

# Adaptation Committee

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## Workshop on the monitoring and evaluation of adaptation Nadi, Fiji, 9–11 September 2013

### Framing document

#### 1. Introduction

The Adaptation Committee established under the United Nations Framework Convention on Climate Change (UNFCCC) agreed to conduct a workshop on the monitoring and evaluation (M&E) of adaptation, building on and contributing to existing processes related to this topic. It further agreed to identify recommendations and guidance for consideration by the Conference of the Parties to the UNFCCC, as appropriate and as needed, with a view to providing technical support and guidance to Parties, in order to facilitate the enhanced implementation of adaptation actions.

#### 2. Scope and focus

The workshop engages leaders on M&E of adaptation with expertise in implementing adaptation at different levels, including the national, programme and project level, coming from government, regional organizations, development banks, bilateral technical cooperation agencies, research institutions and civil society. It seeks to address three broad policy questions:

1. Given the diverse set of indicators that currently exist to measure and evaluate adaptation, how can communities, countries and development and adaptation agencies build on a common understanding of success in achieving climate resilience?
2. How can a framework be created that links individual assessments with national level assessments to broaden the focus from the means of achieving outcomes (individual interventions) to the desired end result (countries' becoming less vulnerable and having more adaptive capacity)?
3. How can results from monitoring and evaluation be reported and disseminated so as to ensure that they are fed back into the respective adaptation process but also to allow for lessons learned and good practices identified to be shared with the wider community of adaptation planners and practitioners?

In responding to these questions, participants are expected to take stock of M&E efforts to date with a view to identifying good practices, lessons learned, and gaps as a basis for developing recommendations for the COP.

#### 3. Structure

Over the course of three days, the workshop addresses the three questions in a number of sessions, some of which will take place in plenary while others allow for more interaction in small groups.

- **Introduction.** Following the opening of the workshop, this session will introduce participants to the aim of the workshop and its three guiding questions. It will further introduce participants to the current state of play on M&E of adaptation by highlighting ongoing initiatives, including emerging methodological frameworks, challenges and lessons learned and thus bringing all participants up to a baseline level of knowledge.
- **What is meant by success in adaptation and how do we measure it?** This session addresses the first guiding question, i.e. it aims at building a common understanding among participants of what successful adaptation looks like and how it is measured by sharing experience from different countries, sectors and levels. The workshop is not expected to provide a definite answer on what success is or to identify the ultimate set of

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indicators but rather to allow for different perspectives to be shared and for guiding considerations/principles to be identified.

- **How do we integrate the M&E of adaptation with national planning and development frameworks?** This session is the first to address question #2 by seeking to identify key elements (institutions, stakeholders, decision-making processes etc.), opportunities and constraints that need to be considered when creating a comprehensive framework that links individual assessments with national level assessments.
- **How do we link national and project level assessments in the area of monitoring?** Building upon the previous session, this session discusses existing national level monitoring systems, including in different sectors and using different sets of indicators, and how they link to monitoring of project level interventions. It will also identify constraints, such as data availability, lessons learned and good practices, including stakeholder engagement.
- **How do we link national and project level assessments in the area of evaluation?** Taking into account the results of the previous session, this session looks at ways to link national evaluations, including audits, with project and programme level evaluations of adaptation. It will share early experience obtained so far and identify lessons learned and good practices, including in the area of evaluating cost effectiveness and cost efficiency.
- **Feedback from M&E – how can we learn from M&E?** This session addresses the last guiding question, i.e. how can results from M&E be reported and disseminated so as to ensure that they are fed back into the respective adaptation process but also to allow for lessons learned and good practices identified to be shared with the wider community of adaptation planners and practitioners? This session will discuss the M&E cycle and identify key elements that are needed to ensure that results are disseminated and fed back into the wider adaptation policy and planning processes. Participants will share lessons learned and good practices at different levels and in different sectors.
- **Looking into the future – How do we enhance the M&E of adaptation?** Taking into account the results of the previous sessions, this session seeks to identify recommendations and guidance on how to enhance M&E, including overcoming constraints and up-scaling best practices, with a view to enhancing adaptation actions.