

Preliminary material in preparation for the first iteration of the informal note on this agenda item.

**APA agenda item 6: Matters relating to the global stocktake referred to in Article 14 of the Paris Agreement:**

**a) Identification of the sources of input for the global stocktake**

**b) Development of the modalities of the global stocktake**

**Revised building blocks for APA item 6 (GST)**

*(version of 9 November 2017)*

The building blocks contained in the table below were derived from submissions and discussions to date under this agenda item. Note that these building blocks can be combined in various ways to construct elements of the text. Note also that they are intended to advance the negotiations and will not be part of the final text.

Possible building blocks	Details
<b>I. Modalities</b>	
<b><i>Overall activities/phases/adjustment of modalities relevant to all building blocks</i></b>	
[Guiding design features]	
[Overall process] <ul style="list-style-type: none"> <li>• [Governance]</li> </ul> <ul style="list-style-type: none"> <li>• [Timing and duration]</li> </ul>	<ul style="list-style-type: none"> <li>• The GST will:               <ul style="list-style-type: none"> <li>○ be conducted with the assistance of the SBI and SBSTA, which will establish a joint contact group on this matter</li> <li>○ be supported by expert inputs from relevant constituted bodies</li> </ul> </li> <li>• CMA will establish a subsidiary body similar to the SED that should be responsible for conducting the GST</li> <li>• The GST will be conducted in an effective and efficient manner, avoiding duplication of work, taking into account the results of relevant work conducted under the Convention, PA and KP</li> <li>• ...</li> <li>• The GST is a process lasting:               <p><i>Views:</i></p> <ul style="list-style-type: none"> <li>○ for more than half a year and less than one year/ at least one year/ 12 to 18 months</li> <li>○ adequate time to conduct the GST in a comprehensive manner with fixed milestones along the way to ensure completion of each GST</li> <li>○ ...</li> </ul> </li> </ul>

Possible building blocks	Details
<ul style="list-style-type: none"> <li>[Structure (phases/activities)]</li> <li>[...]</li> </ul>	<ul style="list-style-type: none"> <li>The GST will be structured around three general questions: where are we; where we want to go; and how to get there?</li> <li>The GST process will consist of: <ul style="list-style-type: none"> <li><i>Activity A</i> - a preparatory phase/information gathering and compilation/Technical input;</li> <li><i>Activity B</i> - a technical phase/technical consideration of inputs, take stock, assess collective progress and prepare outputs/Technical consideration;</li> <li><i>Activity C</i> - a political phase/consideration of outputs/closure of the GST - Outcome partially overlapping/running in parallel/sequential</li> </ul> </li> </ul>
[Support for effective participation of developing countries]	<ul style="list-style-type: none"> <li>The GST will be conducted in a transparent manner and with full participation of Parties, provision of adequate funding for the participation and representation of eligible developing countries in all activities under the GST, meetings, workshops and sessions of the Subsidiary Bodies that are part of the GST process</li> <li>Developed country Parties to mobilise capacity-building support for effective participation by LDCs, SIDS and other developing countries</li> <li>The level of participation, in particular in the exchange of views, should not be determined by the Parties concerned</li> </ul>
[Equity]	<ul style="list-style-type: none"> <li>The GST will give full consideration to the principle of equity in a cross-cutting manner and is informed by best available science</li> <li>...</li> </ul>
[Post GST / during the ambition cycle events]	<ul style="list-style-type: none"> <li><i>Placeholder</i> on possible events outside the CMA or UNFCCC during the course of the ambition cycle</li> </ul>
[...]	
<b>Activity A - Preparatory phase/Information gathering and compilation/Technical input</b>	
<p>[Timing and duration]</p> <p>[Preparing inputs]</p>	<ul style="list-style-type: none"> <li><i>Activity A</i> should start</li> </ul> <p><i>Views:</i></p> <ul style="list-style-type: none"> <li>in 2021 or 2022 to ensure the adequate and timely consideration of the input from AR6</li> <li>before or after the SB sessions of the year in which the GST will be conducted, bearing in mind that Parties and relevant stakeholders may start to prepare for the GST several years earlier</li> </ul> <ul style="list-style-type: none"> <li>For the first GST, <i>activity A</i> should run continuously from 2021 (or previous year to be agreed if pre-2020 inputs could be considered, as appropriate) and should end no later than [six][X] months before the CMA in 2023, unless critical information that requires consideration emerges after the cut-off date</li> </ul>

Possible building blocks	Details
<ul style="list-style-type: none"> <li>• [Call for inputs]</li>   <li>• [Compilation and synthesis]</li>   <li>• [Identification of gaps and call for additional inputs]</li>   <li>• [Guidance for preparing inputs]</li>   <li>• [...]</li> </ul> <p>[Outputs]</p> <ul style="list-style-type: none"> <li>• [Preparation]</li> <li>• [Content]</li> <li>• [Format]</li> <li>• [by whom]</li> <li>• [...]</li> </ul>	<ul style="list-style-type: none"> <li>• Invite Parties, constituted bodies under the Convention and the Paris Agreement, UN Agencies, IPCC and other scientific bodies, civil society organizations and other identified sources of input to provide input</li> <li>• The deadline for submission of information could be one month before the plenary of the relevant session of the CMA, in order to give Parties enough time to digest these inputs</li> <li>• Request: <ul style="list-style-type: none"> <li>Views: <ul style="list-style-type: none"> <li>○ the SBSTA and SBI/secretariat to compile information for <i>Activity B</i> the year in advance</li> <li>○ the secretariat to collate information into an agreed format and make it available on a GST web platform</li> <li>○ ...</li> </ul> </li> </ul> </li> <li>• Invite the subsidiary bodies to identify potential information gaps and, where necessary and feasible, make requests for additional input</li>   <li>• Invite SBSTA to develop guidance for preparing inputs for GST</li> <li>• ....</li> </ul>
<b>Activity B - Technical phase/Technical consideration of inputs, take stock, assess collective progress and prepare outputs/Technical Consideration</b>	
[Aim]	
[Timing and duration]	
[Governance] <ul style="list-style-type: none"> <li>• [Institutional]</li> <li>• [Management (e.g., facilitation/chairing/moderating/...)]</li> <li>• [Terms of reference]</li> <li>• [...]</li> </ul>	
[Structure]	

Possible building blocks	Details
<ul style="list-style-type: none"> <li>• [themes/workstreams/workshops/dedicated dialogues/...]</li> </ul> [Consideration of inputs] <ul style="list-style-type: none"> <li>• [Presentation for consideration]</li> <li>• [Consideration]</li> </ul> <ul style="list-style-type: none"> <li>• [Identification of gaps and call for additional inputs] [...]</li> </ul> [Outputs] <ul style="list-style-type: none"> <li>• [Preparation]</li> <li>• [Content]</li> <li>• [Format]</li> <li>• [Agreement]</li> <li>• [by whom]</li> <li>• [...]</li> </ul>	
[Participation]	
[Place holder for operationalization of the advice from SBSTA on how the assessments of IPCC could inform the GST]	
[Placeholder for the modalities developed by AC and LEG] <i>(move to overall if would include activities at the political level)</i>	
[...]	
<b>Activity C - Political phase/Consideration of outputs/Closure of the GST - Outcome</b>	
[Timing and duration]	
<ul style="list-style-type: none"> <li>•</li> </ul> [Governance] <ul style="list-style-type: none"> <li>• [Institutional]</li> <li>• [Management (e.g., facilitation/chairing/moderating/...)]</li> <li>• [Terms of reference]</li> <li>• [...]</li> </ul>	
[Structure]	
<ul style="list-style-type: none"> <li>• [high level event/ roundtables/...]</li> </ul> [Presentation and consideration of inputs]	

Possible building blocks	Details
[Preparation of output] <ul style="list-style-type: none"> <li>• [Content and format]</li> <li>• [by whom]</li> <li>• [...]</li> </ul>	
<b>I. Sources of input</b>	
[existing sources of inputs]	
[Other inputs (information needs)]	
[Additional sources of inputs] <ul style="list-style-type: none"> <li>• [Process for identification of additional sources of input]</li> <li>• [Process for agreeing additional sources of input]</li> <li>• [...]</li> </ul>	