

United Nations Framework Convention on Climate Change

Development of a tool for monitoring, reviewing and assessing *progress, effectiveness and gaps* under the NAP process in LDCs: the PEG M&E Tool

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Brief introduction to the LEG

1. Established at COP 7 (2001)

- To provide *technical guidance* and *advice* to LDCs on the **LDC work programme** which includes NAPAs
- To provide *technical guidance* and *support* to the national adaptation plan process in LDCs

2. Membership

- 10 Members from LDCs (5 Africa, 2 Asia, 2 SIDS, 1 from any LDC);
- 3 Members from Annex II Parties

3. Supports LDCs through

- Technical guidelines, technical papers, publications, etc
- Technical meetings, training workshops, side events, and special events
- Regular surveys of LDC focal points, and one-to-one advice
- Collaboration with GEF and agencies, and other organizations



Monitoring and evaluation as part of the LEG work programme

Objective 5 of the current LEG work programme (2013-14) reads:

“Promote the quality and achievement of results through support for the monitoring and evaluation of progress, effectiveness and gaps in the context of NAPAs, NAPs and the LDC work programme in the LDCs (decisions 29/CP.7, 6/CP.16 and 5/CP.17)”

The **expected result** under this objective is that

“Support for monitoring the impact and effectiveness of efforts to address vulnerability is provided”.



M&E activities of the LEG

The LEG is working towards the expected result through three main activities:

At the global level to support the evaluation of progress made in the three areas of the work of the LEG:

Activity 1: Continuous identification, compilation and analysis of data and information from LDCs that enable the monitoring and evaluation of progress in NAPAs, the LDC work programme and the NAP process, to inform the SBI/COP through LEG reports

At the national level to support countries in setting up systems to monitor and evaluate adaptation activities:

Activity 2: Development of an information paper on the design and use of M&E systems as a general introduction to monitoring and evaluation for LDC NAP and NAPA teams

Activity 3: Development of a tool for monitoring, reviewing and assessing (evaluating) **progress, effectiveness and gaps** under the NAP process in accordance with the NAP guidelines, (PEG M&E tool)



Activity 1: Supporting the intergovernmental process by the LEG

Objective:

To continue to compile and analyse data and information from LDCs that enable the monitoring and evaluation of progress in NAPAs, the LDC work programme and the NAP process, to inform the SBI /COP on progress, effectiveness and gaps, through LEG reports

Timeline:

On-going, information provided in reports of the meetings of the LEG to the SBI/COP

Approach:

1. Compilation and analysis of data from NAPAs, submissions by Parties and organizations, surveys and interactions with the GEF and its agencies during LEG meetings
2. Assessment of support needs and capacity gaps



Activity 2: Information paper on the design and use of M&E systems

Objective:

To provide LDC NAPA and NAP teams with a general introduction and primer on monitoring and evaluation, to facilitate their engagement on monitoring and evaluation in the context of adaptation and the NAPAs and NAPs in particular.

Timeline:

Final draft by end of December 2013

Outline:

1. Relevant terms and approaches
2. Introduction to the benefits and use of M&E systems
3. Range of application areas (examples)
4. Generic components of M&E systems



Activity 3: The PEG M&E tool

Objective:

- To develop a tool that supports LDCs to monitor and evaluate **progress, effectiveness** and **gaps** in undertaking the NAP process as an operational tool;
- To assist NAP teams with Element D of the NAP guidelines - which is on reporting, monitoring and review.

Will focus on the **NAP process** at the **national level**, and will seek to maximize synergy with detailed work on project/programme M&E, as well as broader work on M&E of adaptation.

Timeline:

Phase 1: development of the tool to support the **operational management** of the NAP process at the country level (first draft by end of 2013, test case during first half of 2014)



Activity 3: The PEG M&E tool

Approach:

1. The NAP process, in essence, is a set of activities, which are not pre-defined, but adaptive, and which aim at meeting the objectives of the NAP process, which are to reduce vulnerability and to integrate climate change adaptation into development planning.
2. As a first step towards the development of the PEG M&E tool, the steps and suggested activities for the NAP process have been collapsed into 10 **essential functions** – i.e., the services that the NAP process is supposed to deliver to countries, bearing in mind the two main objectives of the NAP process.



Activity 3: The PEG M&E tool

Essential functions of the NAP process:

- 1. (Government to) Provide national leadership and coordination of adaptation efforts at all levels and act as the main interface with regional and international mechanisms;
 - 2. (The NAP process to) Collect, compile, process and disseminate data, information and knowledge on climate change and relevant development aspects in support of adaptation planning and implementation;
 - 3. Identify and address gaps and needs related to capacity for the successful design and implementation of adaptation;
 - 4. Assess climate-development linkages and needs, and support the integration of climate change adaptation into national and subnational development and sectoral planning (through policies, projects and programmes);
 - 5. Analyse climate data and assess vulnerabilities to climate change and identify adaptation options at sector, subnational, national and other appropriate levels;
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Activity 3: The PEG M&E tool

Essential functions of the NAP process, cont.:

- 6. Appraise adaptation options to support decision making on adaptation investment plans and development planning;
 - 7. Promote and facilitate the prioritization of climate change adaptation in national planning;
 - 8. Facilitate the implementation of adaptation at all levels through appropriate policies, projects and programmes taking into account opportunities for synergy;
 - 9. (The NAP process will) Facilitate monitoring, review and update of adaptation plans over time, to ensure progress and effectiveness of adaptation efforts and to demonstrate how gaps are being addressed;
 - 10. Coordinate reporting and outreach on the NAP process to stakeholders nationally, internationally and formally on progress to the UNFCCC.
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Activity 3: The PEG M&E tool

Next steps:

- For the further development of the tool the LEG will look at how each of these essential functions is supported by [structural capacity](#) in the countries, and what is needed to achieve results.
- [Metrics](#) will be identified reflecting important components of each function, e.g. [process, inputs, outputs or outcomes](#). Based on these, NAP teams may derive few [performance indicators](#), which would then be applied to assess [progress](#) and [effectiveness](#) of the NAP process over time.
- The PEG M&E tool will also discuss [thresholds](#) that should be set by NAP teams to decide on the adjustment of activities or even the revision and update of the NAP itself, if indicators reveal under-performance.
- The LEG will further develop the tool and will consider a full draft by December 2013.
- The LEG will then test the tool, review it and produce a technical paper to introduce it by its next meeting (March 2014).



Thank you for your attention!

