



## NAMA Facility: 4<sup>th</sup> Call

Latin American and Caribbean Carbon Forum  
(LACCF)

*Panama City, Panama, 28 September 2016*

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# Overview

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**I. Introduction to the NAMA Facility**

**II. 4th Call Introduction**

**III. 4<sup>th</sup> Call Assessment criteria**

# I – Introduction to the NAMA Facility

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## Aim

Supporting countries implementing ambitious NAMAs aiming at transformational change. NAMAs can function as an important vehicle to implement nationally determined contributions (NDCs) under the Paris Agreement.

## Who we are

- A multi-donor fund
- Jointly established by Germany (BMUB) and UK (BEIS) in 2013
- Denmark (EFKM, MFA) and the European Commission joined in 2015 as additional donors
- Technical Support Unit is based in Berlin
- Total funding made available through the NAMA Facility since its inception: approximately EUR 262 m.

# I – Introduction to the NAMA Facility

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## What we do

- Implement NAMA Support Projects (NSP) as the most ambitious part of the NAMA
- Provide funding for a combination of financial and technical measures
- Hold annual competitive bidding rounds (Calls) to select projects for funding
- Key data of suitable projects: 3-5 years implementation period, budget of EUR 5-20 m.

**Overarching sector-wide NAMA**

**NAMA  
Support  
Project  
(NSP)**

# I – Introduction to the NAMA Facility

## Portfolio of selected NSPs from Call 1-3

Sector	Country	NAMA Support Project	Funding volume (Mio €)
Energy efficiency	<b>Mexico</b>	<b>ECO CASA - Sustainable Housing NAMA</b>	<b>14</b>
	Thailand	Refrigeration and Air Conditioning	15
	<b>Colombia</b>	<b>Domestic Refrigeration NAMA</b>	<b>9</b>
	<b>Guatemala</b>	<b>NAMA on efficient use of fuel in households and rural communities</b>	<b>11</b>
	South Africa	Energy Efficiency in Public Buildings	19
<b>Agriculture</b>	<b>Costa Rica</b>	<b>Café NAMA - Low-carbon Coffee Production</b>	<b>7</b>
Transport	Indonesia	Sustainable Urban Transport	14
	<b>Colombia</b>	<b>TOD NAMA - Transit Oriented Development</b>	<b>15</b>
	<b>Peru</b>	<b>TRANSPerú - Sustainable Urban Transport NAMA</b>	<b>9</b>
	Kenya	Mass Rapid Transport System for Nairobi	20
Renewable energy	<b>Chile</b>	<b>SSRE NAMA - Self-Supply Renewable Energy</b>	<b>15</b>
	Burkina Faso	Biomass Energy	14
<b>Forestry</b>	Tajikistan	Sustainable Forestry	13
<b>Waste</b>	China	Integrated Waste Management	8

## II – 4<sup>th</sup> Call Introduction

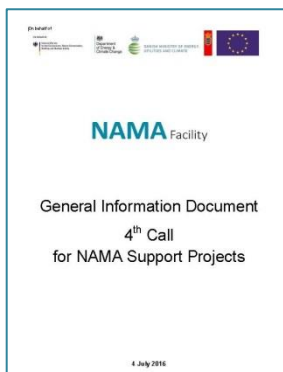
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- Launch of 4<sup>th</sup> Call on 4<sup>th</sup> of July, 2016
- Deadline for submission 31<sup>st</sup> of October, 2016, noon
- EUR 60 million of additional funding available for the 4<sup>th</sup> Call
- Amended procedures include:
  - Simplified documentation
  - Enlarged circle of eligible applicants
  - Enhanced support for preparation
- The substance of the requirements for NSPs to be selected will not be watered down.

# II – 4<sup>th</sup> Call Introduction

## Central Sources of Information

### General Information Document



### Outline Template



### 3 Webinars



### Clarifications and FAQ Series

Submit your questions to: [contact@nama-facility.org](mailto:contact@nama-facility.org)

Publication dates of Clarification Notes at [www.nama-facility.org](http://www.nama-facility.org):

- 29<sup>th</sup> of July
- 19<sup>th</sup> of August
- 9<sup>th</sup> of September
- 30<sup>th</sup> of September
- 21<sup>st</sup> of October

## II – 4<sup>th</sup> Call Introduction

### Main changes compared to previous Calls

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- Widening the range of **Applicants**
- NSP **Outline template “light”**: allowing a consistent presentation of the NSP without dividing it between financial and technical cooperation
- Clarifying the **institutional set-up** of the NAMA Facility by eliminating the term “Delivery Organisation” (DO)
- Allowing for a more thorough **“Detailed Preparation Phase” (DPP)** of up to 18 months on the basis of increased support available but with a clear cut-off deadline.
- Quality assurance through the provision of **technical input during the DPP** by external experts
- Introduction of a **competitive element at the Proposal Phase** by limiting the DPP to 18 months but allowing Applicants to hand in Proposals any time before that.



# II – 4<sup>th</sup> Call Introduction

## Timeline 4th Call

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*31 October 2016, noon (12 pm (CEST/GMT+2))*

### **Submission of NSP Outlines**

*Nov 2016 – early Spring 2017*

External assessment of submitted NSP Outlines including an on-site assessment of short-listed NSPs

*Spring 2017*

Donors' decision on funding the Detailed Preparation Phase of selected NSPs

*Spring 2017*

Contracting of Detailed Preparation Phase (DPP)

*Up to 18 months*

### **Detailed Preparation Phase (DPP) and submission of fully-fledged Proposal**

*Spring 2018/ autumn 2018/ spring 2019*

Donors' decision on funding implementation of NSPs

*3-5 years*

### **NSP Implementation**

# III – 4<sup>th</sup> Call Assessment Criteria

## Lessons learned – sensitive issues

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### GHG mitigation potential

often not substantiated, or overestimated

### Barrier analysis

often incomplete: not analysing the targeted sector or country context but only the specific NSP

### Technical and economic viability

Rationale for technology missing, business model lacking

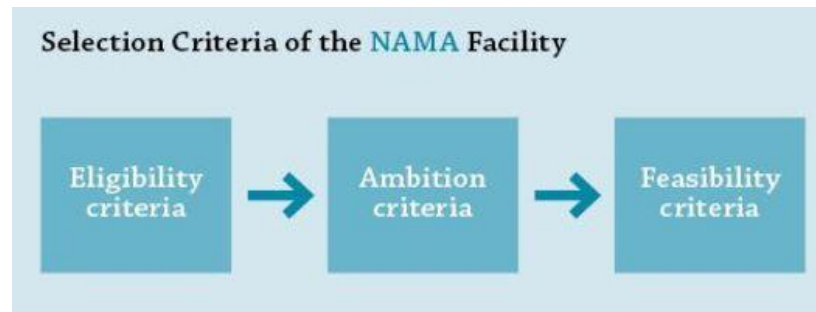
### Financial mechanism

institutional set-up not defined, rationale for the specific mechanism missing, insufficient phase-out concept

# III – 4<sup>th</sup> Call Assessment Criteria

## Eligibility criteria

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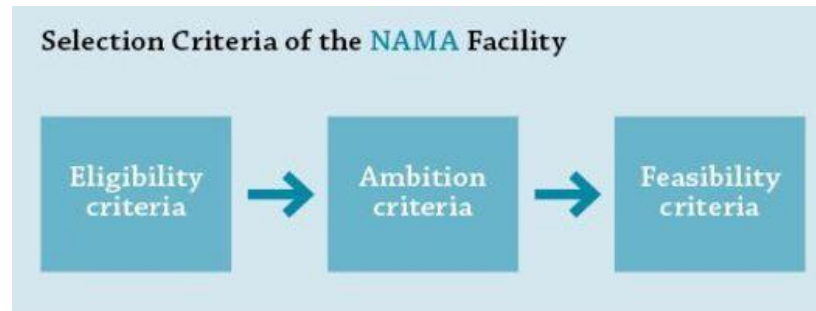
### Eligibility criteria

- Timely submission
- Completeness of documents (including endorsement letters)
- Documents provided in English
- Funding volume for implementation EUR 5-20 million
- Implementation duration of 3-5 years
- Envisaged DPP duration of max. 18 months
- ODA-eligibility of the country
- Eligibility of the applicant

# III – 4<sup>th</sup> Call Assessment Criteria

## Ambition and Feasibility

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### Qualitative assessment

A maximum of 50 points, 25 each on **Ambition** and **Feasibility**.

**Ambition:** Does the project aim at bringing a country/sector on a low-carbon track?

- Potential for transformational change (11 points)
- Financial ambition (6 points)
- Mitigation potential (8 points)

**Feasibility:** Can the project be implemented successfully?

- Project rationale (8 points)
- Project design (12 points)
- DPP concept (5 points)

# III – 4<sup>th</sup> Call Assessment Criteria

## Ambition

### 1. Transformational change

- NAMA Facility's interpretation of transformational change:
  1. Paradigm shift (significant change of technology)
  2. Quick shift (quicker than business-as-usual)
  3. Permanent change (not slipping back to situation before project)
  4. Political embeddedness and political will

### 2. Financial ambition

- Mobilization of public and private funding (leverage)
- Re-direction of cash-flows towards low-carbon development
- Sustainable financial regulation/removal of market barriers

### 3. Mitigation potential

- Direct and indirect contribution to the 1.5°/2° goal
- Assessed in a relative way with respect to country and sector
- Plausibility of baseline, assumptions and calculation

# III – 4<sup>th</sup> Call Assessment Criteria

## Feasibility (1)

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### 1. Project rationale

- **Key:** Plausible barrier analysis: what is it that impedes transformational change in the sector?
- NSP rationale tackling the barriers instead of working around them
- Clear definition of the logframe (objectives, activities, indicators and risks)
- Synergies with other programmes and initiatives
- Justification of the additionality of NSP funds

### 2. Project design

- Respond to the barrier analysis
- Adequate institutional set-up
- Feasible and appropriate technologies (no pilots!)
- Plausible business models at investment level
- Appropriate and efficient financial mechanism
- Readiness

# 4<sup>th</sup> Call Assessment Criteria

## Feasibility (2)

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### **3. DPP concept**

Clear understanding of the to do's for the further project preparation

- Adequate focus
- Comprehensiveness (complete and cohesive)
- Adequacy of approach /methodology
- Realistic timeline
- Appropriateness of requested funding for DPP



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**4<sup>th</sup> Call Submission Deadline: 31<sup>st</sup> of October, 2016**

**Further information** on the 4<sup>th</sup> Call: <http://www.nama-facility.org/call-for-projects.html>

Or contact the Technical Support Unit at [contact@nama-facility.org](mailto:contact@nama-facility.org)

**Thank you for your attention!**  
**¡Gracias por su atención!**

