  Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Section 14, the Party states that "No changes to the Registry administrator of the national registry occurred during the reported period except the additional administrator.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement  Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 14, the Party states that no changes have been made to its cooperation arrangements.
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry  Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 14, the Party states that no changes have been made to the database and capacity of its national registry.
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards  Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 14, the Party states that no changes have been made to the conformance to technical standards by its national registry.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures  Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 14, the Party states that no changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security  Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] Section 14, the Party states that changes have been made to the security measures employed in its national registry. The party provided a high level overview of the changes made.
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information  Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 14, the Party states that no changes have been made to the list of publicly available information.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address  Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 14, the Party states that no changes have been made to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure  Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 14, the Party states that no changes have been made to the data integrity measures.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results  Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] Section 14, the Party states that "In 2010, the Bulgarian registry was performed successful. There were no changes related to test procedures during the reporting period."

## 4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4 and 14. The assessor confirmed this information at: <a href="http://bg-server1.etr.moew.government.bg/iaos/contacts.php">http://bg-server1.etr.moew.government.bg/iaos/contacts.php</a>
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4 and 14. The assessor confirmed this information at the URL provided.
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4 and 14. The assessor confirmed this information at the URL provided. Account type ID available via search and identified within the "Account Alphanumeric Identifier."
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4 and 14. The assessor was able to locate this information within the account number at: <a href="http://bg-server1.etr.moew.government.bg/iaos/contacts.php">http://bg-server1.etr.moew.government.bg/iaos/contacts.php</a>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4.  The assessor confirmed this information at the URL provided in [NIR] section 14.
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4 and 14.  Party reports "According to Annex XVI of the EU Registry Regulation No 916/2007/EC this information is published unless the registry administrator allows account holders to request keeping all or some of this information confidential and the account holder requested the registry administrator in writing not to display all or some of this information."  The assessor confirmed the representative name and identified for each account using the Details link for each row at:  <a href="http://bg-server1.etr.moew.government.bg/iaos/contacts.php">http://bg-server1.etr.moew.government.bg/iaos/contacts.php</a>
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4 and 14  The assessor confirmed this information at:  <a href="http://bg-server1.etr.moew.government.bg/iaos/projects.php">http://bg-server1.etr.moew.government.bg/iaos/projects.php</a>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4 and 14. The assessor confirmed this information at the URL provided.
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 14. The assessor confirmed this information at the URL provided by following the Details link.
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] Section 12.4. The assessor can see the ERU issuance to date but is unable to locate ERU issuance by year information at: <a href="http://bg-server1.etr.moew.government.bg/iaos/projects.php">http://bg-server1.etr.moew.government.bg/iaos/projects.php</a> In its [RESPONSE1] Party stated the ERU issuance by year will be available at its public website for assessment in the next annual submission The assessor notes that this information is available at the Ministry of Environment website.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provides reference to this publicly available information in [NIR] Section 12.4.</p> <p>The assessor was unable to locate this information at:  <a href="http://bg-server1.etr.moew.government.bg/iaos/projects.php">http://bg-server1.etr.moew.government.bg/iaos/projects.php</a>  and <a href="http://eea.government.bg/en/projects/index.html">http://eea.government.bg/en/projects/index.html</a></p> <p>In its [RESPONSE1] Party noted that the information of approved Joint Implementation projects and their documentation was added on the website of the competent authority (Ministry of the Environment and Waters) of JI projects and can be downloaded from the following link:  <a href="http://www3.moew.government.bg/?show=top&amp;cid=357&amp;lang=en">http://www3.moew.government.bg/?show=top&amp;cid=357&amp;lang=en</a></p> <p>The assessor confirms that this information is available at the Ministry of Environment website as noted, but suggests that a link to this information be provided from within the registry website or within each individual project displayed at <a href="http://bg-server1.etr.moew.government.bg/iaos/projects.php">http://bg-server1.etr.moew.government.bg/iaos/projects.php</a></p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>Assessor was unable to locate this information at the URLs provided.</p> <p>In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.</p>
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>In [NIR] Section 12.4 party notes “The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year (displayed in the year X+5, according to EU Registry Regulation No 916/2007/EC the information is confidential until the year X+5).”</p> <p>The assessor was unable to find disclaimers regarding public information at the URLs provided.</p>



Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>Assessor was unable to locate this information at the URLs provided.</p> <p>In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.</p>
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>Assessor was unable to locate this information at the URLs provided.</p> <p>In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries bad the identity of the transferring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>In [NIR] Section 12.4 party notes “The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries (displayed in the year X+5, according to EU Registry Regulation No 916/2007/EC the information is confidential until the year X+5)”</p> <p>The assessor was unable to find disclaimers regarding public information at the URLs provided.</p>
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>Assessor was unable to locate this information at the URLs provided.</p> <p>In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>In [NIR] Section 12.4 party notes “The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries (displayed in the year X+5, according to EU Registry Regulation No 916/2007/EC the information is confidential until the year X+5)”</p> <p>The assessor was unable to find disclaimers regarding public information at the URLs provided.</p>
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>Assessor was unable to locate this information at the URLs provided.</p> <p>In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.  Assessor was unable to locate this information at the URLs provided.  In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.  Assessor was unable to locate this information at the URLs provided.  In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>Assessor was unable to locate this information at the URLs provided.</p> <p>In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.</p>
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	<p>The Party provided reference to this information in [NIR] section 12.4 but does not clearly cite paragraph 47.</p> <p>Assessor was unable to locate this information at the URLs provided.</p> <p>In [NIR] Section 12.4 party notes “Bulgaria considers all information to be confidential that is determined to be confidential according to Annex XVI of the EU Registry Regulation No 916/2007/E,” however party does not describe which information is reported and which information is held confidential. In addition, the assessor was unable to find disclaimers regarding public information at the URLs provided.</p>

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The assessor was unable to locate this information in [NIR] and did not find reference to this information on the referenced public website.  In [NIR] Section 12.4 party notes "The Information does not include current holdings of ERUs, CERs, AAUs and RMUs in each account because this is confidential according to EU Registry Regulation No 916/2007/EC."  The assessor however assessor did not find reference to this information on the referenced public website.
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? <sup>1</sup>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party provides reference to this publicly available information in [NIR] Section 12.4.  The assessor confirmed this information at:  <a href="http://bg-server1.etr.moew.government.bg/iaos/contacts.php">http://bg-server1.etr.moew.government.bg/iaos/contacts.php</a>

<sup>1</sup> For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

## 5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission?	Comment
P1.5.1	FCCC/ARR/2010/BGR 204 ... the ERT reiterates a finding of the SIAR that the national registry does not fulfil the requirements regarding the public availability of information in accordance with section I.E of the annex to decision 13/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>In [NIR] table 234 party...JI projects publicly accessible information:</p> <p><a href="http://bg-server1.etr.moew.government.bg/iaos/projects.php">http://bg-server1.etr.moew.government.bg/iaos/projects.php</a></p> <p>Accounts holding's publicly accessible information:</p> <p><a href="http://bg-server1.etr.moew.government.bg/iaos/contacts.php">http://bg-server1.etr.moew.government.bg/iaos/contacts.php</a></p> <p>Assessor notes that while formally addressed in the [NIR] the issues raised in ERT recommendation have not been fully met. See issues in section 1.4.</p>

P1.5.2	<p>FCCC/ARR/2010/BGR 206 (k)          Make publicly available information required by paragraph 45 (account information), paragraph 46 (Article 6 JI, project information) and paragraph 47 (holding and transaction information) of the annex to 13/CMP.1. If any part of this information is deemed confidential, the Party should include an explicit statement in its NIR and on its public website indicating exactly which data are confidential, referencing the relevant regulations;</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR] table 234 party reports</p> <p>“JI projects’ publicly accessible information:</p> <p><a href="http://bg-server1.etr.moew.government.bg/iaos/projects.php">http://bg-server1.etr.moew.government.bg/iaos/projects.php</a></p> <p>Accounts holding’s publicly accessible information:</p> <p><a href="http://bg-server1.etr.moew.government.bg/iaos/contacts.php">http://bg-server1.etr.moew.government.bg/iaos/contacts.php</a>”</p> <p>Assessor notes that while formally addressed in the [NIR] the issues raised in ERT recommendation have not been fully met. See issues in section 1.4.</p>
P1.5.3	<p>FCCC/ARR/2010/BGR 206 (l)          The ERT reiterates a recommendation from both the 2008 and 2009 SIARs that the Party report changes to the national registry system from the previous reporting year as required by paragraph 32 (a.j) of the annex to decision 15/CMP.1.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>In [NIR] table 234 party reports</p> <p>“Changes to the national registry system from the previous reporting year as required by paragraph 32 (a.j) of the annex to decision 15/CMP.1. will be included in the final NIR – 15/04/2011”</p> <p>The assessor notes that changes to the national registry as required by paragraph 32 (a.j) of the annex to decision 15/CMP.1. are reported satisfactorily in [NIR] section 14.</p>
P1.5.x		<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	