

**Ninth meeting of the Adaptation Committee  
Bonn, Germany, 1-3 March 2016**

**Discussion paper**

**Revising the workplan following the Paris outcome**

**Recommended action by the Adaptation Committee**

The AC, at its ninth meeting, may wish to consider the information contained in this document and use it as a basis for revising its workplan.

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## 1. Introduction

1. When developing its 2016-2018 workplan, the Adaptation Committee (AC) agreed to remain flexible to take on new and emerging tasks, including those resulting from future agreements and decisions under the Convention, including COP 21, as well as the 2016 review of the progress and performance of the AC.
2. The following discussion paper first provides a summary of outcomes from COP 21 relevant to the AC (section 2). Taking into account the additional mandates to the AC stemming from COP 21, the mapping of relevant activities and timelines, the paper considers ways of revising the workplan, including by proposing concrete activities to reduce duplication, address gaps and promote synergies (section 3). A mapping of adaptation-relevant mandates and agreed activities by other constituted bodies and programmes under the Convention against activities included in the AC's three-year work plan is included in annex I to help identify opportunities for collaboration and synergy and avoid duplication.
3. The AC initiated its consideration of this mapping of new mandates against the AC's workplan in the inter-sessional period. Based on feedback received, the draft revised workplan for the years 2016-2018 contained in annex II was prepared, and the AC will be invited to consider and finalize its revised workplan at its 9<sup>th</sup> meeting.
4. Annex III contains a list of acronyms for easy reference.

## 2. Summary of relevant outcomes from COP 21 for the Adaptation Committee

5. Work to be undertaken by the AC - either by itself or in cooperation with others - as mandated by Parties in Paris falls into three categories:
  - a) Supporting Parties in their **ongoing adaptation efforts**, which is also the focus of the current version of the 2016-2018 AC workplan;
  - b) Supporting Parties in enhancing their **adaptation action prior to 2020**;
  - c) Supporting the intergovernmental process and Parties in **implementing the Paris Agreement**, in particular the adaptation provisions contained in Article 7.

### 2.1 Supporting Parties in their ongoing adaptation efforts

6. In Paris, Parties welcomed the AC's report, including its new work plan and recommendations (decision 3/CP.21). In addition to the recommendations brought forward by the AC, the COP requested the AC to further strengthen cooperation with the Standing Committee on Finance (SCF) and other constituted bodies under the Convention, with a view to enhancing coherence and collaboration regarding adaptation finance as contained in the AC's workplan.
7. To further support Parties in undertaking the process to formulate and implement national adaptation plans (NAPs), the COP requested the LEG and the AC to consider how they can provide more information on accessing funding from the Green Climate Fund (GCF) and to include such information, as appropriate, in their reports. The COP further requested the LEG and the AC to support the SBI assessment of progress made in the process to formulate and implement NAPs in 2018 by collaborating with the LEG on a meeting and a subsequent meeting report as input to deliberations at SBI 48 (decision 4/CP.21).
8. In relation to the Nairobi work programme (NWP), the AC is requested by the SBSTA to collaborate, with relevant NWP partners, including regional centres and networks, in strengthening communities of practices and networks at different levels, as well as in sharing good practices and

tools at key meetings and events (SBSTA 41). Under its new mandate, the LEG is requested by the COP to engage regional centres and networks by inviting them to nominate focal points on their collaboration with the LEG.

## **2.2 Supporting Parties in enhancing their adaptation action prior to 2020**

9. In order to identify concrete opportunities for strengthening resilience, reducing vulnerabilities and increasing the understanding and implementation of adaptation actions, the COP decided to launch a technical examination process on adaptation (A-TEP) in the period 2016 to 2020, which is to be organized jointly by the SBI and SBSTA, and conducted by the AC. Parties and observer organizations are invited to submit information on such opportunities by 3 February 2016 (decision 1/CP.21).

10. The AC is to engage with and explore ways to take into account, synergize with and build on the existing arrangements for adaptation-related work programmes, bodies and institutions under the Convention so as to ensure coherence and maximum value.

11. The secretariat is requested to support the A-TEP by:

- a) Organizing regular technical expert meetings focusing on specific policies, strategies and actions;
- b) Preparing annually a technical paper on opportunities to enhance adaptation action, as well as options to support their implementation, information on which should be made available in a user-friendly online;
- c) Prepare in consultations with two appointed high-level Champions a summary for policymakers<sup>1</sup> to be published at least two months in advance of each COP as input for an annual in-session high-level event.

12. The annual high-level event, among other things, is to further strengthen high-level engagement on the implementation of policy options and actions arising from the A-TEP, to take stock of progress and to provide an opportunity for announcing new or strengthened voluntary efforts, initiatives and coalitions on adaptation. The M-TEP and the A-TEP are to be assessed by the COP in 2017 so as to improve their effectiveness.

## **2.3 Supporting the intergovernmental process and Parties in implementing the Paris Agreement**

13. As part of the decisions giving effect to the Paris agreement, the AC has been requested to undertake a variety of activities, either by itself, jointly with the LEG and/or in collaboration with others, with a view to providing recommendations for consideration and adoption by the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement at its first session (CMA 1), including:

- a) Jointly with the LEG: to develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Art. 7.3 of the Agreement (decision 1/CP.21, para 41);
- b) To review, in 2017, the work of adaptation-related institutional arrangements under the Convention, with a view to identifying ways to enhance the coherence of their work, as appropriate, in order to respond adequately to the needs of Parties (decision 1/CP.21, para 42 (a));

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<sup>1</sup> This is a joint document for the A-TEP and the M-TEP.

- c) To consider methodologies for assessing adaptation needs with a view to assisting developing countries, without placing an undue burden on them (decision 1/CP.21, para 42 (b));
- d) Mandate to AC and LEG: To develop methodologies on taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries in the context of the limit to global average temperature increase referred to in Art. 2 of the Agreement (in collaboration with the SCF and other relevant institutions) (decision 1/CP.21, para 45 (a));
- e) Mandate to AC and LEG: To develop methodologies on reviewing the adequacy and effectiveness of adaptation and support referred to in Art. 7.14(c), of the Agreement (in collaboration with the SCF and other relevant institutions) (decision 1/CP.21, para 45 (b)).

14. In addition, the Executive Committee of the Warsaw International Mechanism may involve the AC in a taskforce to develop recommendations for integrated approaches to avert, minimize and address displacement related to the adverse impacts of climate change.

15. Finally, the COP established a new body on capacity-building: the Paris Committee on Capacity-building, which is to coordinate and ensure coherence among capacity-building efforts, including for adaptation, under the Convention.

### 3. Revising the workplan

16. When revising the workplan, the AC may wish to consider ensuring coherence and continuity across the different strands of work, i.e. activities in support of enhancing adaptation ambition should be coherent with activities supporting ongoing adaptation efforts. In light of the political significance of the Paris outcome, the AC may wish to prioritize those activities that are directly in support of the implementation of the Agreement and in support of the A-TEP.

17. In order to keep the workload manageable, it is suggested to seek synergy and efficiency gains between existing and new activities to the extent possible, and in how the AC collaborates with other bodies. For example, the AC may wish to consider:

- a) Developing one paper that serves as the annual A-TEP technical paper and the annual AC Thematic Report;
- b) Starting from 2017, organizing the annual Adaptation Forum in conjunction with the annual High-level event on enhanced action prior to 2020;
- c) Pending submissions, seeking synergy between TEMs and TPs on specific aspects already included in the workplan such as adaptation approaches (CBA, EBA), or private sector engagement.

18. In terms of collaboration, the AC may wish to consider new modalities given that multiple requests from Paris are to be undertaken jointly with the LEG and in collaboration with other bodies.<sup>2</sup>

19. However, some activities included in the workplan may have to be postponed or other bodies or programmes, such as the LEG or the NWP, could be invited to carry them out, in particular those that are not time-sensitive or do not contribute significantly to supporting the Paris outcome, for example the 2016 Brochure on the State of Adaptation.

20. In terms of timing of the new activities stemming from Paris, the AC may wish to start the work as soon as possible given the complexity of tasks, the need for collaboration with other bodies and the

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<sup>2</sup> A separate concept note on collaboration and addressing the Paris mandates will be prepared for AC9.

unknown timing of the first session of the CMA. In addition, the AC may wish to sequence some of the Paris activities as the results of some feed into the development of others. For example, the consideration of methodologies for assessing adaptation needs and the development of modalities for recognizing adaptation efforts may inform the development of methodologies for reviewing the adequacy and effectiveness of adaptation and support for adaptation.

21. In terms of structure, given the amount of time and effort the AC spent in designing the workstreams and associated objectives, the AC may wish to integrate the new activities into the existing workstreams instead of re-structuring the workplan.

22. Finally, the AC may agree to keep the workplan flexible to account for results of the 2016 review of the progress and performance of the AC, the 2017 review of the A-TEP and any other new tasks that may arise from future COP decision

## Annex I. Mapping of adaptation-relevant mandates and activities by constituted bodies and workstreams under the Convention against the AC's three-year work plan

<i>Elements of the current workplan</i>						<i>Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known</i>
<i>Objectives</i>	<i>Activities</i>					
	<i>2016: First half</i>	<i>2016: Second half</i>	<i>2017</i>	<i>2018</i>		
<i>Overarching coherence</i>						
01 To promote the implementation of adaptation action under the Convention in a coherent manner, in line with the Cancun Adaptation Framework (decision 1/CP.16), including paragraph 12	Update map of mandates, workplans and/or decisions with adaptation relevance under the Convention, and compare and analyse to consider what actions may need to be undertaken by the AC  Invite representatives of the LEG, the NWP, the SCF, the GCF, the TEC, the CTCN, the CGE, the Executive Committee of the Warsaw International Mechanism and the Durban Forum on capacity-building to discuss a clear division of work on	Annual report to the COP, through the subsidiary bodies  Include results in the AC annual report	Update map of mandates  Annual report to the COP, through the subsidiary bodies	Update map of mandates  Annual report to the COP, through the subsidiary bodies	Update map of mandates  Annual report to the COP, through the subsidiary bodies	<b>2016-</b>  The LEG mandate extended to include the provision of technical guidance and advice on:(a) the integration of adaptation into national planning and the development of programmes that address both objectives of the process to formulate and implement NAPs; (b) Regional approaches to adaptation planning; (c) accessing funding from the GCF for the process to formulate and implement NAPs in collaboration with the GCF secretariat; (d) needs related to adaptation that may arise from the Paris Agreement and other COP 21 decisions.  LEG requested to collaborate with other constituted bodies under the Convention (18/CP.21).  AC to engage with and explore ways to take into account, synergize with and build on the existing arrangements for adaptation-related

*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

*Objectives**Activities**2016: First half**2016: Second half**2017**2018*

common issues and present a report on these consultations at AC 9

work programmes, bodies and institutions under the Convention to ensure coherence and maximum value when conducting the A-TEP

Ongoing mandates for collaboration, synergies and reducing duplication exist also from the TEC, CTCN, SCF, CGE, the Executive Committee of the Warsaw International Mechanism and NWP.

**2017**

A-TEP to be assessed in 2017

AC requested to review, in 2017, the work of adaptation-related institutional arrangements under the Convention

Paris Committee on Capacity-building likely to become operational, whose activities include assessing how to increase synergies through cooperation and avoid duplication among existing bodies established under the Convention that implement capacity-building activities

Members to represent the AC externally, where relevant, and to provide the AC with reports as appropriate

**2016-**

Participation in TEC TF on adaptation, CTCN EB and the LEG support group on NAP Central and advisory groups on NAP Expos and

*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

<i>Objectives</i>	<i>Activities</i>				
	<i>2016: First half</i>	<i>2016: Second half</i>	<i>2017</i>	<i>2018</i>	
					other areas of the work of the LEG. Possible participation in ExCom's task force on displacement
02 To strengthen engagement of other relevant institutions, organizations, frameworks, networks and centres outside of the Convention, including those at the intergovernmental, regional and national levels and, through them, the subnational level, where appropriate, and relevant international agreements, with respect to their distinct mandate, tasks, goals and ways of work, in order to enhance the implementation of adaptation actions and to enhance the provision of support to	Strategic and 'upon request' collaboration, including through submissions, workshops or side events, in cooperation with interested organizations, including, but not limited to, United Nations organizations and Parties  Consult, including through a side event at the forty-fourth sessions of the subsidiary bodies and through targeted outreach, with national, regional and international organizations, centres and networks on collaboration in the area of provision of technical support, building on work	Develop terms of reference for a partnership platform with national, regional and international organizations, centres and networks, ensuring regional balance	Documentation of joint activities to be included in the annual report  Launch partnership platform with national, regional and international organizations, centres and networks	Building on the partnership platform, consider ways to strengthen the institutional and technical capacity of national training and research institutions, as well as regional centres and networks, for scaled-up support	<p>2016-</p> <p>NWP requested to enhance collaboration with global and regional knowledge networks and NWP partner organizations in order to strengthen the production and dissemination of knowledge on adaptation and to invite regional centres and networks to serve as regional knowledge platforms for the implementation of the activities under the NWP (SBSTA 40)</p> <p>NWP requested to engage, in collaboration with the AC and the LEG, relevant NWP partners, including regional centers and networks, in strengthening communities of practices and networks at different levels, as well as in sharing good practices and tools at key meetings and events (SBSTA 41).</p> <p>NWP submissions and SBSTA 44 information note on activities by regional centres and networks on adaptation planning processes</p>



*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

<i>Objectives</i>	<i>Activities</i>				
	<i>2016: First half</i>	<i>2016: Second half</i>	<i>2017</i>	<i>2018</i>	
developing country Parties	completed through the regional assessment performed under the first workplan, and drawing on all workstreams of the work of the AC			to countries	addressing ecosystems, human settlements, water resources and health, and on processes and structures for linking national and local adaptation planning  LEG requested to engage regional centres (18/CP.21) including through nomination of focal points to the LEG

*Workstream A. Technical support and guidance to the Parties on adaptation action*

A1	To provide guidance to the Parties on adaptation planning and implementation at the national level	Identify follow-up activities on livelihoods and economic diversification <sup>3</sup>  NAP task force to develop a new workplan, based on and contributing to the AC workplan	Finalize the technical paper on long-term adaptation planning	Report on various approaches to adaptation, such as CBA and EBA, taking into account livelihoods and economic diversification	Possible synergy with topics for the A-TEP, in particular in the areas of economic diversification, mitigation co-benefits, building resilience of socioeconomic and ecological systems  <b>2016-</b> LEG and AC to consider how they can provide more information on accessing funding from the GCF for the NAP process and to include such information, as appropriate, in their reports  LEG and AC to support the SBI NAP assessment in 2018 through an
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<sup>3</sup> To be decided following consideration by the AC of the expert meeting report at AC 9.

*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

*Objectives**Activities**2016: First half**2016: Second half**2017**2018*

expert meeting and report by 2018

NWP to consider activities that support the NAP process at SBSTA 44 (May 2016)

TEC, in collaboration with the CTCN, the AC and the LEG, to consider how it can help Parties to align their TNAs with NAPs

The CGE will explore and identify potential linkages and relationships between national policies and adaptation and mitigation strategies to help non-Annex I Parties to integrate and mainstream climate change adaptation and mitigation strategies, as well as reporting activities, into their national development planning processes

NAP-GSP technical group ongoing work (AC involvement expected)

Develop an inventory of ongoing M&E work, including by the LEG, the GEF, and GIZ, as well as existing platforms fostering knowledge exchange on adaptation M&E, including PROVIA

Based on the analysis of the inventory/synthesis document, agree on additional work on M&E systems and impact evaluations, consistent with the outcome of COP 21

Convene a meeting to exchange views on national adaptation goals/indicators and how they relate to indicators/goals for sustainable development and for disaster risk reduction in the context of the

Explore ways to enhance the M&E of support provided and received for adaptation

**2016-onwards**

AC (jointly with the LEG) requested to develop modalities to recognize the adaptation efforts of developing country Parties, as referred to in Art. 7.3 of the Agreement

AC and LEG requested to develop methodologies on reviewing the adequacy and effectiveness of adaptation and support referred to in Art. 7.14(c), of the Agreement (in

*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

*Objectives**Activities**2016: First half**2016: Second half**2017**2018*

			Sendai Framework for Disaster Risk Reduction 2015–2030	collaboration with the SCF and other relevant institutions)
			Synthesize the elements of the 2016 SCF biennial assessment and overview of climate finance flows	SCF to assess how adaptation needs can most effectively be met by climate finance and to include the results in its report to COP
A2	To enhance the provision of technical support to developing country Parties for adaptation action in a coherent manner	Continue to consider further modalities for supporting developing country Parties in their adaptation actions		The CGE's workplan foresees webinars addressing targeted themes at the regional, sub-regional and national levels, in English, French, Spanish and Arabic, including on V&A in 2016, 17 and 18.

*Workstream B. Technical support and guidance to the Parties on means of implementation*

B1	To provide guidance on ways to enhance support, including finance, technology and capacity-building, in particular related to access, institutional arrangements and	To coordinate with, and provide inputs to, the SCF, the TEC and the CTCN, as well as the financial entities under the Convention and its Kyoto Protocol, when relevant and as requested, including identifying next steps	Overview of approaches and current state of knowledge of climate change risks to supply chains, to inform development and implementation of risk-sharing approaches	<b>2016-</b> In-session workshop on long-term finance (AC invited to consider long-term finance issues when implementing their 2015–2016 workplans as an input to the in-session workshops) TEC thematic dialogue on enablers and barriers to South–South cooperation on technologies for
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*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

*Objectives**Activities**2016: First half**2016: Second half**2017**2018*

enabling environments, including for the process to formulate and implement NAPs

on technology and capacity-building in the workplan

adaptation in April 2016.

AC requested to consider methodologies for assessing adaptation needs with a view to assisting developing countries, without placing an undue burden on them

AC and LEG requested to develop methodologies on taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries (in collaboration with the SCF and other relevant institutions)

AC requested to further strengthen cooperation with the SCF and other constituted bodies under the Convention, with a view to enhancing coherence and collaboration regarding adaptation finance as contained in the AC's workplan

(Note: The TEC's rolling workplan for 2016–2017 will be considered at the TEC's April 2016 meeting)

Relevant bodies established under the Convention urged to continue to undertake capacity-building activities in their work, and to share the results of their work during the Durban Forum

**2017**

*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

*Objectives**Activities**2016: First half**2016: Second half**2017**2018*

Paris Committee on Capacity-building likely to become operational

To engage continuously with the GCF to understand its policies and programmatic priorities as they relate to adaptation and as they evolve

Develop an information paper, in collaboration with the LEG, the SCF and the GCF, on experiences of countries in accessing the GCF readiness programme in supporting adaptation, including for the process to formulate and implement NAPs

**2016-**

GCF Board urged to develop appropriate mechanisms to support the fund through appropriate expert and technical advice, including from thematic bodies, as appropriate (7/CP.21)

13<sup>th</sup> meeting of GCF Board (28-30 June 2016) to consider engagement with thematic bodies under the Convention<sup>4</sup>

LEG mandated to provide technical guidance and advice on accessing funding from the GCF for the process to formulate and implement NAPs in collaboration with the GCF secretariat

Collaborating with the GEF, the GCF, the Adaptation Fund, United Nations agencies, relevant multilateral and bilateral organizations and NGOs supporting the

Explore remaining barriers and challenges for accessing adaptation resources and preparing recommendations for the different

<sup>4</sup> <[http://www.greenclimate.fund/documents/20182/87610/GCF\\_B.11\\_02\\_-\\_Workplan\\_for\\_meetings\\_of\\_the\\_Board\\_in\\_2016\\_including\\_outstanding\\_items\\_from\\_previous\\_meetings.pdf/229e27a2-4f64-4f1b-9130-177df728d464](http://www.greenclimate.fund/documents/20182/87610/GCF_B.11_02_-_Workplan_for_meetings_of_the_Board_in_2016_including_outstanding_items_from_previous_meetings.pdf/229e27a2-4f64-4f1b-9130-177df728d464)>.

*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

**Objectives****Activities***2016: First half**2016: Second half**2017**2018*

process to formulate and implement NAPs to enhance communication, awareness, coordination and complementarity of donors and funds

financial instruments of the Convention

Identify and collect lessons learned and good practices from national implementing entities of funds, programmes/entities and initiatives, on the necessary enabling environments, institutional arrangements and governance for adaptation

Prepare an information paper, in collaboration with the SCF, on different national institutional frameworks for adaptation finance, and, where available, long-term financial strategies, including identifying good practices and lessons learned, highlighting strengths and limitations and providing examples of application

Organize a workshop for the engagement of relevant stakeholders, experts on NIEs, NDAs, etc., to advance understanding and overcome challenges related to the establishment of NIEs and accessing the GCF readiness programme

**2016**

Second biennial high-level ministerial dialogue on climate finance (COP22), to focus on the issues of adaptation finance, needs for support to developing country Parties and cooperation on enhanced enabling environments and support for readiness activities, and that it will be informed by the report of the in-session workshop in 2016 and the second biennial assessment and overview of climate finance flows (5/CP.21)

*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

<i>Objectives</i>	<i>Activities</i>		<i>2017</i>	<i>2018</i>		
	<i>2016: First half</i>	<i>2016: Second half</i>				
		for adaptation				
		Identify the authoritative actors from the private sector, including a mapping of their influence, and identify next steps	Convene a meeting to foster greater contribution of the private sector to the process to formulate and implement NAPs, including examples of private sector involvement to date in NAPs		<b>2016-</b> Private sector may be a possible theme for TEM under A-TEP  SCF: Facilitate the participation of the private sector, financial institutions and academia in the forum (5/CP.18, para.4)	
<i>Workstream C. Awareness-raising, outreach and sharing of information</i>						
C1	To raise awareness and policy support for adaptation, particularly the NAP process, by relevant stakeholders at all levels, in particular the national level	Decide on a topic for the 2016 thematic report  Develop an information product on livelihoods and economic diversification	Brochure on the state of adaptation	2017 thematic report	2018 thematic report	<b>2016-</b> A-TEP: Preparing annually a technical paper on opportunities to enhance adaptation action, as well as options to support their implementation, information on which should be made available in a user-friendly online format and by providing input to the M-TEP's summary for policymakers
C2	To promote the exchange of information, particularly as it relates to the most vulnerable people, sectors and	Organize the 2016 Adaptation Forum in conjunction with the Adaptation Futures 2016 conference		Adaptation Forum with representatives from United Nations agencies, relevant multilateral and	Adaptation Forum	<b>2016-</b> A-TEP: Regular technical expert meetings focusing on specific policies, strategies and actions and High-level event  NWP annual focal point forum (in

*Elements of the current workplan*

*Adaptation-relevant mandates and activities from COP 21 and/or SB 43 with timing, where appropriate/known*

*Objectives**Activities**2016: First half**2016: Second half**2017**2018*

regions, and strengthen the interaction between Parties and stakeholders at all levels by utilizing the convening power of the AC to organize focused events

bilateral organizations and NGOs supporting the NAP process

addition to identifying opportunities for mutual learning and collaboration, the past forums have also helped to support outreach, and foster dialogue and the exchange of information among stakeholders involved in adaptation).



## Annex II. Draft revised workplan of the Adaptation Committee for 2016–2018<sup>5</sup>

<i>Objectives</i>	<i>Activities</i>			
	<i>2016: First half</i>	<i>2016: Second half</i>	<i>2017</i>	<i>2018</i>
<i>Overarching coherence</i>				
01 To promote the implementation of adaptation action under the Convention in a coherent manner, in line with the Cancun Adaptation Framework (decision 1/CP.16), including paragraph 12	Update map of mandates, workplans and/or decisions with adaptation relevance under the Convention, and compare and analyse to consider what actions may need to be undertaken by the AC  <b>Engage with</b> representatives of the LEG, the NWP, the SCF, the GCF, the TEC, the CTCN, the CGE, the Executive Committee of the Warsaw International Mechanism and the Durban Forum on capacity-building to discuss and agree upon:  - A clear division of work on common issues - <b>Engagement in A-TEP</b> - <b>Engagement in activities supporting the implementation of the Paris Agreement</b>	Annual report to the COP, through the subsidiary bodies  Present progress report at AC10 <sup>6</sup> and include results in the AC annual report, <b>including recommendations on how to enhance coherence</b>	Update map of mandates  Annual report to the COP, through the subsidiary bodies  <b>Engage with representatives of relevant bodies to discuss and agree upon joint activities, as appropriate</b>  <b>Review, in 2017, the work of adaptation-related institutional arrangements under the Convention</b>	Update map of mandates  Annual report to the COP, through the subsidiary bodies  Engage with representatives of relevant bodies to discuss and agree upon joint activities, as appropriate
02 To strengthen	Strategic and ‘upon request’		Documentation of joint	

<sup>5</sup> Absorbed new mandates are indicated in bold, changes in timing are marked with footnotes

<sup>6</sup> Moved back from AC9.

Objectives	Activities			
	2016: First half	2016: Second half	2017	2018
engagement of other relevant institutions, organizations, frameworks, networks and centres outside of the Convention, including those at the intergovernmental, regional and national levels and, through them, the subnational level, where appropriate, and relevant international agreements, with respect to their distinct mandate, tasks, goals and ways of work, in order to enhance the implementation of adaptation actions and to enhance the provision of support to developing country Parties	<p>collaboration, including through submissions, workshops or side events, in cooperation with interested organizations, including, but not limited to, United Nations organizations and Parties</p> <p>Consult, including through a side event organized at the forty-fourth sessions of the subsidiary bodies <b>with inputs from the NWP and its partners as well as the LEG</b>, and through targeted outreach, with national, regional and international organizations, centres and networks on collaboration in the area of provision of technical support, building on work completed through the regional assessment performed under the first workplan, and drawing on all workstreams of the work of the AC</p>	<p>Develop terms of reference <b>with inputs from the NWP and its partner organizations and the LEG</b> for a partnership platform with national, regional and international organizations, centres and networks, ensuring regional balance</p>	<p>activities to be included in the annual report</p> <p>Launch partnership platform with national, regional and international organizations, centres and networks, <b>taking into account existing and ongoing work under the NWP</b></p>	<p>Building on the partnership platform, consider ways, <b>with inputs from the NWP and its partner organizations and the LEG</b>, to strengthen the institutional and technical capacity of national training and research institutions, as well as regional centres and networks, for scaled-up support to countries</p>

*Workstream A. Technical support and guidance to the Parties on adaptation action*

A1 To provide guidance to the Parties on adaptation planning and implementation at the national level	Identify follow-up activities on livelihoods and economic diversification, <b>taking into account activities under the A-TEP</b>	Finalize the technical paper on long-term adaptation planning, <b>taking into account the work of the LEG on integrating adaptation into national planning</b>	Report on various approaches to adaptation, such as CBA and EBA, taking into account livelihoods and economic diversification <b>and activities under the A-TEP</b>
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Objectives	Activities			
	2016: First half	2016: Second half	2017	2018
	<p>NAP task force to develop a new workplan, based on and contributing to the AC's workplan and mandates.  <b>The task force may wish to consider:</b></p> <ul style="list-style-type: none"> <li>- <b>Providing information on accessing funding from the GCF for the NAP process in close collaboration with the LEG</b></li> <li>- <b>Proposing activities to be undertaken by the NWP and the LEG in support of the NAP process</b></li> <li>- <b>Collaborating with TEC in considering how to help Parties in aligning their TNAs with NAPs</b></li> <li>- <b>Contributing to the 2018 SBI assessment of progress in the NAP process</b></li> <li>- <b>Engaging with the NAP-GSP</b></li> <li>- <b>Possible collaboration with the CGE</b></li> </ul>			
	<p><b>Initiate and scope joint AC-LEG work on developing:</b></p> <ul style="list-style-type: none"> <li>- <b>Modalities to recognize the adaptation efforts of developing country Parties;</b></li> <li>- <b>Methodologies on reviewing the adequacy and effectiveness of adaptation and support for adaptation including considering timelines</b></li> </ul>	<p>Develop an inventory of ongoing M&amp;E work,<sup>7</sup> including by the LEG, the GEF, and GIZ, as well as existing platforms fostering knowledge exchange on adaptation M&amp;E, including PROVIA</p> <p>Synthesize the elements of the 2016 SCF biennial</p>	<p>Based on the analysis of the inventory, agree on additional work on M&amp;E systems<sup>9</sup> and impact evaluations and <b>based on the synthesis of the biennial assessment,</b> explore ways to enhance the M&amp;E of</p>	<p>Convene a meeting<sup>11</sup> to exchange views on national adaptation goals/indicators and how they relate to indicators/goals for sustainable development and for disaster risk reduction in the context of the Sendai Framework</p>

<sup>7</sup> Moved back from 1<sup>st</sup> half 2016.

<i>Objectives</i>	<i>Activities</i>			
	<i>2016: First half</i>	<i>2016: Second half</i>	<i>2017</i>	<i>2018</i>
	<b>and ways of collaborating with and receiving inputs from the SCF, other relevant institutions, Parties and stakeholders and other processes such as the 2017 Review of the Financial Mechanism and the 2018 NAP assessment, as appropriate</b>	assessment <sup>8</sup> and overview of climate finance flows, <b>including elements of how adaptation needs can most effectively be met by climate finance</b>	support provided and received for adaptation, <sup>10</sup> <b>including in support of the development of methodologies on reviewing the adequacy and effectiveness of adaptation and support for adaptation</b>	for Disaster Risk Reduction 2015–2030 <b>and how they could contribute to the implementation of the Paris Agreement</b>
A2 To enhance the provision of technical support to developing country Parties for adaptation action in a coherent manner	Continue to consider further modalities for supporting developing country Parties in their adaptation actions, <b>taking into account ongoing and planned work by other constituted bodies and the SB44 side event</b>			
<i>Workstream B. Technical support and guidance to the Parties on means of implementation</i>				
B1 To provide guidance on ways to enhance support, including finance, technology and capacity-building, in particular related to access, institutional arrangements and enabling environments,	Coordinate with, and provide inputs to, the SCF, the TEC and the CTCN, as well as the financial entities under the Convention and its Kyoto Protocol, when relevant and as requested	<b>Taking into account relevant ongoing and planned work on technology and capacity-building under the Convention</b> , identify next steps, as appropriate, on technology and capacity-building <sup>12</sup>		

<sup>9</sup> Brought forward from 2017.

<sup>11</sup> Moved back from 2017.

<sup>8</sup> Brought forward from 2017.

<sup>10</sup> Brought forward from 2018.

<sup>12</sup> Moved back from 1<sup>st</sup> half of 2016.

<i>Objectives</i>	<i>Activities</i>			
	<i>2016: First half</i>	<i>2016: Second half</i>	<i>2017</i>	<i>2018</i>
including for the process to formulate and implement NAPs	<p><b>Initiate and scope work on:</b></p> <ul style="list-style-type: none"> <li>– <b>Considering methodologies for assessing adaptation needs;</b></li> <li>– <b>Jointly with LEG: developing methodologies on taking the necessary steps to facilitate the mobilization of support for adaptation in developing countries</b></li> </ul> <p><b>including considering timelines and ways of collaborating with and receiving inputs from the SCF other relevant institutions, Parties and stakeholders and other processes such as the Technology Needs Assessments and the 2017 Review of the Financial Mechanism, as appropriate</b></p> <p>To engage continuously with the GCF to understand its policies and programmatic priorities as they relate to adaptation and as they evolve</p>	<p>Develop an information paper, in collaboration with the LEG, the SCF and the GCF, on experiences of countries in accessing the GCF readiness programme in supporting adaptation, including for the process to formulate and implement NAPs</p>	<p>Collaborate with the GEF, the GCF, the Adaptation Fund, United Nations agencies, relevant multilateral and bilateral organizations and NGOs supporting the process to</p>	<p><b>Building on previous work and taking into account the 2016 Biennial assessment and the 2017 review of the Financial Mechanism</b>, explore remaining barriers and challenges for accessing</p>

<i>Objectives</i>	<i>Activities</i>			
	<i>2016: First half</i>	<i>2016: Second half</i>	<i>2017</i>	<i>2018</i>
			formulate and implement NAPs to enhance communication, awareness, coordination and complementarity of donors and funds <sup>13</sup>	adaptation resources and preparing recommendations for the different financial instruments of the Convention
		<b>Building on the long-term finance in-session workshop and the high-level ministerial</b> , identify and collect lessons learned and good practices from national implementing entities of funds, programmes/entities and initiatives, on the necessary enabling environments, institutional arrangements and governance for adaptation	Organize a workshop for the engagement of relevant stakeholders, experts on NIEs, NDAs, etc., to advance understanding and overcome challenges related to the establishment of NIEs and accessing the GCF readiness programme for adaptation	Prepare an information paper, <sup>14</sup> in collaboration with the SCF, on different national institutional frameworks for adaptation finance, and, where available, long-term financial strategies, including identifying good practices and lessons learned, highlighting strengths and limitations and providing examples of application
		Identify the authoritative actors from the private sector, including a mapping of their influence, and identify next steps	Convene a meeting, <b>possibly under the A-TEP</b> , to foster greater contribution of the private sector to the process to formulate and implement NAPs, including examples of private sector involvement to date in	Prepare, <b>possibly under the A-TEP in the form of a technical paper</b> , an overview of approaches and current state of knowledge of climate change risks to supply chains, to inform development and implementation of risk-

<sup>13</sup> Moved back from 1<sup>st</sup> half 2016.

<sup>14</sup> Moved back from 2<sup>nd</sup> half 2016.

Objectives	Activities			
	2016: First half	2016: Second half	2017	2018
			NAPs	sharing approaches
<b>Workstream C: Awareness-raising, outreach and sharing of information</b>				
C1 To raise awareness and policy support for adaptation, particularly the NAP process, by relevant stakeholders at all levels, in particular the national level	Decide on a topic for the 2016 thematic report, <b>taking into account topics of the A-TEP</b>	2016 thematic report to also serve as the <b>2016 TP under the A-TEP</b> <sup>15</sup>  Develop an information product on livelihoods and economic diversification <sup>16</sup>	2017 thematic report to also serve as the <b>2017 TP under the A-TEP</b>	2018 thematic report to <b>also serve as the 2017 TP under the A-TEP</b>
C2 To promote the exchange of information, particularly as it relates to the most vulnerable people, sectors and regions, and strengthen the interaction between Parties and stakeholders at all levels by utilizing the convening power of the AC to organize focused events	<b>Conduct the A-TEP by agreeing on topics, based on submissions from Parties and stakeholders, and organizing two technical examination meetings (TEMs) during SB 44</b>  Organize the 2016 Adaptation Forum in conjunction with the Adaptation Futures 2016 conference	<b>Prepare a technical paper, provide input to the Summary for Policymakers (SPM) and contribute to a high level event, in the context of A-TEP</b>	<b>Conduct two TEMs at SB 46, prepare two TPs and provide input to SPM and contribute to a high level event</b>  Adaptation Forum with representatives from United Nations agencies, relevant multilateral and bilateral organizations and NGOs supporting the NAP process, <b>possibly in conjunction with the A-TEP high-level event</b>	<b>Pending review of A-TEP, conduct two TEMs at SB 46, prepare two TPs and provide input to SPM and contribute to a high level event</b>  Adaptation Forum <b>possibly in conjunction with the A-TEP high-level event</b>

<sup>15</sup> Note that the "Brochure on the state of adaptation" foreseen for the 2<sup>nd</sup> half of 2016 is suggested to be postponed to a later date.

<sup>16</sup> Moved back from 1<sup>st</sup> half of 2016.

### **Annex III. List of acronyms used in the document**

AC – Adaptation Committee

A-TEM – Technical expert meeting on adaptation

A-TEP – Technical examination process on adaptation

CBA – Community-based adaptation

CGE – Consultative Group of Experts on National Communications from Parties not included in Annex I to the Convention

CMA – Conference of the Parties serving as the meeting of the Parties to this Agreement

COP – Conference of the Parties

CTCN – Climate Technology Centre and Network

CTCN EB – Executive Board of the CTCN

EbA – Ecosystem-based adaptation

ExCom – Executive Committee of the Warsaw International Mechanism on Loss and Damage

GCF – Green Climate Fund

GEF – Global Environment Facility

LDCs – Least developed countries

LEG – Least Developed Countries Expert Group

M-TEM – Technical expert meeting on mitigation

M-TEP – Technical examination process on mitigation

M&E – Monitoring and evaluation

NAPs – National Adaptation Plans

NAP GSP – NAP Global Support Programme

NDA – Designated National Authorities

NIE – National Implementing Entity

SBSTA – Subsidiary Body for Scientific and Technological Advice

SBI – Subsidiary Body for Implementation

SCF – Standing Committee on Finance

TEC – Technology Executive Committee

TNA – Technology Needs Assessment

UNFCCC – United Nations Framework Convention on Climate Change