# Working document<sup>1</sup> Version of 4 June 2015 at 13:00

# Consolidation of preambular paragraph 4, options (a) and (b):

[[Being guided by][In accordance with ] the principles of the Convention as set out in its Article 3, including [in particular] that Parties should protect the climate system for the benefit of present and future generations of humankind, on the basis of equity and in accordance with historical responsibility[,][and] common but differentiated responsibilities, [and the provisions of Article 4 of the Convention / evolving common but differentiated responsibilities and respective capabilities / evolving economic and emission trends which will continue post-2020, in order to progressively enhance the levels of ambition],]

### Consolidation of preambular paragraph 10, Options (a) to (c):

### Observation:

• No agreed consolidation

# Consolidation of preambular paragraph 15, Options (a) and (b):

[*Option (a) Recognizing* that deep cuts in global [greenhouse gas] emissions will be required to achieve the ultimate objective of the Convention [and the long-term temperature limit / hold the increase in global average temperature, and that such cuts must be achieved within a time frame sufficient to allow ecosystems to adapt naturally to climate change, to ensure that food production is not threatened and to enable economic development to proceed in a sustainable manner] [and emphasizing the need for urgency to address climate change],]

### Consolidation of preambular paragraph 17, Options (a) and (b):

[*Also recognizing* that scenarios consistent with having a likely chance of holding the global average temperature increase to below 2 °C [or 1.5 °C] above preindustrial levels include substantial cuts in anthropogenic greenhouse gas emissions by the mid-century and [net emission levels near zero gigatonnes of carbon dioxide equivalent or below in 2100] [zero emissions within the second half of this century],]

### Consolidation of preambular paragraph 21, Options (a), (b) and (d):

[*Option (a) [Emphasizing][Notes]* that adaptation is a global challenge [and a common responsibility that requires global solidarity and] [that] must be addressed with the same urgency as[, and in [political/legal parity] [balance] with,] mitigation, [and that enhanced action and international cooperation on adaptation is urgently required in order to enable and support the implementation of adaptation actions [and recognizing that both climate-resilient development and adaptation to the impacts of climate change will be essential]],]

### Observations:<sup>2</sup>

- Some Parties expressed a desire for an opportunity for discussions on issues of a cross-cutting nature.
- Some Parties indicated that each section may not require an equal amount of attention during the second reading.

### Consolidation of paragraphs 1 and 2:

- Observations:
  - No agreed consolidation.
  - Parties agreed that paragraphs 1 and 2 were different concepts.
  - It was further indicated that paragraph 2 may be more appropriate to address via COP decisions rather than a core agreement.
  - For these reasons the Parties agreed that these paragraphs should not be consolidated.

<sup>&</sup>lt;sup>1</sup> The sections and paragraphs in this document refer to those in document FCCC/ADP/2015/1.

<sup>&</sup>lt;sup>2</sup> These observations relate to section A.

### Consolidation of paragraph 5:

Observations:

- Consolidation is possible and should be pursued.
- Parties considered that this paragraph was ready for consolidation.
- Parties expressed a desire for the Co-Chairs or co-facilitators to present a proposal based on the secretariat's informal note on overlaps and duplication.
- Parties in general were also ready for the consideration of placement of text.

### Consolidation of paragraph 14:

Observations:

- In general Parties agreed on the final consolidation with the exception of one Party that wanted to add the word "[and]" to the consolidation. Before this can be agreed this issue will have to be resolved. Hence no agreed consolidation.
- Parties appreciated the initial proposal presented by the Co-Chairs.
- Parties agreed that the text from paragraph 14 Option 1 "South-South and triangular cooperation" should be included after "multilateral approaches".
- Parties further agreed that an additional set of square brackets should be placed around the latter part of the sentence to ensure that Option 3 was fully reflected.
- A proposal from one Party to include "[and]" between the final set of square brackets was not agreeable to all Parties.
- Some Parties noted that there is no need for these paragraphs at all (consistent with the position of no section C).

### Paragraph 17.1 Option 1:

17.1. [Option 1: All Parties, in accordance with Article 4 and their common but differentiated responsibilities and respective capabilities and on the basis of equity, to enhance mitigation ambition and cooperate with a view to achieving the long-term emission reductions, in the context of Article 2 of the Convention, consistent with limiting the global average temperature increase to below 2 °C or 1.5 °C above pre-industrial levels and in the context of equitable access to sustainable development[, with developed country Parties taking the lead by undertaking ambitious emission reductions and providing finance, technology and capacity-building support to developing country Parties][ and the protection of the integrity of Mother Earth][ in accordance with the shared vision resulting from the Bali Action Plan]];

#### Consolidation of paragraph 19 Options 1-3:

19. **Option 1**: [[In accordance with Article 4 of the Convention,] [all Parties][Developed country Parties][Parties included in annex X] [should seek] to progressively enhance the level of ambition of their mitigation commitments / contributions / [actions] [towards achieving the ultimate objective of the Convention] [such that [the aggregate commitments achieve the long-term global goal referred to in paragraph 17 above] [each commitment / contribution / [action] is of a [type, scope,] scale and coverage more / no less ambitious than those previously undertaken under this agreement or the Convention or its Kyoto Protocol] [and strengthen and review commitments line with the process outlined in section J];[national commitments shall be inscribed as an integral part of the 2015 agreement].]

#### Observation:

• One Party noted that a paragraph in another section of the Geneva Negotiating text is relevant for paragraph 19 and will raise the issue when the substance of paragraph 19 is discussed.

#### Consolidation of paragraphs 20 and 21.8:

Observation:

• No agreed consolidation.

#### *Consolidation of paragraph 21 Option 2 (chapeau) and Option 4 (chapeau):*

21. [*Option 2* (*chapeau*): Each Party [to communicate and implement][shall prepare and communicate] successive [proposed] mitigation commitments[/ contributions] [which are to][ that shall be implemented and reviewed in accordance with section J, and shall]:]

# Paragraphs 21.4 and 21.13:

Observation:

• These paragraphs should be considered together.

### Paragraph 21.6 Option 7:

Observation:

• Parties agreed to delete paragraph 21.6 Option 7.

# Paragraph 21.10:

Observation:

• Parties agreed to delete paragraph 21.10.

# Paragraphs 21.11, 21.1 Option 5, 21.1 Option 6:

Observations:

- Parties agreed to delete paragraphs 21.11 and 21.1 Option 5.
- Parties agreed to keep paragraph 21.1 Option 6 as follows:

21.1 **Option 6**. [In accordance with Article 4, paragraph 2, of the Convention, developed country Parties shall commit to undertake absolute emission reduction targets (AERTs) during the period 2021–2030 in accordance with [a global emission budget including] their historical responsibility, through quantifiable, economy-wide mitigation targets, covering all sectors and all greenhouse gases, implemented domestically, which can be aggregated and which are comparable, measurable, reportable and verifiable, with the type, scope, scale and coverage more ambitious than those undertaken under the Convention and its Kyoto Protocol during the pre-2020 period, and communicated and implemented without any conditions;]

*Consolidation of paragraph 23 and sub-paragraph 21.5 Option 2(c):* 

### Observation:

• Further consideration would be needed in order to decide whether to consolidate.

### Consolidation of paragraphs 30 and 32:

Observation:

• No agreed consolidation.

### Consolidation of paragraph 33 Options 1 and 3:

33. [*Option 1*: The secretariat [to][shall] keep and update accordingly an online registry of national mitigation [commitments][targets and actions], [without prejudice to the instrument of ratification, acceptance, approval or accession being deposited with the Depositary in accordance with the provisions of this agreement]; [this] registry [is an] [to be] integral part of the agreement;]

### Paragraph 41:

Observation:

• A group of Parties is currently considering streamlining proposals.

### Consolidation of paragraph 50 Options 4, 5 and 13:

50. [Option 4: [A global goal for adaptation is hereby established, with the purpose of enhancing the implementation of adaptation actions, in particular in developing countries, as part of enabling economic development in the context of sustainable development]. [All Parties take action and cooperate to reduce vulnerability and build resilience to the adverse effects of climate change.]

[The global goal for adaptation shall provide for supporting enhanced adaptation and sharing best practices by all Parties; the understanding of adaptation needs in the light of mitigation ambition; the provision of adequate support for developing countries to meet their adaptation needs; and the recognition of adaptation investments by developing countries as part of their contribution to the global effort]. [The goal has both qualitative and quantitative aspects, including elements of knowledge dimension informed by science, planning and policy aspects.]

[The global goal for adaptation affirms that adaptation is a global responsibility and further:

- a. Establishes a platform for all Parties to communicate their efforts towards achieving the global goal on adaptation, as well as for the exchange and sharing of best practices on the formulation and implementation of adaptation measures;
- b. Acknowledges that historical emissions and the level and pace of both current and future mitigation efforts will determine the extent to which Parties will need to adapt to the adverse effect of climate change and address loss and damage resulting therefrom and the associated costs thereof;
- c. Notes that since adaptation efforts will need to be undertaken far in advance of the temperature rise, planning for adaptation and undertaking adaptation should be based on an evaluation of temperature scenarios that are expected to result from particular levels of mitigation action;
- d. Agrees that in order to determine levels of adaptation support (finance, technology, and capacity) required for a given commitment period, the ex ante assessment of aggregate mitigation action and associated temperature rise shall be used as a basis, including in the consideration of nationally determined needs for adaptation;
- e. Notes that levels of adaptation finance provided by developed countries should be commensurate with meeting the costs of adaptation in developing countries, particularly those in Africa, the least developed countries, and small island developing States, in accordance with developed country Party obligations under Article 4, paragraph 4, of the Convention;
- f. Provides for recognition of adaptation investments of developing countries as part of their contributions to the global effort to address climate change.]]

### Consolidation of paragraph 51 Options 4 and 8:

51. [Option 4: [Parties][Each Party][undertake national adaptation planning processes with a view to integrating climate resilience into national level planning and action in order to reduce vulnerability] [to integrate adaptation within national development planning, mainstream adaptation into national policies, undertake the national adaptation plan (NAP) process] and strengthen governance and enabling environments. All Parties should take adaptation actions. Those Parties with limited capacities require support from those countries in a position to provide such support.]

### Consolidation of paragraphs 51.1 Option (b) and 51.3:

51.1. [*Option (b):* Developed country Parties to take the lead in combating climate change and the adverse effects thereof and commit under Article 4 of the Convention to support country-driven processes and proposals by developing countries, which are to be, inter alia, gender sensitive, participatory and fully transparent, to take into account vulnerable groups and ecosystems, and be based on science and/or traditional and indigenous knowledge [systems].]

### Consolidation of paragraph 51.7 b. Options (i) and (ii):

51.7. b.[Contribute to the achievement of sustainable development, which [may] include economic diversification [in an equitable manner] [in the context of their specific needs and special circumstances];]

# Consolidation of paragraphs 51.7 f. Options (i) and (ii) and 51.7 g.:

51.7. f.[Build on adaptation mechanisms and processes under the Convention, including national adaptation plans (NAPs) and national adaptation programmes of action [including joint mitigation and adaptation for the integral sustainable management of forests], and existing arrangements for finance. [Developing countries][Parties not included in annex X] are not in the position to make financial commitments or contributions to any institutional or international mechanisms. Adaptation investments by [developing countries][Parties not included in annex X][and][domestic adaptation actions undertaken by developing country Parties without support from developed country Parties]should [be recognized as their contributions to the global effort to respond to climate change];]

### Consolidation of paragraph 54.2 Options (a) and (b):

54.2. [The governing body [shall adopt further guidance on reporting on adaptation /] may facilitate the sharing of information on progress in and experiences with preparing and implementing adaptation actions];

### Consolidation of paragraph 61.1 chapeaus of Option (a) and (b):

- 61.1. [The [governing body][COP] shall request the [SBSTA][Adaptation Committee][concerned bodies] to develop guidelines for strengthening the sharing of information, knowledge and lessons learned under the Nairobi work programme on impacts, vulnerability and adaptation to climate change:
  - a. [Address the knowledge gap in the area of implementation of adaptation;
  - b. Strengthen local and institutional capacity;
  - c. Enhance regional and transboundary aspects;
  - d. Encourage all Parties to implement education and public awareness programmes in accordance with paragraph 14 above].]

#### Consolidation of paragraphs 62 and 64:

62. [All existing UN institutions and international and national financial institutions are encouraged to provide information to [the international clearing house and registry for adaptation][to Parties through the UNFCCC secretariat] on how their development assistance programmes and finance incorporate climate proofing and climate resilience measures.]

### Consolidation of paragraph 65.1 Option (a) b. Options (i) and (ii):

- 65.1 [Option (a): The governing body shall request the Adaptation Committee to:
  - b. Establish and maintain stronger linkages with the GCF and other funds[, and the alternative for financing joint mitigation and adaptation approaches, plans and actions][, taking into account the balanced 50:50 approach of the allocation of financing between mitigation and adaptation];]

### Consolidation of paragraphs 65.2 d and e:

65.2 d.[[Regional][National] adaptation centres shall be established in [all major regions][each country] to help to facilitate a regional knowledge base on the most appropriate adaptation responses for the [region][country], so as to provide capacity-building on adaptation responses and facilitate research into adaptation measures];

#### Consolidation of paragraph 65.3 Option (a) f. Options (i) and (ii):

- 65.3 **Option** (a) f. [[The Nairobi Work Programme shall be enhanced by establishing the Technical and Knowledge Platform under the Cancun Adaptation Framework which will be made operational by the Adaptation Committee, in order to][An adaptation technical and knowledge platform shall be established to work on, inter alia]:
  - i. [Methodologies, metrics and indicators;
  - ii. Modelling of climate change scenarios and impacts;
  - iii. Enhancing understanding of global implications of adaptation.]
  - iv. Develop and strengthen global, regional, national and local capacities as well as social capacities to address adaptation concerns and metrics;
  - v. Pursue the establishment and well-functioning of adaptation knowledge platforms and networks at all levels;
  - vi. Become a means of scaling up adaptation benefits, adaptation practices and outcomes;
  - vii. Aim at incorporating an approach of sustainable management of ecosystems in adaptation planning;
  - viii. Socialize climate risk through insurance-type mechanisms;
  - ix. Enhance the understanding of the global implications of adaptation and the interlinkages among local efforts, national contributions and global adaptation actions;
  - x. Enhance understanding of progress made in vulnerability reduction at a global, regional, national and local level including progress made towards the global goal on adaptation;
  - xi. Ensure availability of methods and tools to evaluate impacts of climate change, vulnerability and adaptation outcomes;
  - xii. Strive for a result-oriented approach facilitated by the monitoring and evaluation of adaptation outcomes;
  - xiii. Facilitate assessment of effectiveness of adaptation measures;

xiv. Provide mandate to the Adaptation Committee to undertake a comprehensive review on all technical and financial institutional arrangements related to adaptation in 2017, which will not be carried out in detriment of, but rather building on, the expertise of existing LDC structures (e.g. LEG).]

#### Consolidation of paragraph 65.3 Option (a) g. Options (i) and (ii):

- 65.3 **Option** (a) g. [A global knowledge platform for adaptation is hereby established to work on, inter alia:
  - Facilitate collection, sharing and management of relevant data and information on adaptation actions;
  - ii. Provide information on progress of adaptation actions from a global perspective;
  - iii. Foster exchange of knowledge, lessons learned, and best practices from adaptation actions;
  - iv. Promote development of methodologies, metrics and indicators for adaptation actions.]

Consolidation of paragraph 79 Options 1-6:

79. [Option 1: Each Party / All Parties [shall] individually or collectively [to] mobilize climate finance through a diversity of actions from a variety of sources as a means to stay below the long-term temperature limit and to achieve the transformation required to that end acknowledging that the actions taken will differ, in accordance and in line with their respective and evolving responsibilities and capabilities / the principles and objectives of the Convention, acknowledging the importance of leadership to be taken by [developed country Parties][Parties included in annex X][Parties in a position to do so, considering evolving capabilities][all countries in a position to do so], acknowledging that some Parties need support in order to take action, and acknowledging that the need for support by Parties may change over time or be met with different means of support/ [all [Parties][countries] in a position to do so][Parties included in annex X] [Parties in a position to do so, considering evolving capabilities] [should][shall] provide financial support to Parties in need of support as a means to achieve the objectives of this agreement, acknowledging the need for climate-resilient economies and societies;

**Option 2**: In accordance with the objectives set forth in article 5 of this agreement and pursuant to the Convention's ultimate objective, all investments are to progressively become low-emission and resilient to climate impacts, contributing to the necessary transformation towards sustainable development and the achievement of the goal of maintaining the average global temperature increase below 2 °C or 1.5 °C, as well as building economies, societies and ecosystems that are resilient to climate change;

**Option 3**: In the implementation of this agreement, all developed country Parties and other Parties included in Annex II to provide climate finance as a means to enhance action towards achieving the objective of the Convention and to achieve the transformation required to that end, and in line with the needs of developing countries, in accordance with their obligations and commitments under Article 3, paragraphs 1 and 2, and Article 4, paragraphs 3, 4, 5, 7, 8 and 9, of the Convention;

The principles under the Convention, in particular the principles of common but differentiated responsibilities and respective capabilities and equity, acknowledging that the need for support by developing country Parties may change over time and be accommodated under Article 4, paragraph 7, of the Convention, and acknowledging the need for financing for forestry in line with the Warsaw Framework for REDD-plus, [including alternative approaches to results-based actions such as joint mitigation and adaptation for the integral and sustainable management of forests];

The extent to which developing country Parties will effectively implement their commitments will depend on the effective implementation by developed country Parties of their commitments related to financial resources, transfer of technology and capacity-building;

In accordance with the objectives set forth in article X of this agreement and pursuant to the Convention's ultimate objective, climate finance to contribute to the necessary transition towards the achievement of the goal of maintaining the average global temperature increase below 2 °C or 1.5 °C, as well as building economies, societies and ecosystems that are resilient to climate change;

**Option 4**: All Parties in a position to do so should provide financial support to Parties in need of support as a means to achieve the objectives of this agreement, acknowledging the need for climate-resilient economies and societies.]

## Consolidation of paragraph 82:

### Observations:

- No agreed consolidation. However, Parties agreed that consolidation is possible and should be pursued, possibly at a later stage.
- Parties have put forward proposals for consolidation and streamlining; however, Parties indicated that further clarification and a common understanding of the exercise to be undertaken would be necessary in order to progress with consolidating this paragraph.
- There was a general sense that this paragraph, due to its complexity and density, will require further work, with Parties indicating that they will continue to work on this paragraph.

### Consolidation of paragraph 89 Options 1-6:

- 89. [Option 1: The Financial Mechanism of the Convention defined in Article 11 shall serve as the financial mechanism of the 2015 agreement; [the related funds established under the Kyoto Protocol will also serve as instruments of the legal agreement. This will also apply to all the rules and guidelines developed by the COP and the CMP in relation to the operation of the financial mechanism, its operating entities and associated funds and to the decisions related to the transparency and MRV of support[ with the GCF acting as the main operating entity of the financial mechanism];
  - a. The operating entities of the financial mechanism shall serve this agreement in line with their respective mandates, under the guidance of the COP and the CMP of the 2015 agreement;
  - b. Further coordination and rationalization of the operating entities under the Convention and this agreement shall be developed with a view to ensuring predictable, new, additional and adequate resources, including the transfer of technology for these funds];
  - [c. Placeholder for financing loss and damage];
  - [d. The climate resilience and sustainable development (CRSD) mechanism is established to promote climate resilience and sustainable development trajectories that combine adaptation to and mitigation of climate change and its impacts, articulating the means of implementation of the Convention, linking provision of finance, transfer and development of technology and capacitybuilding, and enhancing the actions of developing countries to achieve the objective of the Convention. To this end, the CRSD mechanism shall articulate the work of the financial mechanism, the technology mechanism and the capacity-building mechanism];
  - [e. Institutional arrangements for REDD-plus finance [as per decision 10/CP.19].]

**Option 2**: The Financial Mechanism [of the Convention and its Kyoto Protocol][under Article 11 of the Convention] shall serve as the financial mechanism of the 2015 agreement:

[To receive funding from the GCF for implementation of the agreement, a Party must:

- a. Be a Party to this agreement, and
- b. Have fulfilled its reporting requirements as described in section I.]]

### Consolidation of paragraph 130 Options 2 and 3:

130. [Option 2: Parties, consistent with the principles and provisions of the Convention, including Article 4, paragraphs [1, 3 and] 5, [and Article 11], [ in accordance with their common but differentiated responsibilities,] [reflecting the evolving economic realities] to strengthen cooperative action to promote and enhance technology development and transfer [and better facilitate the implementation of the Convention], including through the Technology Mechanism / institutional arrangements for technology established under the Convention and through the Financial Mechanism [and the climate resilience and sustainable development mechanism] [/ by enhancing the provision of support by [developed country Parties][Parties included in annex Y ][all countries in a position to do so][, in order to support the implementation of mitigation and adaptation [commitments][actions] under this agreement].]

#### Observation:

• One Party noted that there is a need to further clarify the intention of the use of "/" in the negotiation text.

#### Consolidation of paragraph 132.4 Option (a) (chapeau) and Option (c) (chapeau):

132.4 [*Option (a) (chapeau)*: All Parties, in accordance with the principles of and obligations under the Convention, to establish means to facilitate access to and the deployment of [safe, appropriate and environmentally, economically and socially sound] technology, while promoting [and rewarding

innovation in adaptation and mitigation technologies / environmentally sound technologies [on the basis of the strengthening of sustainable development trajectories and poverty eradication]] [the following]:]

### Consolidation of paragraph 132.6 Option (a) (chapeau) and Option (b) (chapeau):

132.6 [[All Parties] [Global participative collaboration] enabled by [Annex II Parties][Parties included in annex Y] / led by [developed country Parties][Parties included in annex Y][all countries in a position to do so] to accelerate [global collaboration on] the research, development [and][,] demonstration [and the scaling-up of the implementation] of technologies:]

### Consolidation of paragraph 133 and all options of 133.1:

#### 133.1 [Anchoring:

The [Technology Mechanism] [and] [[any] institutional arrangements for technology] established under the Convention [, including the TEC and the Climate Technology Centre and Network (CTCN) ] [including the climate resilience and sustainable development mechanism,] shall serve this agreement by facilitating enhanced action on technology development [and] [,] transfer [and know-how] in order to achieve the objectives of this agreement.]

#### Observation:

• Parties agreed to keep the chapeau of paragraph 133 as is in the Geneva Negotiating Text noting that it serves to introduce the sub-paragraphs under paragraph 133.

# Observation:<sup>3</sup>

• Some Parties expressed willingness to move beyond mechanical streamlining to accelerate the consolidation of the text and not to wait until the second reading of the text.

#### Consolidation of paragraph 136 (f) ii:

136 (f) ii. [[Using] [Establishing] the INDC preparation and measurement, reporting and verification (MRV) processes of capacity-building support against needs identified by Parties, such that capacity is not a barrier to implementation beyond 2020].

#### Observations:

- Parties agreed not to consolidate sub-paragraphs 136 (f) v, (g) and (h).
- One Party noted that Options (a), (b) and (c) do not belong under sub-paragraph 136 (h) and should be moved to an appropriate place.

# Consolidation of paragraph 138 Options 1 and 3:

138. [Option 1: [The [developed country Parties] [Parties] [Annex I Parties] [Parties included in annex X] and other Parties in a position to do so] [All countries in a position to do so] [should] [shall] [cooperate to] enhance the capacity of [developing countries] [developing country Parties] [Parties not included in annex X] to support the implementation of their [nationally determined] [contributions under this agreement][climate change actions] on the basis of the principles and provisions of the Convention [and other Parties in a position to do so to cooperate to enhance the capacity of [developing country Parties][Parties not included in annex X] in all areas of climate change action, to support the implementation of their [commitments][contributions] under this agreement and to foster South–South and triangular cooperation schemes]. [Such enhancement of capacity may provide important and relevant guidance to developing country Parties, but shall not interfere with the nature, scope or substance of developing country Parties' nationally determined contributions];]

#### Observation:

• Parties agreed that Options 2 and 4 remain unchanged.

#### Consolidation of paragraph 140.2 Options (a)-(c):

- 140.2. [Option (a): The international capacity-building mechanism shall comprise:
  - a. A capacity-building committee with the following functions:

<sup>&</sup>lt;sup>3</sup> This observation relates to section G.

- i. MRV of support received for capacity-building against needs identified by [developing country Parties][Parties not included in annex X];
- ii. Facilitation of the effective implementation of capacity-building interventions at the national and regional levels;
- iii. Provision of normative guidance on capacity-building related issues concerning this agreement to inform other institutions and mechanisms established under the Convention serving this agreement;
- iv. Promotion of coherence between relevant institutions and mechanisms established under the Convention and this agreement;
- v. Facilitation for developing country Parties of elaborating plans and strategies for achieving climate resilience and sustainable development trajectories in accordance with their national priorities and legislation.
- b. An evaluation mechanism with the function:
  - i. To assess the effectiveness of the delivery of capacity-building.
- c. Regional capacity-building centres:
  - i. To facilitate building capacity at the national and regional levels.
- d. An institute for capacity-building to operate as a consortium of tertiary institutions in all major regions of the world:
  - i. To build capacity in [developing countries][Parties not included in annex X] as a means of strengthening the ability and effectiveness of mitigation and adaptation actions.
- e. A capacity-building coordination centre.

The centre's mission will be to stimulate/foster cooperation on capacity-building and to enhance and support capacity-building. In addition, the centre will assist developing countries in areas of capacity-building in accordance with their respective capabilities and national circumstances and priorities.

The centre will have the following functions:

- i. Compilation of information, from relevant sources, including from the comprehensive review and the outcomes of the Durban Forum on capacity-building;
- ii. Analysis of information pertaining to capacity-building to identify, inter alia, gaps and needs and other relevant trends;
- iii. Development and dissemination of tools and methodologies for the enhanced delivery of capacity-building;
- iv. Development of tools for MRV of capacity-building;
- v. Matching of identified capacity needs with possible sources of capacity-building support from governments, the private sector, intergovernmental organizations, academic institutions and non-governmental organizations;
- vi. Close collaboration with other relevant bodies and processes under the Convention, including, but not limited to, the CTCN and the Adaptation Committee;
- vii. Close collaboration with other intergovernmental organizations involved in capacitybuilding.
- f. An advisory body of the centre.

The advisory body of the centre shall give guidance to the centre on how to prioritize and address requests from developing countries and, in general, shall monitor, assess and evaluate the performance of the centre.

g. A network of regional centres, academic institutions, private and public sector

bodies and NGOs interested and involved in climate change capacity-building.

*Option (b)*: The international capacity-building mechanism shall, inter alia:

- i. Assess support received for capacity-building against needs identified by developing country Parties;
- ii. Facilitate the effective implementation of capacity-building actions at the national and regional levels;
- iii. Promote coherence between existing institutions and mechanisms established under the Convention and this mechanism;
- iv. Assess the effectiveness of the delivery of capacity-building support;
- v. Facilitate building capacity at the national and regional levels.]

Observation: <sup>4</sup>

<sup>&</sup>lt;sup>4</sup> This observation relates to section H.

• One group of Parties commended the flexibility shown by Parties in combining options beyond consolidation and encouraged Parties to show the same flexibility in discussing other sections.

### Consolidation of paragraph 141, Option 1 sub-paragraphs a.-h. and Option 2 sub-paragraphs a.-i.:

141. [[*Option 1* (*chapeau*): A transparency framework, applicable to all Parties and differentiated between [developed country Parties][Parties included in annex X] and [developing country Parties][Parties not included in annex X], under the Convention and in accordance with previous decisions of the COP, shall promote transparency of action and support by providing information on the implementation of each Party's commitments / contributions in an efficient and flexible manner, in order to:]

[Option 2: (chapeau): A [single] / common transparency framework, applicable to all Parties, [taking into account their common but differentiated responsibilities and respective capabilities, in the light of different national circumstances and recognizing that Parties shall progressively enhance the level of transparency such that it is strengthened and more robust relative to the level currently existing under the Convention,] shall promote transparency of action and support by providing information on the implementation of each Party's commitments / contributions in an efficient and flexible manner, [recognizing that Parties with the least capacity may need additional support to do so,] in order to:]

- a. Enhance clarity, comparability [among [developed countries][Parties included in annex X]], accountability and mutual trust and promote ambition [/ progressive enhancement];
- b. Facilitate the tracking of progress in the implementation of commitments / contributions;
- c. Provide the clearest possible understanding of aggregate emissions relative to emission pathways consistent with limiting the global average temperature increase to below 2 °C or 1.5 °C above pre-industrial levels;
- d. *Option (a):* Ensure that commitments / actions and provision of support by [Annex II Parties][Parties included in annex Y][all countries in a position to do so] are implemented and complied with and verified through a robust verification system, and facilitate the comparison of MRV of all types of support received with the needs expressed and identified by [developing country Parties][Parties not included in annex X];

**Option** (b): Promote the implementation of action and support, and ensure that efforts are verified through a robust verification system;

- e. Facilitate [/Ensure] the use of mitigation outcomes resulting from international [international/national] market-based mechanisms in the accounting of each Party's commitments / contributions;
- f. Avoid double counting;
- g. Ensure the environmental integrity of this agreement;
- [h. Provide the necessary flexibility to Parties through the use of tiers or 'opt-out' provisions;]
- i. Enhance transparency and accountability on finance, technology and capacity-building support provided by developed country Parties to developing country Parties through robust accounting rules and an MRV system.]

#### Consolidation of paragraph 143 Options 1 (a) and (b) and Option 3:

- 143. [*Option 1*: The transparency framework shall encompass MRV of emissions and removals[, support from developed country Parties to developing country Parties] and the accounting of commitments / contributions, including those related to support, and shall be based on agreed rules / be based on existing MRV arrangements under the Convention and / be guided by the following:
  - a. [Article 12] [The principles and provisions] of the Convention;
  - a bis. The principles of Article 3 of the Convention;
  - Option (a): Taking into account the differing national circumstances / common but differentiated responsibilities / the unique circumstances / and respective capabilities of Parties;
    Option (b): Taking into account Parties' common but differentiated responsibilities and their specific national and regional development priorities, objectives and circumstances;
  - [c. Accommodating different contributions and countries' differing capacities; and/or providing for differentiation in reporting and the common international review of reports;]
  - [d. Avoiding imposing onerous burdens on [poor and] [particularly] vulnerable countries or [developing countries][Parties not included in annex X] and, in particular, the LDCs and SIDS, with respect to support received for adaptation, capacity-building and access to and adoption of appropriate technologies;]

- e. Recognizing that the transparency framework will evolve, building on existing decisions [/ experience with existing MRV arrangements];
- [f. Tracking post-2020 commitments;]
- [g. Ensuring transparency, accuracy, completeness, comparability and consistency;]
- h. [Maintaining or improving levels of transparency over time][Maintaining or improving the scope, frequency, recency and level of detail of Parties' reporting and inventories, with the fulfilment of commitments under Article 4, paragraph 3, of the Convention];
- [i. Building countries' capacities over time and institutionalizing reporting capacity;]
- [j. Minimizing the burden on Parties, the secretariat and the review system;]
- k. Be based on, and enhance and improve, the existing transparency framework under the Convention and its Kyoto Protocol;
- 1. Building developing country Parties' MRV capacity through a continuous and systematic funding [basis][base];
- m. Avoiding imposing disproportionate/excessive burdens on small State Parties with limited administrative capacities/resources.
- [n. Consistent with the level of support provided for [developing countries][Parties not included in annex X];]]

### Observation:

• Parties agreed not to consolidate Option 3 with the other options.

# Consolidation of paragraph 145 Option 2, 3 and 6:

### Observation:

• Parties agreed not to consolidate these options.

# Consolidation of paragraph 152 Option 1 g Options (a) and (b):

### Observation:

- Parties agreed not to consolidate these options.
- One Party noted that there is a link to another section (para. 39) of the Geneva Negotiating Text.

### Observation:

• Conceptual discussion on differentiation - Parties engaged in an initial conceptual discussion on the issue of "differentiation" as it applies to the transparency of action and support, with the recognition that it is an issue that cuts across all other sections. Parties appreciated the opening of this space to hear each other's' points of view and concerns. Noting that discussions were rich in substance, Parties involved in this initial session expressed the desire to continue with this discussion.

### *Observations*<sup>5</sup>:

- There was a discussion over the use of brackets and slashes, in particular on consistency of approach, and some Parties called for further clarification on the use of brackets.
- Some Parties noted that there was material in section J that was suitable for decisions, in particular in relation to paragraph 176, Option 3 and paragraph 176.5.
- Some Parties noted that in streamlining some, seemingly straightforward, paragraphs conceptual differences were revealed that led to a better understanding of the text and other Parties' views.
- Some Parties also noted that there was scope for further streamlining in this section and that more time is needed to do so.

### Consolidation of paragraph 168 Options 1 (chapeau) and 2 (chapeau):

168. [*Option 1 (chaupeau)*: [Pursuant to Article 2 of the Convention,] [in accordance with the provisions of the Convention and in the light of its Article 4,] [all] Parties to periodically communicate or update their proposed commitments / contributions[, with developing country Parties doing so within the context of the basis of the provision of support. Such periodic communications shall take into account national circumstances and factors that affect the national determination of climate actions, such as public policy planning and execution cycles and domestic legislative requirements]:]

<sup>&</sup>lt;sup>5</sup> These observations relate to section J.

Consolidation of paragraph 168 Options (d) and (e) as new Option (d):

168. [*Option (d)*: Every five years for [developed country Parties][Parties included in annex X] [only] [and every 10 years for [developing country Parties][Parties not included in annex X]];]

#### Consolidation of paragraph 176 Options 1 and 2:

176. [*Option 1*: No ex ante consideration process / no arrangements for further facilitating transparency and clarity [/ no consultative process / period].]

#### Observation:

• Concern raised over the consistent use of brackets and slashes. Here, it was noted that brackets signaled streamlining.

### Consolidation of paragraph 176 Option 3 Option (a) sub-paragraph a.:

176. [*Option 3 Option (a)* a. [Facilitate understanding of the level of ambition and [equity] [fairness] of the commitments / contributions [and the long-term temperature goal] in the context of [a Party's long-term low-emission development pathway] [shared vision resulting from the Bali Action Plan and equitable access to sustainable development];]

### Observation:

• Again, Parties raised concerns over the use of brackets and slashes and sought further clarification on this point. Asked to clarify that brackets do not necessarily represent exclusive options.

### Consolidation of paragraph 176 Option 3 Option (a) sub-paragraph b.:

176. [Option 3 Option (a) b. [Assess the adequacy and [equity] [fairness] [of the] [aggregated contributions] [overall effects] compared with science, [as part of the principle-based reference framework][, in accordance with the historical responsibilities, leadership and current capabilities of developed country Parties, and taking into account the special circumstances, barriers and priority of economic and social development and poverty eradication of developing country Parties];]

#### Consolidation of paragraph 176 Option 3 176.1 Options (a), (b), and (c):

176.1. [Parties' commitments / contributions / actions to be considered on the basis of [[a consultative process] [a principle-based reference framework to be established] to ensure clarity, transparency and understanding of the commitments / contributions [/actions]] [existing arrangements and processes under the Convention];]

*Consolidation of paragraph 176 Option 3, 176.4 sub-paragraphs a. to f.:* 

176.4. [On the basis of the ex-ante consideration process / further facilitation of transparency and clarity / consultative period/process:

**Option** (a): Each Party to [consider the recommendations resulting from] [make a reflection on the outcome of] the process;

*Option (b)*: Each Party to [revise][adjust] its commitment / contribution on a voluntary basis [upwards, through an adjustment procedure in accordance with decisions of the governing body];

Option (c): Parties will undertake top-down adjustments on the basis of a global carbon budget;

{previous option (e) unchanged}

**Option** (d): Each Party to consider adjustments on the basis of historical responsibilities and equitable sharing of global atmospheric resources and carbon space in the context of imperatives of poverty eradication, universal energy access and sustainable development for developing countries. *{previous option (f) unchanged}*]

Consolidation of paragraph 176 Option 3 176.5 sub-paragraph b.:

176.5 b. [Consultations among Parties, including through [workshops and round tables][two sets of workshops/roundtables for developed and developing country Parties respectively];]

### Consolidation of paragraph 176 Option 3 176.5 sub-paragraph c.:

176.5 c. [A joint Subsidiary Body for Implementation (SBI) / SBSTA programme to prepare recommendations for the COP [composed of two task forces, for developed and developing country Parties respectively];]

### Consolidation of paragraph 176 Option 3 176.5 sub-paragraph e.:

176.5. e. [Inputs to the process, including modalities for [the analysis, synthesis and/or compilation of the commitments / contributions][two compilations of the commitments, by developed and developing country Parties respectively.]]

#### Observation:

• Some Parties noted that they considered the sub-paragraphs of 176.5 as being potential decision material.

### Consolidation of paragraph 181, Options 1 and 5:

181. [Option 1: A Party [to be allowed to] [may] exceptionally adjust its [proposed / provisional] commitment / contribution, [in line with modalities developed by the governing body,] subject to certain conditions, including: if subsequent rules differ substantially from the Party's assumptions[, or force majeure] [, as specified in the information to be provided pursuant to the reference to the provision addressing accompanying information], [provided that there is no backsliding].]

The meeting also considered the following paragraphs but decided not to consolidate the text:

- Paragraphs 169 and 171
- Paragraph 176 Option 3 and paragraph 176.4 Options (e) and (f)
- Paragraph 176 Option 3 and paragraph 176.5 sub-paragraph a.
- Paragraph 178 Options 1 and 2
- Paragraph 180

#### Observations:

- With regard to paragraphs 169 and 171, it was noted that, although the text was similar, the paragraphs contained different concepts.
- With regard to paragraph 178 Options 1 and 2, Parties attempted to merge Options 1 and 2 but recognized that although the first part was the same the sub-paragraphs of Option 2 did not match Option 1.
- The meeting started to discuss paragraph 181 Options 2 and 3, but due to time constraints could not conclude its consideration.

# Consolidation of paragraph 194 Option I Options 1 (chapeau) and 2 (chapeau):

194. [*Option 1 (chapeau)*: In order to [assist Parties in implementing their commitments/contributions] [and/or to address] [facilitate, promote and enforce] compliance [issues] [with commitments under this agreement] [in a manner that is expert-based, non-confrontational and non-judicial]:]

#### Consolidation of paragraph 194 Option I Options 1 to 4:

Observations:

- No agreed consolidation
- A step-by-step approach to considering the proposed consolidation by a group of Parties could have enhanced understanding of the proposal, especially when attempting to streamline a number of options at the same time.

### Consolidation of paragraph 194 Option I Options 5 and 6:

194. [*Option 5*: [A] [The] compliance [mechanism or] committee/[implementation committee]/[a standing body] [responsible for promoting implementation and compliance and assessing Parties performance] is established. [The composition of the compliance committee shall be based on equitable geographical representation, ensuring representation of small island developing States. The body shall comprise [X] members. Decisions of the compliance committee shall be made by consensus where possible and, as a last resort, by a [two-thirds/three-fourths] majority;]]

Consolidation of paragraph 194 Option I c. Options (a) and (b):

### Observations:

- No agreed consolidation
- Some Parties preferred to keep the options separate because one option reflected differentiation among Parties while the other did not.

Consolidation of paragraph 194 Option I d.v. Options (a), (b), (c) and (f):

194.d.v. Measures and/or consequences:

[*Option (a)*: Facilitative [measures] [only] [and [sanctions [for recurring non-compliance]]] [and other adequate measures];]

### Observations:

- Consolidation across the same section, e.g, between elements of Options I and III may be possible as a next step. Clarification on the placement of the agreed consolidation would, however, be necessary. Parties might wish to identify how to treat such consolidations.
- A clarification on the significance of the use square brackets would be useful.
- The question of how the footnote to this section ("Some Parties consider that it is premature to discuss this section.") will be considered was raised.
- A clarification of the relationship between Geneva Negotiating text (document FCCC/ADP/2015/1) and the working document would also be useful.

### Consolidation of paragraph 216.2 Options 1 and 2:

216.2 [**Option 1**: This agreement [shall] [will] [enter into force] [come into effect on and be implemented from 2020] [on the thirtieth / ninetieth day after the date on which not less than 10/50/[X] (a number that is not over- or under-inclusive) Parties to the Convention have deposited their] [subject to the deposit of [X] number of] instruments of ratification, acceptance, approval or accession;]

#### Observations:

• Some Parties noted that legal clarification was required of the terms "enter into force" and "come into effect", and whether these two terms were intended to have the same meaning.

### Consolidation of paragraph 216.2 Option 3:

#### Observations:

- No agreed consolidation.
- Parties were of the view that Option 3 contains a specific principle and the merging exercise will dilute it.

# Consolidation of paragraph 216.2 Options 4 and 6:

216.2 [**Option 4**: The agreement [shall] [will] enter into force [on [X] date ("from 2020")] [1 January 2020 at the latest], provided that [X] [number of Parties have deposited their instruments and that the total of emissions of such Parties] [constitutes [X] per cent of the global total of emissions in year [X]] [covers [in total [X] Gt of CO<sub>2</sub> eq]]. [If such thresholds have not been met by [X] date, the agreement will enter into force [X] days after the thresholds have been met];]

# Consolidation of paragraph 216.2 Option 5:

Observations:

- No agreed consolidation.
- Parties were of the view that Option 5 contains a specific principle and the merging exercise will dilute it.

#### Consolidation of paragraph 216.2 Options 7 and 8:

216.2 [**Option 7**: This agreement shall enter into force on the ninetieth day after the date on which not less than [55 Parties to the Convention, incorporating Parties included in Annex I to the Convention that accounted in total for at least 55 per cent of the total carbon dioxide emissions for 1990 of the Parties included in Annex I] [half of the Parties to the Convention, incorporating all Parties included in Annex I], have deposited their instruments of ratification, acceptance, approval or accession.]

### Consolidation of paragraph 223 Options 1 and 2:

223. [Withdrawal (based on Article 25 of the Convention)

At any time after [X] years from the date on which this agreement has entered into force for a Party, that Party may withdraw from this agreement by giving written notification to the Depositary. Any such withdrawal shall take effect upon expiry of [one year from the date of receipt by the Depositary of the notification of withdrawal] [the then current mitigation commitment of that Party, the Party having discharged itself from all duties connected to this commitment], or on such later date as may be specified in the notification of withdrawal. Any Party that withdraws from the Convention shall be considered as also having withdrawn from this agreement.]