



**United Nations**  
Framework Convention on  
Climate Change



**ADAPTATION FUND**

# **The Accreditation Process**

April 23-25  
Apia, Samoa



# Access modalities

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## Direct Access Modality

- Eligible Parties can submit their projects/programmes ***directly*** to the AFB ***through an accredited*** National Implementing Entity (***NIE***).

## Multilateral Access Modality

- Parties can submit their proposals through an ***accredited*** Multilateral Implementing Entity (***MIE***).

## Regional Access Modality

- A group of Parties may also nominate ***regional and sub-regional entities*** (RIE) as implementing entities.



# Access modalities (2)

## NIE, RIE and MIE shall:

**a. Meet the fiduciary standards** established by the AFB:

- Financial management and integrity
- Institutional capacity
- Transparency, self-investigative powers and anti-corruption measures

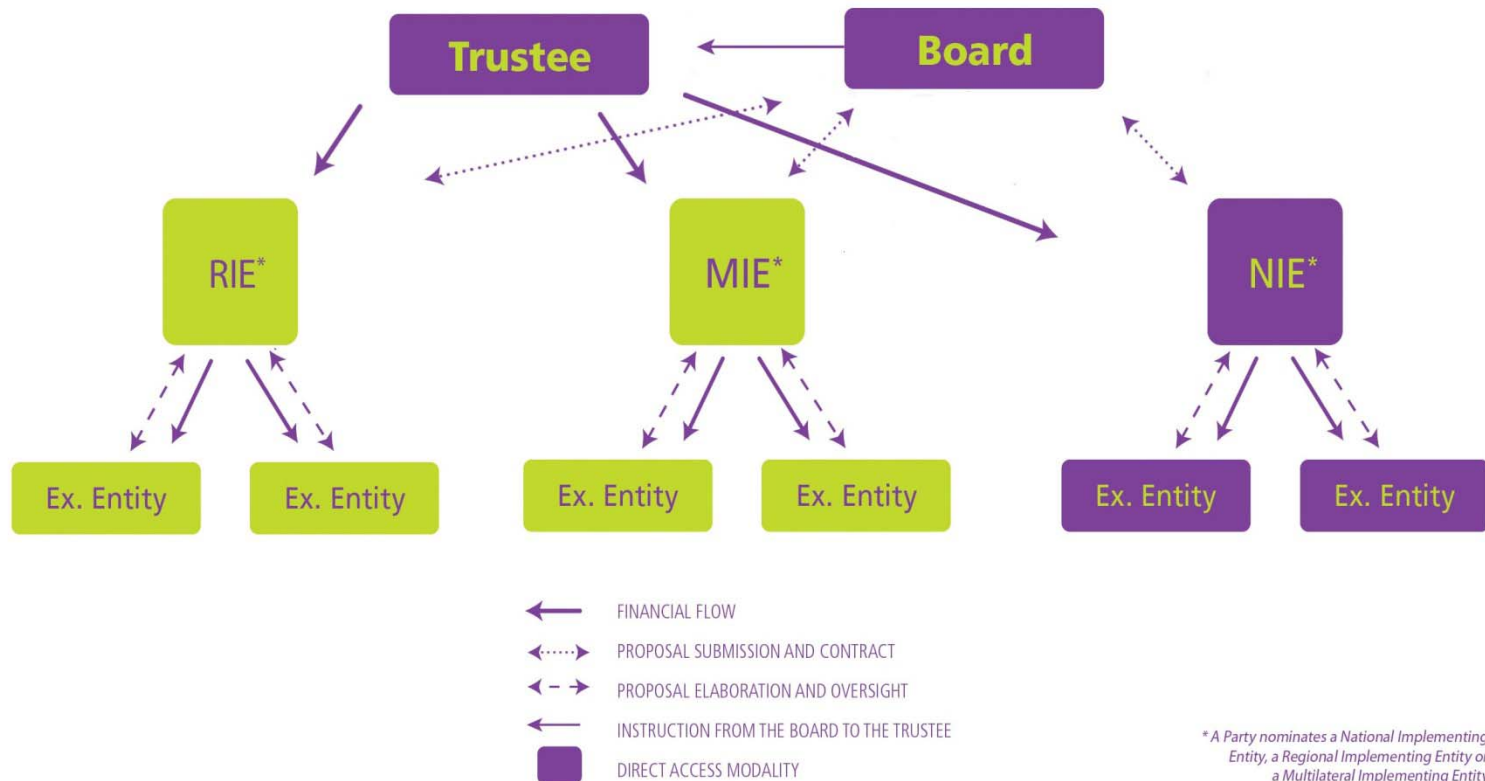
**b. Bear full responsibility** for the **overall management** of the projects and programmes; and

**c. Carry out financial, monitoring and reporting responsibilities.**



# Access modalities

FIGURE 1: MODALITIES FOR ACCESSING RESOURCES OF THE ADAPTATION FUND



\* A Party nominates a National Implementing Entity, a Regional Implementing Entity or a Multilateral Implementing Entity.



# Accreditation Panel



# Why Direct Access?

- **Advantages:**
  - Empower developing countries to manage their *own* funds following their *own* priorities
  - Swifter inception *and* implementation to meet the immediate needs of vulnerable countries
  - Retain more funds within the country
  - Recognize the potential of strong institutions in developing countries
  - Improve institutional and operational processes thru acc.
- **Is the process cumbersome?**
  - The AF has made it a priority to streamline its procedures. This is the fundamental premise of the Fund
  - The Panel works *with* applicants. It is not an antagonistic process



# What is accreditation?

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- **To check and certify an organization's capabilities for carrying out the required tasks for meeting the defined goals**
- **Required tasks include maintenance of accounts and preparation of financial statements, provisions for external and internal audit, procurement, project management and creating and maintaining an ethical and corruption-free environment**
- **The goal is to successfully complete the projects within planned budget and time and also meet the objectives outlined in the project document**



# Verifying capabilities

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- **Does the organization have appropriate processes and systems defined and documented to undertake the tasks and achieve the stated objectives? (refer previous slide)**
- **Does the organization have adequate checks and balances to monitor, control and report on the activities?**
- **Does the organization have adequate competence at various levels for implementing the processes and systems effectively and efficiently?**





# Criteria for Accreditation

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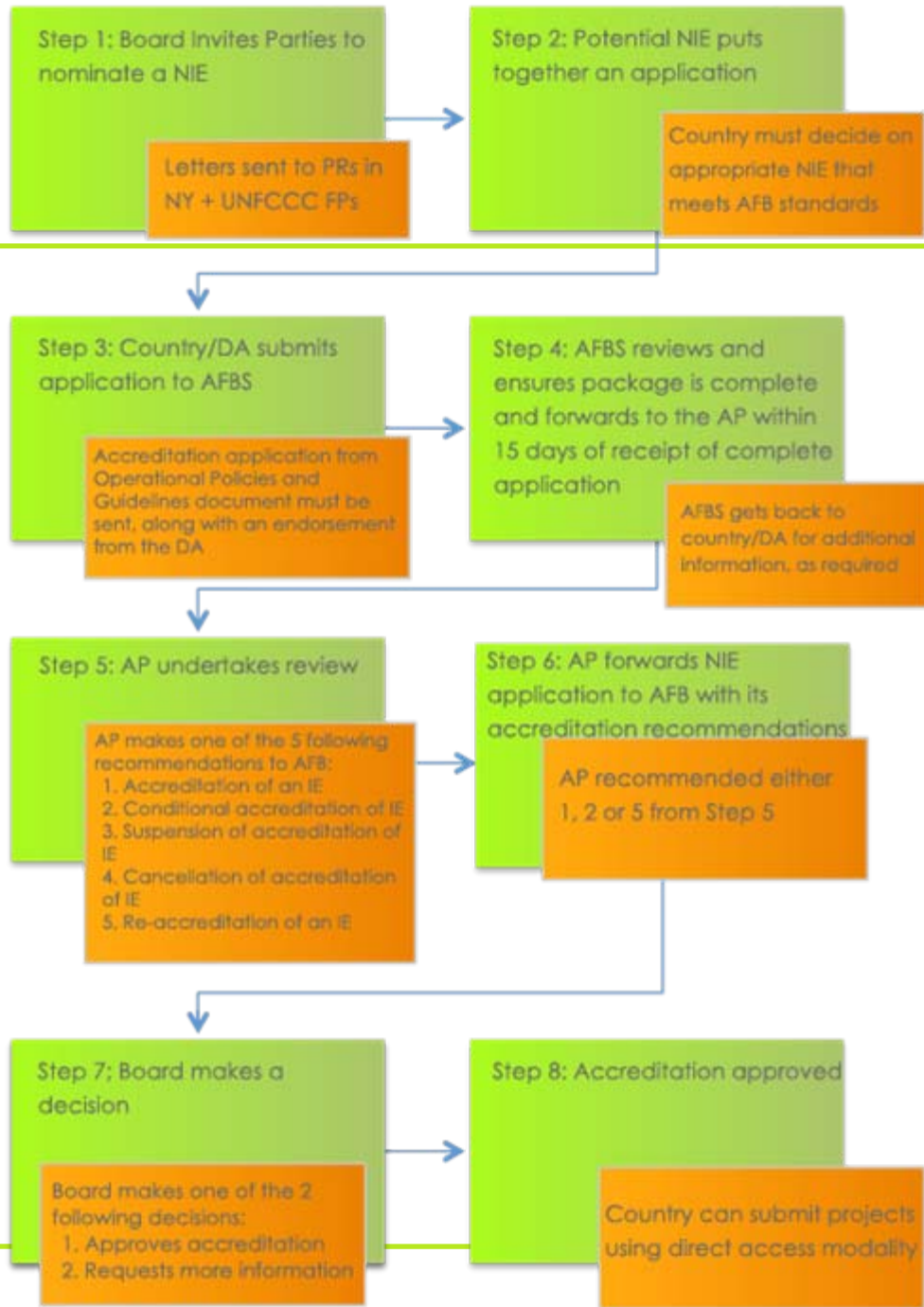
- **The organization should be able to demonstrate/showcase capabilities in terms of policies/framework and give adequate evidence of experience in effectively carrying out the activities required**



# Access modalities: the Accreditation Process

- **Step 0:** The government appoints a Designated Authority. The DA must endorse the accreditation application of Implementing Entity and all IE project/programme proposals.
- **Step 1:** Submit application:
  - a. Description of how the organization meets the specific required capabilities
  - b. Attachment of supporting documentation
- **Step 2:** Accreditation Panel Reviews Application.
- **Step 3:** Panel can request additional information/clarification from organization.
  - a. Might suggest to Board that an on-site visit is required
  - b. Might suggest that technical support needs to be provided to an applicant to improve its capacity in order to attain accreditation
- **Step 4:** Panel makes recommendation to AF Board.
- **Step 5:** AF Board makes final decision on accreditation of entity





# Access modalities: Implementing Entities

- **11 National Implementing Entities accredited:**
    - Centre de Suivi Ecologique (Senegal)
    - Planning Institute of Jamaica (Jamaica)
    - Agencia Nacional de Investigación e Innovación (Uruguay)
    - Fonds national pour l'environnement (Benin)
    - South African National Institute for Biodiversity (South Africa)
    - Protected Areas Conservation Trust (Belize)
    - Ministry of Natural Resources (Rwanda)
    - Ministry of Planning and International Cooperation (Jordan)
    - National Environment Management Authority (Kenya)
    - Instituto Mexicano de Tecnologia del Agua (Mexico)
    - Unidad para el Cambio Rural (Argentina)
  - **1 Regional Implementing Entity**
    - Banque Ouest Africaine de Développement
  - **10 Multilateral Implementing Entities accredited:**
    - The World Bank, ADB, AfDB, IADB, UNDP, UNEP, IFAD, WFP, WMO, UNESCO
- **Swift** accreditation process: can be done in **3 months**

